



# Recent Developments in Gyrokinetic Understanding of Divertor Heat-Load Width\*

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(Acknowledgement: NSTX, DIII-D, C-Mod, JET and ITER collaborators)

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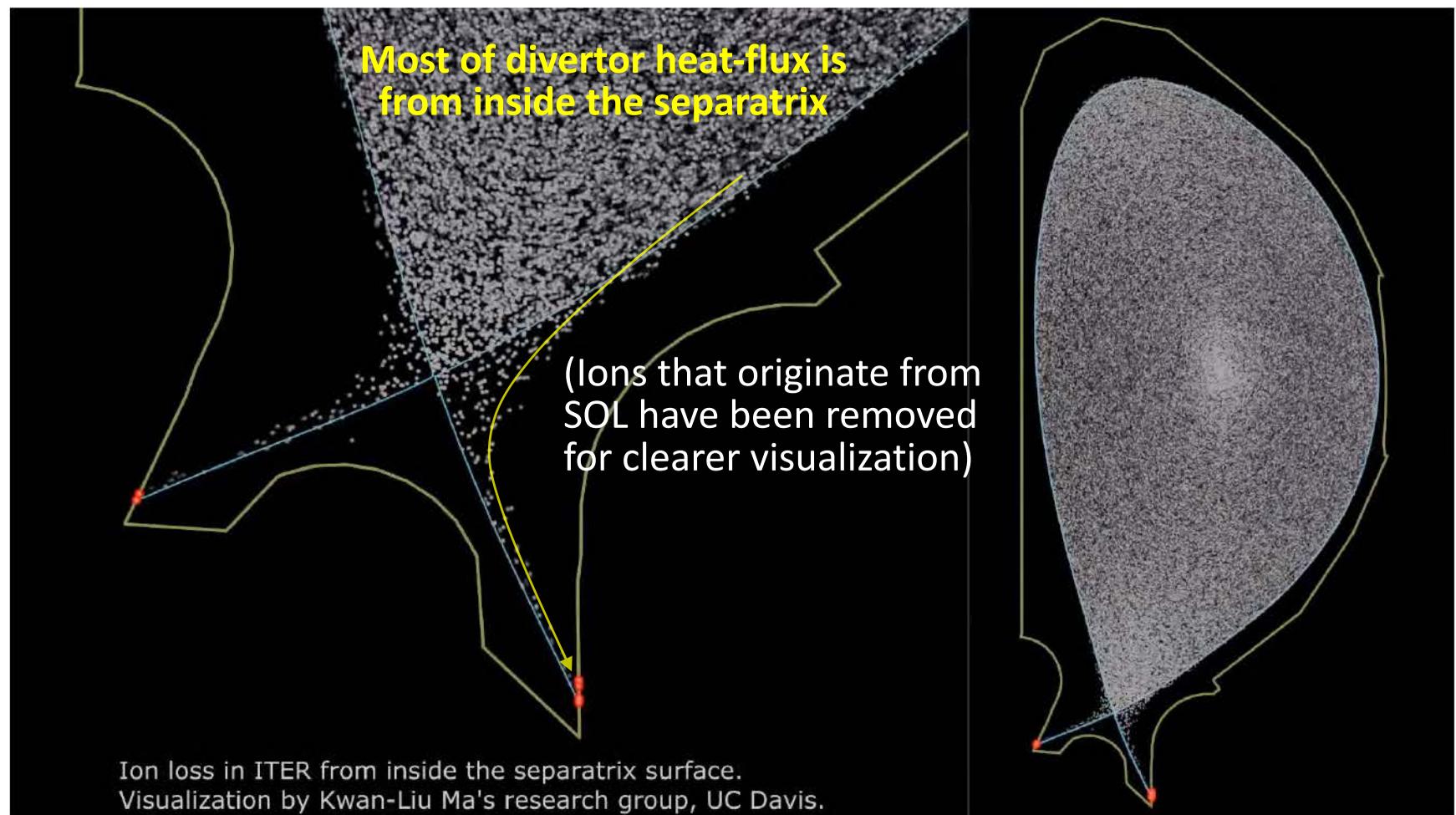
\*Computing resources provided by OLCF and ALCF via INCITE, and NERSC via DOE SC allocation



# The gyrokinetic code XGC tries to simulate plasma particle dynamics as in real experiment, according to Vlasov-Fokker Planck equation, below gyrofrequency

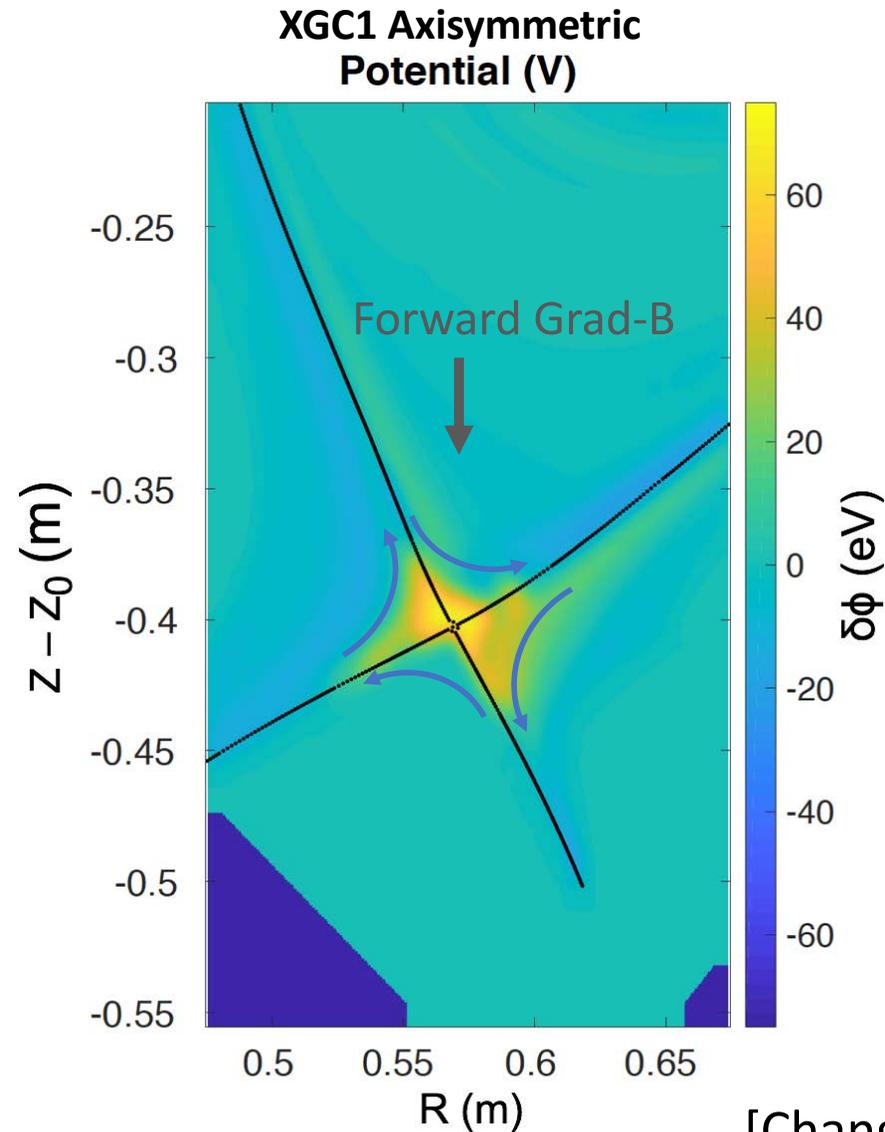
Mission: Use largest computers to perform first-principles-based studies

- Total-f particle-in-cell
- Neutral particle recycling with atomic cross-sections
- Logical sheath at material bd
- Non-Maxwellian plasma
- NL Fokker-Planck operator
- Heat, momentum & cooling source/sink
- > Trillion particles: Requires largest computers
- Attached plasma so far, moving toward detachment.



Free parameter: neutral particle recycling rate ( $R=0.99$ ) &  $\Phi(\text{limiter})=0$ .

# XGC outputs all the drift motions, including ExB around X-point

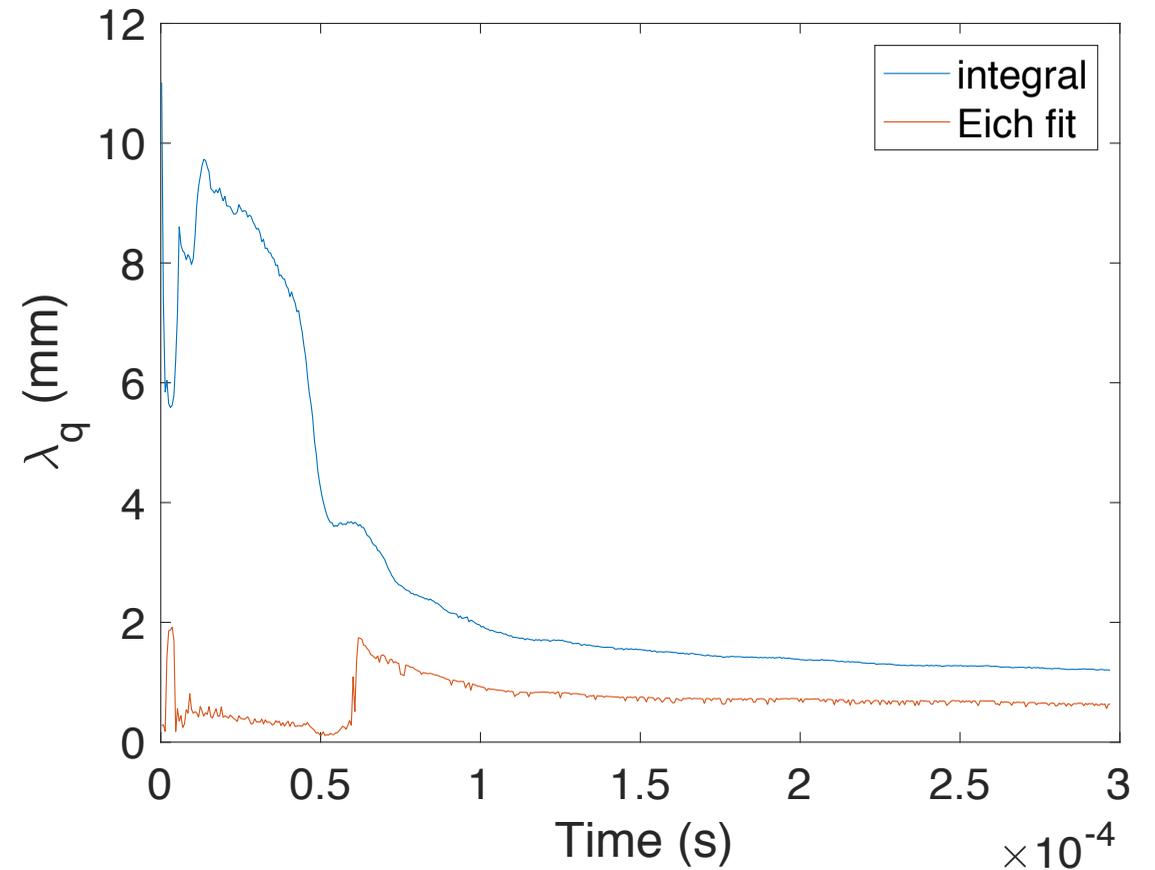
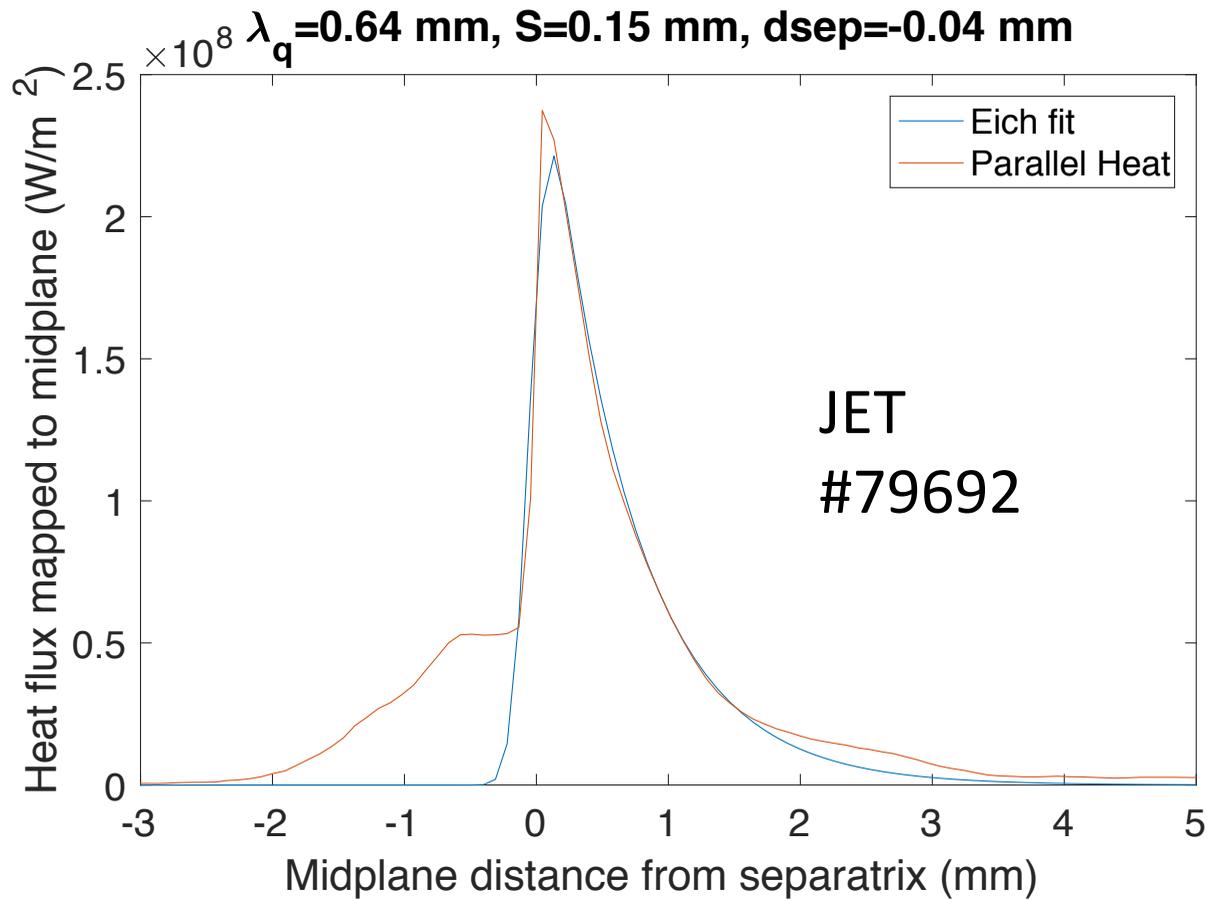


[Chang et al., PoP 2019]

a C-Mod, H-mode plasma

- Forward Grad-B:
  - Potential hill with higher plasma density around X-point
  - Lower  $T_e$  around X-point (pressure equilibration)
  - Impurity particles from SOL tend to enter into core through the high-field side near X-point
- Backward Grad-B reverses the ExB drift direction

# XGC automatically outputs the gyrokinetic heat-flux footprint consistently with neoclassical, turbulent and neutrals physics



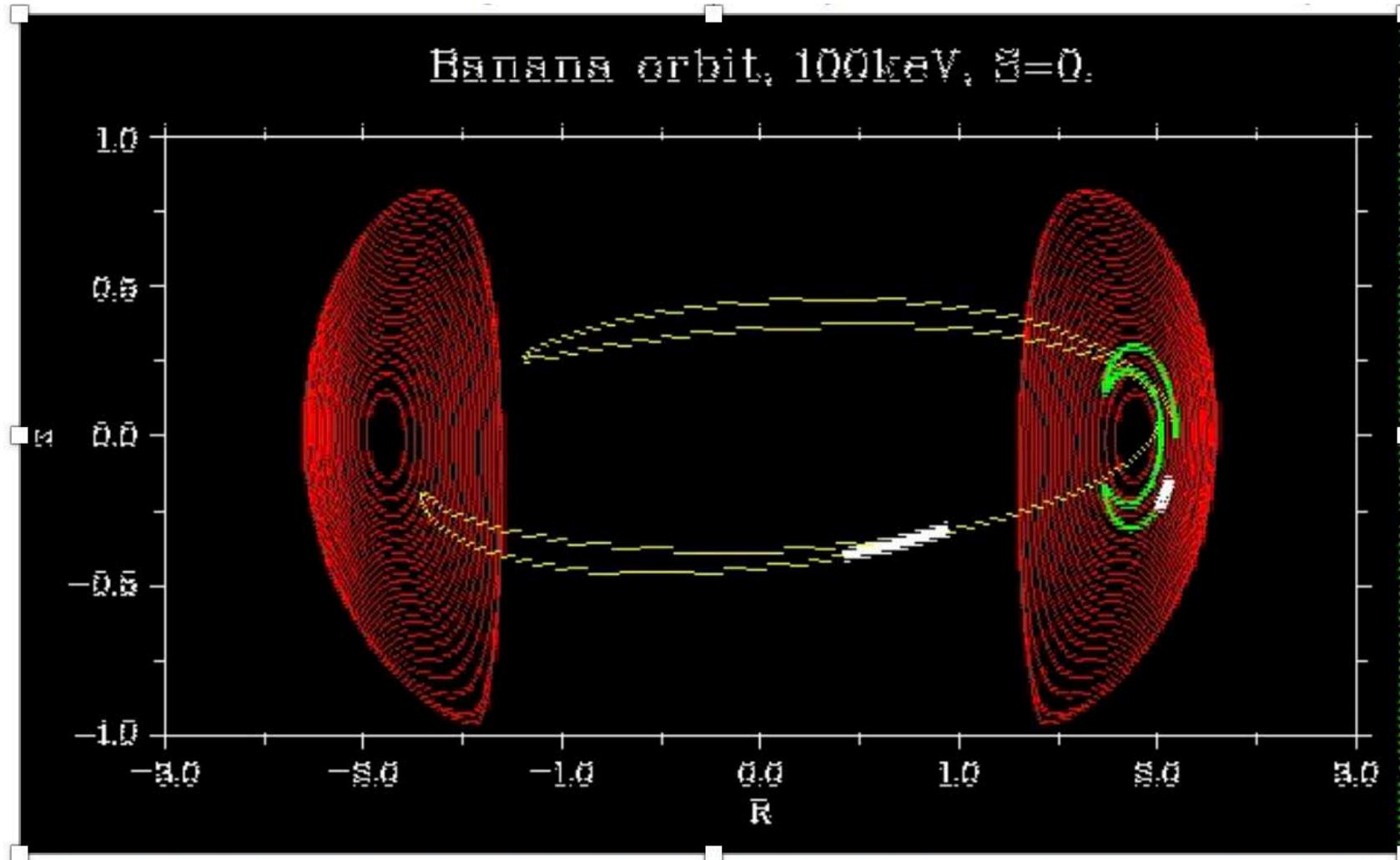
# Outline/Summary

## The edge gyrokinetic code XGC says

- Today's conventional tokamaks and 5MA-ITER:
  - Transport in pedestal is at ion neoclassical level
  - Transport across separatrix is also at ion neoclassical level despite the "blobby" turbulence.

→  $\lambda_q \sim 0.63/B_{pol}^{1.19}$  [Eich (~Goldston)]
- 15MA-ITER: Transport in pedestal and near-SOL is dominated by kinetic micro-turbulence
  - Weak neoclassical ExB shearing due to small  $\rho_{i,pol}/a$  cannot suppress turbulence [Kotchenreuther, Chang 2017]
  - This also includes the weak neoclassical X-point orbit-loss driven ExB shearing rate [Chang 2002, 2017]
  - XGC finds that  $\lambda_q^{XGC}$  is spread by kinetic trapped-electron turbulence by  $>6x \lambda_q^{Eich}$
- Machine Learning and Regression reveal a hidden parameter  $a/\rho_{i,pol}$ 
  - Consistently with the neoclassical ExB shearing physics
- A simple correction to Eich formula is identified (preliminary)
  - A manufactured JET plasma at higher  $I_p$  and ITER plasma at  $I_p \sim 12MA$  are needed to refine the formula
- To validate the XGC findings – trapped-electron turbulence – on today's tokamaks, a turbulence-dominant wide pedestal with high  $T_e(sep)$  may be used:  $\rho_{i,pol}/L_{ped} \ll 1$  and weak  $v_e$  at separatrix
  - QH mode with edge ECH/LHH could be a good candidate?
  - $\lambda_q$  measurements from EAST with edge LHH shows a significant  $\lambda_q$  broadening?

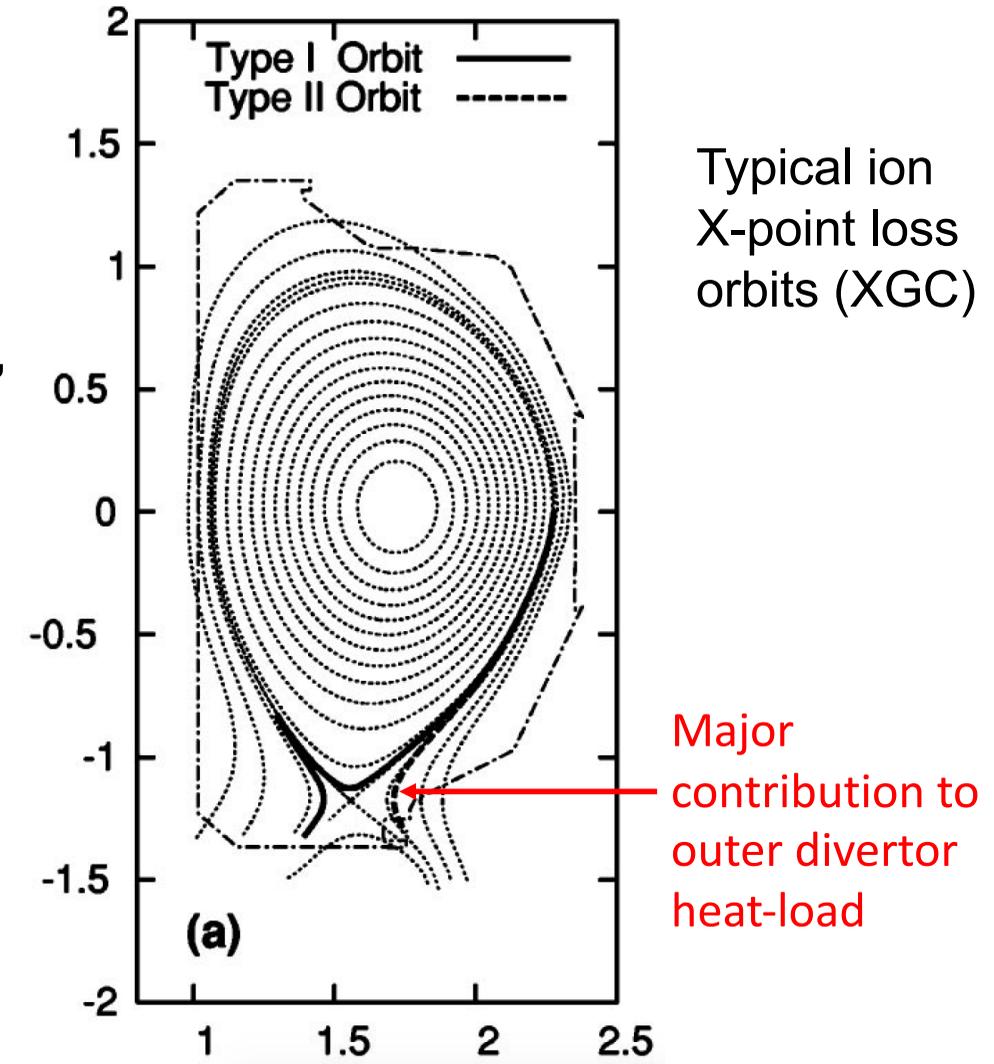
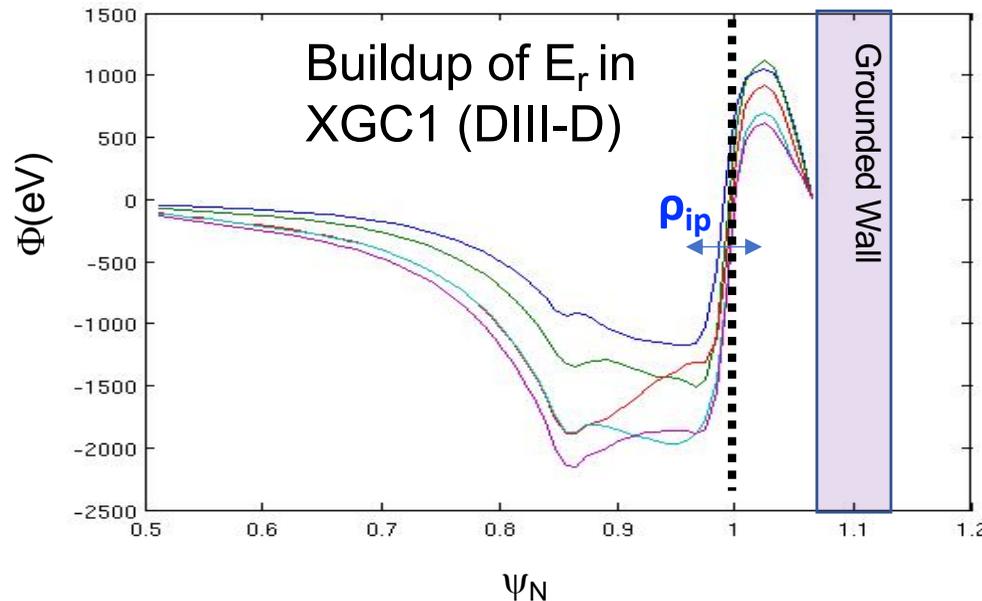
# Kinetic effect: Neoclassical ion orbit excursion generates radial electric field



- Banana width  
 $\sim \rho_{ip} \propto 1/B_{pol}$
- Ion/electron banana width ratio is  $(m_i/m_e)^{1/2} \gg 1$ 
  - Radial charge separation
  - (Sheared) radial electric field generation  
[Chang, PoP2004]
  - Suppresses turbulence
- If  $\rho_{ip}/L \rightarrow 0$ , neoclassical  $E_r \rightarrow 0$

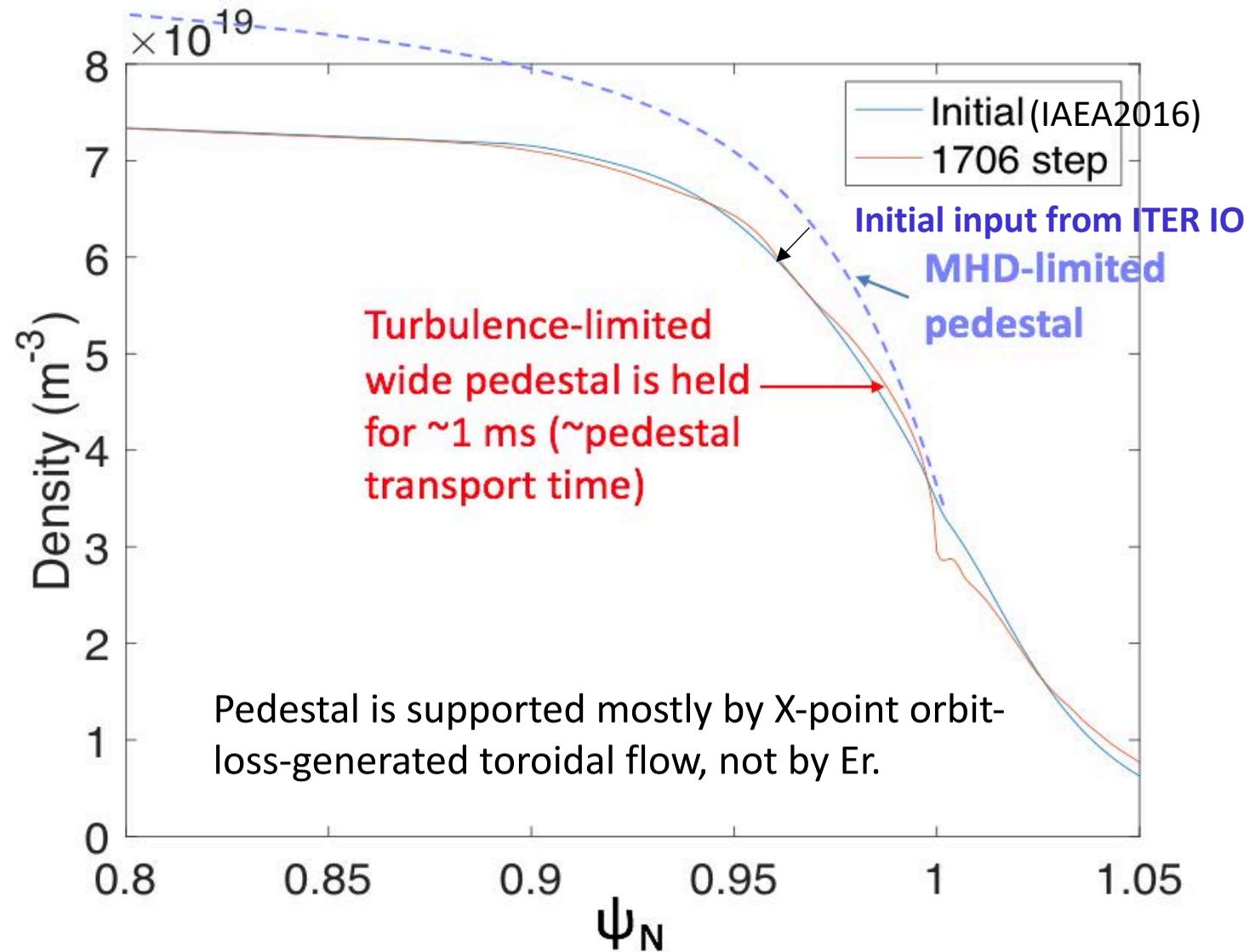
# Kinetic effect: Neoclassical X-point orbit loss generates $E_r$ -layer and toroidal rotation in the edge, from ion orbit drift ( $1/B_{pol}$ )

- $B_p=0$  at magnetic X-point and is small around it.
  - Weak poloidal ion rotation
  - Confinement is lost  $\rightarrow$  ion orbit loss
  - Negative charge within ion banana width  $\Delta_b$  inside separatrix  $\rightarrow$  strong  $E_r < 0$  in  $\Delta_b$  layer
- Strong  $E_r$  or toroidal rotation creates steep  $\nabla p$  (force balance, electrostatic confinement)  $\rightarrow$  pedestal

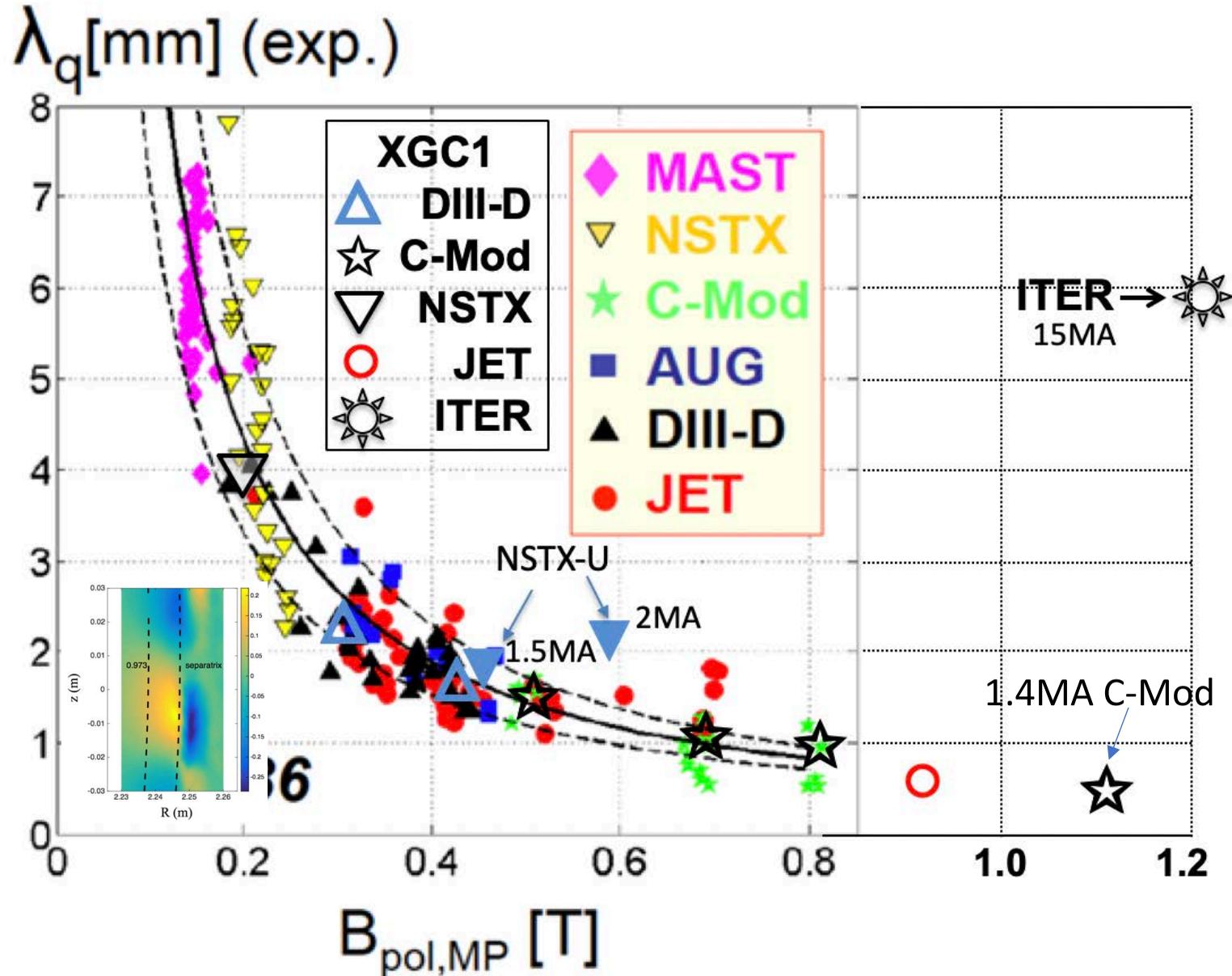


# XGC says: with $a/\rho_{i,pol}$ becoming very large, hence the neoclassical ExB shearing rate becoming weak, the 15MA ITER pedestal becomes turbulence-dominant

- A new turbulence-dominant pedestal profile is established in XGC1 in the pedestal-turbulence self-organization time ( $\sim 1$ ms): but only a “wiggly” energy balance has been achieved yet.
  - $n_e$  pedestal is  $\sim 2$ x milder than the MHD-limited profile.
- ITER at full-current may achieve a significant H-mode pedestal height that
  - Is only 10% lower than the operation design value,
  - But, mild enough not to provoke the usual ELMs from peeling-ballooning modes.
- More simulations will be performed on world #1 Summit, to confirm this important result further.



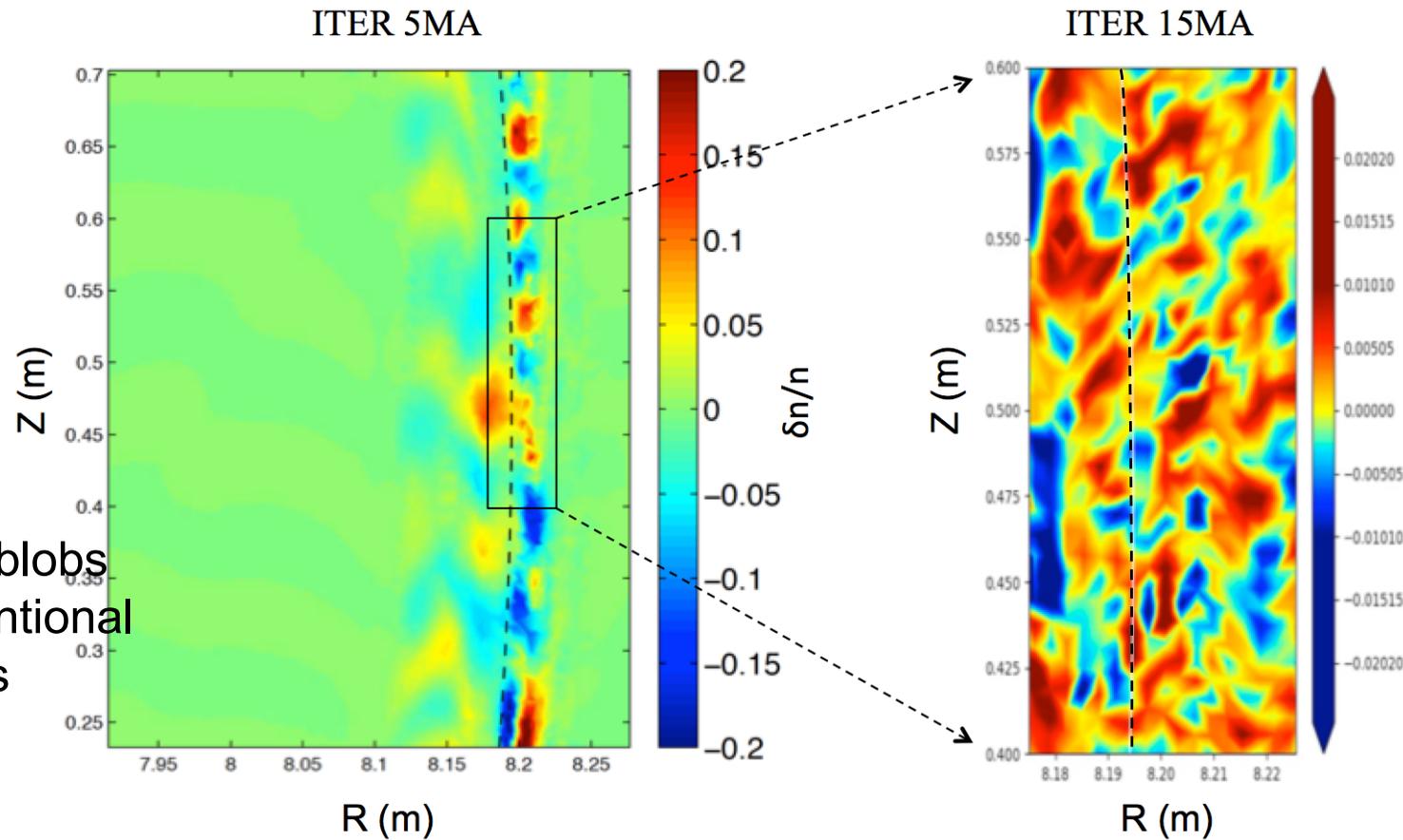
# Predictions from gyrokinetic XGC agree with $\lambda_q^{14}$ (Eich) on existing tokamaks, but not on 15MA ITER.



- Ion drift-motion dominant  $\propto 1/B_{pol}$
- But, the same code predicts  $\lambda_q$ (XGC)  $> 6\lambda_q$ (Eich) for 15MA ITER
  - Confirmed via multiple attempts
- High-current C-Mod experiments have  $B_{pol}$  similar to 15MA ITER
  - Both experiment and XGC showed  $\lambda_q \sim \lambda_q^{14}$ (Eich): Is this a bifurcation?
  - **Hidden parameters, or something is wrong:** simulation has been confirmed multiple times
- XGC on NSTX-U at 2MA also produced a wider  $\lambda_q$ 
  - But, not at 1.5MA
  - **Hidden parameters, again?**

# XGC: Electron heat-spread by kinetic trapped electron modes is the suspect

- Fact:  $\rho_{ip}/a \rightarrow 0$  in 15MA ITER yields little neoclassical ExB shearing,
- Fact:  $(2a/R)^{1/2} \rightarrow 1$  in NSTX-U with warm  $T_e$  yields TEM turbulence



XGC: Similar to blobs in today's conventional aspect tokamaks

Isolated “**blobby**” turbulence (with **strong sheared-ExB** flow across separatrix)

Connected “**streamer**”-type turbulence (with **weak sheared-ExB** flow across separatrix)

TEM streamers are the suspect. ITGs do not penetrate into SOL [Chang, 2009].

- XGC found a mixed TEM-blob turbulence structure on 2MA NSTX-U

# Machine learning reveals trapped electron interaction with turbulence in the 15MA ITER edge (R.M. Churchill)

(Summit data, NERSC)

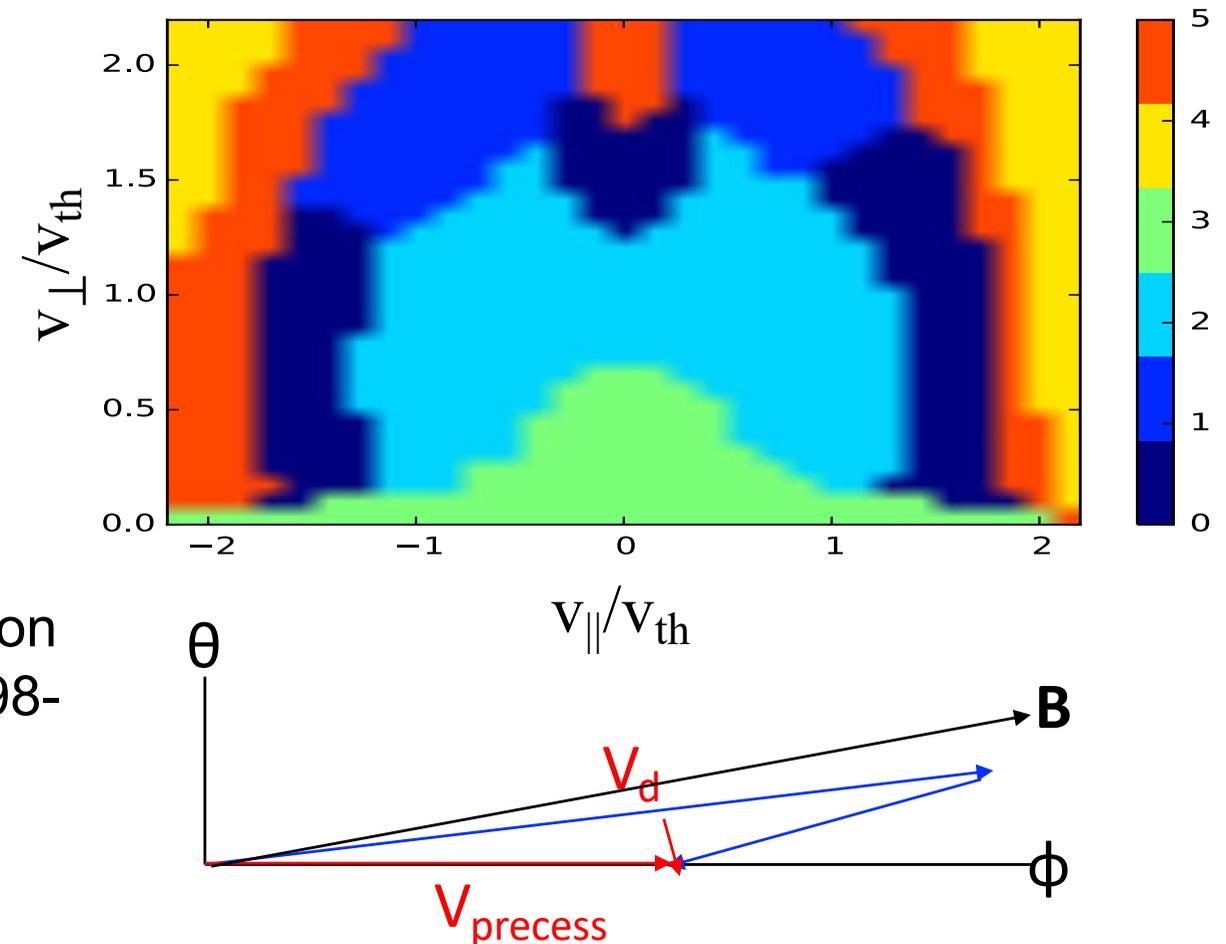
A strong non-adiabatic electron response found across the separatrix: characteristics of TEMs.



- K-means clustering, with K=6
- At a higher energy band, trapped electrons show correlated response to turbulence
  - Another sign of CTEM turbulence
- Because of the high  $\omega_* \sim v(\rho/L)$  around the separatrix, q needs to be high for precession resonance by trapped electrons:

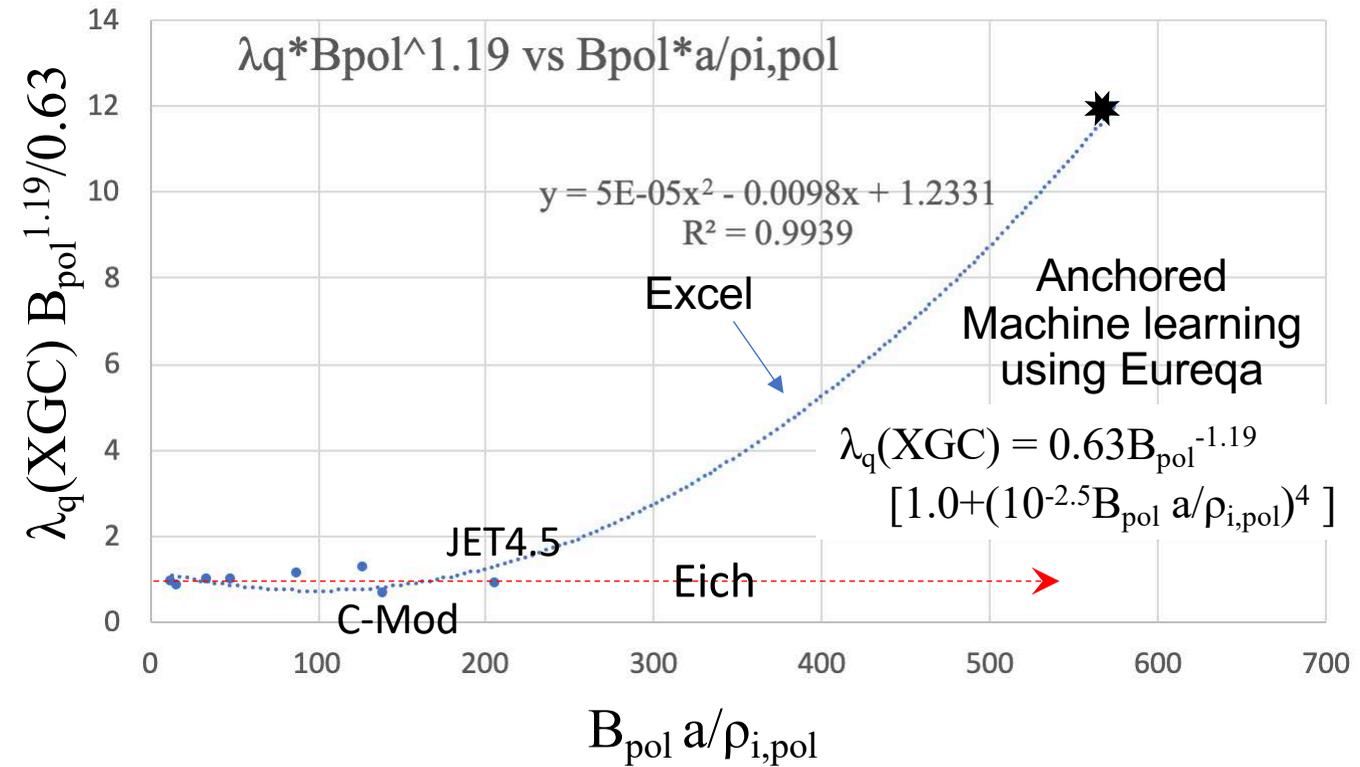
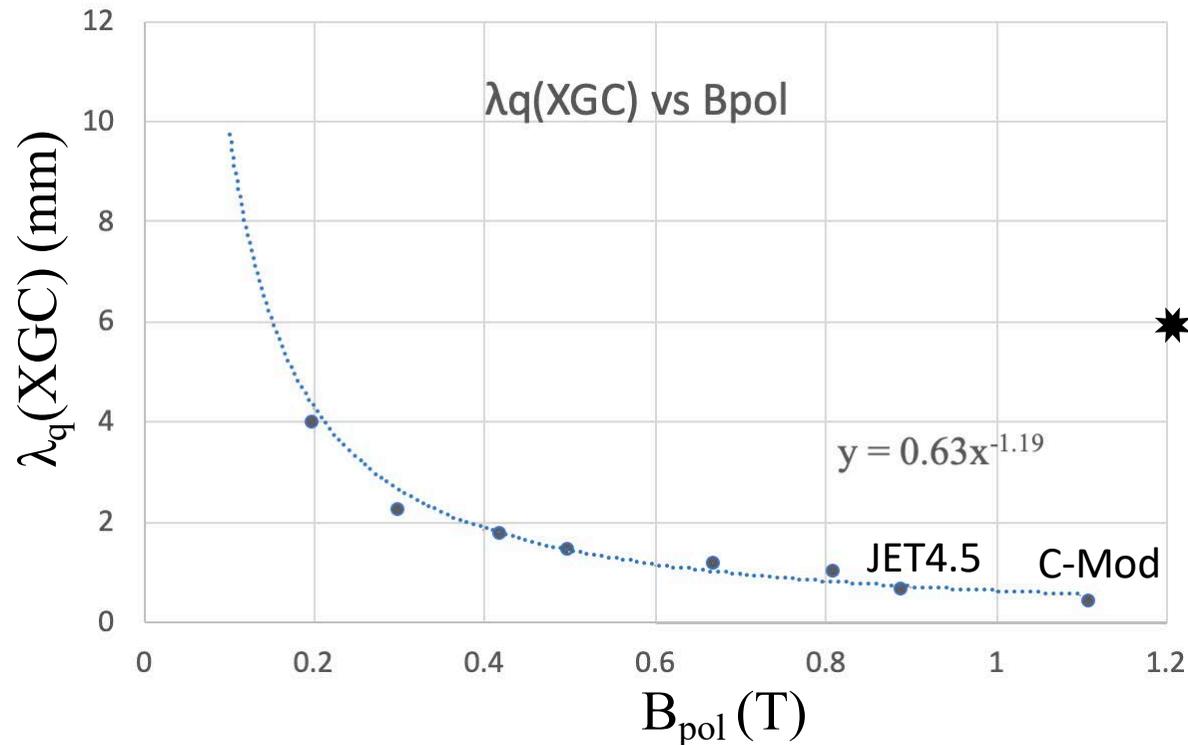
$$V_{\text{precess}} \sim v(\rho/R)(B/B_p)$$

→ easier excitation of Collisionless trapped electron modes (CTEMs) just inside the separatrix,  $\psi_N = 0.98-1$ , where  $\nabla P_e$  is high.



# Looking for hidden parameters from CTEM physics understanding

- Large  $a/\rho_{i,pol}$  weakens the neoclassical ExB shearing rate  $\rightarrow$  stronger TEM

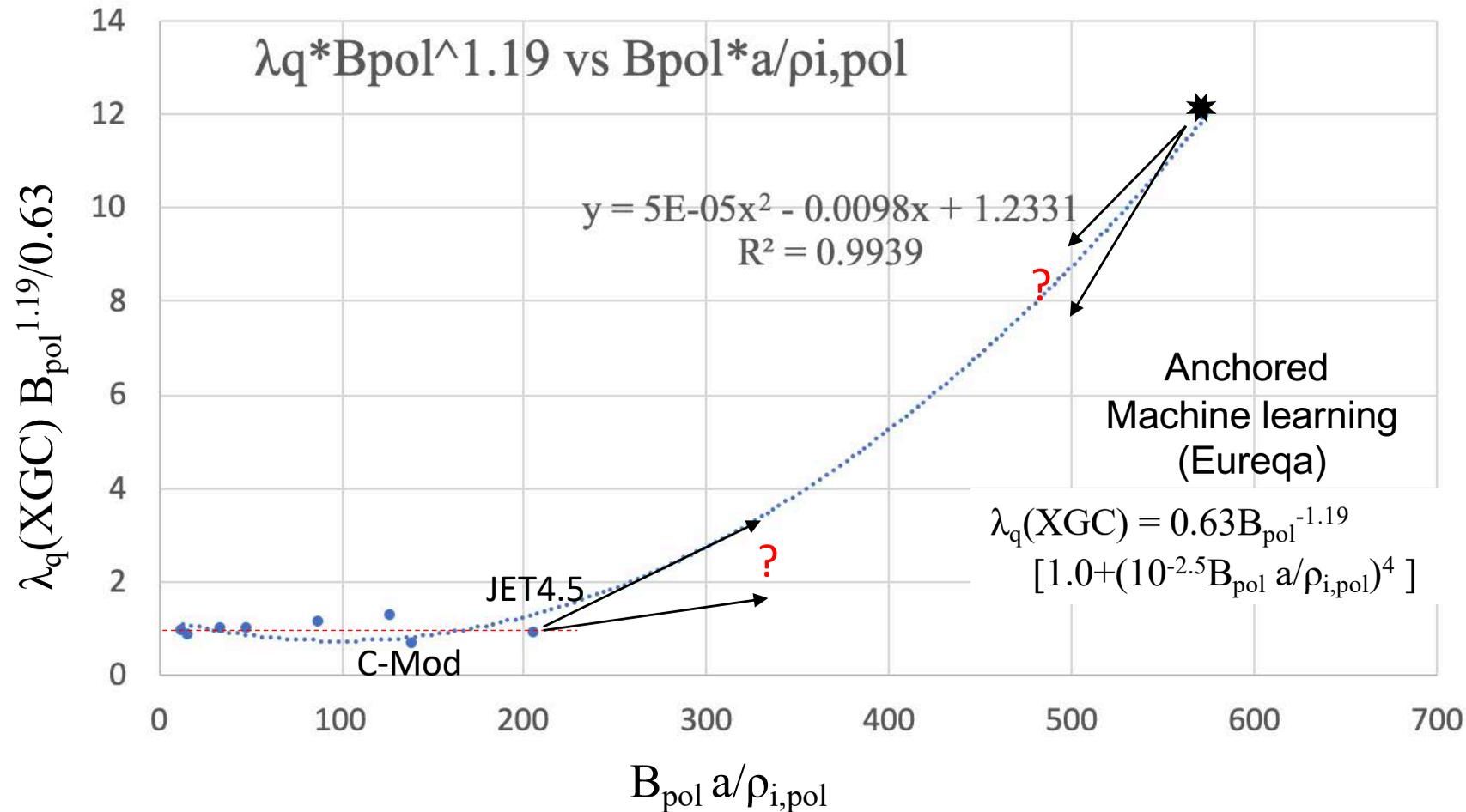


- In the present conventional aspect-ratio tokamaks,  $\lambda_q(\text{XGC})$  follows  $\lambda_q(\text{Eich})$ .
- However,  $\lambda_q(\text{XGC})$  shows a discontinuity (of multiple solutions) between high-Ip C-Mod and 15MA ITER.

- When we use  $B_{pol} a/\rho_{i,pol}$  as the scaling variable,
  - $\lambda_q(\text{XGC})$  in the present tokamaks still follows  $\lambda_q(\text{Eich})$
  - and the discontinuity from high-Ip C-Mod to 15MA ITER disappears

# Moving forward for a more accurate $\lambda_q$ -scaling law towards ITER

Requires a large compute time on Summit



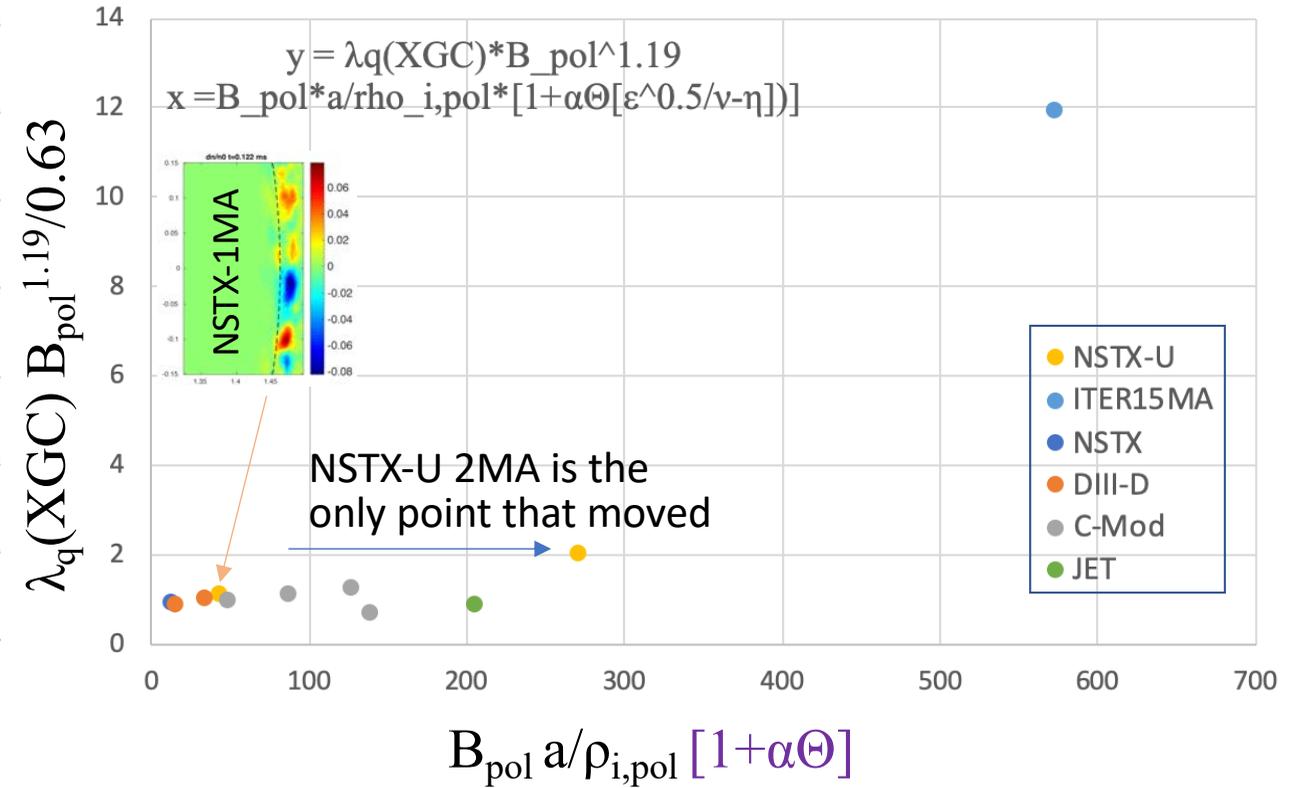
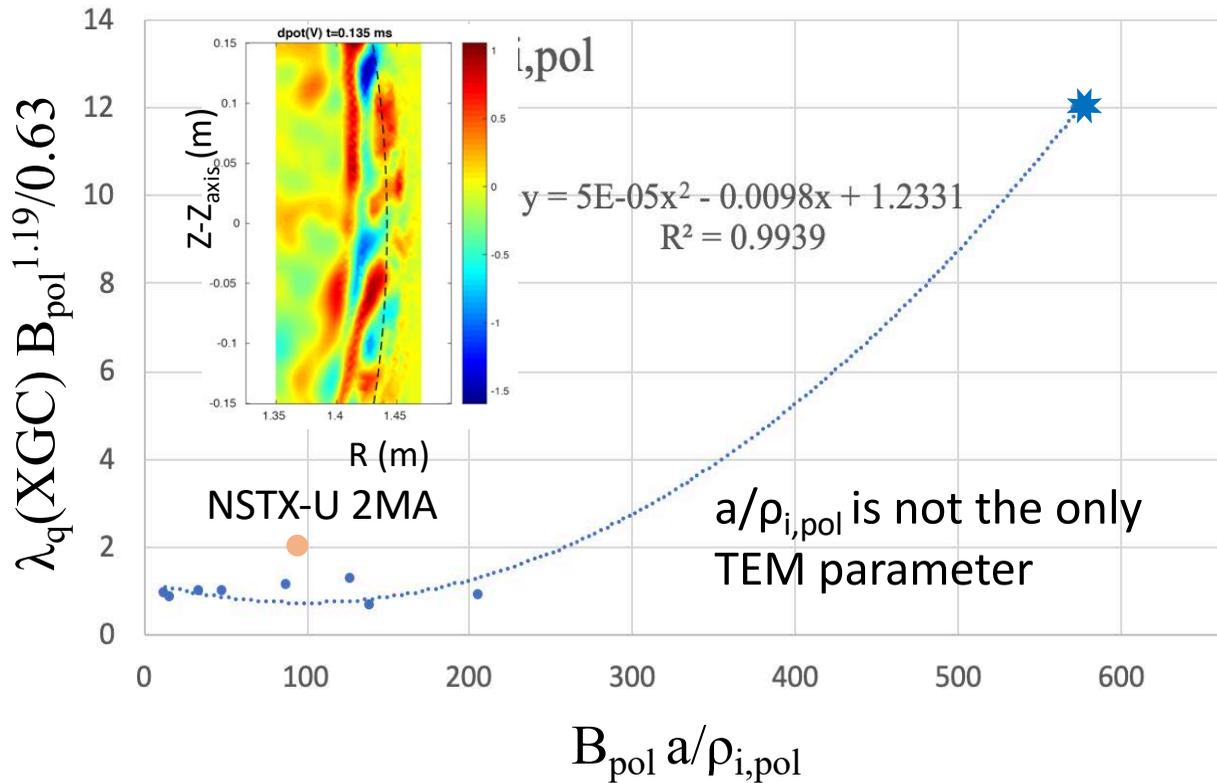
Further refinement using machine learning will be performed after more simulations.

We need at least a couple more data points between the high-Ip JET and the full-B ITER

- Collaboration with JET and ITER teams needed to build some artificial plasma and B equilibriums

# How do we validate the TEM broadening of $\lambda_q$ in existing tokamaks?

Most of the NSTX-U edge electrons are in banana regimes  $\rightarrow$  Strong CTEM drive if  $v_{e^*} \approx v_e \hat{< 1$  : validated

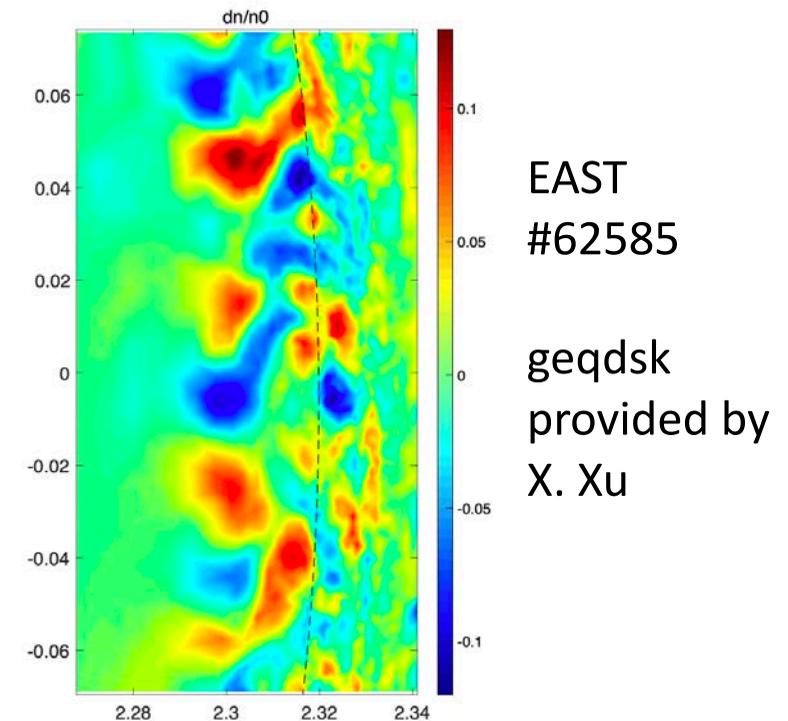
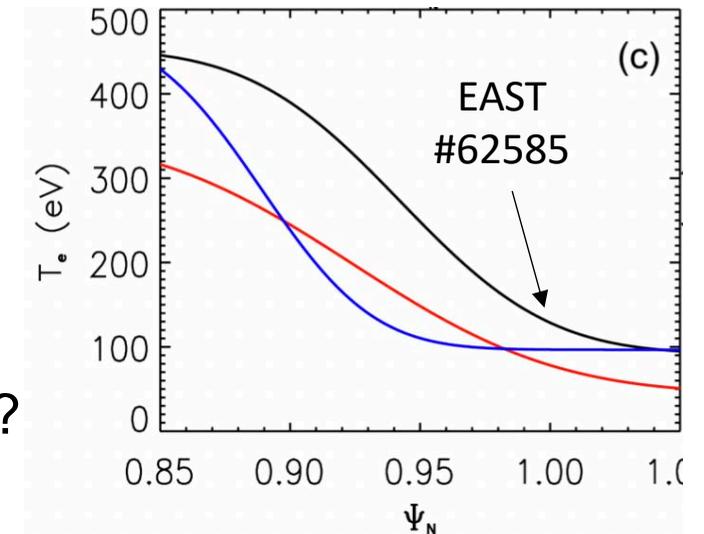


- $\lambda_q(XGC)$  for 2MA NSTX-U shows  $\sim 2x\lambda_q(Eich)$
- $N_{e^*} \hat{< 1}$  at  $\Psi_N=0.99$ , most of the electrons are banana trapped
- Edge turbulence across separatrix is mixture of blobs and streamers  $\rightarrow$  TEM

- $\Theta$  represents CTEM threshold
- Assume CTEM threshold  $\sim (a/R)^{1/2}/v_{e^*} > \eta$
- Fit  $\alpha$  and  $\eta$  to make  $\Theta=1$  for NSTX-U 2MA, & 0 for 1.5MA  $\rightarrow \alpha=2$  and  $\eta=1.75$  have been chosen

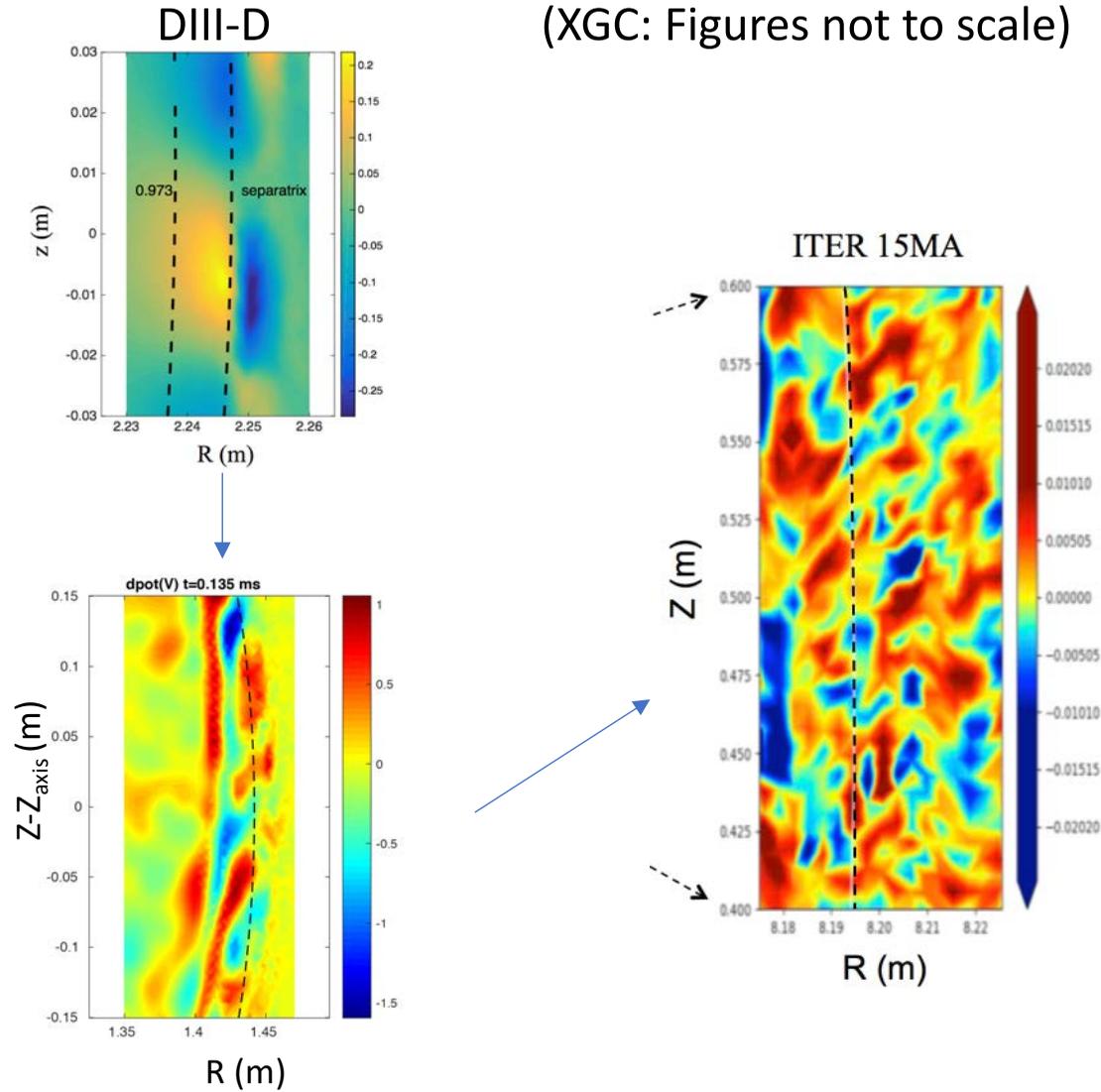
# How do we validate the TEM broadening of $\lambda_q$ in existing tokamaks?

- Look for experiments with “ITER-similar” edge condition
  - Turbulence-limited pedestal: large  $L/\rho_{pol}$
  - Low  $v_e^* < 1$  around the magnetic separatrix (using  $q_{95}$ )
  - Low torque input
 → Can we study the QH mode edge plasma with low torque input?
  - Edge ECH/LHH can be helpful to reduce  $v_e^* < 1$ , given the experimental observations that the pedestal  $T_i$  increases more than  $T_e$  does in QH.
  
- Could the broader  $\lambda_q$  observed in EAST [Wan2016, Zhang 2016; Deng2018], with Lower Hybrid Heating in edge, be an example for the kinetic trapped-electron-mode broadening?
  - $T_e(sep) \sim 150\text{eV}$ ,  $n_e(sep) \sim 1 \times 10^{19}\text{m}^{-3} \rightarrow v_e^* < 1$
  - $\lambda_q^{XGC} \sim 1.7 \lambda_q^{Eich}$  : qualitatively agrees with experimental observation
  - Such a broadening was not seen without edge RF heating

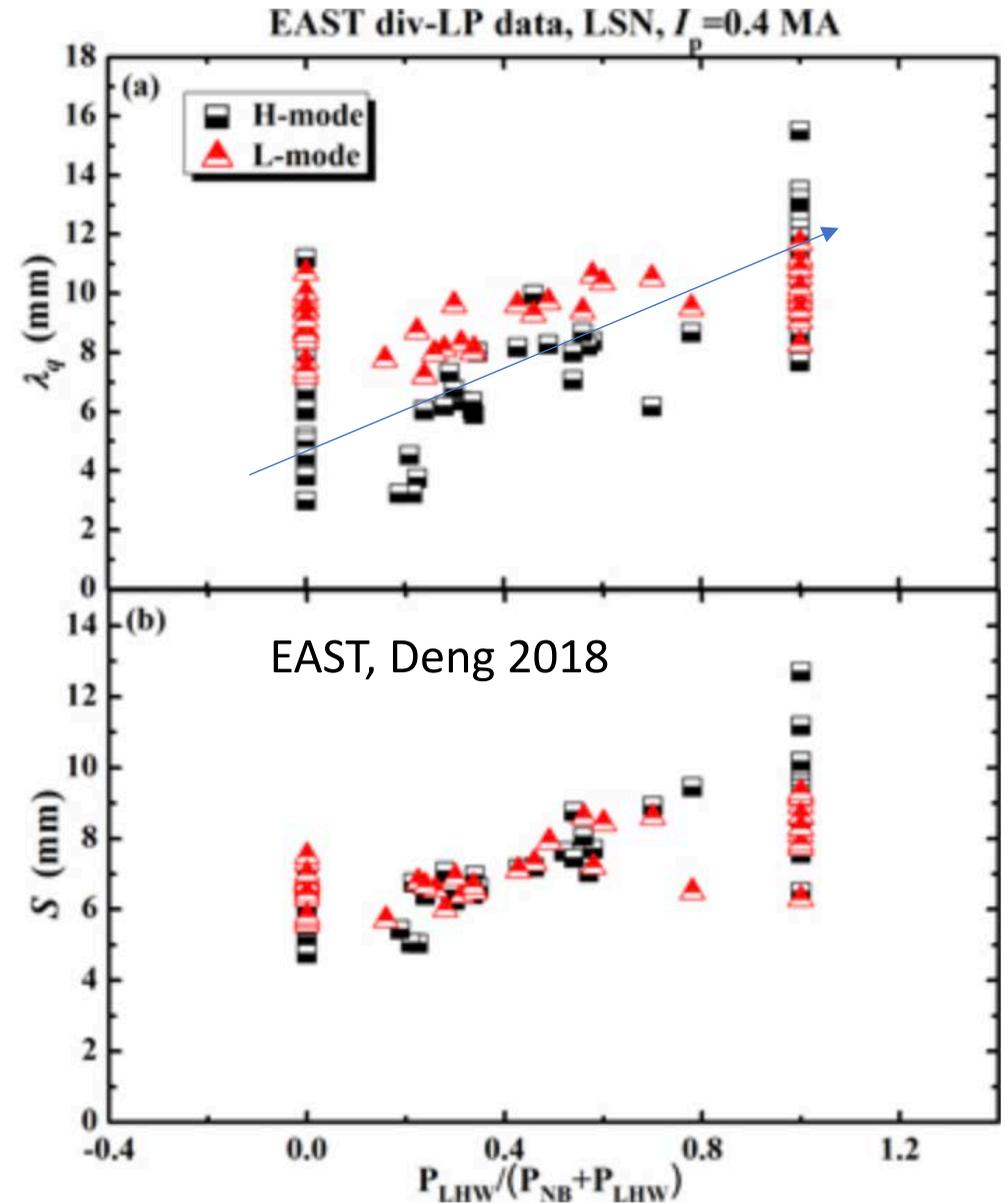


# XGC suggests that the wide $\lambda_q$ ITER is not from a turbulence bifurcation, but a gradual transition: supported by experimental measurement on EAST?

(XGC: Figures not to scale)



2MA NSTX-U, or  
EAST with edge LHH



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