

Strongly non-linear energetic particle dynamics in ASDEX Upgrade scenarios with core impurity accumulation

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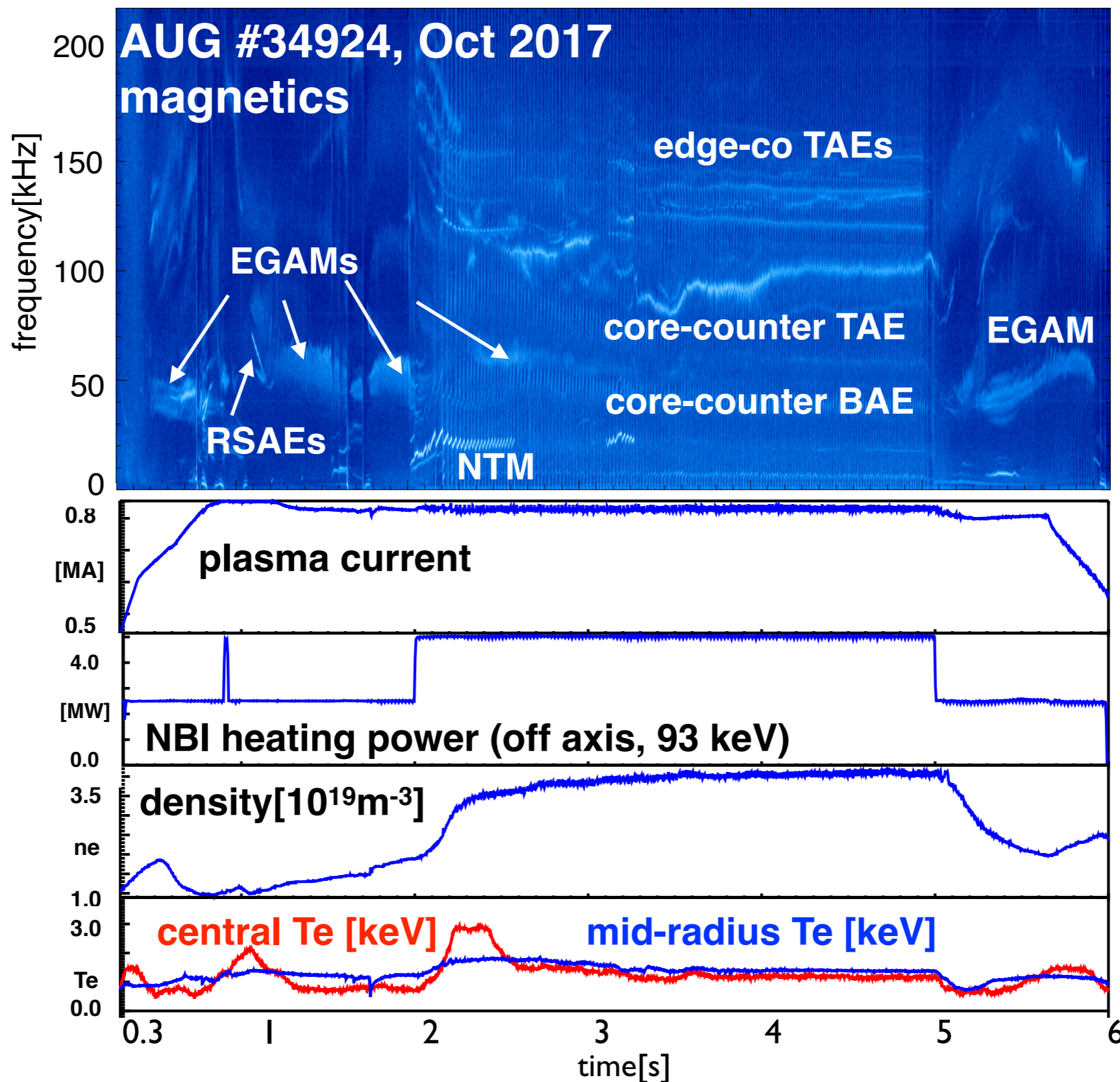
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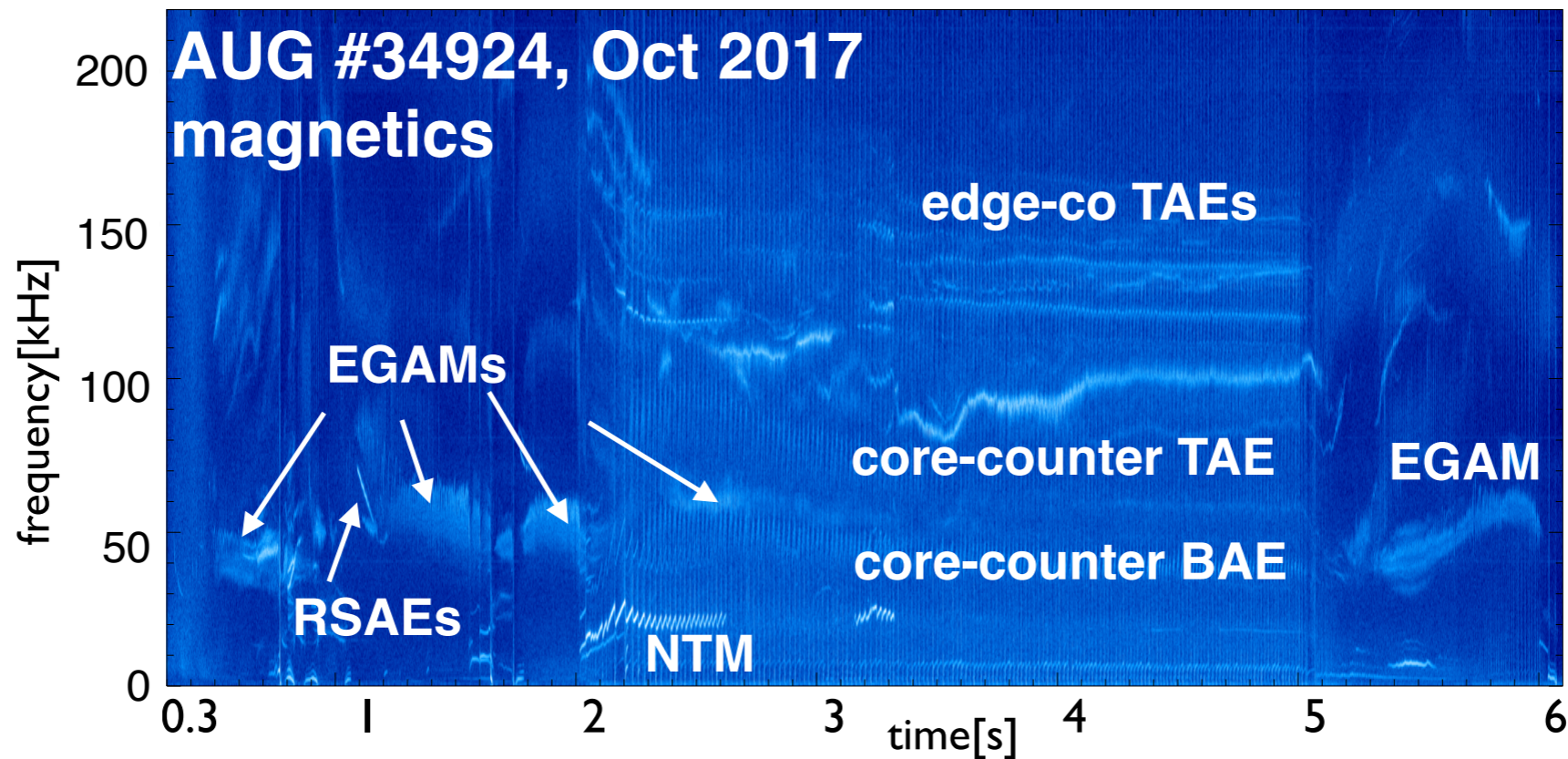
Participating Research Institutions: ENEA Frascati, IPP Garching, IPP Greifswald, Wigner Institute RCP Budapest

new scenario with strong mode activity induced by energetic particles (EPs) was established at ASDEX Upgrade



$I=800kA$
 $B=-2.5T$

$q \geq 2$
slightly
reversed



investigation of strongly non-linear EP dynamics at ASDEX Upgrade is now possible:

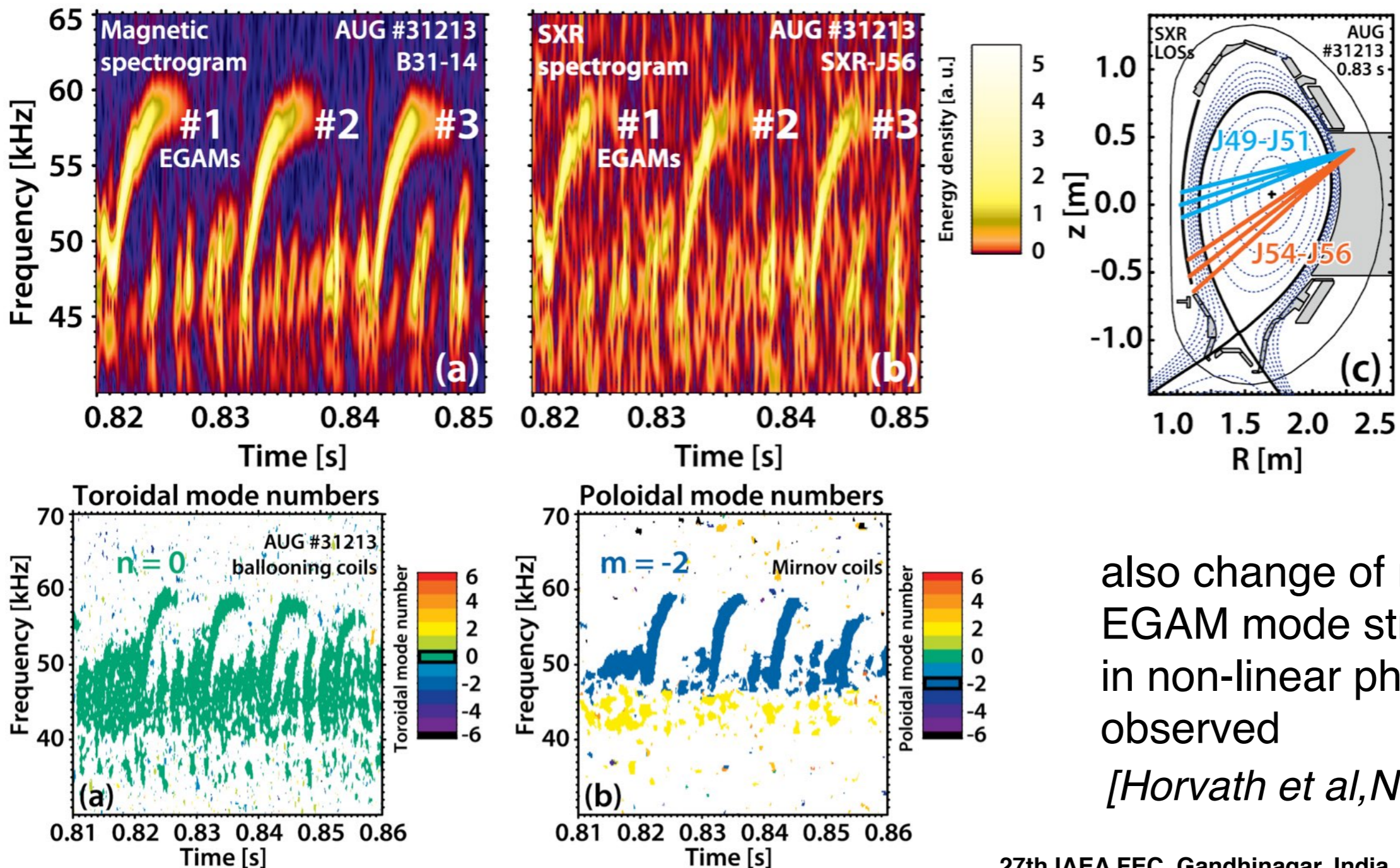
- with sub-Alfvénic beams (2.5-5MW)
- in current flat top with stationary plasma conditions
- compatible with tungsten wall
- for EP physics relevant parameters:
 $\beta_{EP}/\beta_{thermal} \sim 1$, $E_{NBI}/T_{i,e} \approx 100$

motivation: predicting self-organisation of burning fusion plasmas

- one crucial physics element: transport properties of energetic particles (EPs) are determined by non-linear saturation level of EP-driven modes
- mechanism: mode-induced flattening of EP phase space gradients by non-linear wave-particle interaction vs. recovery of depleted gradients by collisional slowing down processes
- these ingredients lead to several non-linear saturation states: steady state, bifurcation, chaotic, bursting (typically super-Alfvénic drive [*JT-60SA, NSTX, MAST*]) that are determined by linear drive, damping, effective collisionality [*O'Neill, Berk&Breizman*] and radial non-uniformity of resonances [*Briguglio, X.Wang 2015; Duarte 2017*]
- less studied: wave-wave coupling processes and formation of zonal structures (ZS) caused by EP-driven modes influence the saturation and the overall plasma state [*Hahm 1995; Todo 2010-12, 2015; Bierwage Nature 2018; Chen&Zonca 2012, Qui 2018*]
- on long time scales: average EP profile close to -slightly upshifted - marginally stable state (stiff EP profiles, DIII-D [*Collins 2016*]); on short and intermediate time scales: steady, intermittent ('ALE', [*Shinohara, JT-60U*]) or even ballistic (EPM [*G. Vlad 2004*]) transport possible
- challenge: predict onset of non-linear EP dynamics and EP profile relaxation

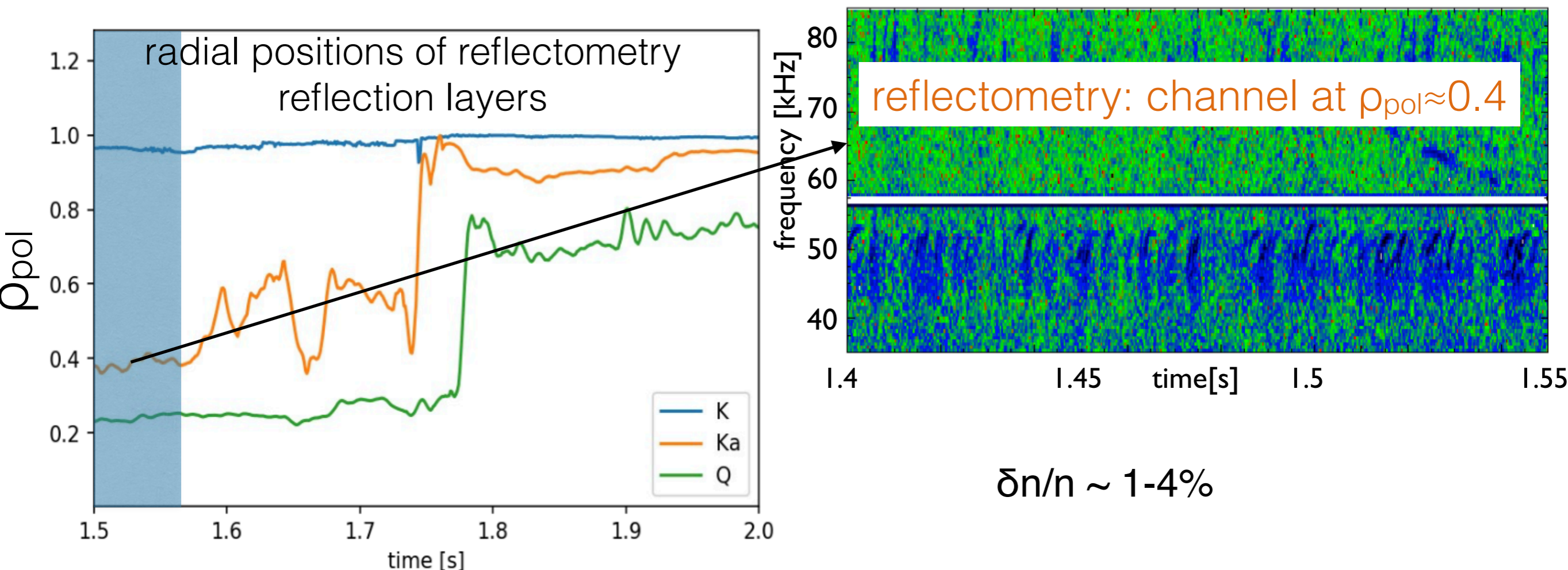
- the dynamics of EP-driven geodesic acoustic modes (EGAMs) and excitation conditions under various experimental conditions
- interaction EGAMs and Alfvén eigenmodes (AEs)
- discussion & conclusions

- one the most prominent modes in this scenario: EP-driven geodesic acoustic mode [other exp. observations: Boswell, Berk Nazikian, Ido, Chen, Horvath,...]
- visible in magnetics, soft-X ray: toroidal mode number $n=0$; dominant poloidal mode number $n=2$ [Wahlberg 2008]; global mode, peaked in core $\rho_{pol} \sim 0.2-0.4$

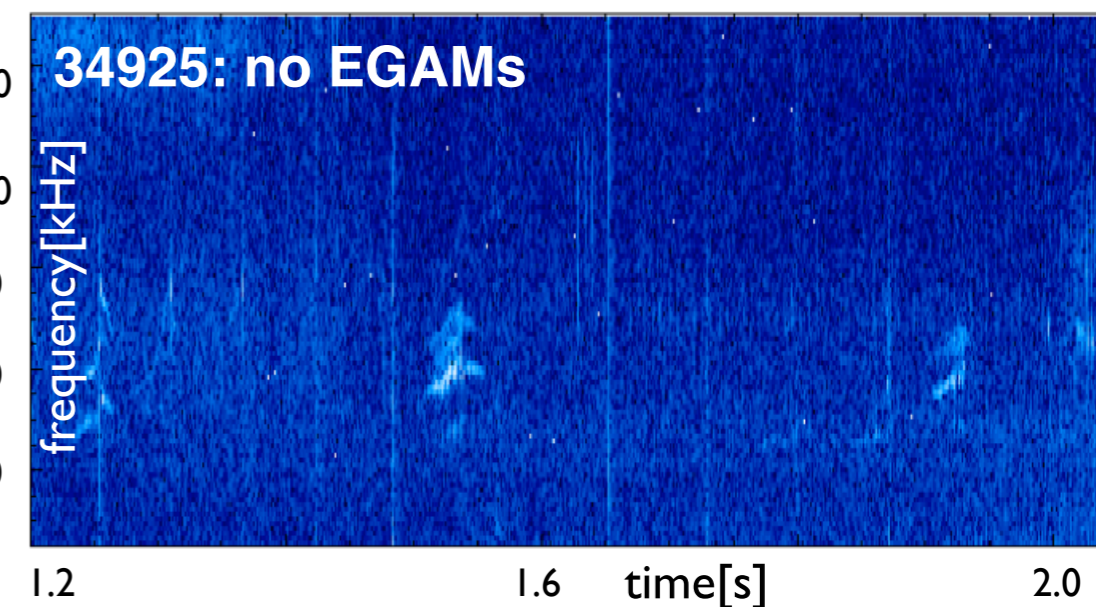
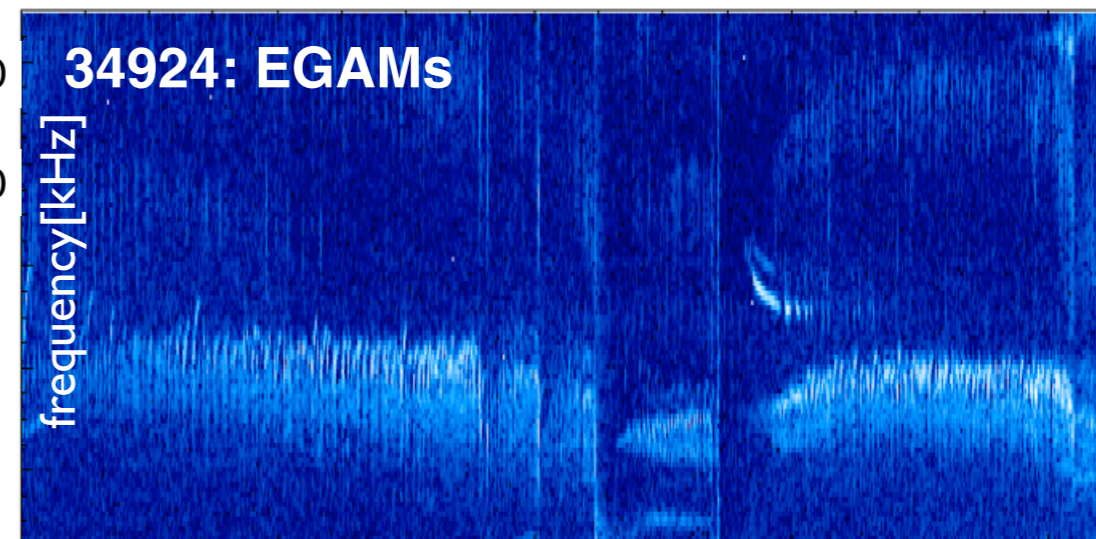
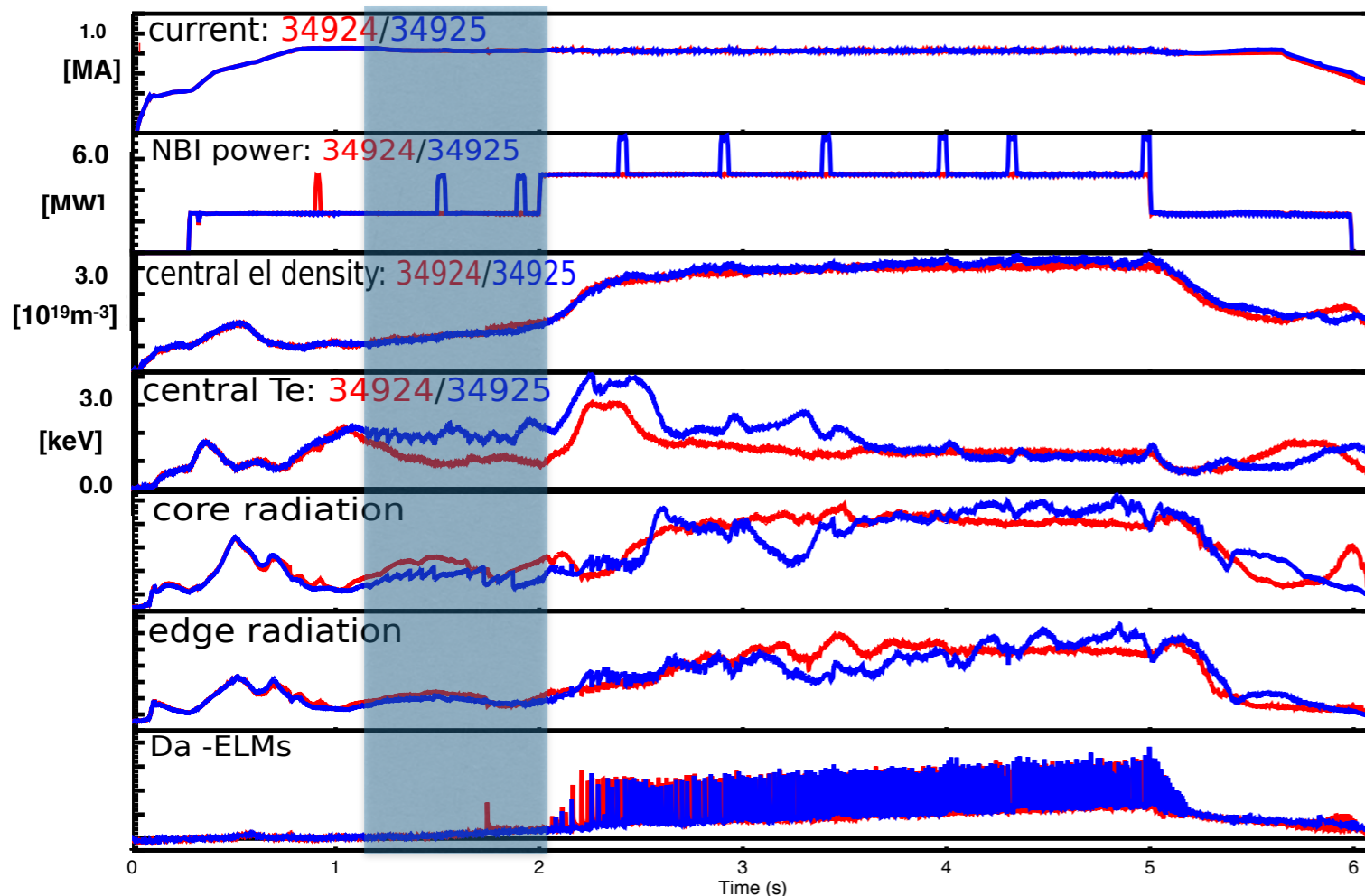


also change of radial EGAM mode structure in non-linear phase was observed [Horvath et al, NF 2016]

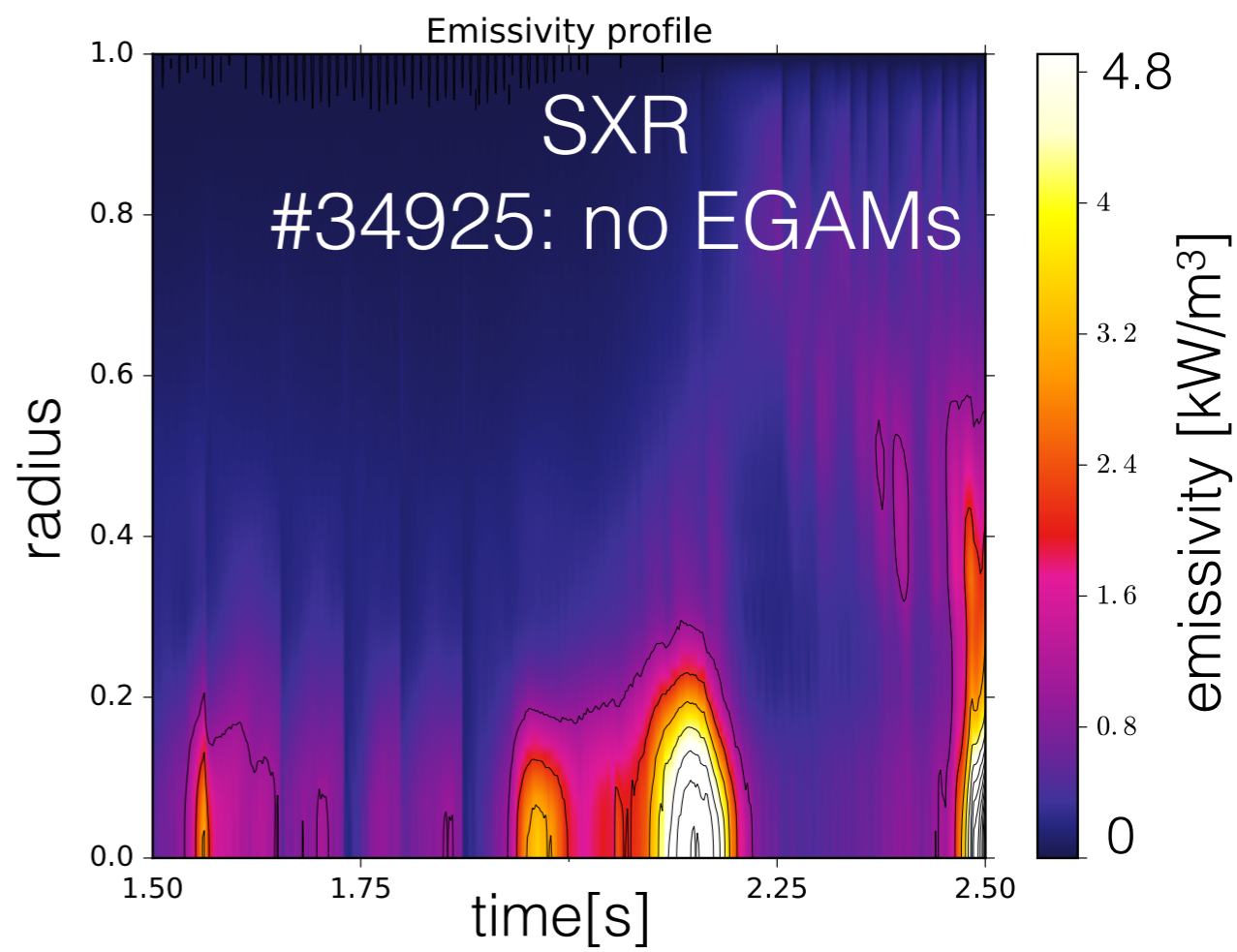
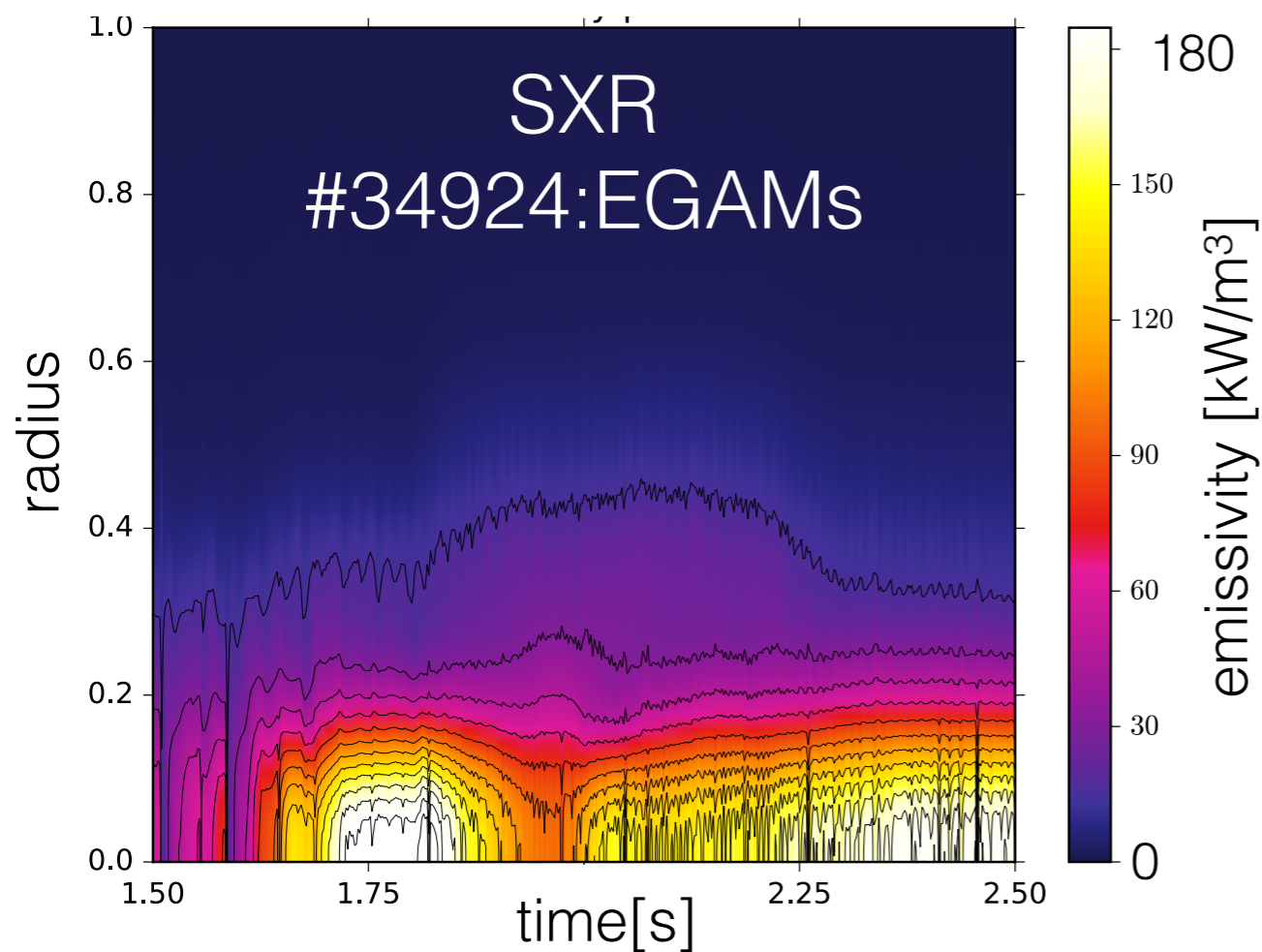
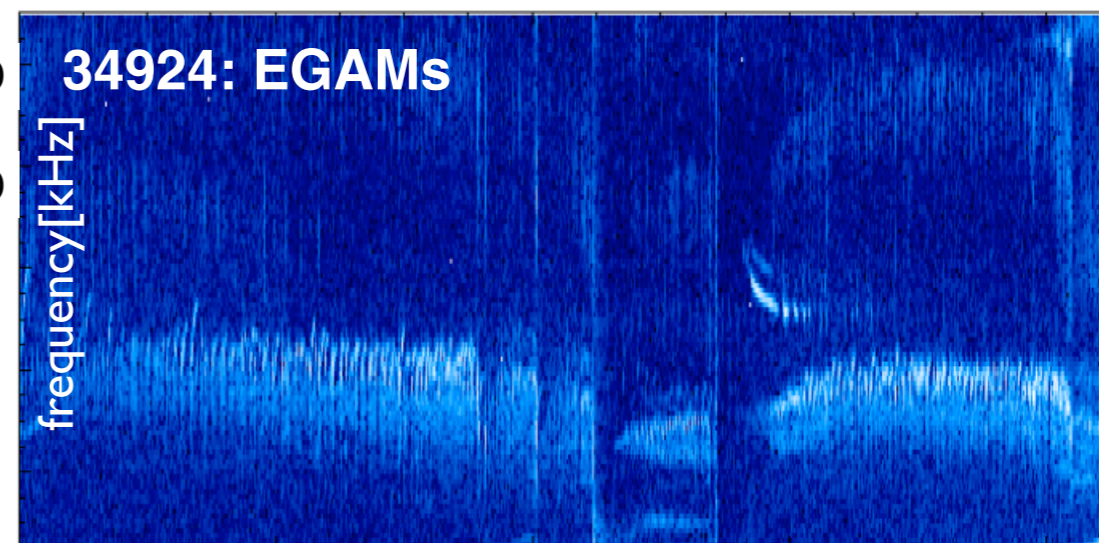
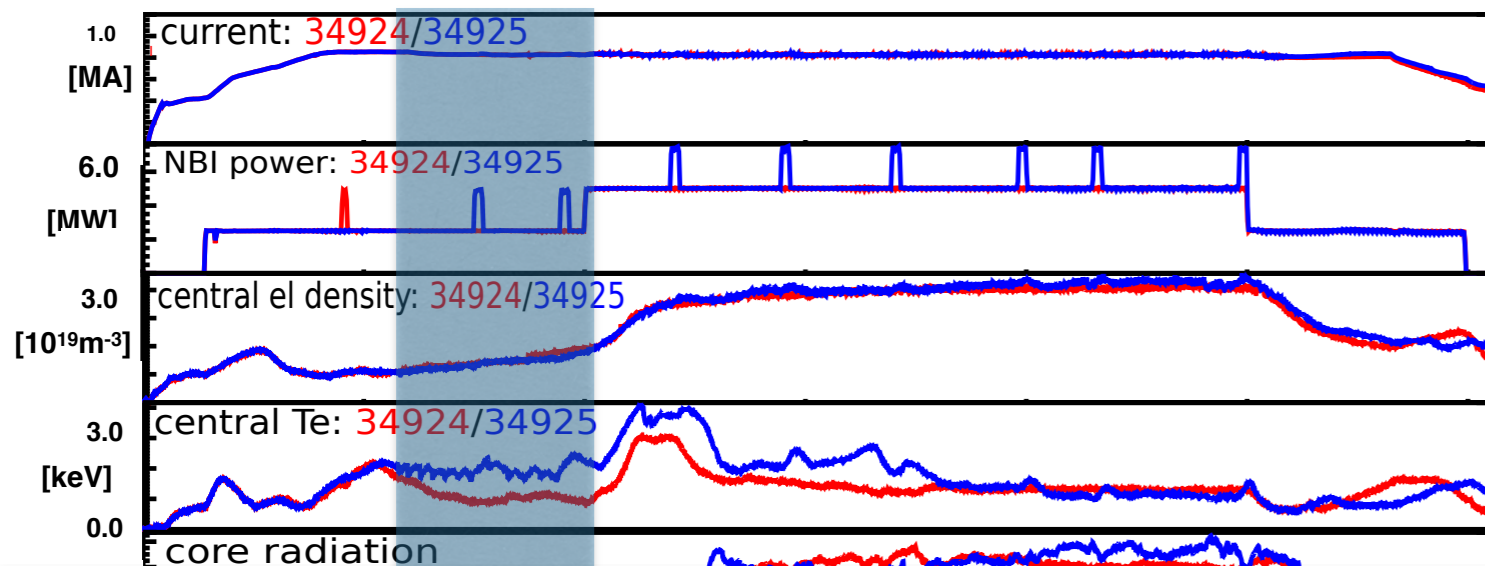
- one the most prominent modes: EP-driven geodesic acoustic mode
[other exp. observations: Boswell, Berk Nazikian, Ido, Chen, Horvath,...]
- visible in magnetics, soft-X ray: toroidal mode number $n=0$; dominant poloidal mode number $n=2$ [Wahlberg 2008]; global mode, peaked in core $\rho_{\text{pol}} \sim 0.2-0.4$
- visible also in interferometer and reflectometry, confirming mode location and giving estimate about $\delta n/n \sim 1-4\%$
- EGAMs only found in frequency band between 40-70kHz



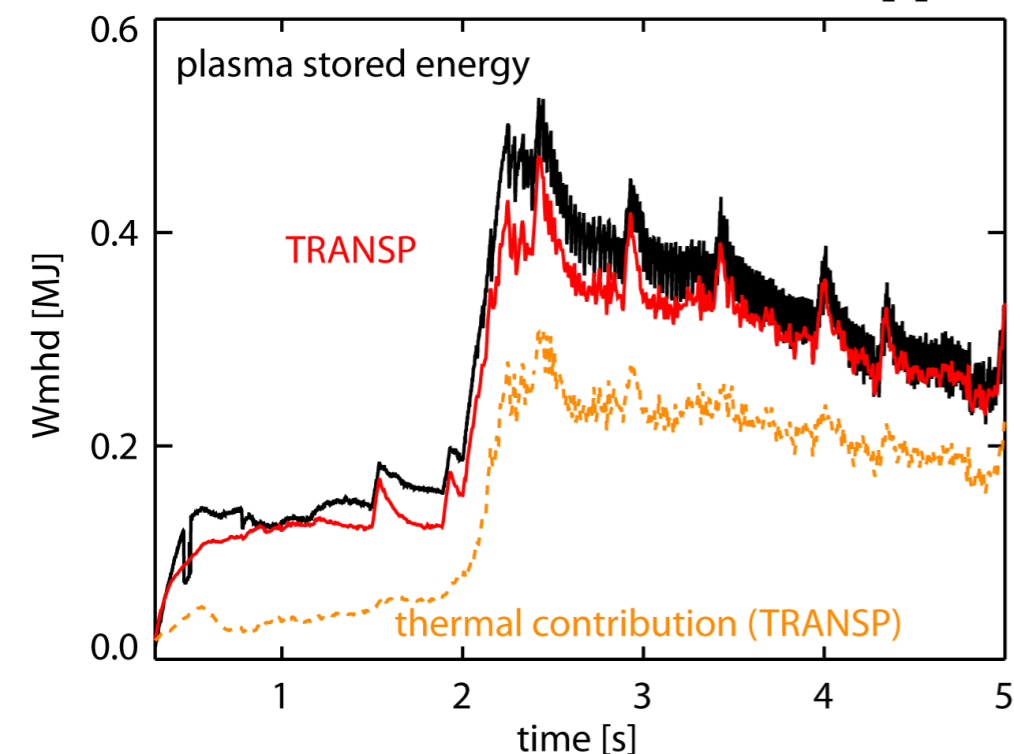
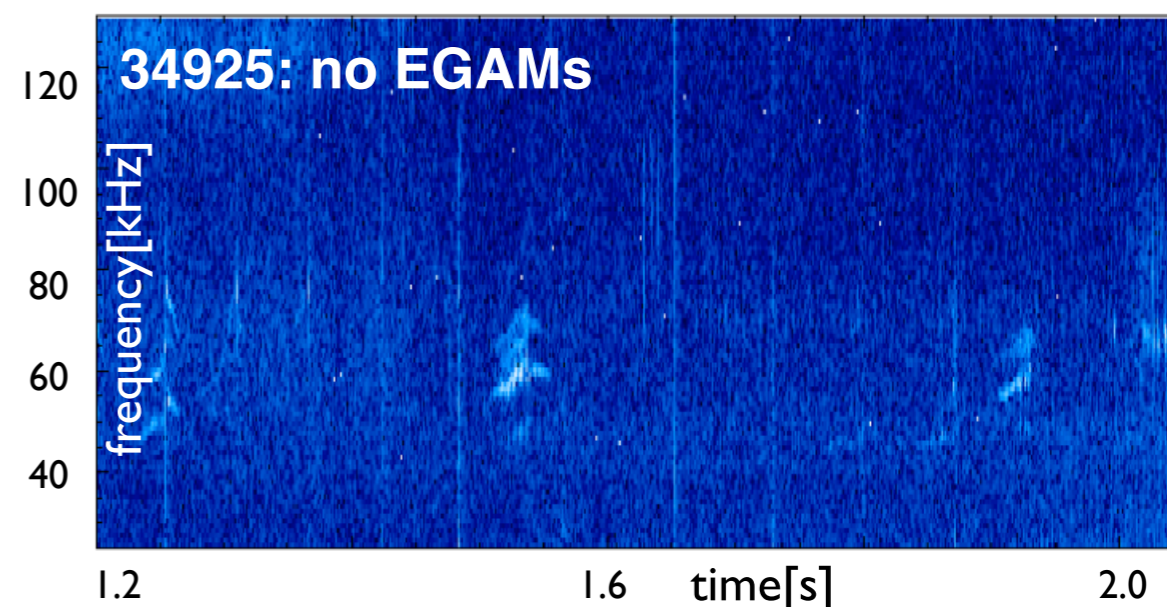
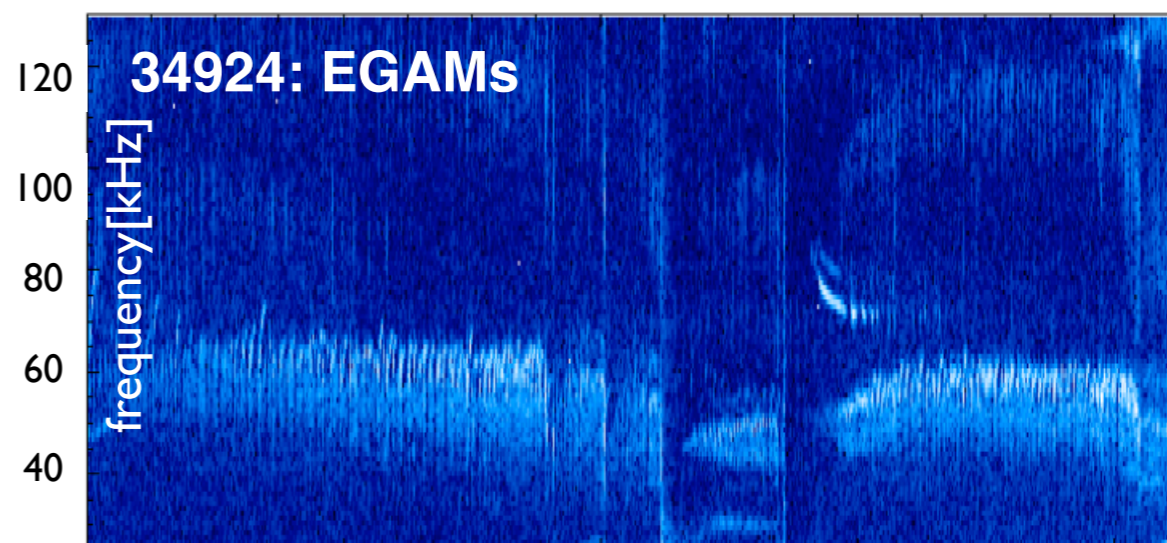
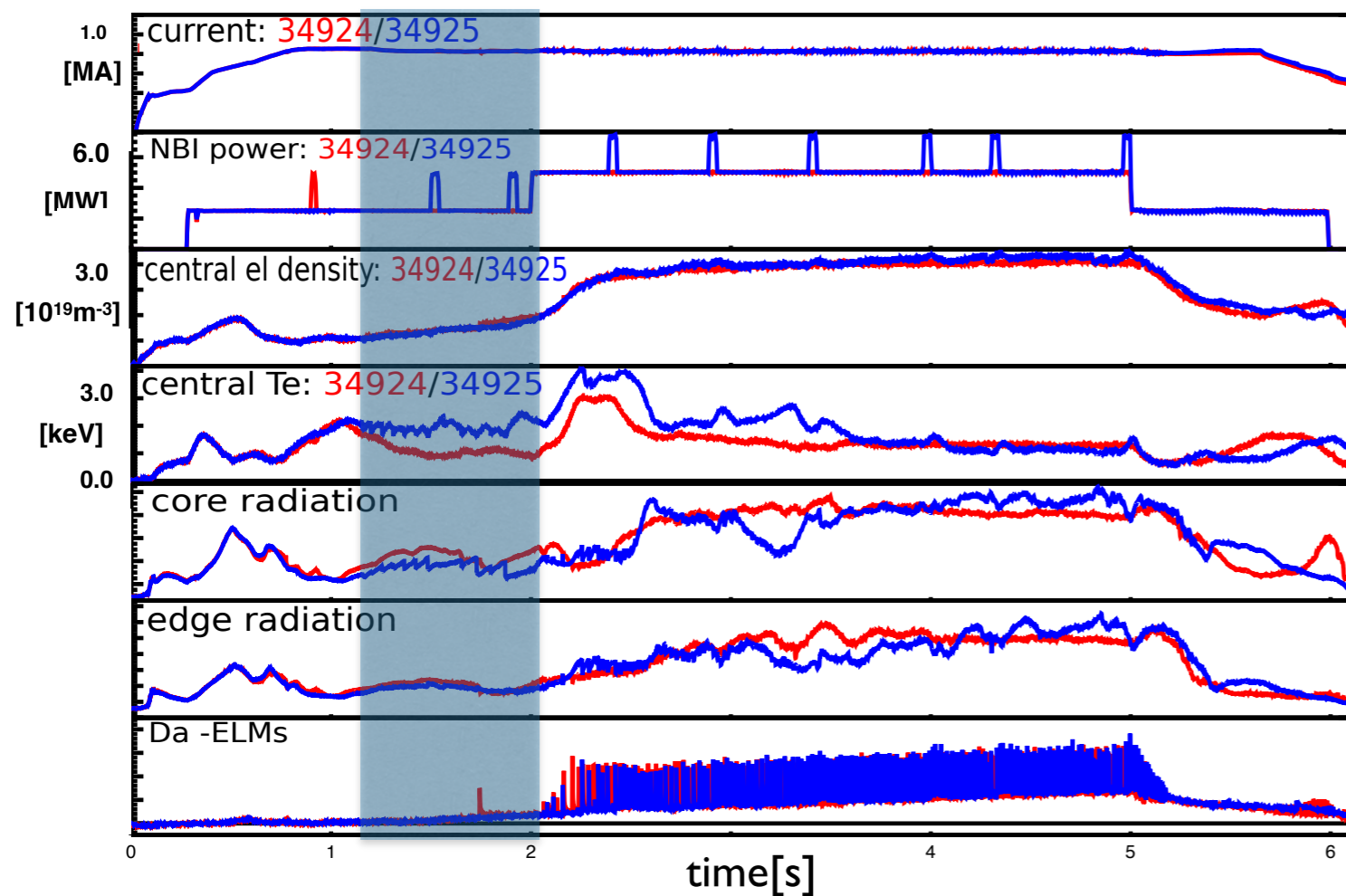
EGAM excitation conditions: comparison of discharges w/o EGAMs



EGAM excitation conditions: comparison of discharges w/o EGAMs



EGAM excitation conditions: comparison of discharges w/o EGAMs



interpretative TRANSP analysis: fast particle pressure contribution dominates in phase with one beam; in 2-beam phase $\sim 30\text{-}50\%$ of total β

1. ω_{GAM} depends mainly on T_i, T_e , local curvature (R); damping strongly on q ; simplest local formula underpredicts damping by orders of magnitude:

$$\omega_{\text{G}}^2 = v_{\text{th},i}^2 / R^2 \left\{ (7/4 + T_e/T_i) - i \pi (\omega_{\text{G}}/\omega_{\text{ti}})^5 \exp\left[-(\omega_{\text{G}}/\omega_{\text{ti}})^2 \left[1 + (1 + 2 T_e/T_i) / (\omega_{\text{G}}/\omega_{\text{ti}})^2\right]\right] \right\}$$

$\omega_{\text{ti}} = v_{\text{th},i} / (qR)$

any deviation of the geodesic curvature drift from $\sin(\theta)$ dependence introduces $\exp(-\omega/(2\omega_{\text{ti}})^2)$ terms that dominate the damping:

- plasma shaping in particular elongation [Gao, NF 2009] changes both ω and γ
- finite orbit width and finite Larmor radius effects [Sugama 2006, Zonca 2008]

trapped electrons increase the damping considerably [Zhang 2010, Biancalani & Novikau 2017, Garbet, Varenna 2018]

2. EGAMs are driven by the anisotropy in velocity space [Fu 2008]; realistic F_{NBI} has to be included:

$$\gamma \sim \frac{\omega \partial F / \partial E - n \partial F / \partial P_{\phi}}{\omega - \omega_t}$$

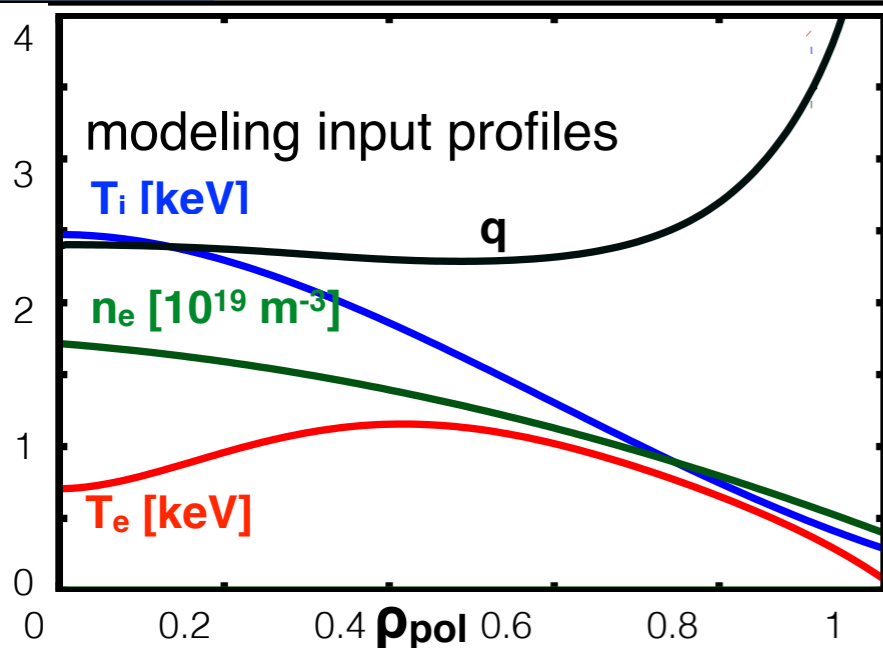
3. modes are global, have electromagnetic halo [Wahlberg 2008]

global, electromagnetic calculations in realistic geometry with a realistic EP distribution function are needed

modeling has been started with ORB5, GENE [di Siena, Biancalani 2018], HYMAGYC [G. Vlad] MEGA [H Wang]

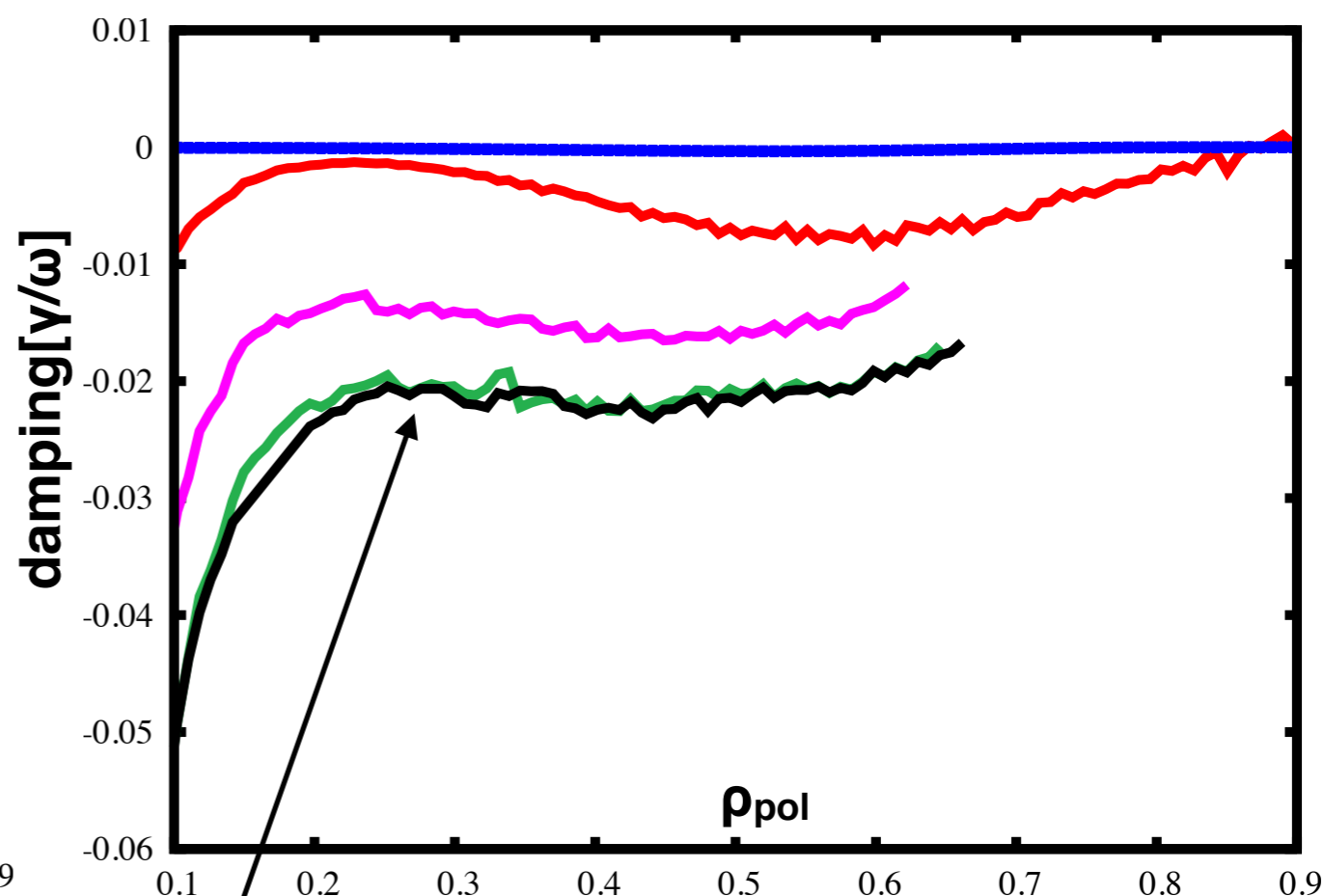
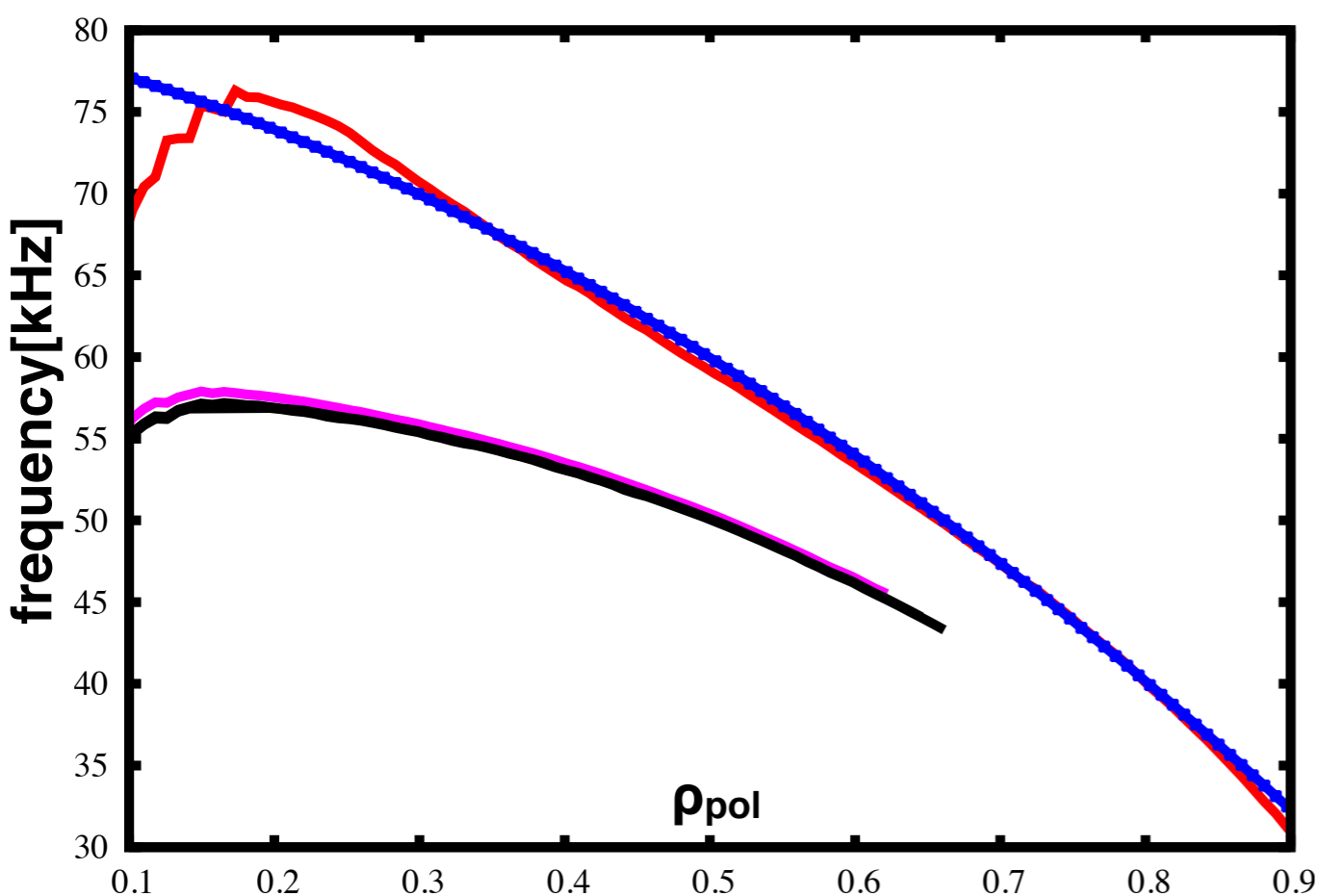
- LIGKA library comprises several local and global models for kinetic Alfvén mode (AE) physics and low frequency global modes based on the same linear gyrokinetic model [*Qin 1998, Lauber 2007,2013,2018*]
- various dispersion relations in literature (e.g. BAE, GAM, KGAM dispersion relation including FLR and FOW effects [*Lauber, Varenna 2018*] were directly derived from model equations
- fully numerical (based on HAGIS [*S.D. Pinches, 1996*] particle orbit information) and analytical evaluation of resonance integrals possible
- local and global solvers using either analytical or numerical v-space integrals
- in combination with non-linear HAGIS code, fast and automated stability and non-linear saturation evaluations for AE physics possible [*Hayward-Schneider & Lauber 2017/18*]

GAM continuum: local calculations



at each radial position, solve linear dispersion relation:

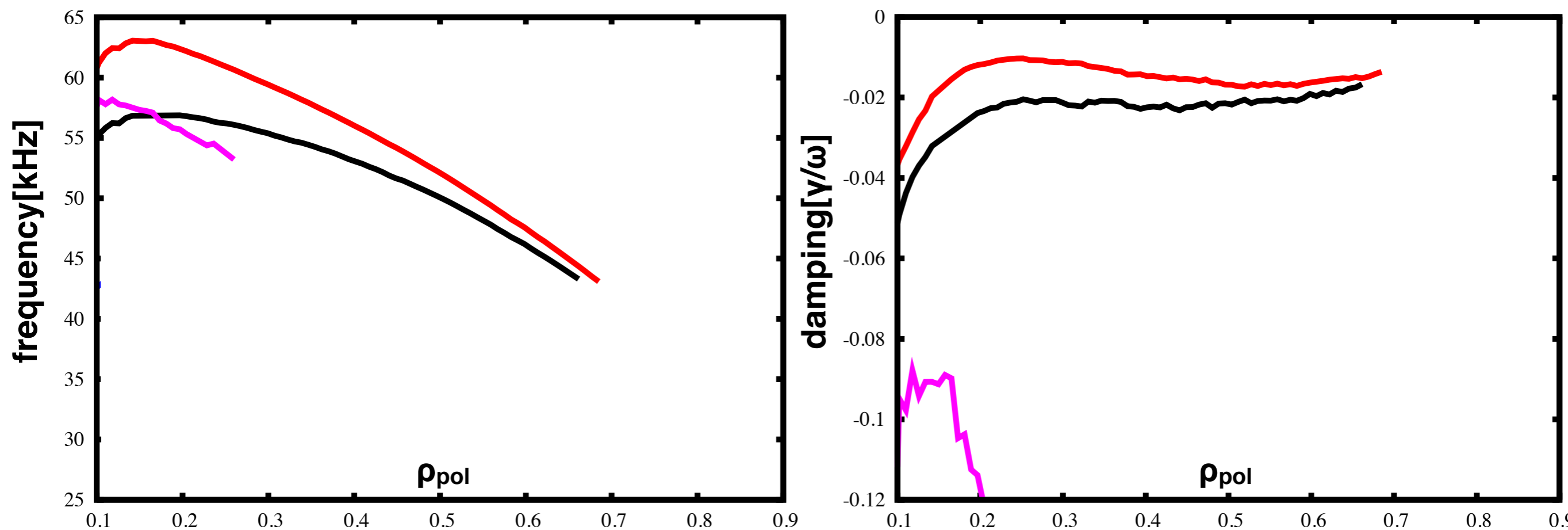
- analytical, circular equilibrium
- numerical, circular eq., all ion resonances
- numerical, shaped equilibrium $\kappa \sim 1.6$; $\omega \sim \sqrt{2}/(1+\kappa^2)$
- numerical, add trapped electrons
- numerical, trapped + circulating electrons



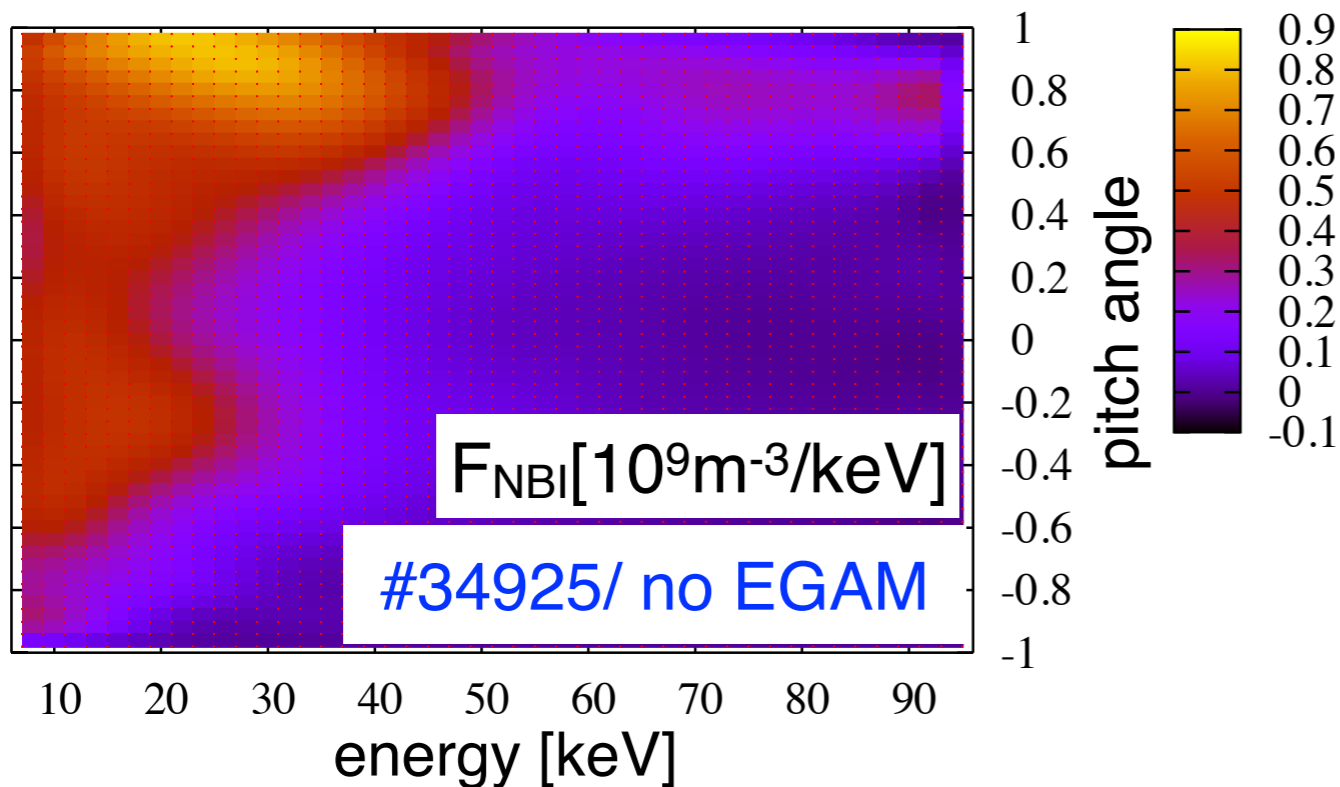
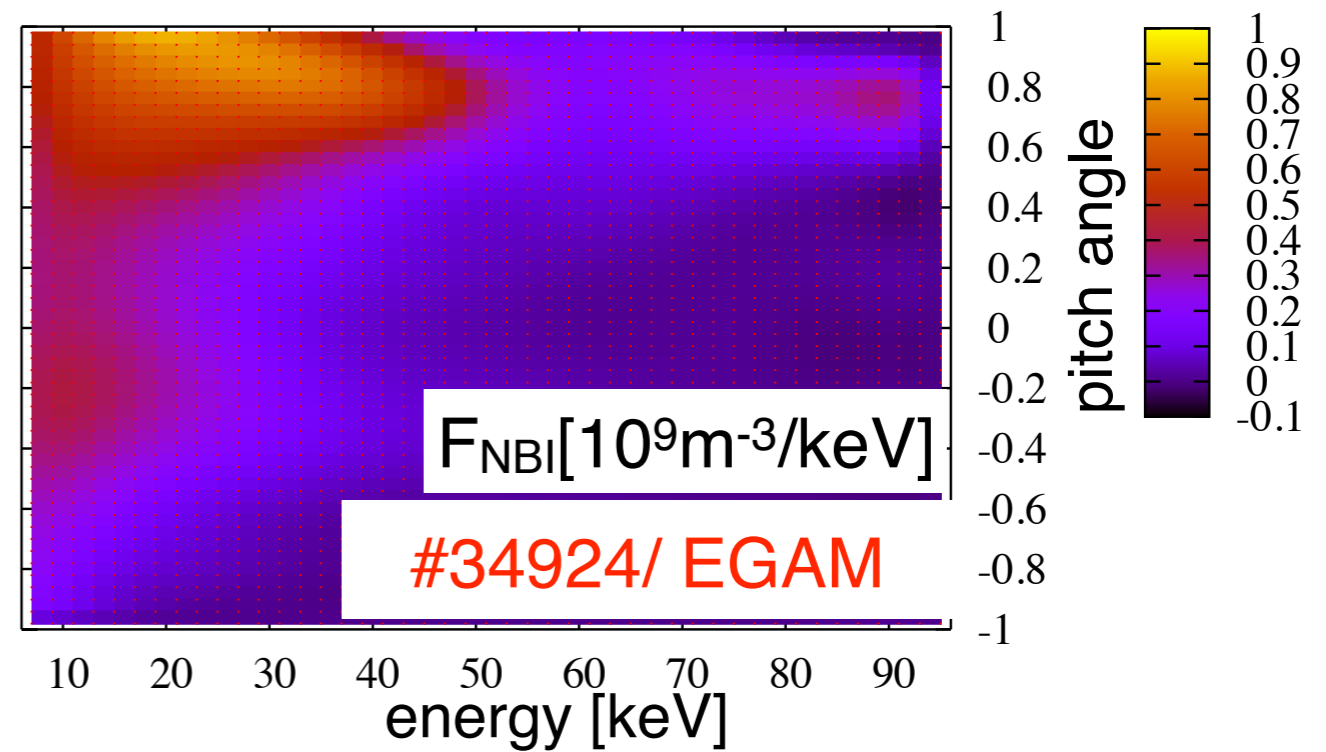
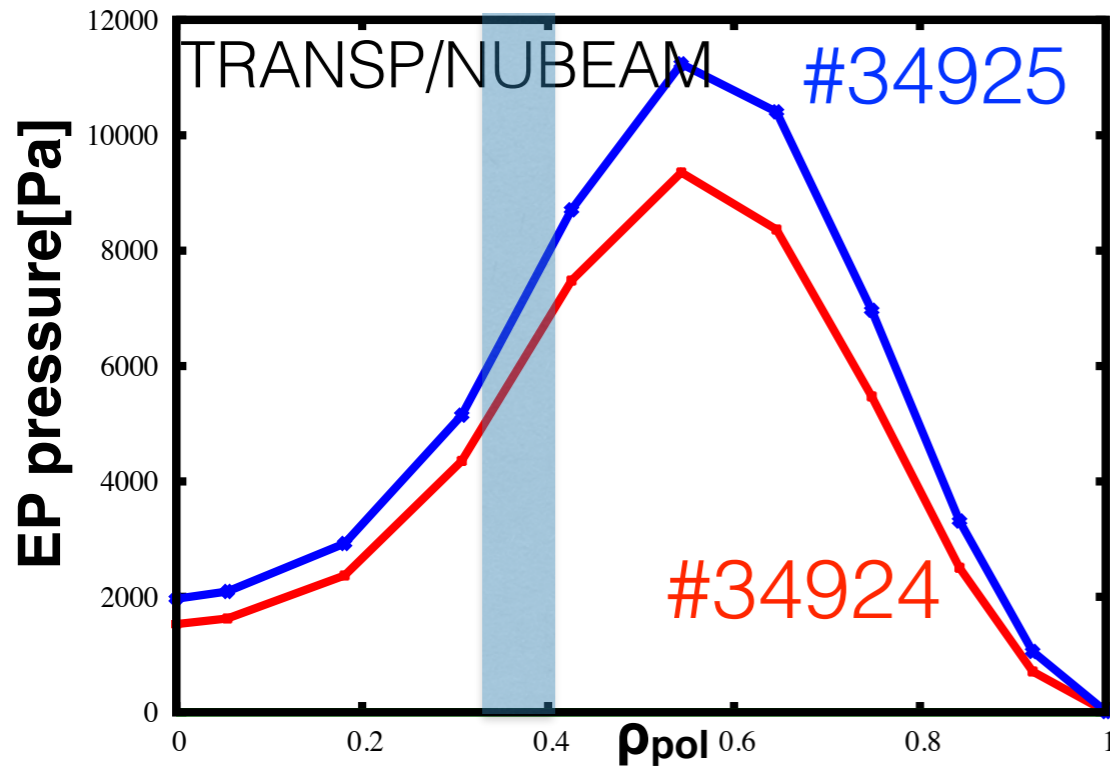
profiles (q, T_i, T_e) create (flat) minimum in GAM damping rate

control cases: lower q , set $T_e=T_i$

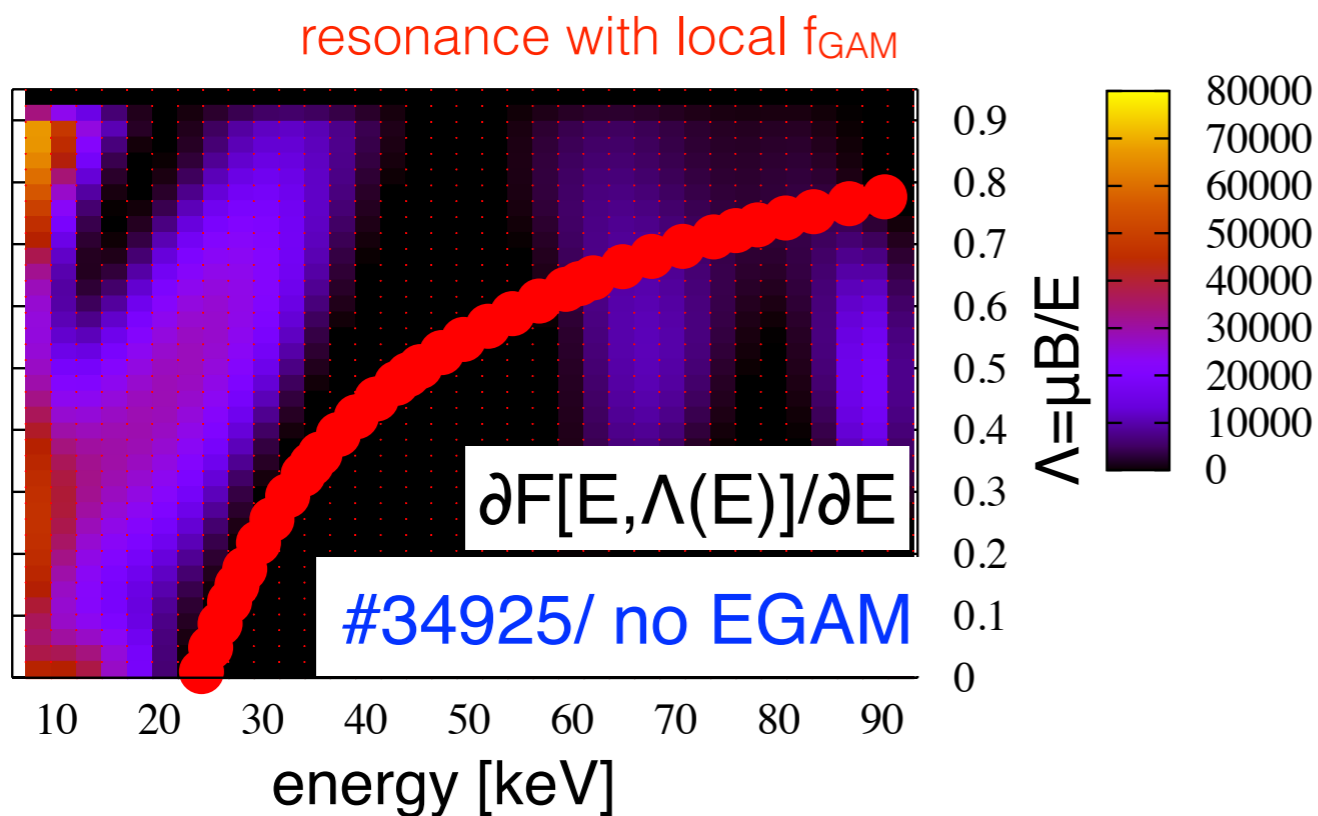
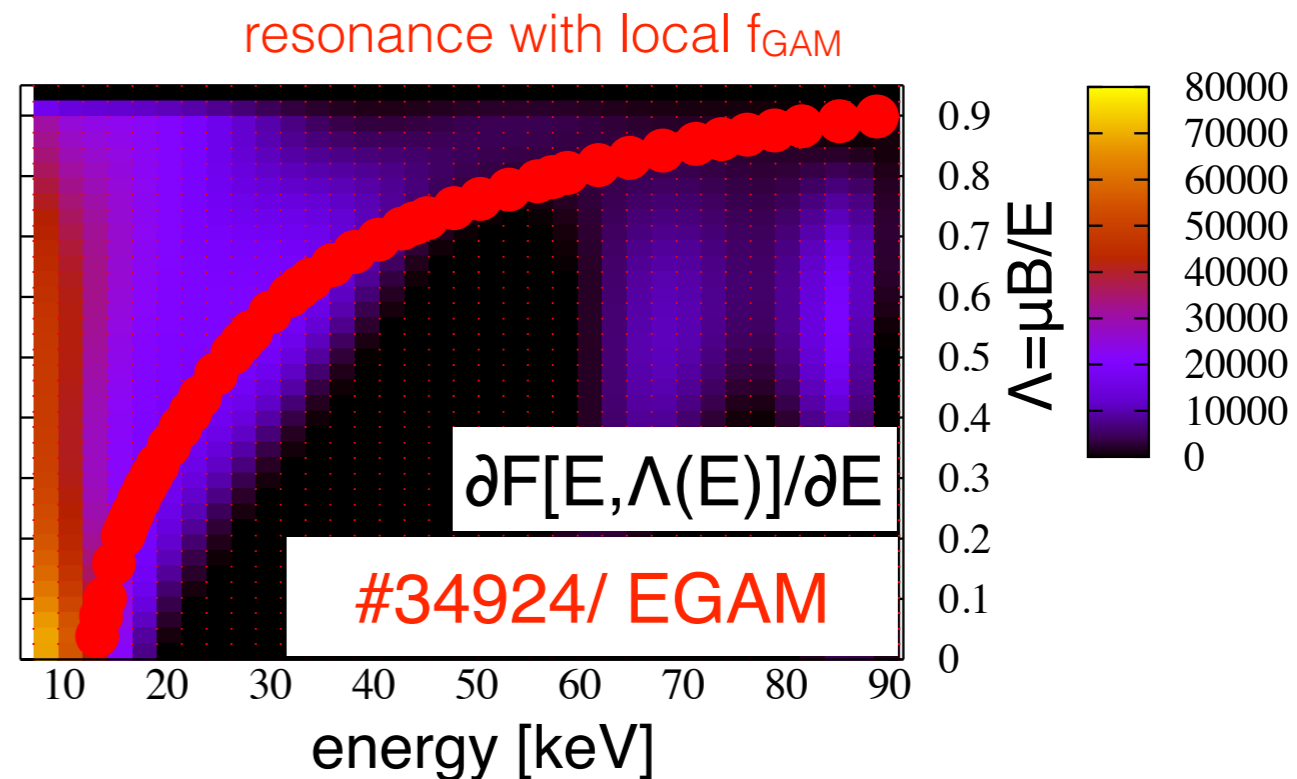
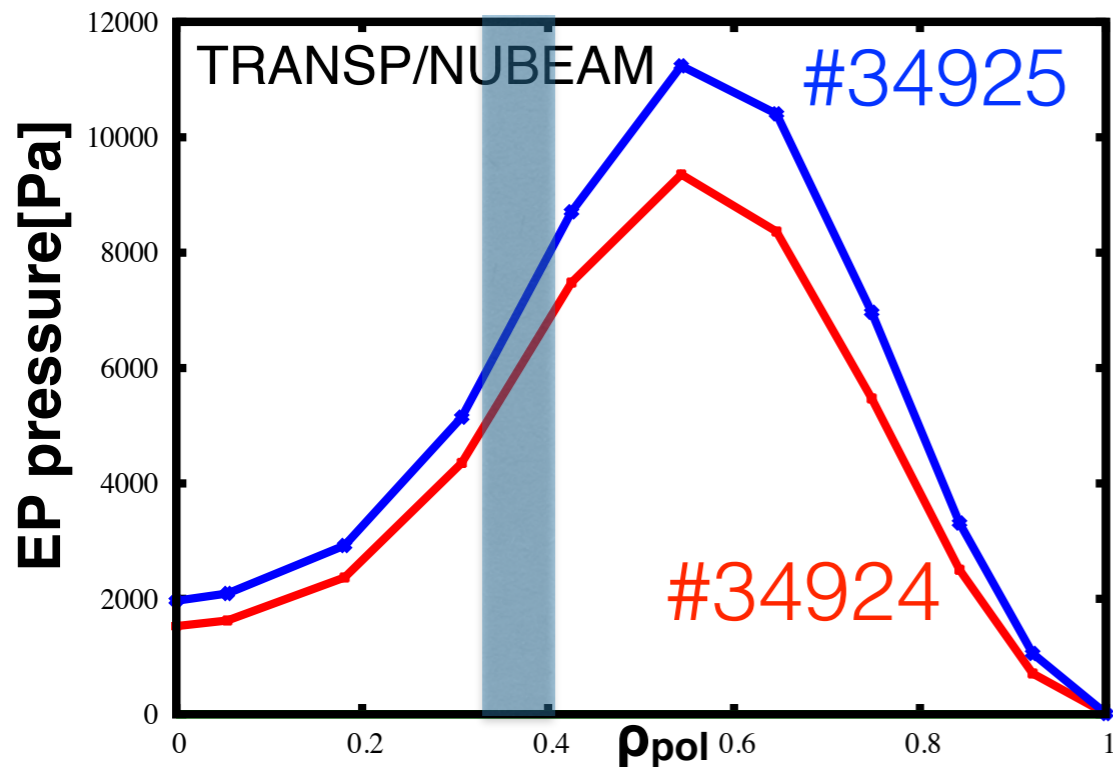
- reference parameters (last slide)
- lower q_0 from 2.4 to 1.99 (so far EGAMs were never observed for $q < 2$)
- set $T_e=T_i$: increases f_{GAM} , reduces damping! T_e inversion not a necessary ingredient for EGAM excitation (as experimentally confirmed)



damping analysis alone does not explain EGAM excitation conditions

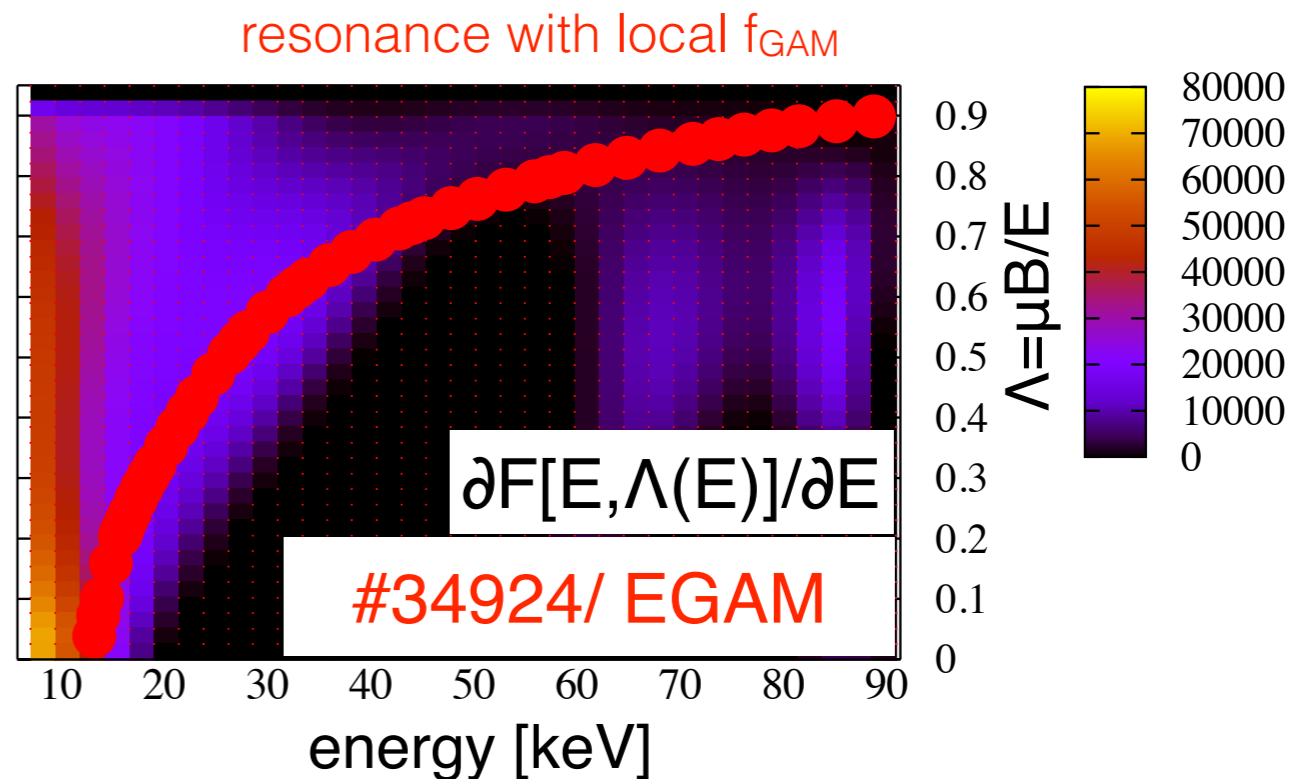
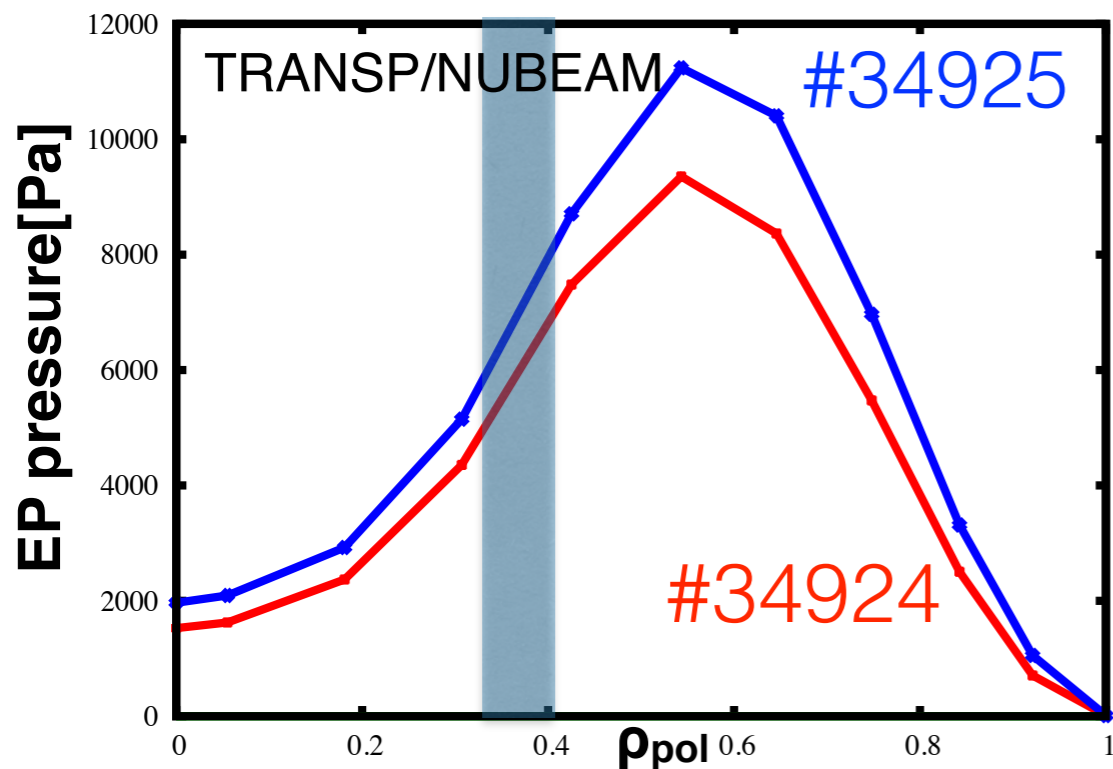


- as expected, $T^{-3/2}$ dependence of slowing down processes leads to higher EP pressure for case with higher background temperatures (and without EGAMs)
- at first glance: similar distribution in phase space structure

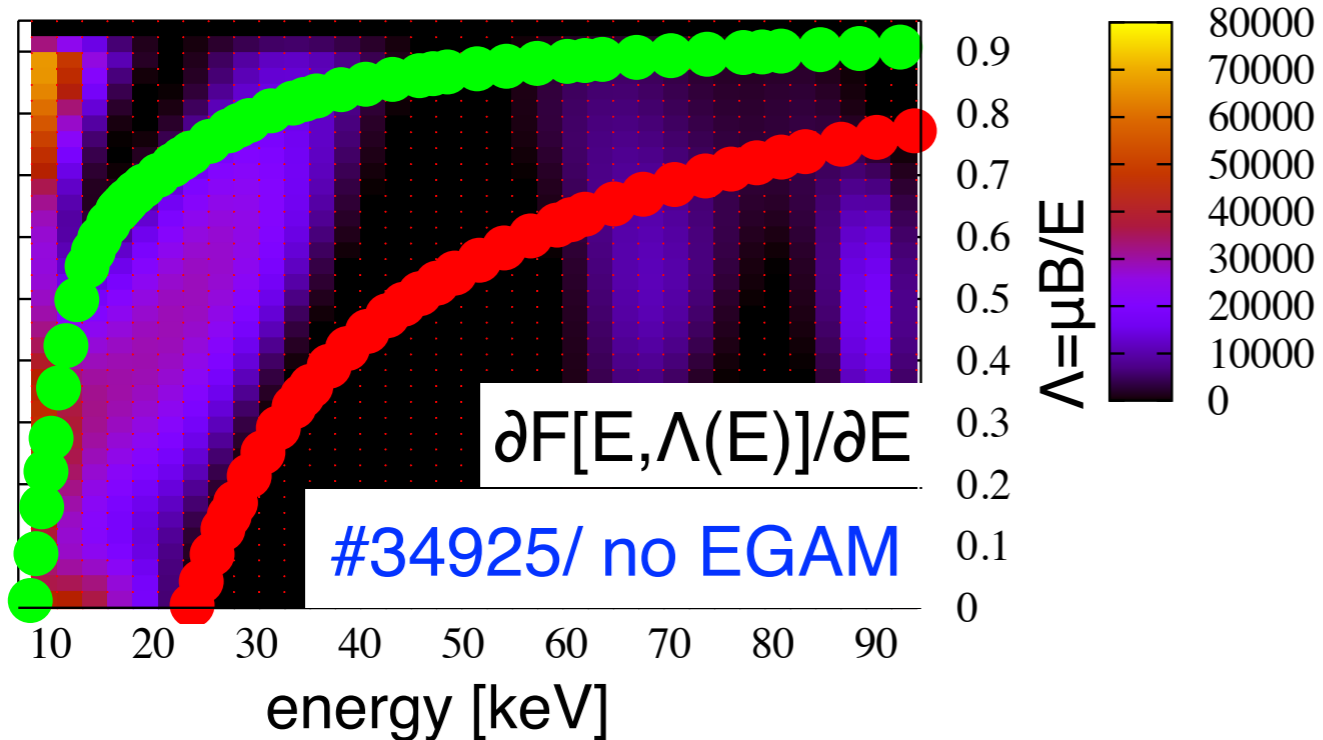


- EGAM drive is determined by integral along resonance line $\omega - \omega t = 0$
- no drive due to mismatch of drive region and local GAM frequency

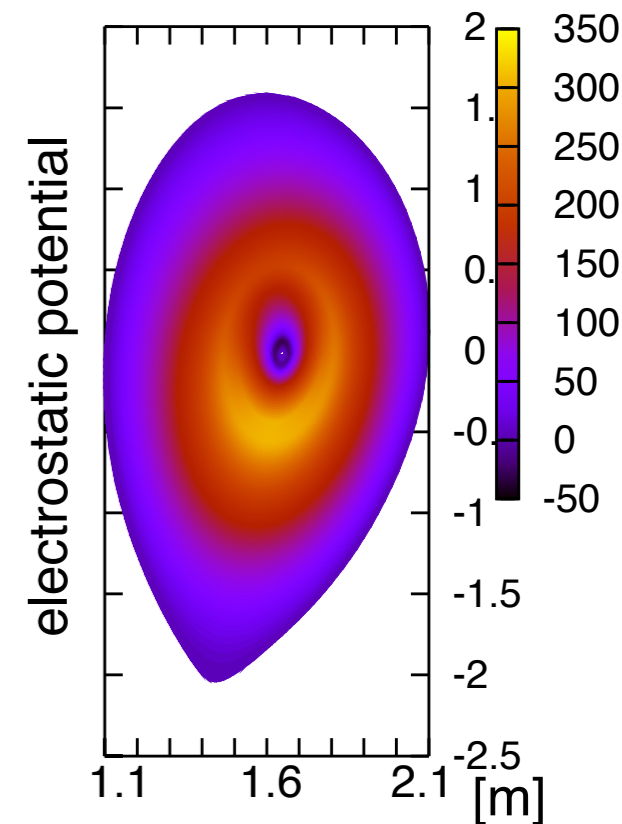
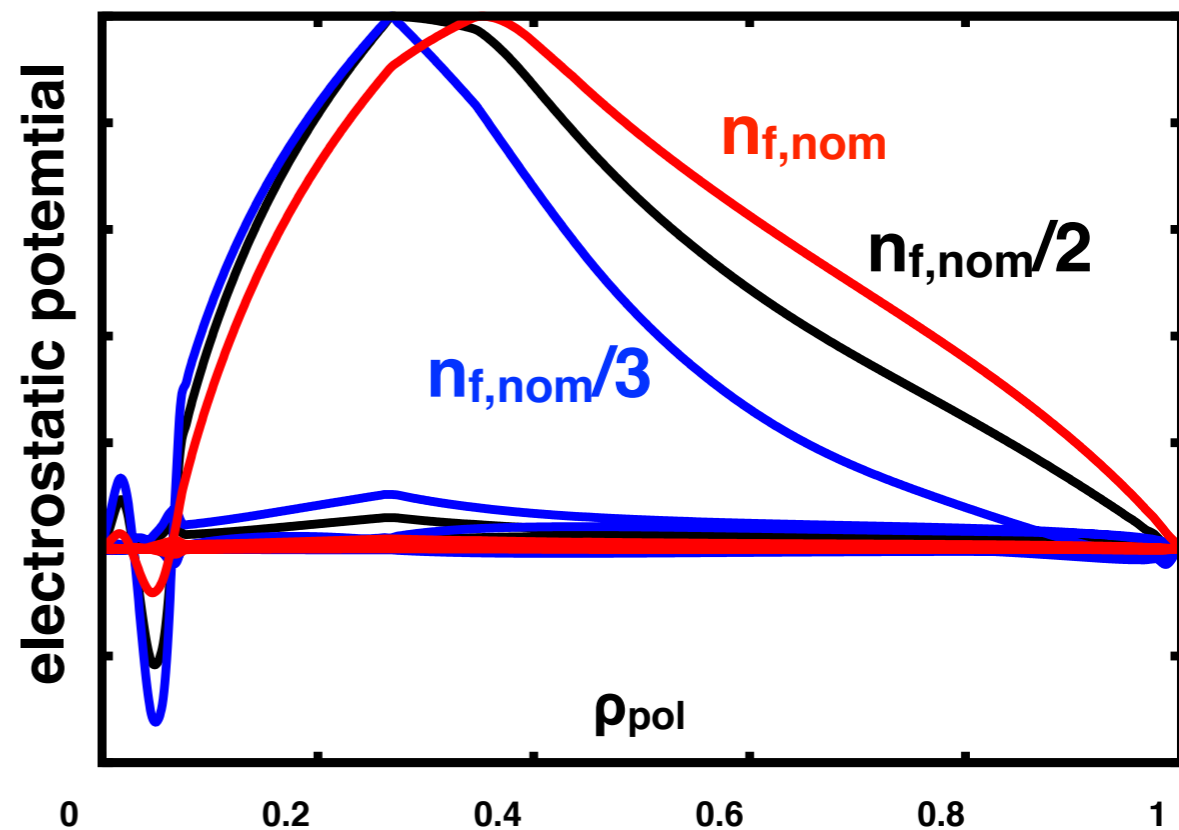
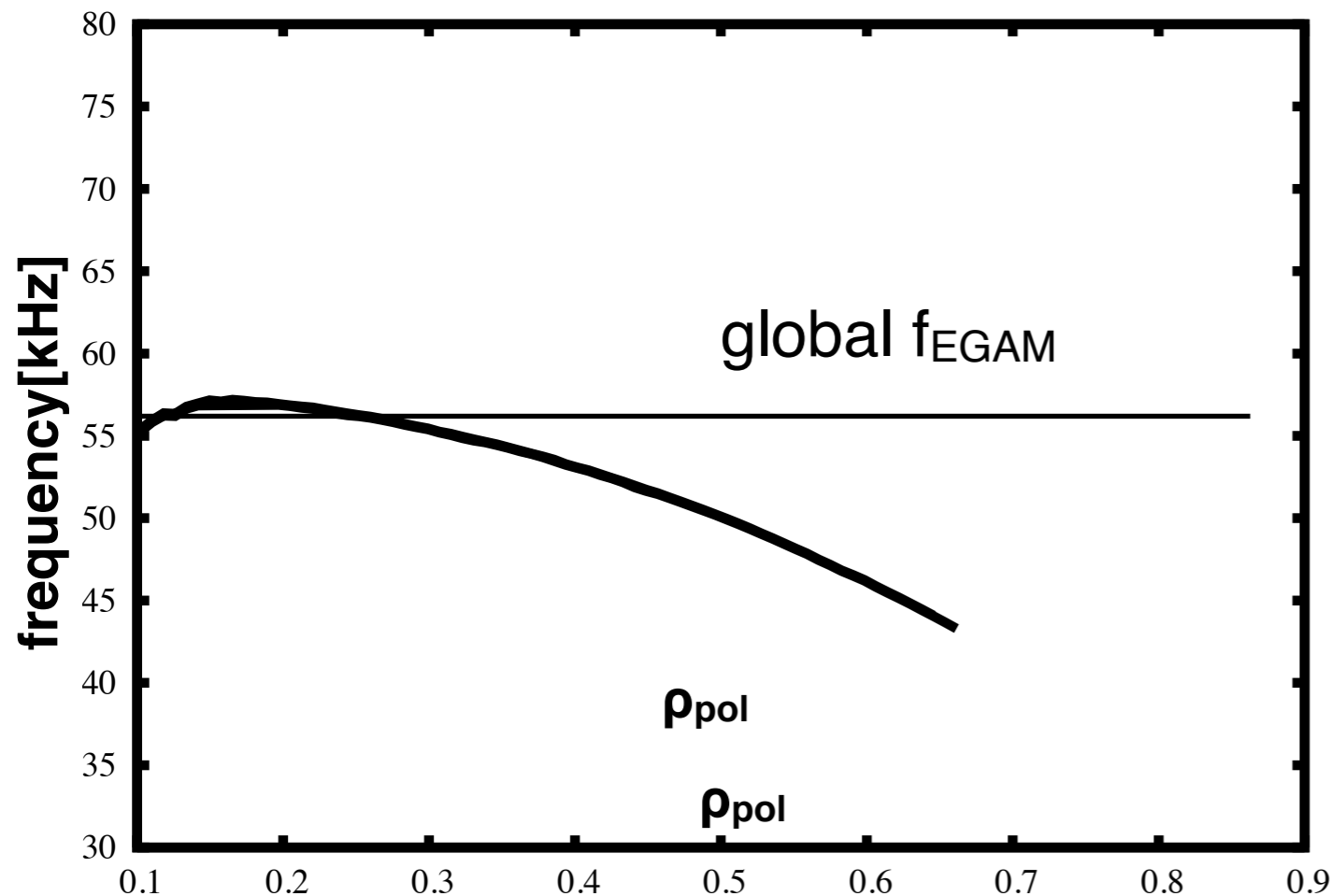
$\partial F[E, \Lambda(E)]/\partial E < 0$ is coloured as black with value 0



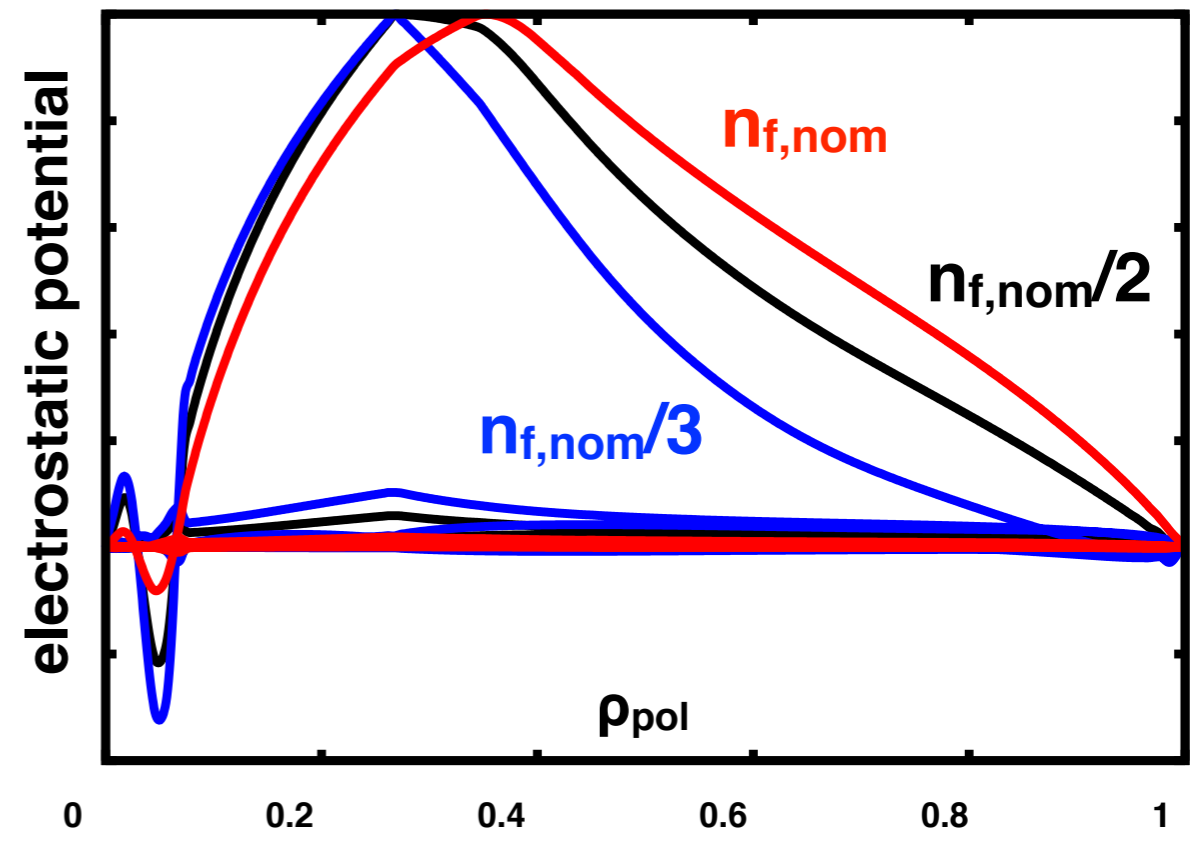
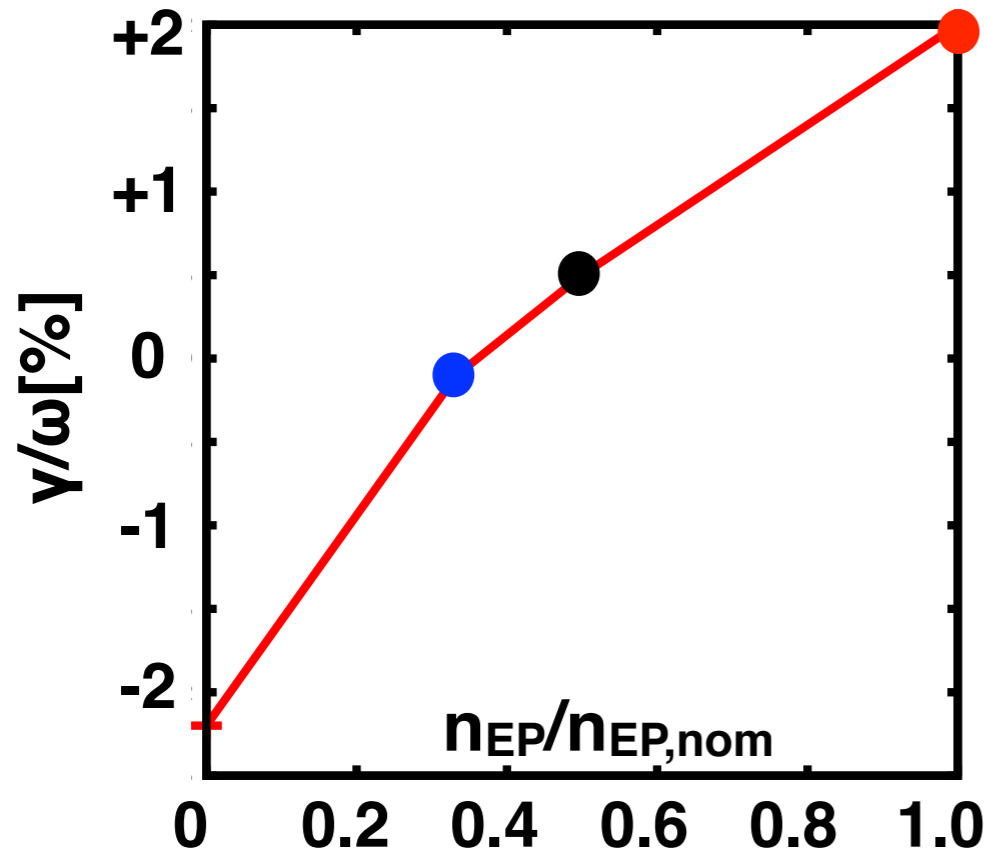
resonances with local f_{GAM}



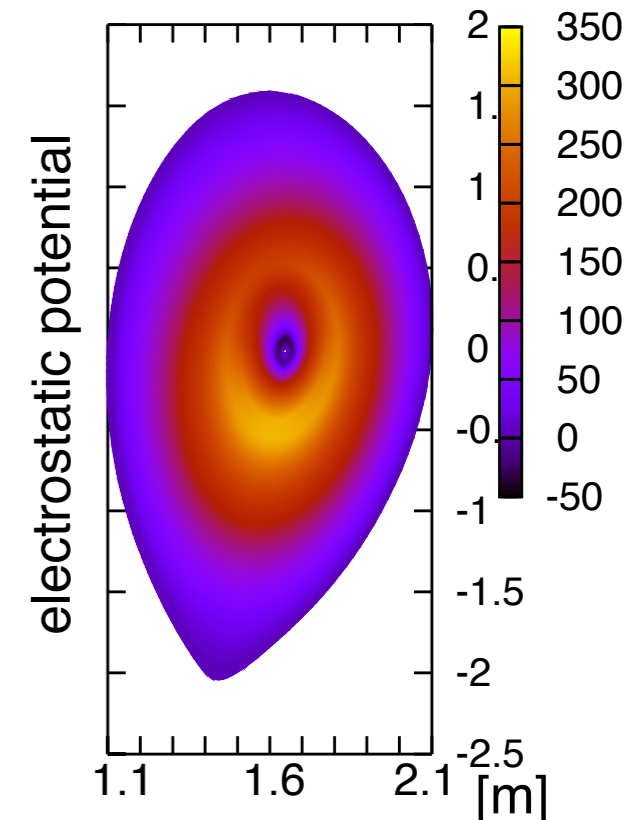
- EGAM drive is determined by integral along resonance line $\omega - \omega t = 0$
- no drive due to mismatch of drive region and local GAM frequency
- 2nd resonance $\omega - 2\omega t = 0$ suffers from damping of thermal background - 'anomalous ion heating' [LHD, Ido 2014, H. Wang 2018]



- global EGAM frequency stays roughly constant with increasing n_{EP} , and close to flat part of the GAM continuum
- change in mode structure is observed with increasing n_{EP}



- mode is destabilised with increasing n_{EP}
- asymmetries of poloidal sidebands observed when anisotropic EP drive is present [Z. Lu, Varenna 2018]
- mode stays in flat continuum region - avoid continuum damping $\sim \partial\omega_{GAM}/\partial r$ [Biancalani, Palermo, 2016,17]



- the dynamics of EP-driven geodesic acoustic modes (EGAMs) and excitation conditions under various experimental conditions
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3 types:

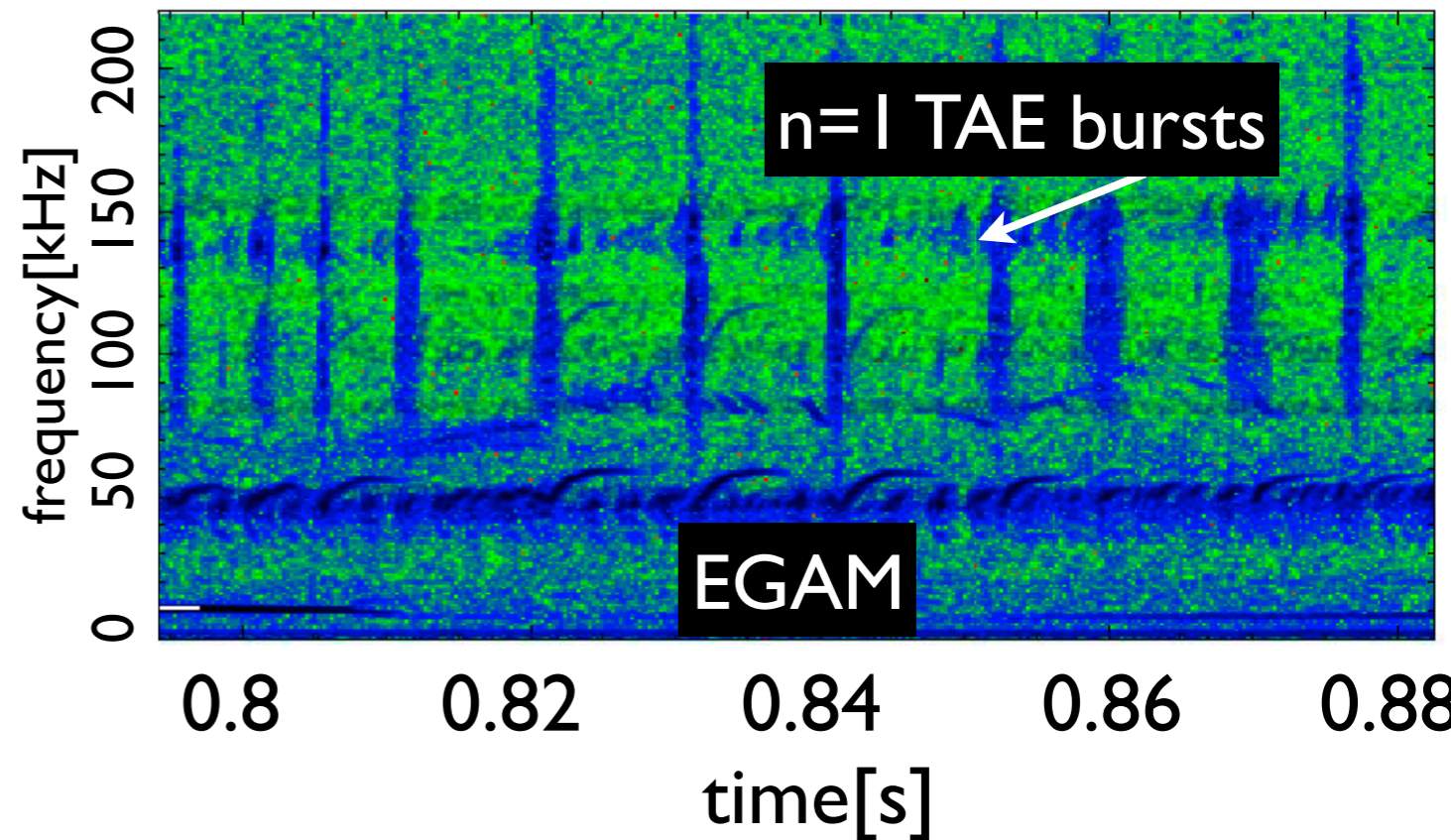
1. nearly simultaneous mode onset- but no phase correlation between different frequency bands, i.e. no significant bicoherence:
triggering via non-linear phase space relaxation

3 types:

1. simultaneous mode onset, no phase correlation: triggering
2. phase correlation between different frequency bands: **significant bicoherence** indicating **wave-wave non-linear coupling**

3 types:

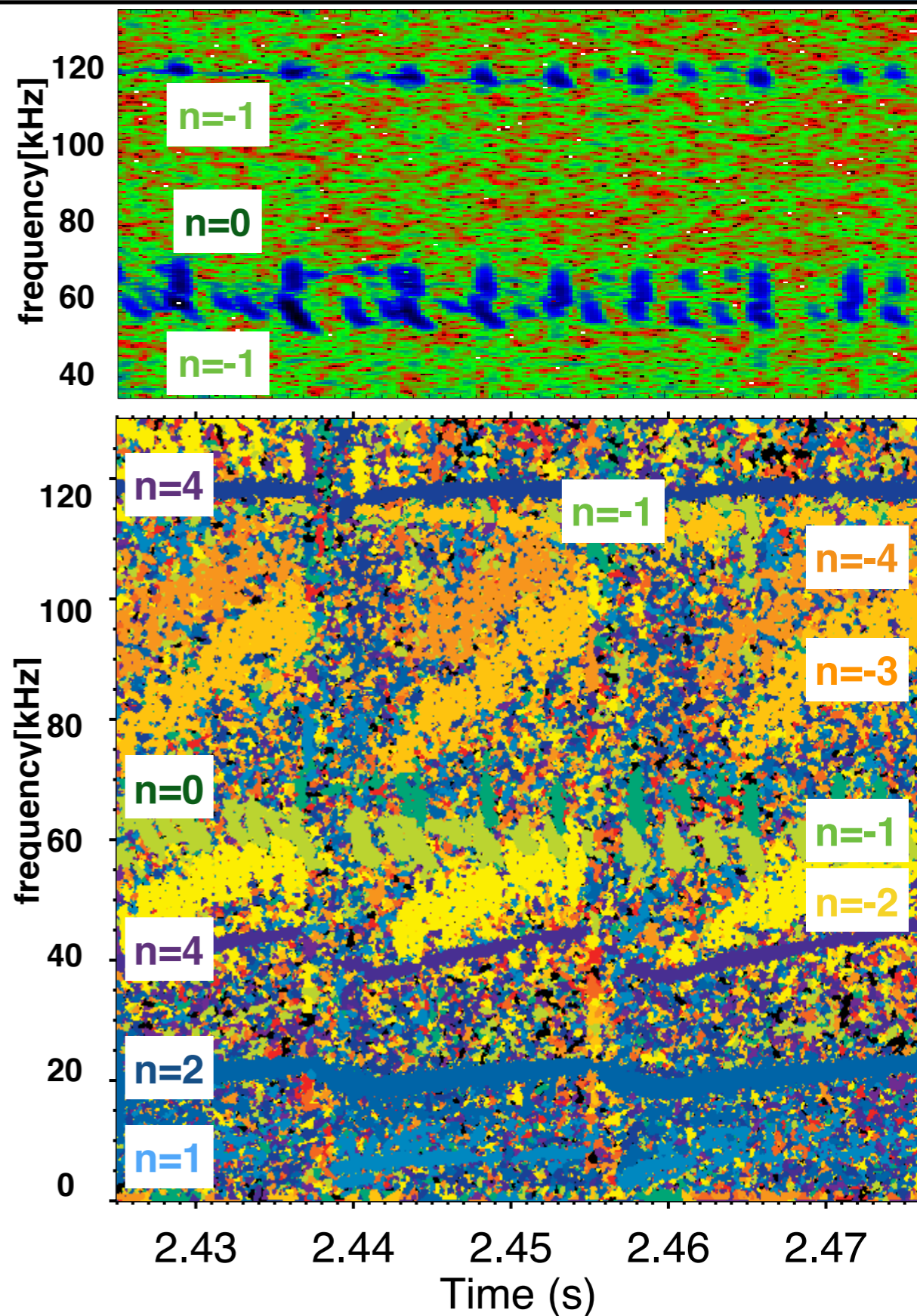
1. simultaneous mode onset, no phase correlation: triggering
2. phase correlation between different frequency bands: significant bicoherence indicating nonlinear wave-wave coupling
3. **both** mechanism can be observed together



[P Poloskei et al, IAEA TCM 2017]

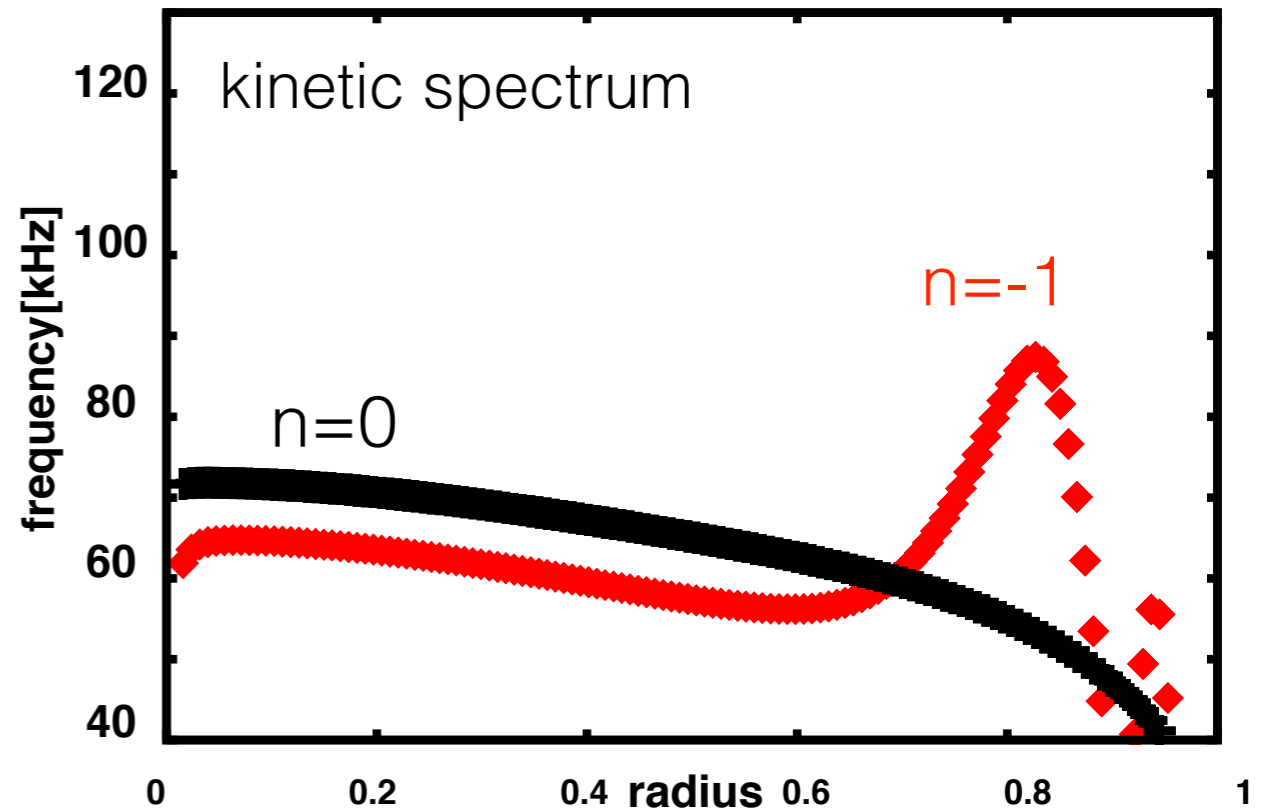
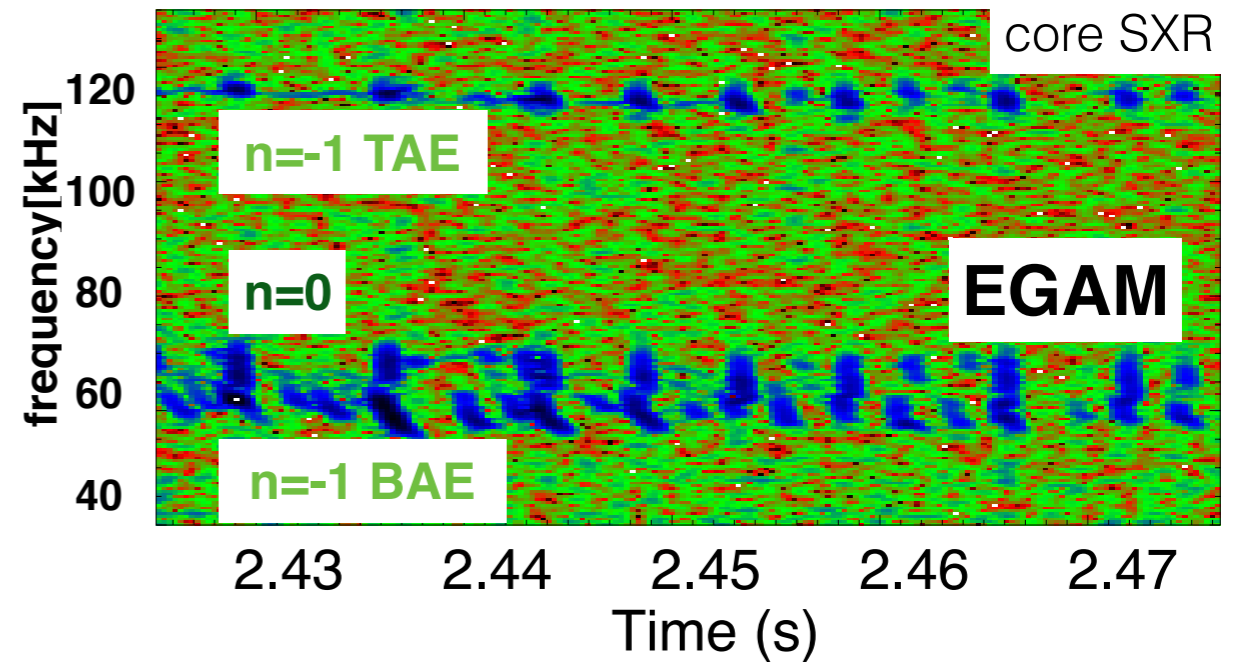
1st type:

core SXR and magnetic fluctuation spectrogram in 2 beam (5 MW) phase



1st type:

calculate kinetic shear Alfvén and kinetic GAM spectrum for $n=0$ and $n=-1$ (LIGKA):



1st type:

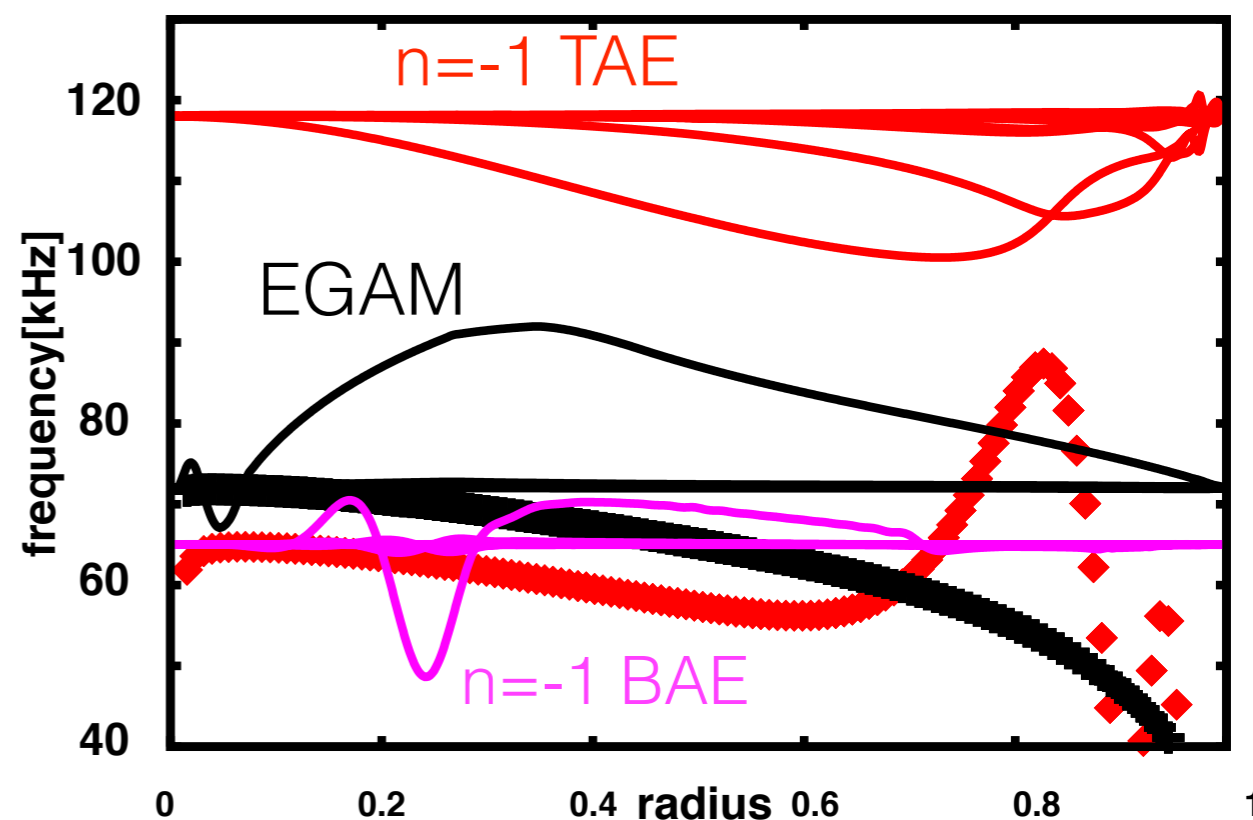
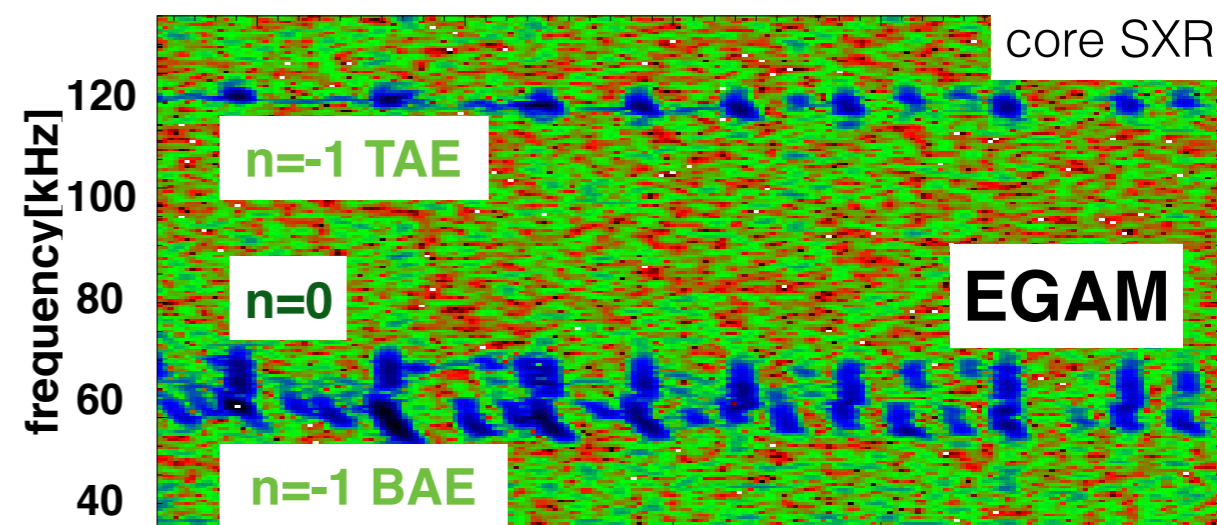
global mode structures:
[arb units for amplitudes]

resonance analysis shows that:

- BAEs can tap energy from gradient both in velocity space and real space: most unstable mode

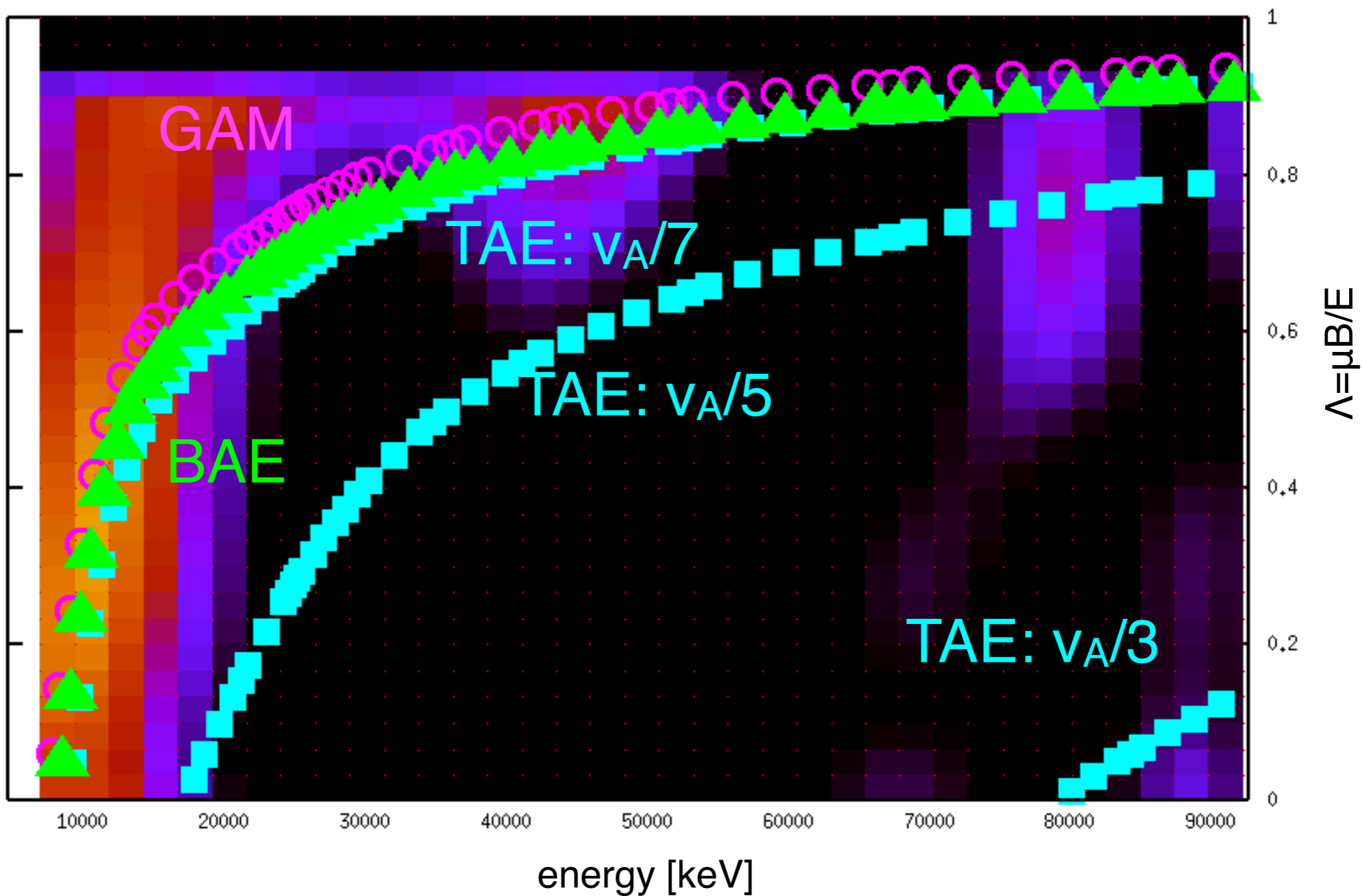
$$\gamma \sim \frac{\omega \partial F / \partial E - n \partial F / \partial P_{\phi}}{\omega - \omega_t}$$

- BAE redistributes mainly in radial direction and thus triggers the EGAM (increased EP density) and TAE (higher order resonances)

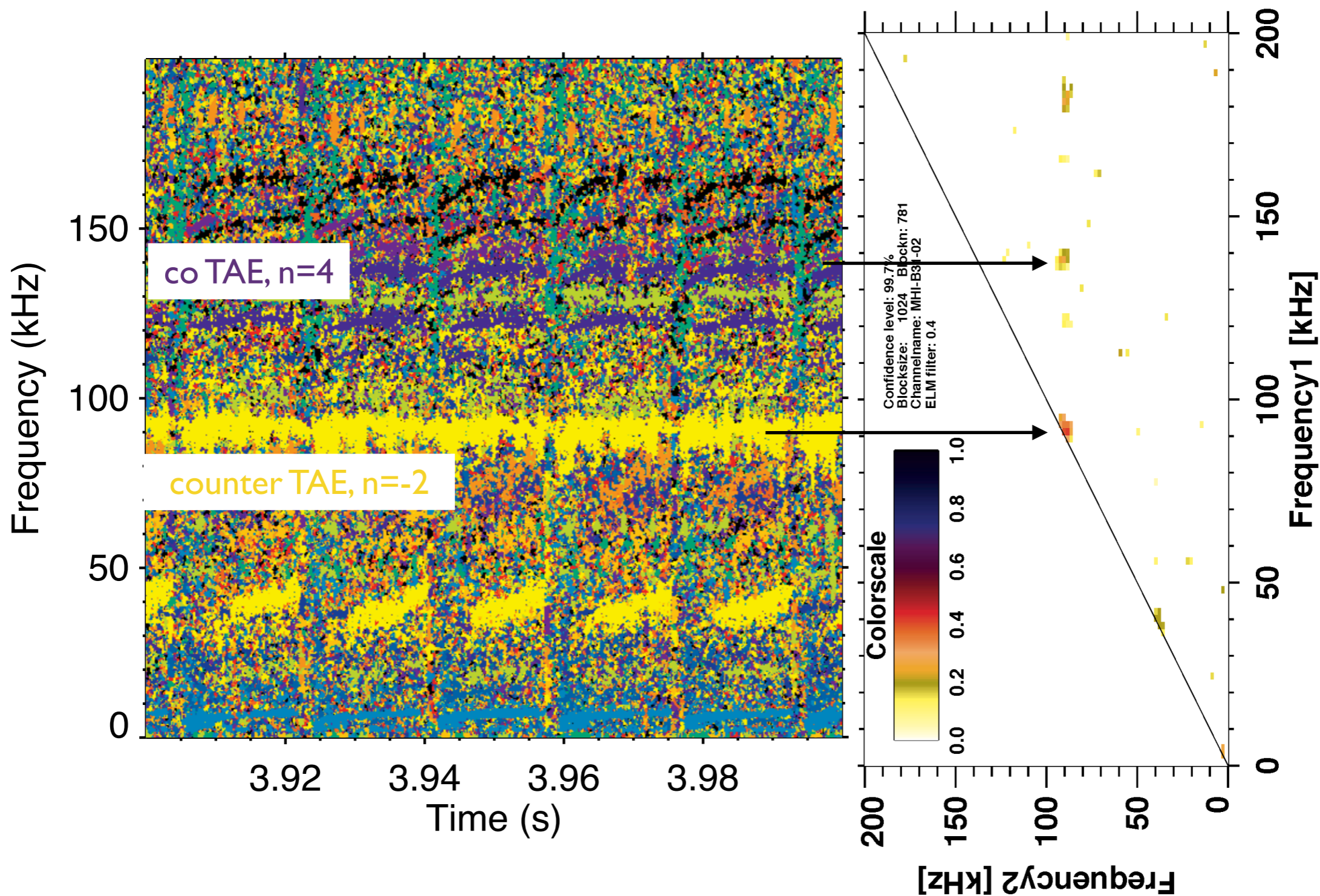


local and global LIGKA analysis

1st type: phase space analysis (@ $\rho_{pol}=0.35$)



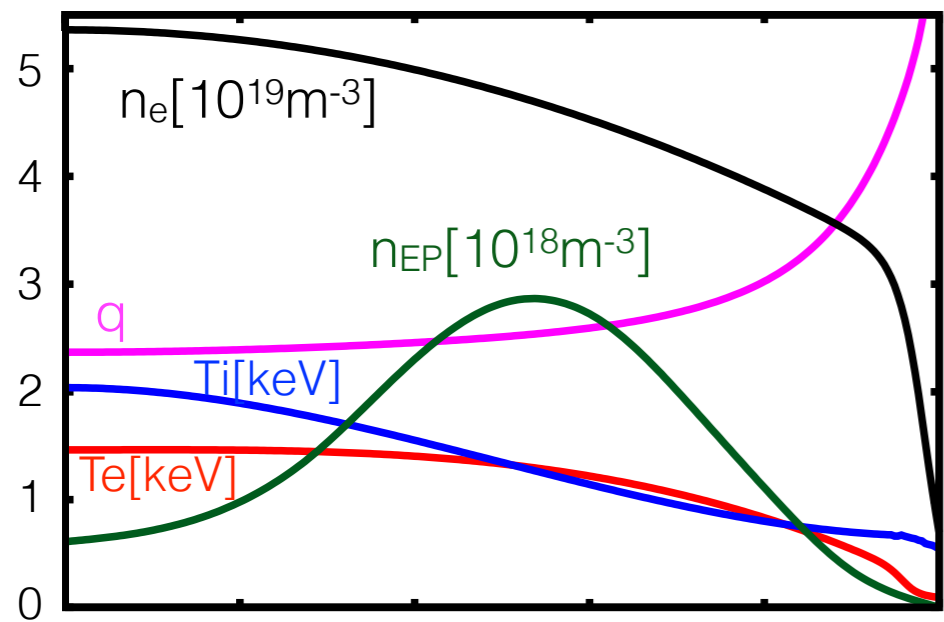
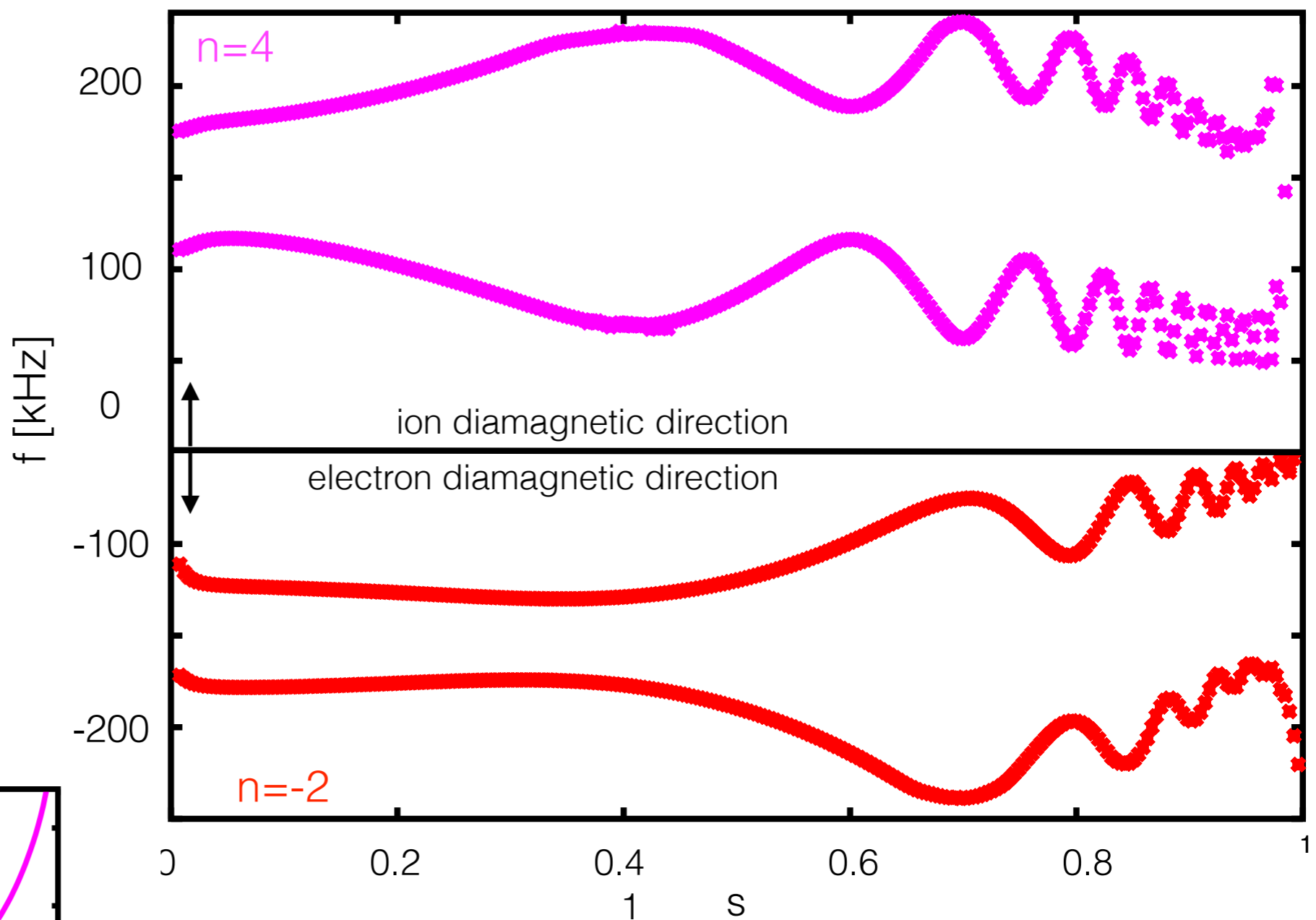
2nd type: ELM filtered bicoherence analysis shows evidence of mode-mode interaction

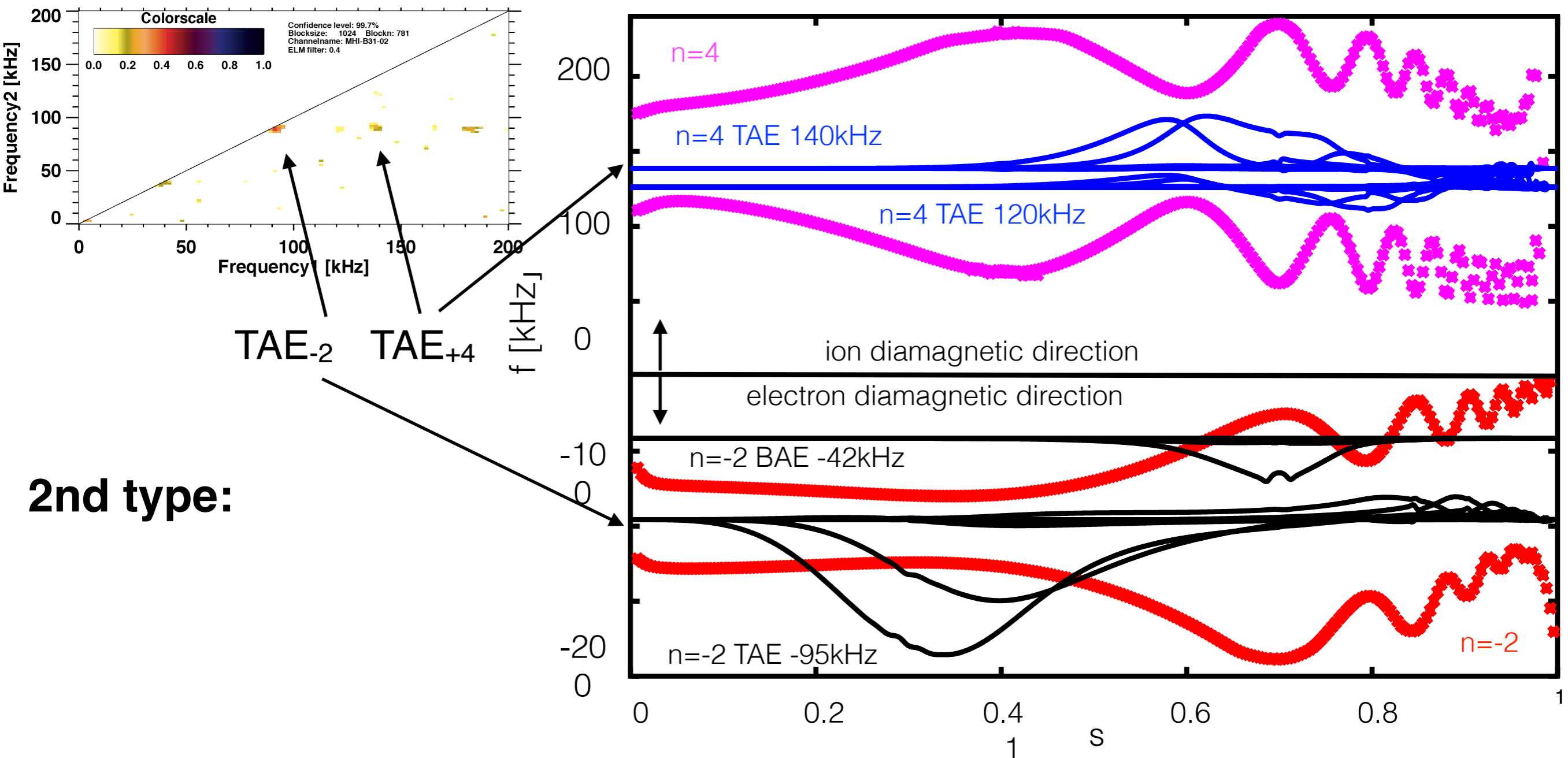


bicoherence measures phase coherence between the frequency bands that indicates a **non-linear (i.e. quadratic) interaction: $n=-2$ TAE and $n=4$ TAE bands**

2nd type:

calculate kinetic shear
Alfvén and GAM spectrum
for $n=4$ and $n=-2$ (LIGKA):





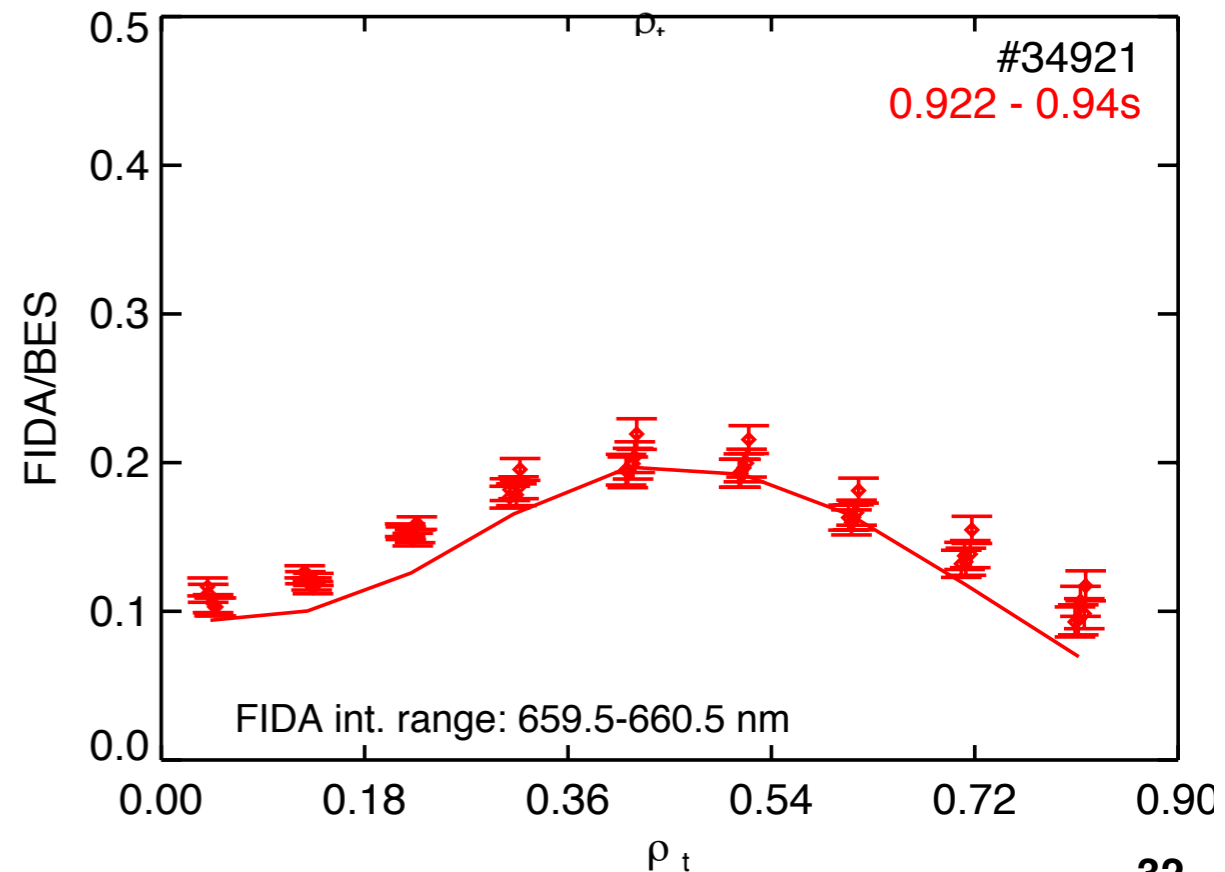
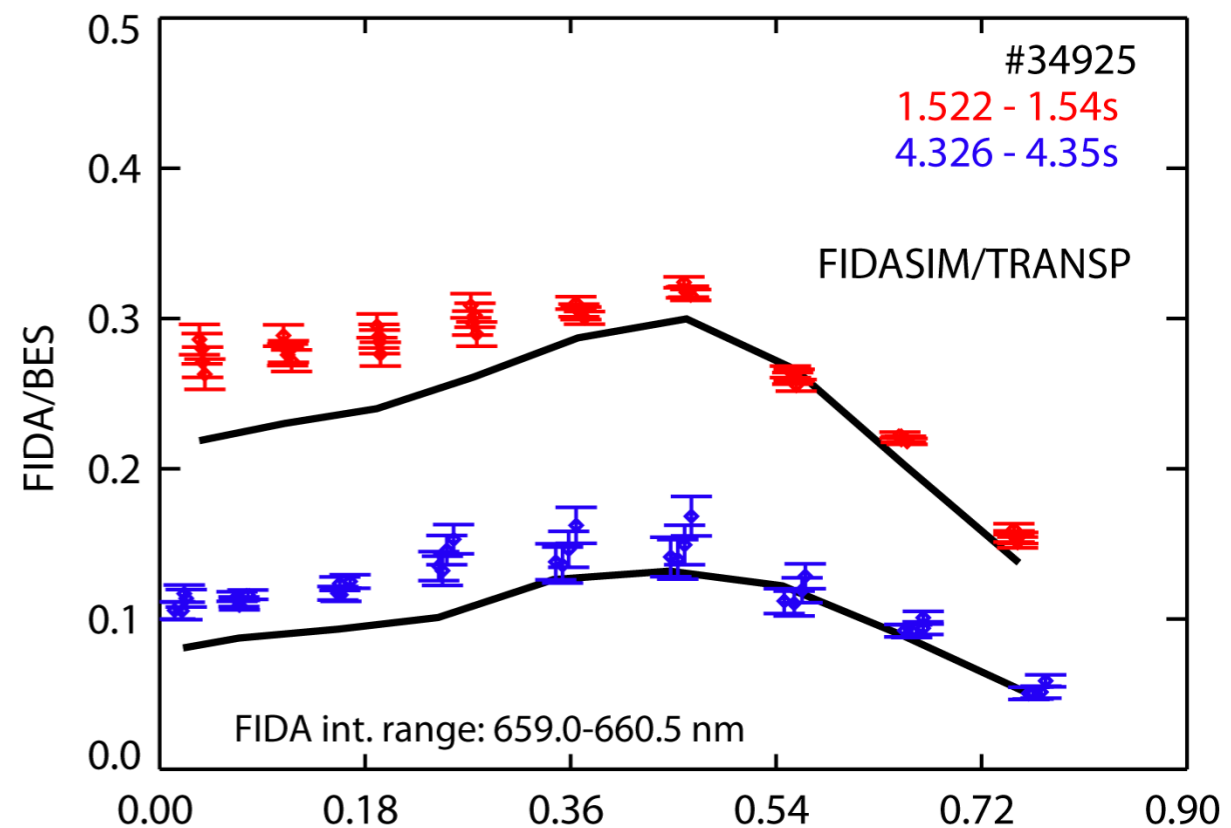
2nd type:

- after subtracting/adding rotation (7kHz): $\omega_{TAE-2} - \omega_{TAE+4} = 0$
- also: $k_{||TAE-2} + k_{||TAE+4} = 1/(2 q_{TAE-2} R) - 1/(2 q_{TAE+4} R) = 0.222 - 0.211 \approx 0$
- fulfil matching conditions with zero frequency zonal structure: modified parametric decay constellation

[Biancalani FEC 2016, TH/P2-9 2018]

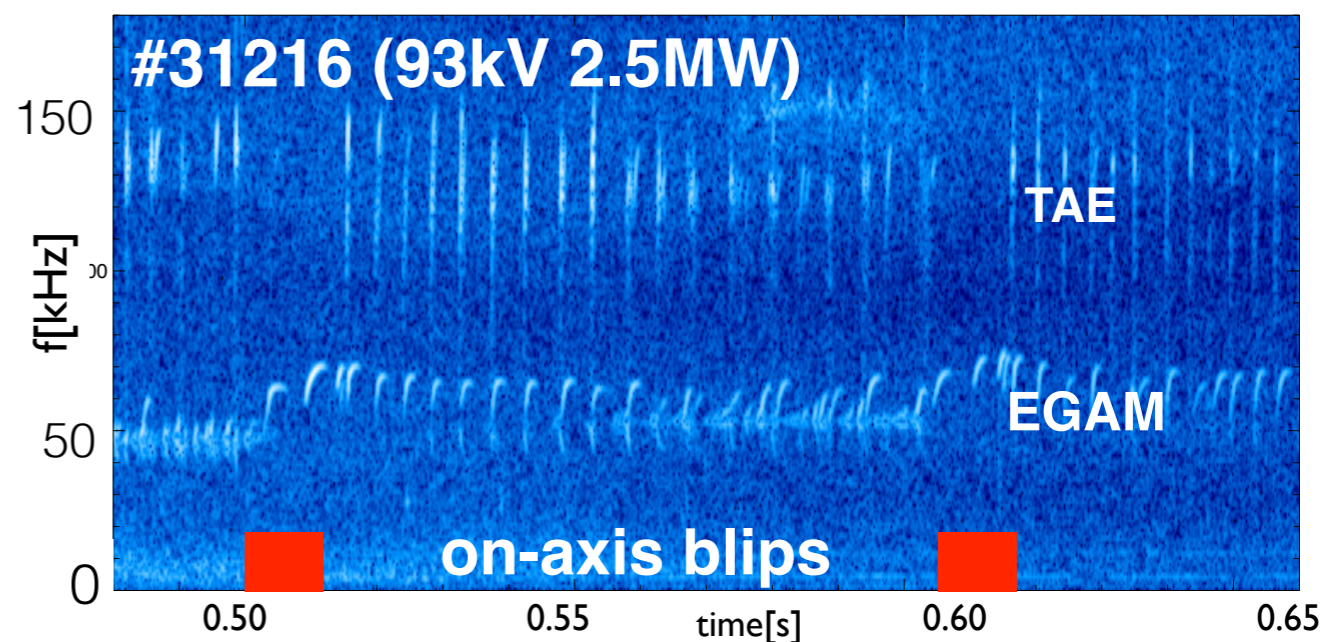
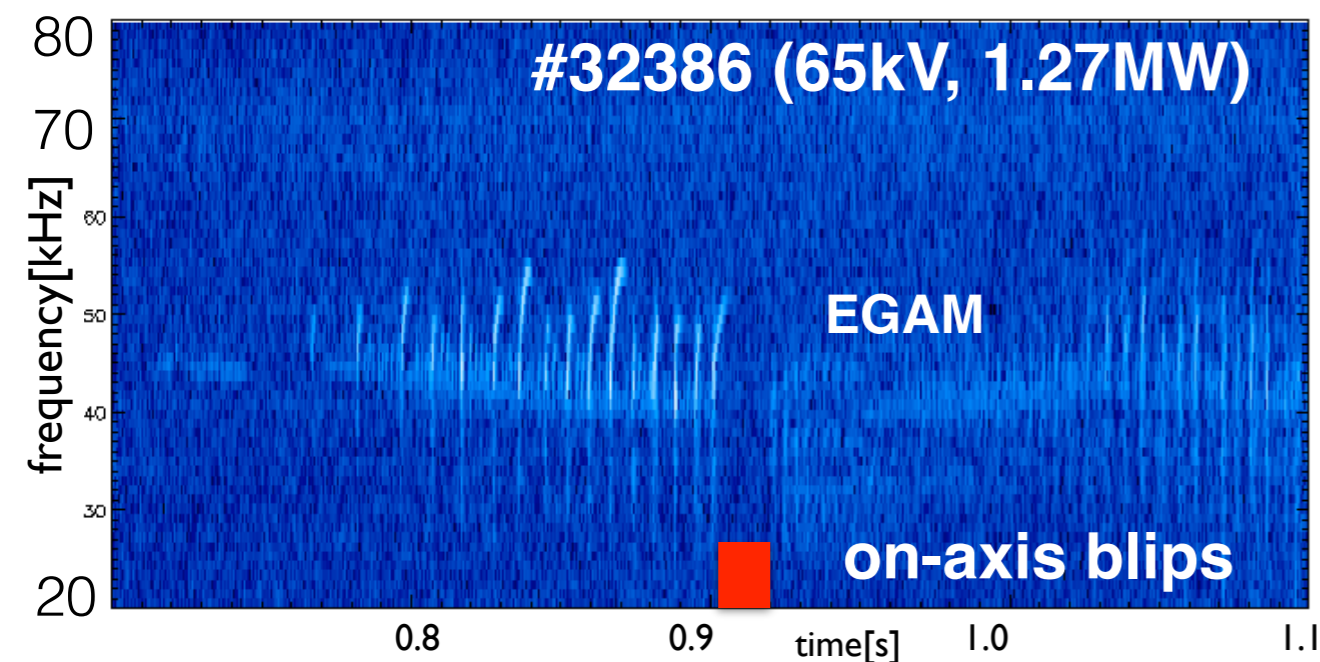
TAE and BAE redistribute particles radially:
FIDA measurements in comparison to
neoclassical TRANSP/NUBEAM calculations

control case, where no strong Alfvénic mode
activity is observed (#34921): strongly
inverted EP gradient, small EP transport



discussion (I)

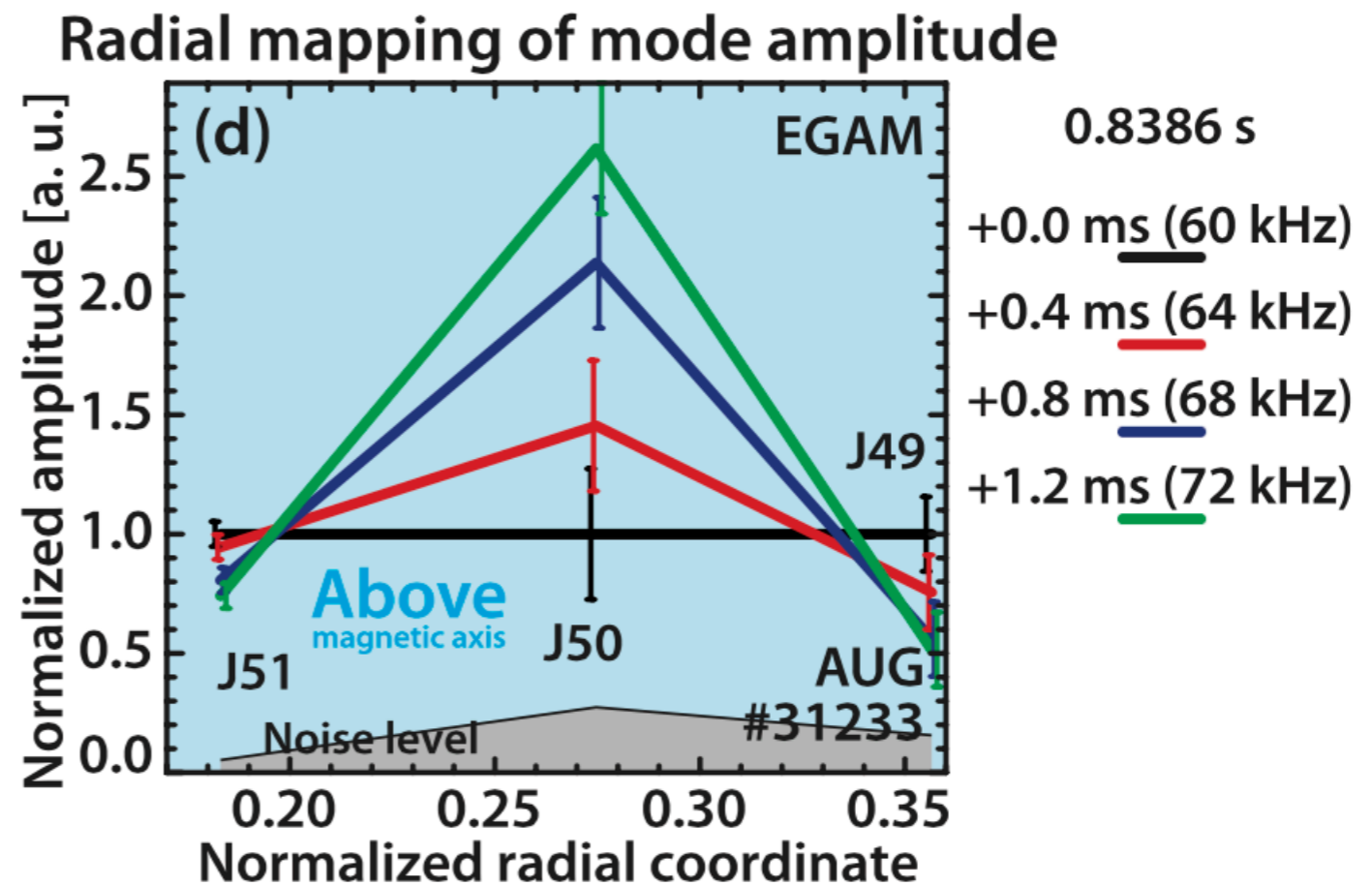
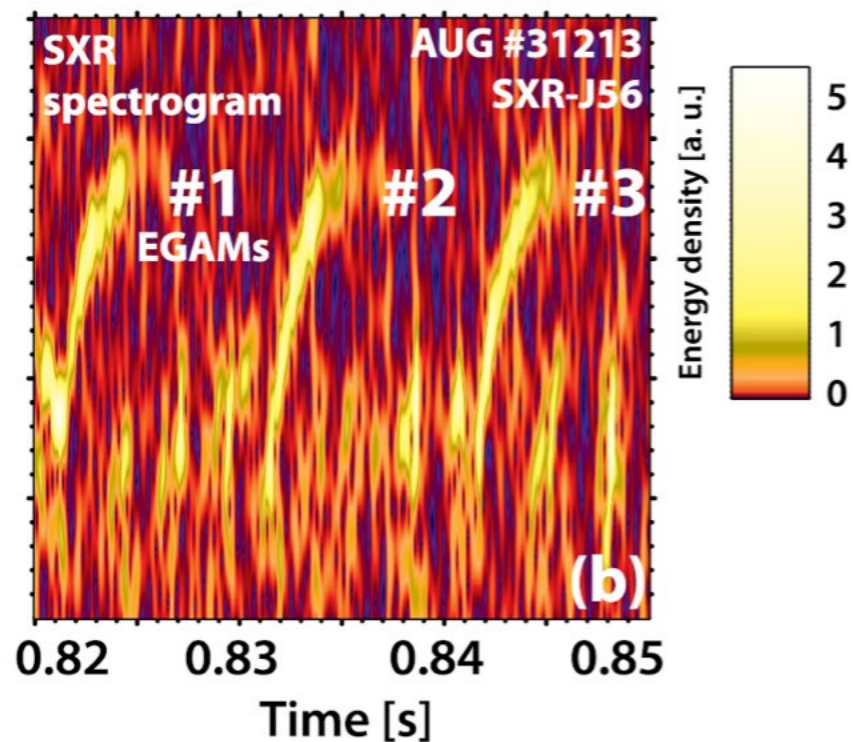
- the combination of low background temperatures caused by core radiation and large EP pressure allows one to excite modes that are usually not accessible by sub-Alfvénic beam excitation: new experimental data facilitates the understanding cross scale and cross-frequency coupling mechanisms also in cases when modes are not present
- for EGAM excitation the beam anisotropy characteristics has to match the frequency range of the GAM continuum
- other regimes with lower beam energy are accessible for EGAM destabilisation and the influence of on-axis beam blips can be understood:



- influence of EGAMs on turbulence [*Zarzoso 2013-18*] and presence of ‘anomalous ion heating’ [*Osakabe, Ido 2014*] could not be clarified yet: although there are clear differences in turbulent spectra between phases with and w/o EGAMs, this cannot be straightforwardly attributed to the EGAMs, since also overall plasma conditions change considerably
- co- and counter propagating AEs open possibility for non-linear wave-wave coupling studies
- scenario can be seen as complement to recent studies of ECRH influence on AE stability [*Van Zeeland 2014, Sharapov 2017*]: ECRH in NB heated discharges usually stabilises AEs - our scenario demonstrates opposite effect when ‘cooling’ the background
- scenario can be seen as a close relative to fully non-inductive scenarios [*J. Stober FEC 2016, A Bock 2017, D Rittich EX/P8-25*] with central ECCD (800kA) and current hole discharges (600keV) with [*B Geiger, EX 2-3*]
- these scenarios facilitate the physics understanding, preparation of tools and (advanced) scenarios for future devices such as JT-60SA, DTT, ITER, DEMO

other slides

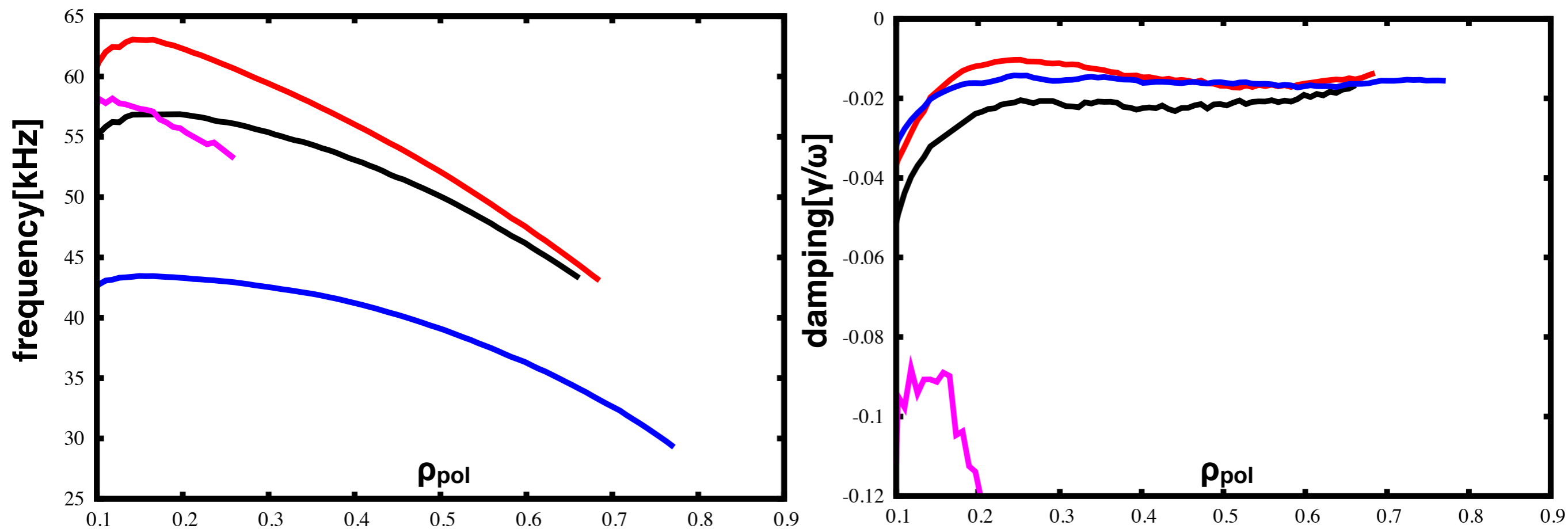
- one the most prominent modes: EP-driven geodesic acoustic mode
[other exp. observations: Boswell, Berk Nazikian, Ido, Chen, Horvath,...]
- visible in magnetics, soft-X ray: toroidal mode number $n=0$; dominant poloidal mode number $n=2$ *[Wahlberg 2008]*; global mode, peaked in core $\rho_{pol} \sim 0.2-0.4$



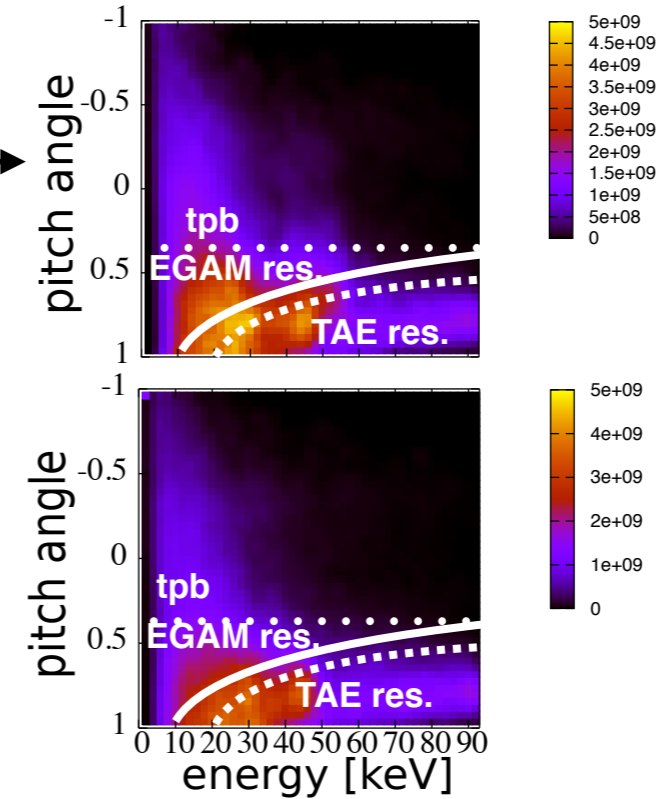
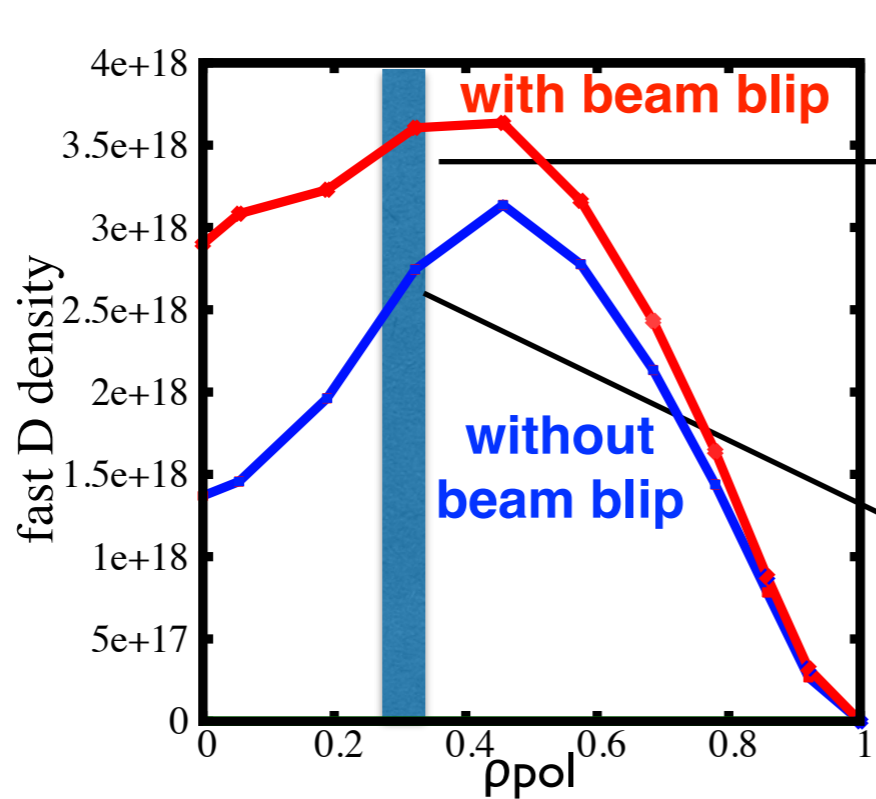
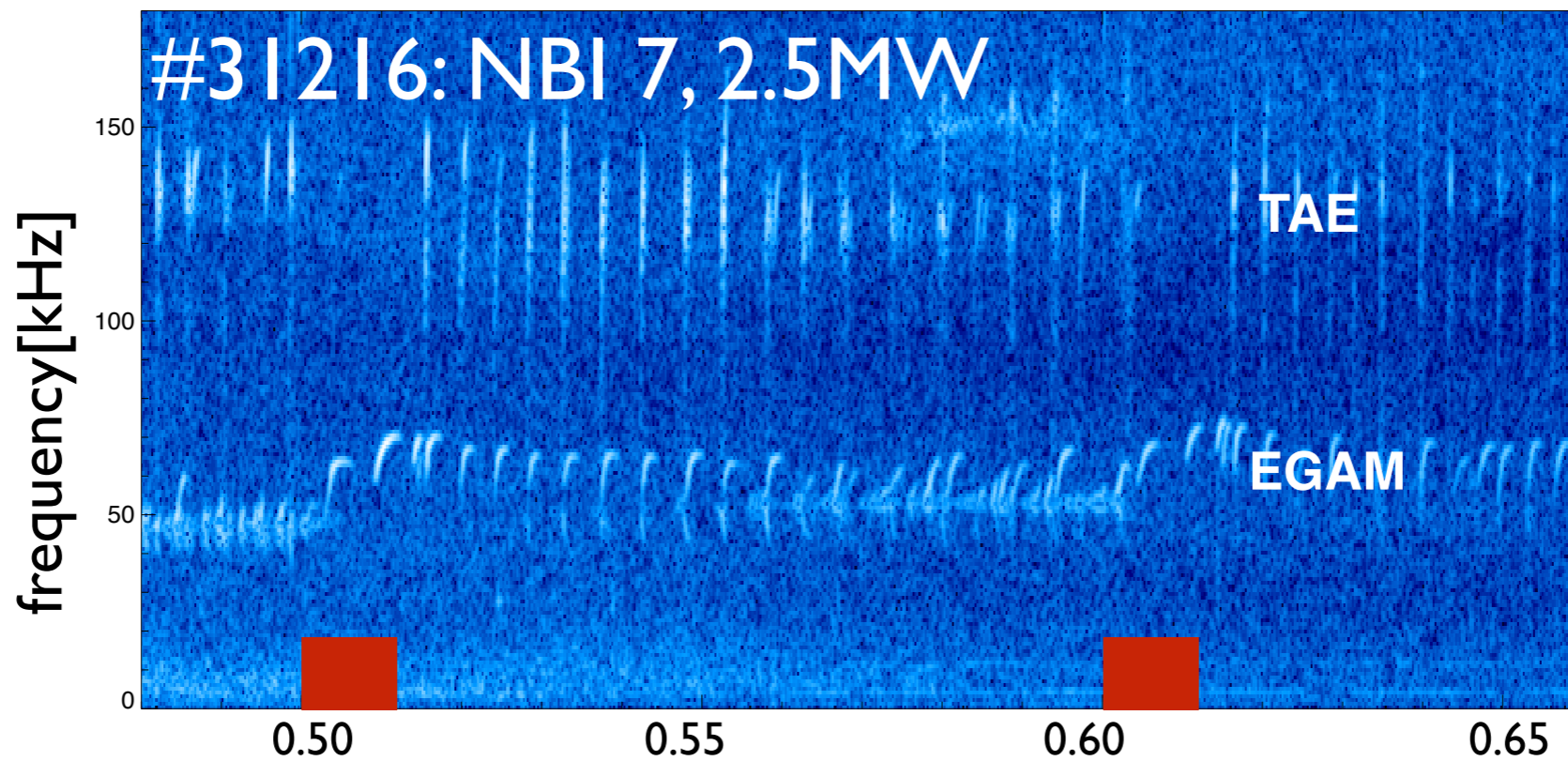
[Horvath et al, NF 2016]

control cases: lower q , set $T_e=T_i$, $T_i=T_i/2$

- reference parameters (last slide)
- lower q_0 from 2.4 to 1.99 (so far EGAMs were never observed for $q < 2$)
- set $T_e=T_i$: increases f_{GAM} , reduces damping! T_e inversion not a necessary ingredient for EGAM excitation (as experimentally confirmed)
- lower T_i by factor 2, $T_e=T_{e,\text{ref}}$



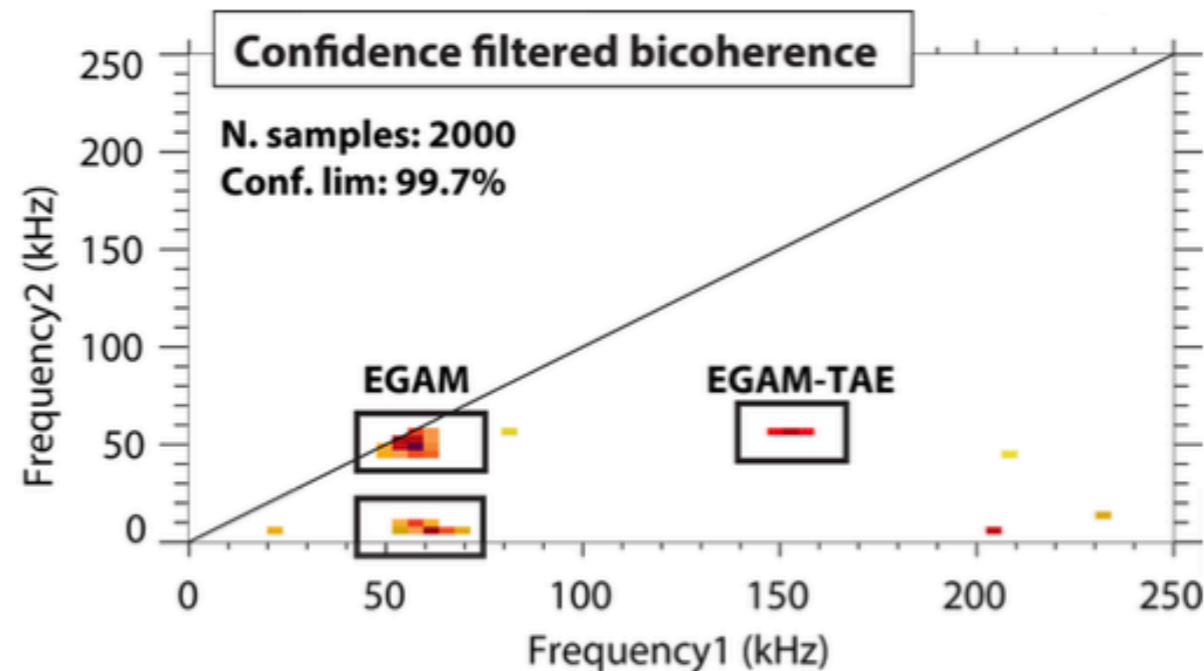
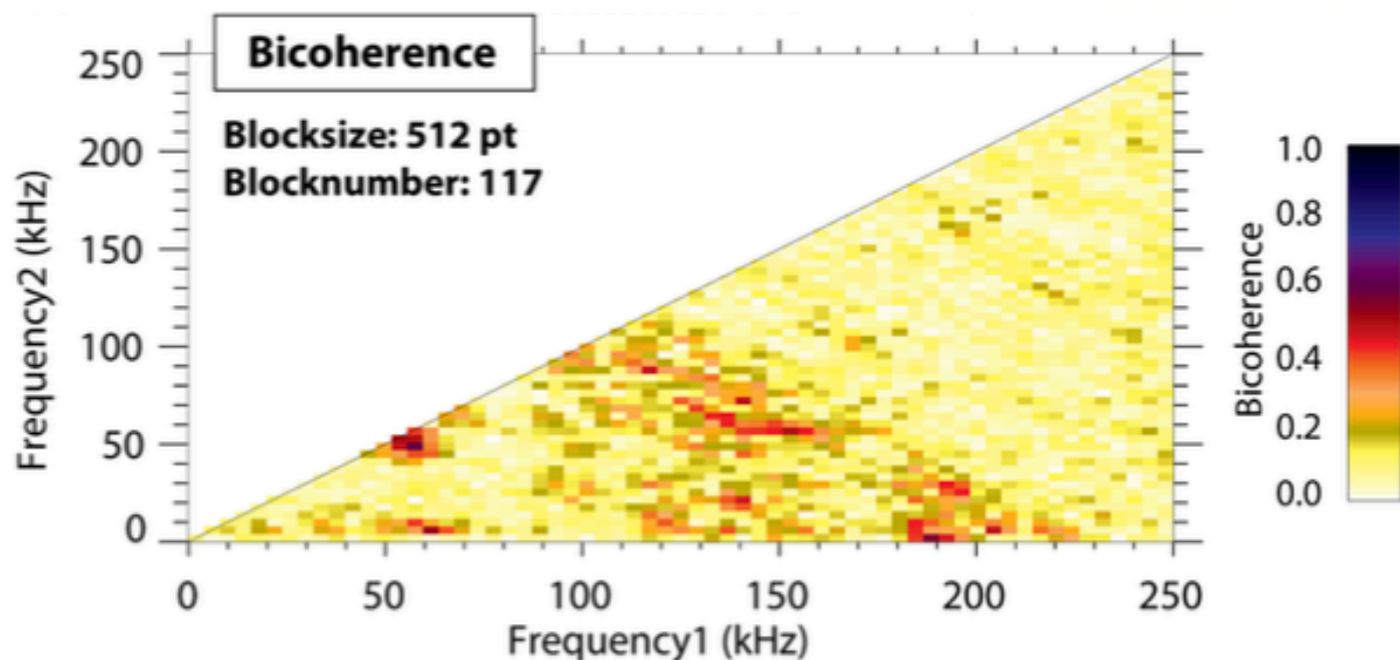
damping analysis does not explain alone EGAM excitation conditions

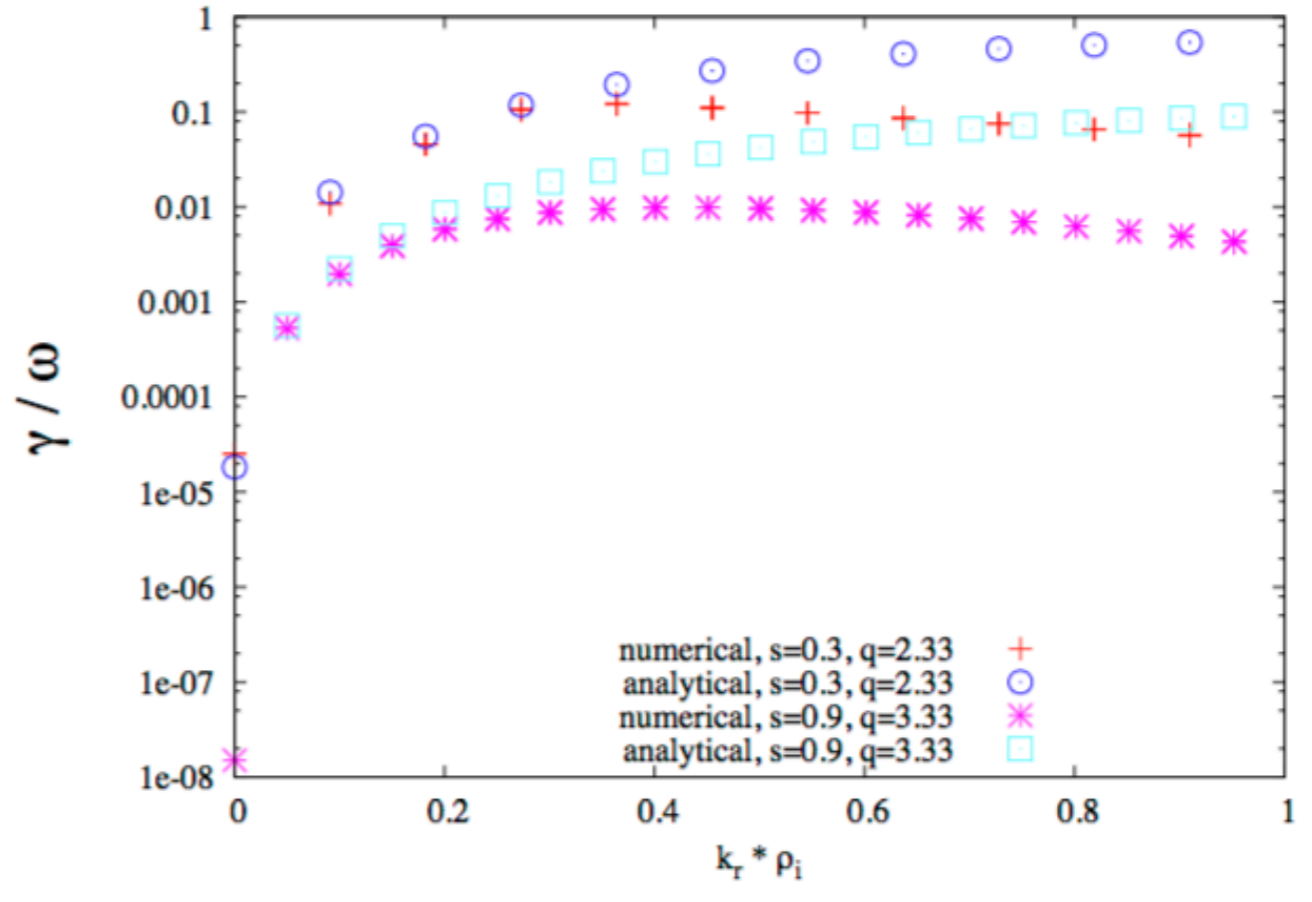
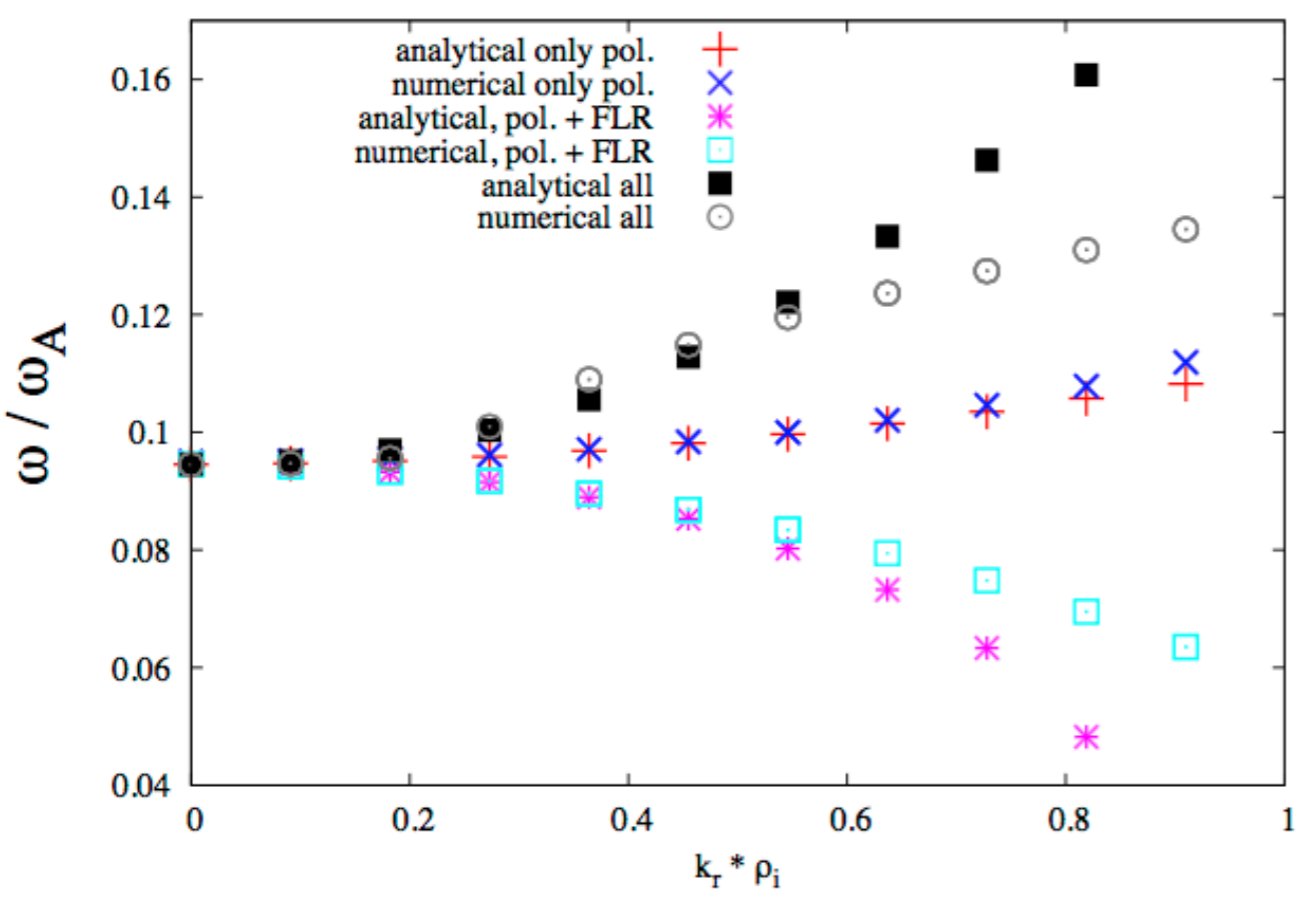


phase randomized bicoherence probability density function calculation

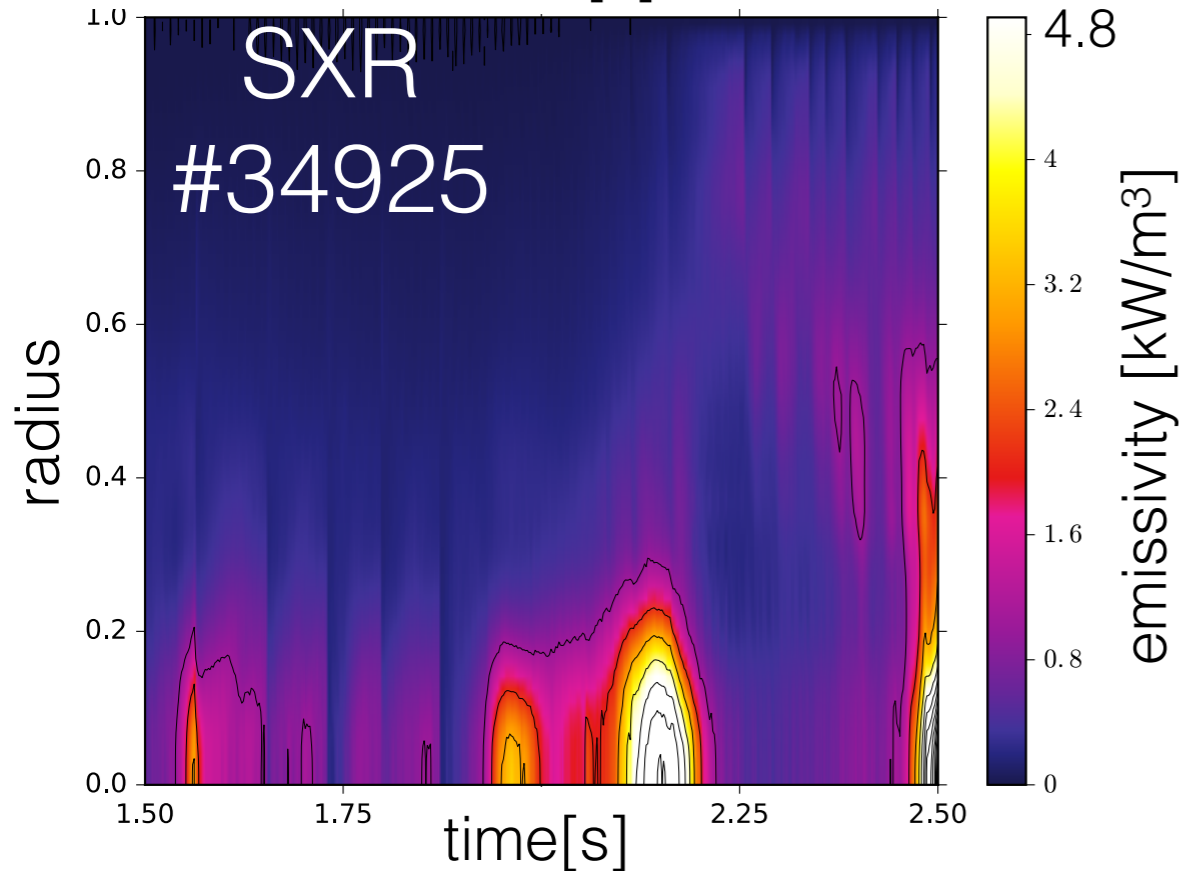
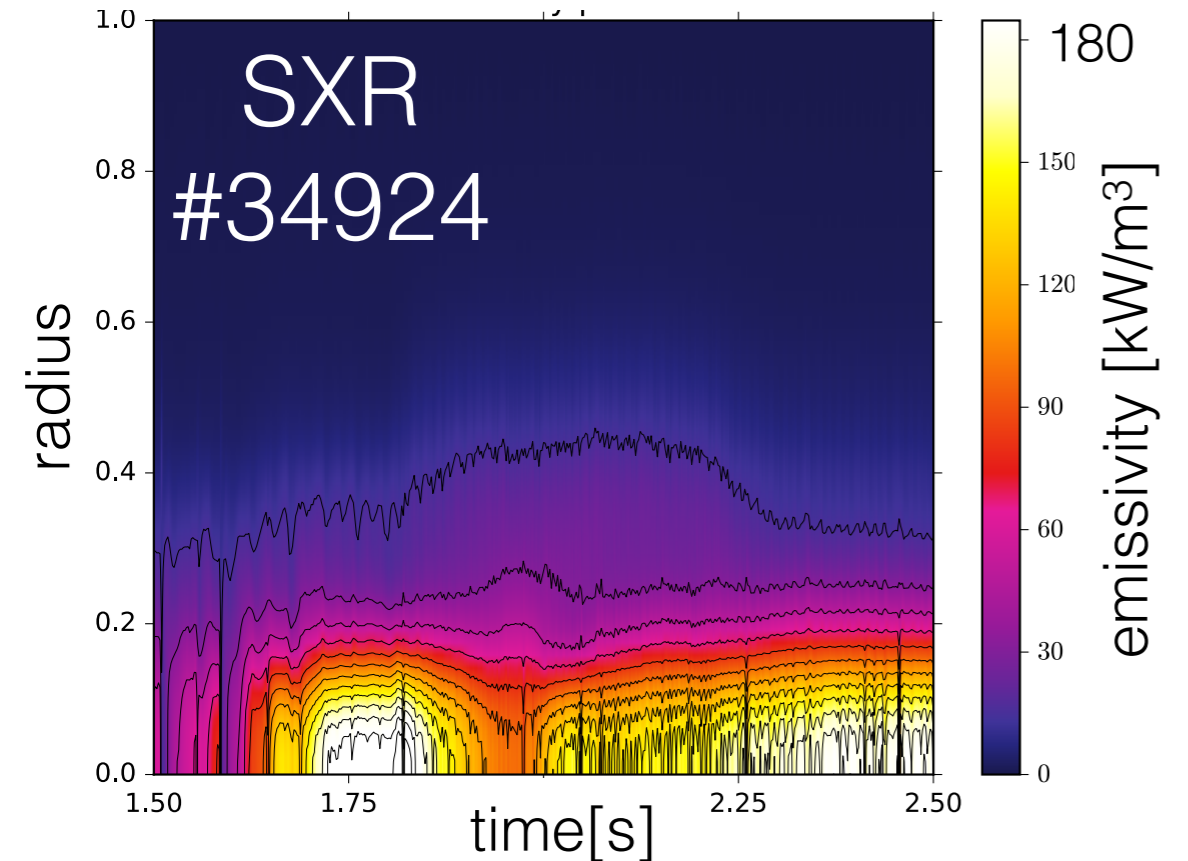
- High bicoherence around at (55, 55) kHz indicates **strongly nonlinear EGAMs**. (see spectrogram at ~110 kHz)
- **Without filtering interaction with TAEs is not clear**

- Filtering shows high, significant bicoherence around (155, 55) kHz
- **Indicates the nonlinear interaction between EGAMs and TAEs**

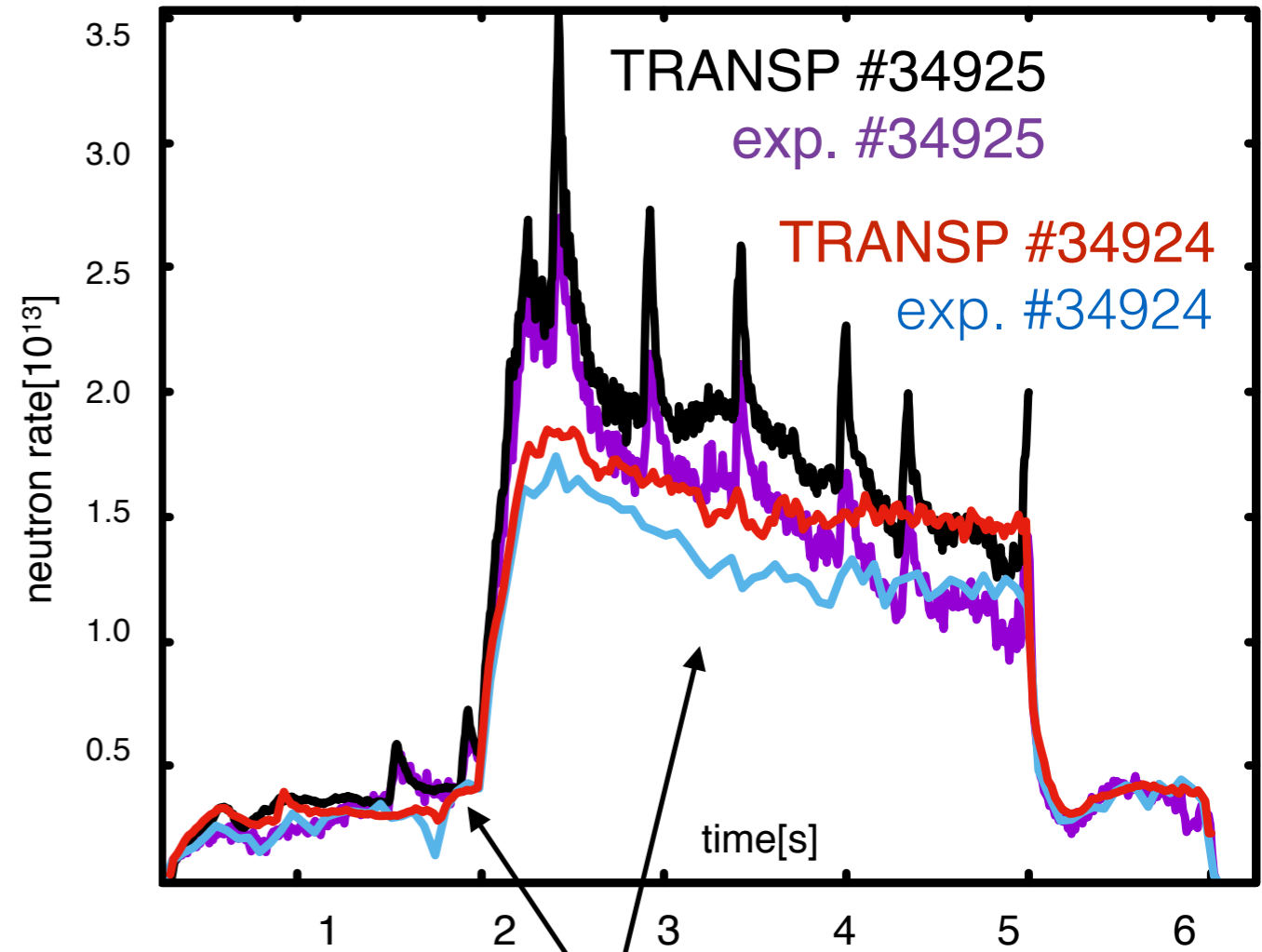




[Lauber, Varenna JPCS 2018]



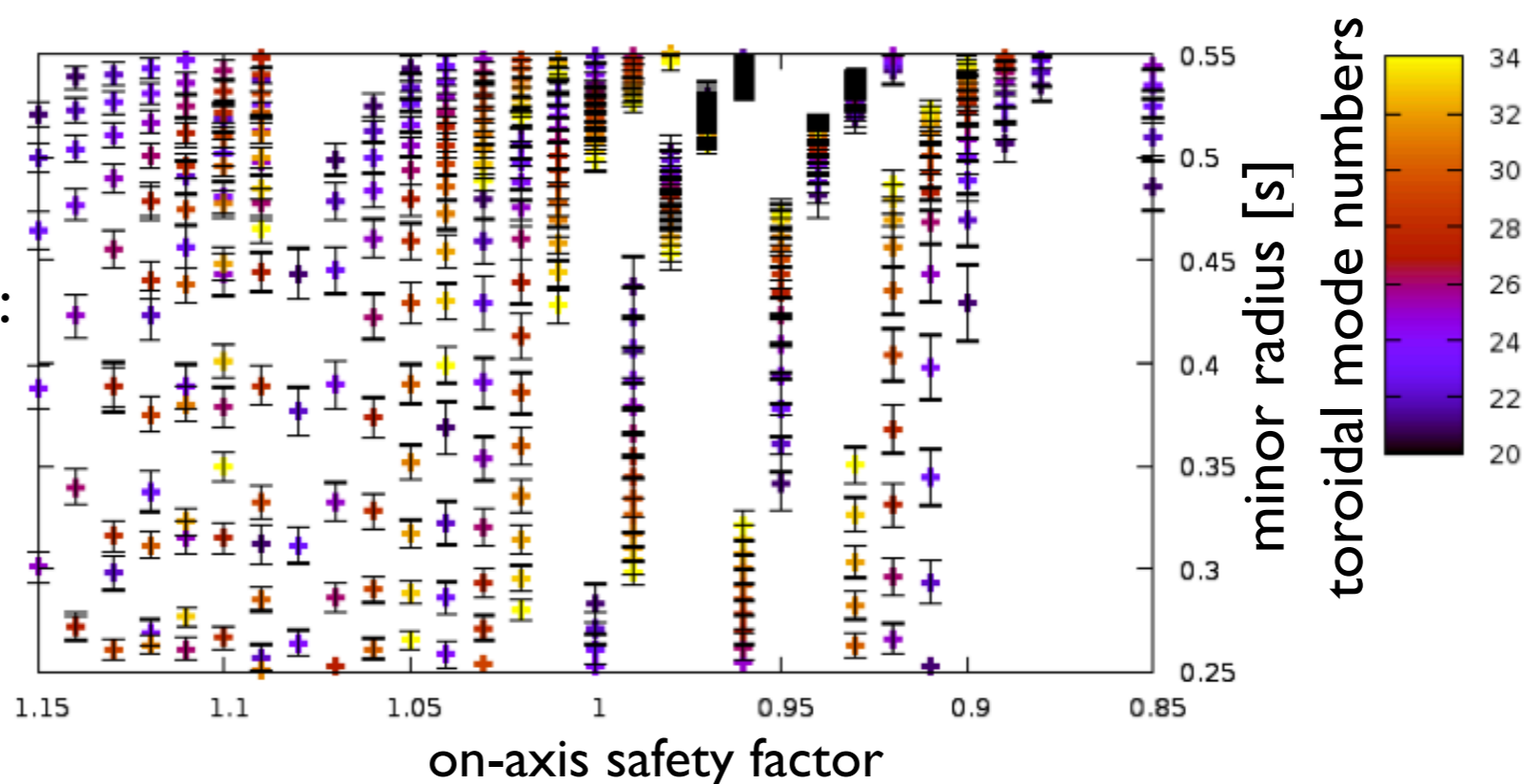
tungsten concentration:
 $c_W = 3 \times 10^{-4} - 2 \times 10^{-3}$



neutron deficit larger in
 5MW (2 beam phase)

- LIGKA library comprises several local and global models for kinetic Alfvén mode (AE) physics and low frequency global modes
- various dispersion relations in literature (e.g. BAE, GAM, KGAM dispersion relation including FLR and FOW effects [Lauber, Varenna 2018] were directly derived from model equations
- fully numerical (based on HAGIS particle orbit information) and analytical evaluation of resonance integrals possible
- local and global solvers using either analytical or numerical v-space integrals
- in combination with non-linear HAGIS code, fast and automated stability and non-linear saturation evaluations for AE physics possible [Hayward-Schneider & Lauber 2017/18]

15MA ITER scenario:
linear TAE- α driven
stability overview



Perform broad search for potentially unstable modes ($s < 0.55$)

