



IAEA-FEC 2016

TH/2-1

Gyrokinetic projection of the divertor heat-flux width from present tokamaks to ITER

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Outline

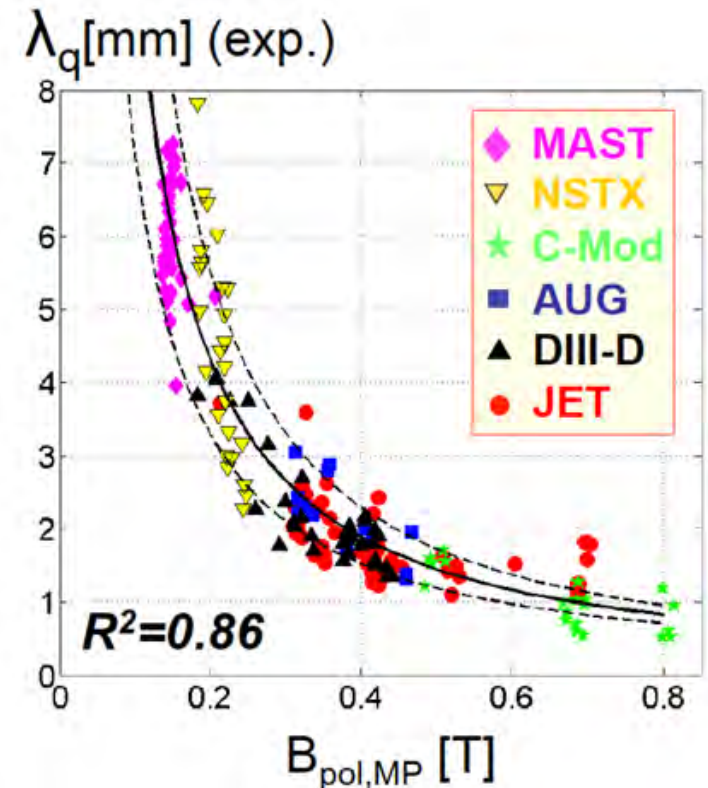
- **Introduction**
- **Validation on DIII-D, C-Mod and NSTX (US Milestone)**
 - Attached divertor regime to study the minimal heat-flux width
 - Measure heat-flux footprint at entrance to Debye Sheath
 - Then, map to outboard midplane to obtain λ_q
 - NSTX and DIII-D are in the drift-dominant λ_q regime
 - C-Mod at cross-over from drift to turbulent λ_q
- **Prediction for ITER: blobby turbulence dominated**
 - $\lambda_q \approx 5.6\text{mm} \gg 0.63 B_{\text{pol}}^{-1.19}$
- **A simple heuristic explanation**
- **Conclusion and discussion**

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+ First Author acknowledges helpful discussions with R. Goldston.

Introduction

- Neoclassical, turbulence, and neutral particle physics are **all** important
- Neoclassical dominant models, by XGC0 [‘10 US JRT] and Goldston, gave
$$\lambda_q \propto 1/B_p^\gamma, \gamma \sim 1$$
 - Appears to be working for the present-day tokamaks. But, high B_p cases?
- Will this be true in ITER? Predict using XGC1 with electrostatic blobby turbulence
- XGC1 validation?
- Edge plasma is in non-equilibrium kinetic state: non-Maxwellian, non-diffusive
 - Requires a full-f kinetic ion & electron simulation for high-fidelity predictability



T. Eich et al., NF 2013
PRL 107, 215001 (2011)

→ **Extreme scale computing**: We use 90% Titan (~300K cores + 19K GPUs, spending 10M core hours/day) for 3 days for 1 ITER case

5D Total-f XGC Family Codes

| Code | GK, DK | Solver Dimension | 3D | X-point | MC neutrals recycling |
|-------------------------------|-----------------------------|---|---|---------|-----------------------|
| XGC1 hybrid (E&M) | GK ions hybrid electrons | 3D (r, θ , ζ) Turbulence | | Yes | Built-in |
| XGC1 kinetic (CAAR*, NESAP**) | GK ions, DK or GK electrons | 3D (r, θ , ζ) Turbulence | | Yes | Built-in |
| | | | Blobs from XGC1: S. Ku, TH/P6-16 Neutrals on turb.: Stotler, TH/P6-7 | | |
| XGCa (NESAP**) | GK ions, DK electrons | 2D (r, θ) GK Neoclassical & Kinetic transport modeling | RMP | Yes | Built-in |
| | | | SOL phys: Churchill, TH/P6-10 Edge bootstrap: Hager, TH/P2-27 | | |
| XGC0 | DK ions & electrons | 1D (r) DK Neoclassical & Kinetic transport modeling | RMP | Yes | DEGAS2 |

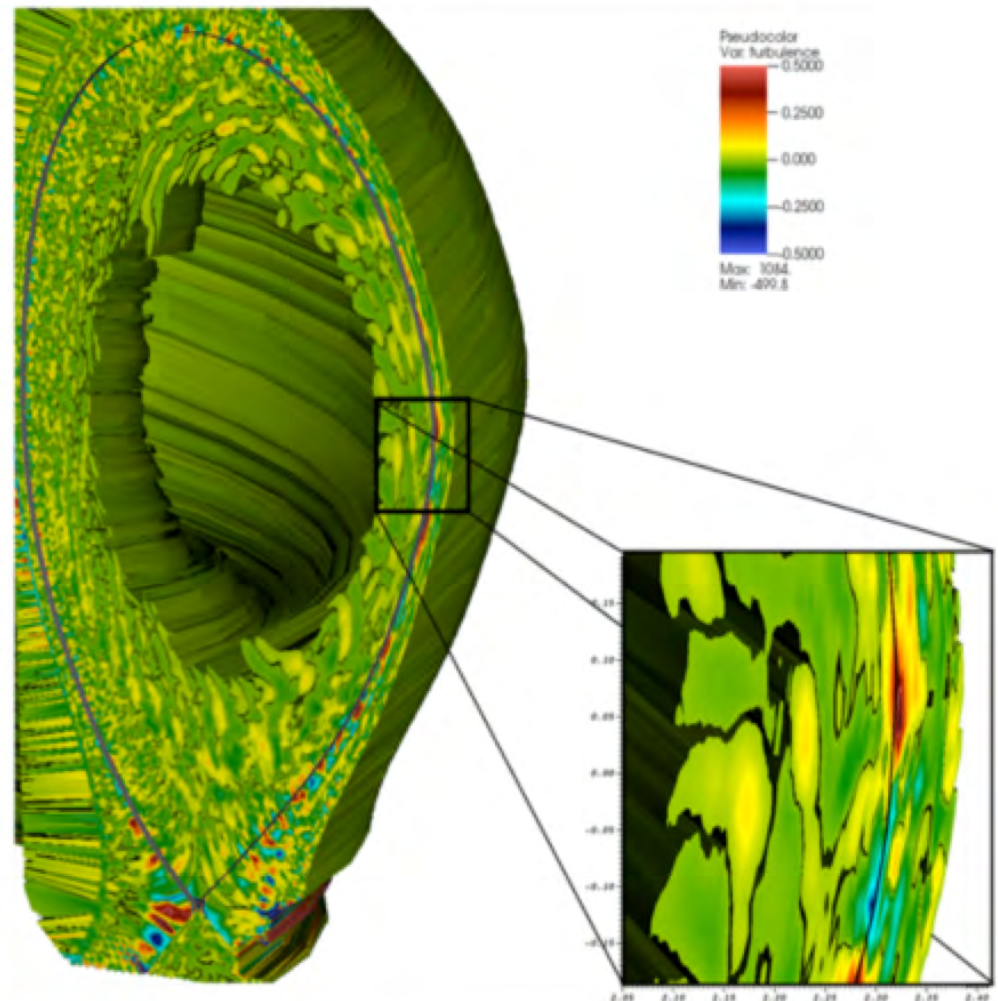
*CAAR program at OLCF gives one postdoc support to XGC for GPU optimization

*NESAP program at NERSC gives one postdoc support to XGC for Vectorization.

Ability for “blobby” edge turbulence + orbit dynamics is a pre-requisite for heat-flux width study

2013-2014 INCITE, using 90% (16,384+ nodes~25pF) maximal heterogeneous Titan

- Relevant region for the λ_q study: $0.98 \lesssim \Psi_N \lesssim 1.02$
- Attached plasma
- For a minimal 1st-principles based study, a GK simulation should have
 - electrostatic blobs with kinetic electrons [D’Ippolito et al., (2011)]
 - neoclassical orbit dynamics
 - ExB dynamics
 - E_{\parallel} solution
 - neutral recycling
 - nonlinear e&l collisions across magnetic separatrix



Blobs from XGC1: S. Ku, THP-6/16

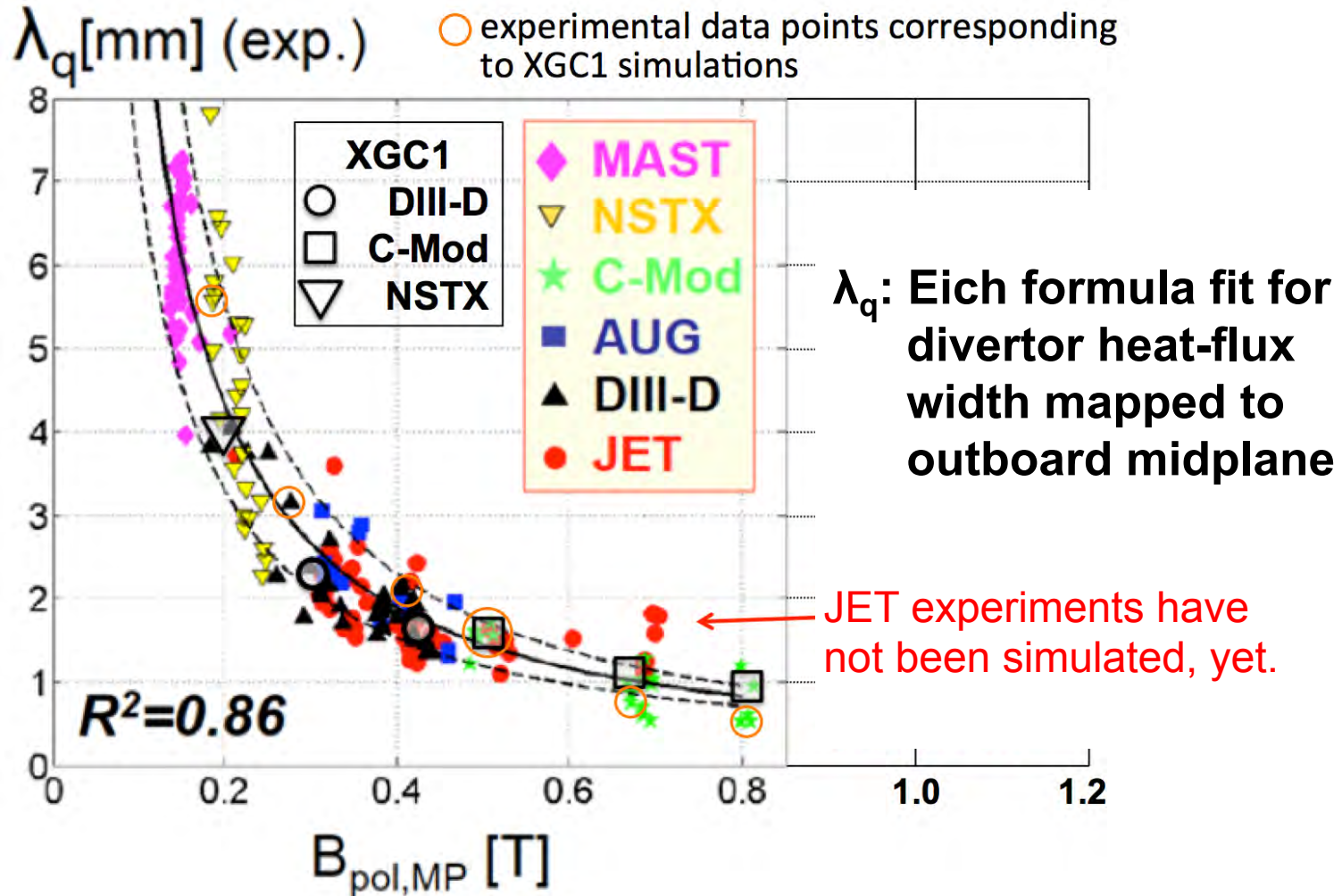
Simulation by S. Ku,
Visualization by D. Pugmire

Experimental validation cases studied by XGC1

B-field, first wall, plasma profiles and the heat source are imported from eqdsk files.

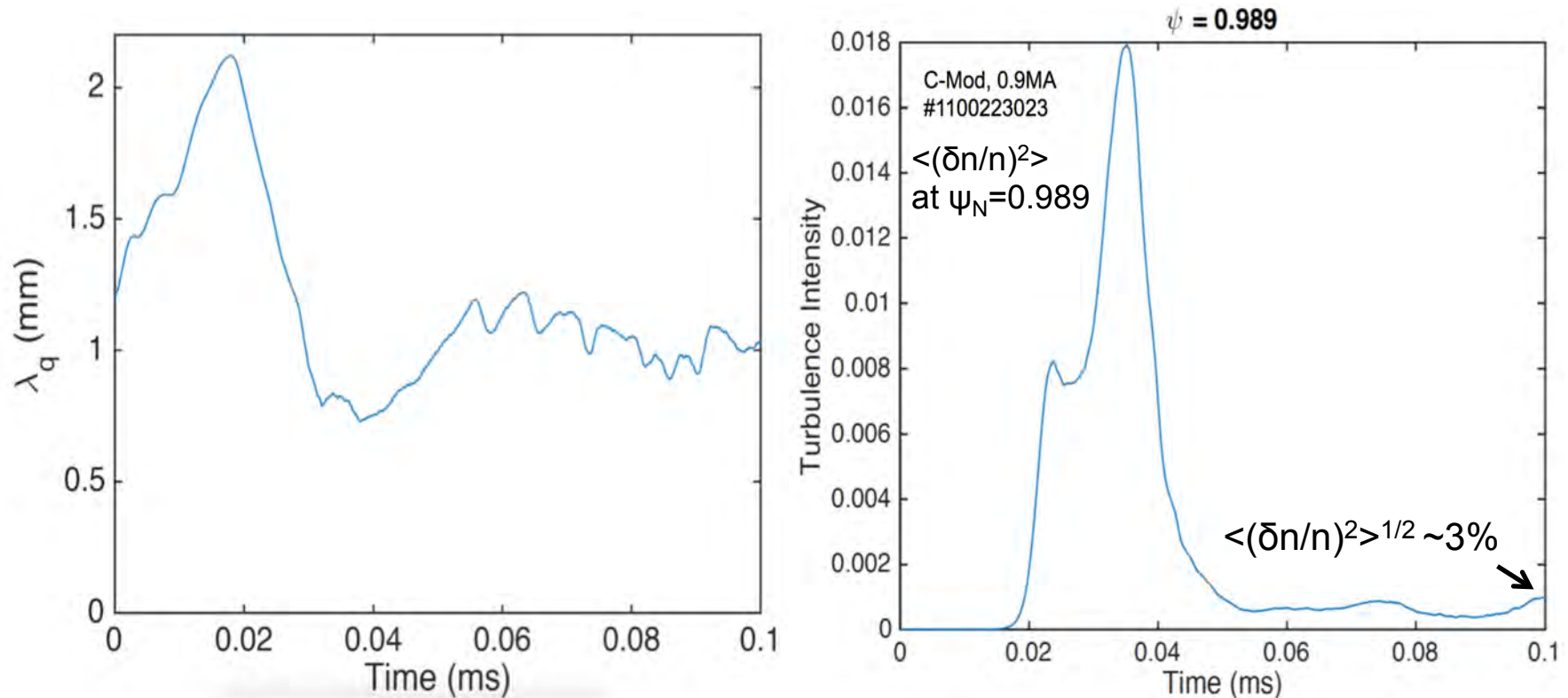
| Shot | Time (ms) | B_T (T) | I_P (MA) | $B_{pol,OM}$ (T) |
|------------------|-----------|-----------|------------|------------------|
| NSTX 132368 | 360 | 0.4 | 0.7 | 0.20 |
| DIII-D 144977 | 3103 | 2.1 | 1.0 | 0.30 |
| DIII-D 144981 | 3175 | 2.1 | 1.5 | 0.42 |
| C-Mod 1100223026 | 1091 | 5.4 | 0.5 | 0.50 |
| C-Mod 1100223012 | 1149 | 5.4 | 0.8 | 0.67 |
| C-Mod 1100223023 | 1236 | 5.4 | 0.9 | 0.81 |

Successful validation of the XGC1 heat-flux widths λ_q against all three US tokamak data



Example time behavior: C-Mod, 0.9MA

We execute the simulation until $\lambda_q \sim$ saturates.

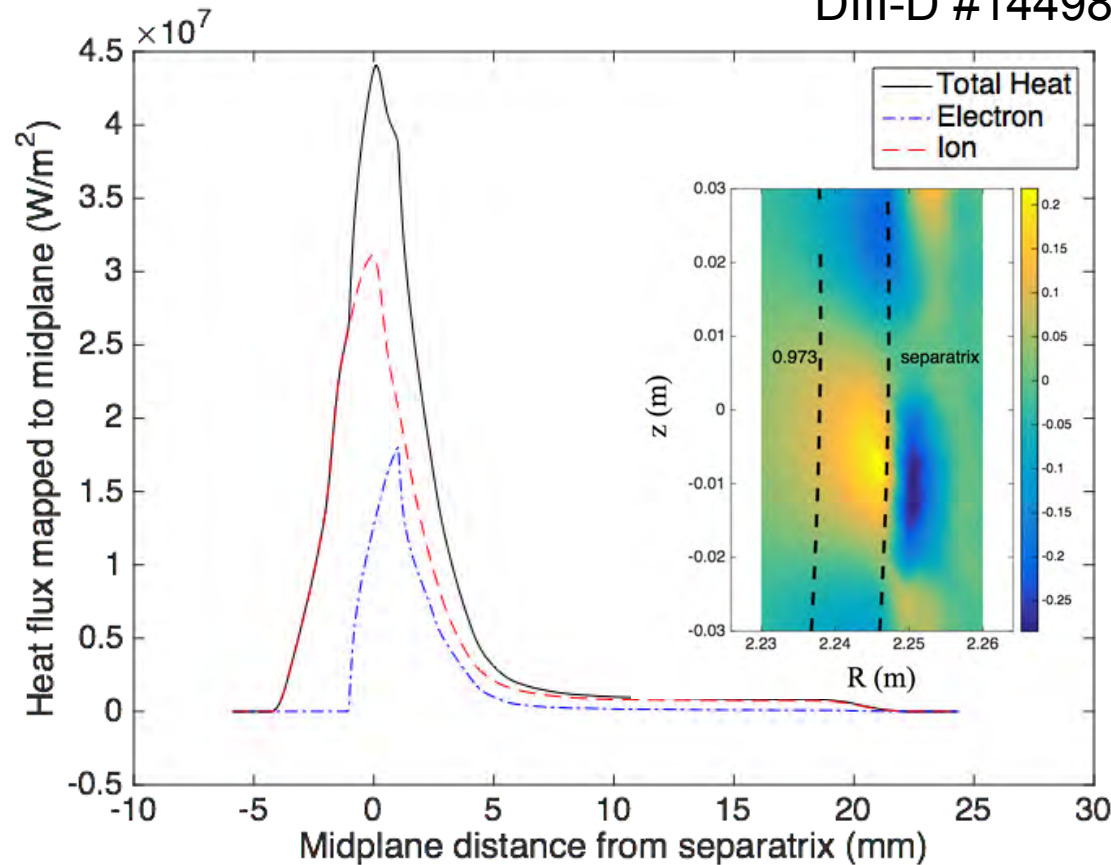


Saturation of λ_q (left) and turbulence intensity $(\delta n/n)^2$ (right). Turbulence intensity is plotted at $\Psi_N \approx 0.99$ where most of the blobs are born.

XGC1 prediction:

λ_q dominated by warm ions in DIII-D and NSTX plasmas.

DIII-D #144981, $I_p=1.5\text{MA}$



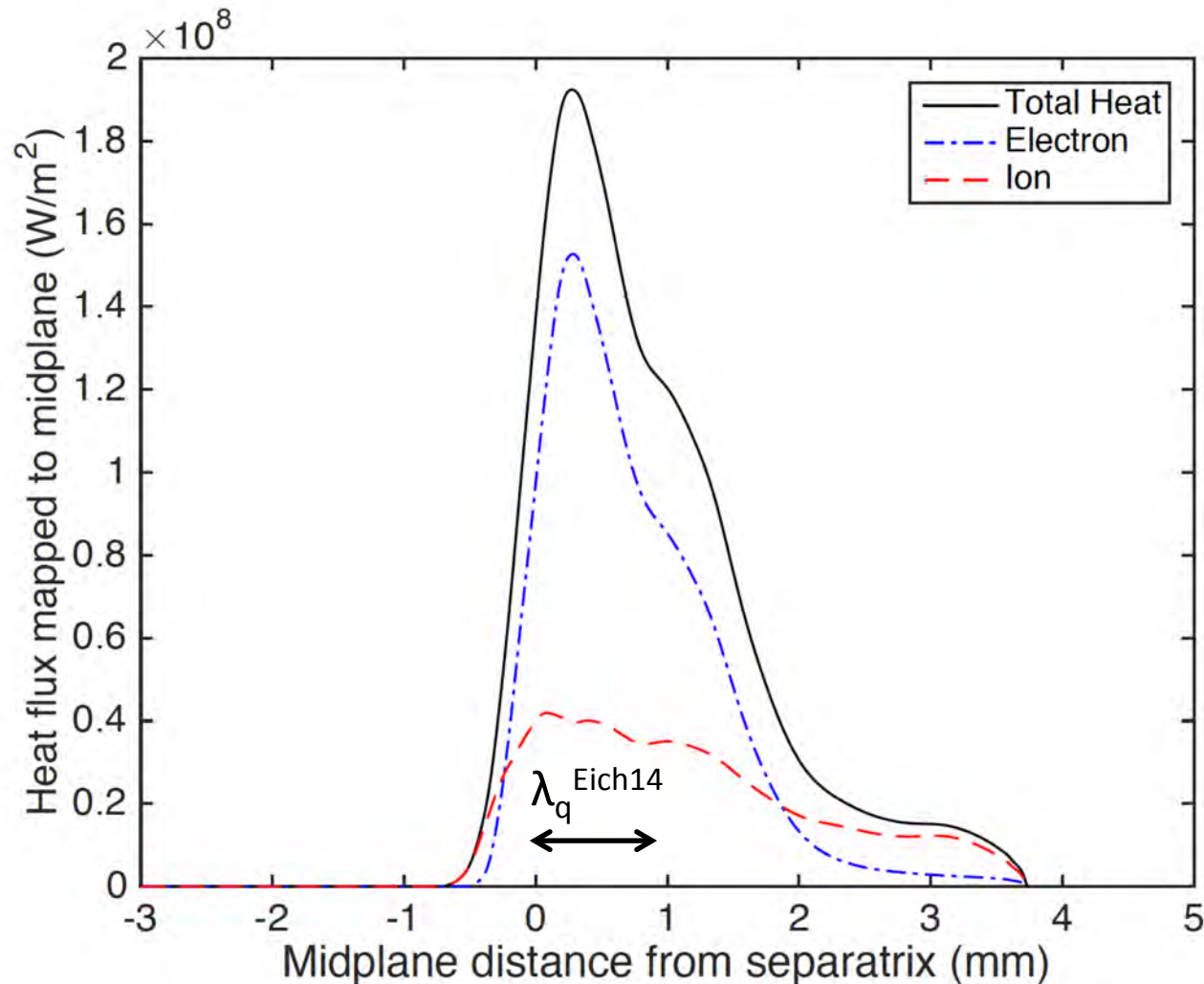
Breakdown of heat-flux footprint into ion and electron components on DIII-D, 1.5MA

- The heat-load spreading by electrons (blobby spread) is $\sim 2\text{X}$ narrower than that by ions (neoclassical $V_{d,\text{magnetic}}$)
- Radial blob size $\sim 5\text{mm}$ (see insert)
- $T_i > T_e$ in scrape-off
- Ions (electrons) gain (lose) kinetic energy in the pre-sheath potential, elevating the ion effect.
- Neutral particle effect is only $\sim 10\%$

XGC1: In high current (0.9MA) C-Mod, electrons dominate the heat-flux magnitude.

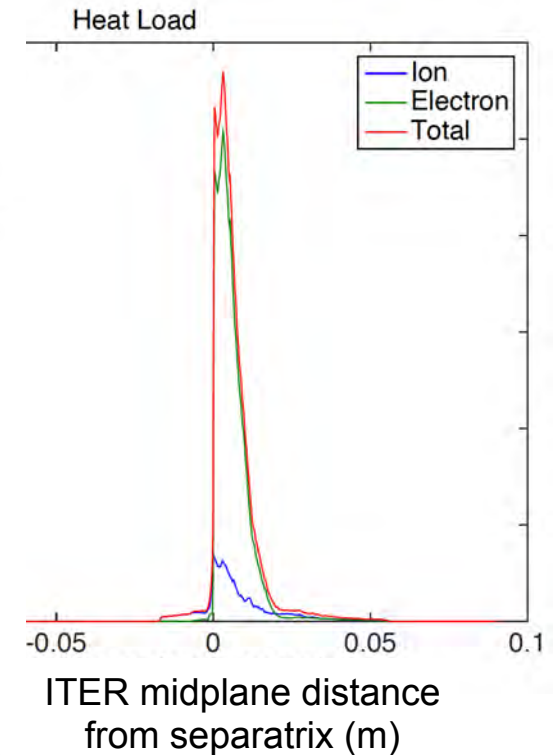
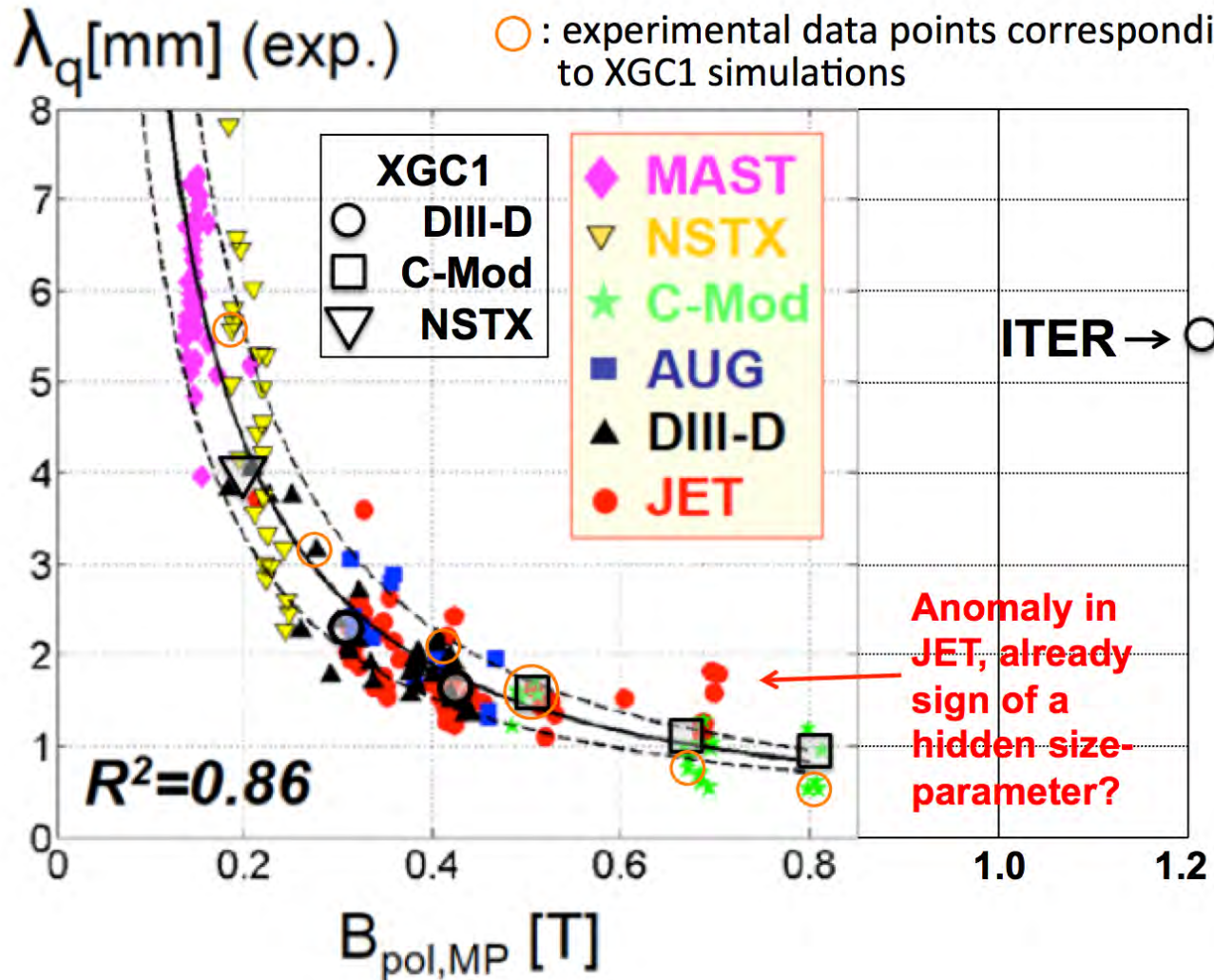
Electron width (blobby spread) \sim ion width

Ions: Neoclassical + Blobs, blobs able to spread warm ions.



Prediction for ITER

- The same code that reproduces three US tokamak experimental results predicts $\lambda_q \approx 5.6\text{mm}$, instead of $<1\text{mm}$, using a model edge profile
 - But, λ_q is not very sensitive to details of the edge profile.
- ITER λ_q is blob-dominant \rightarrow Indication of a hidden size parameter



When will the blob effect be significant for λ_q ?

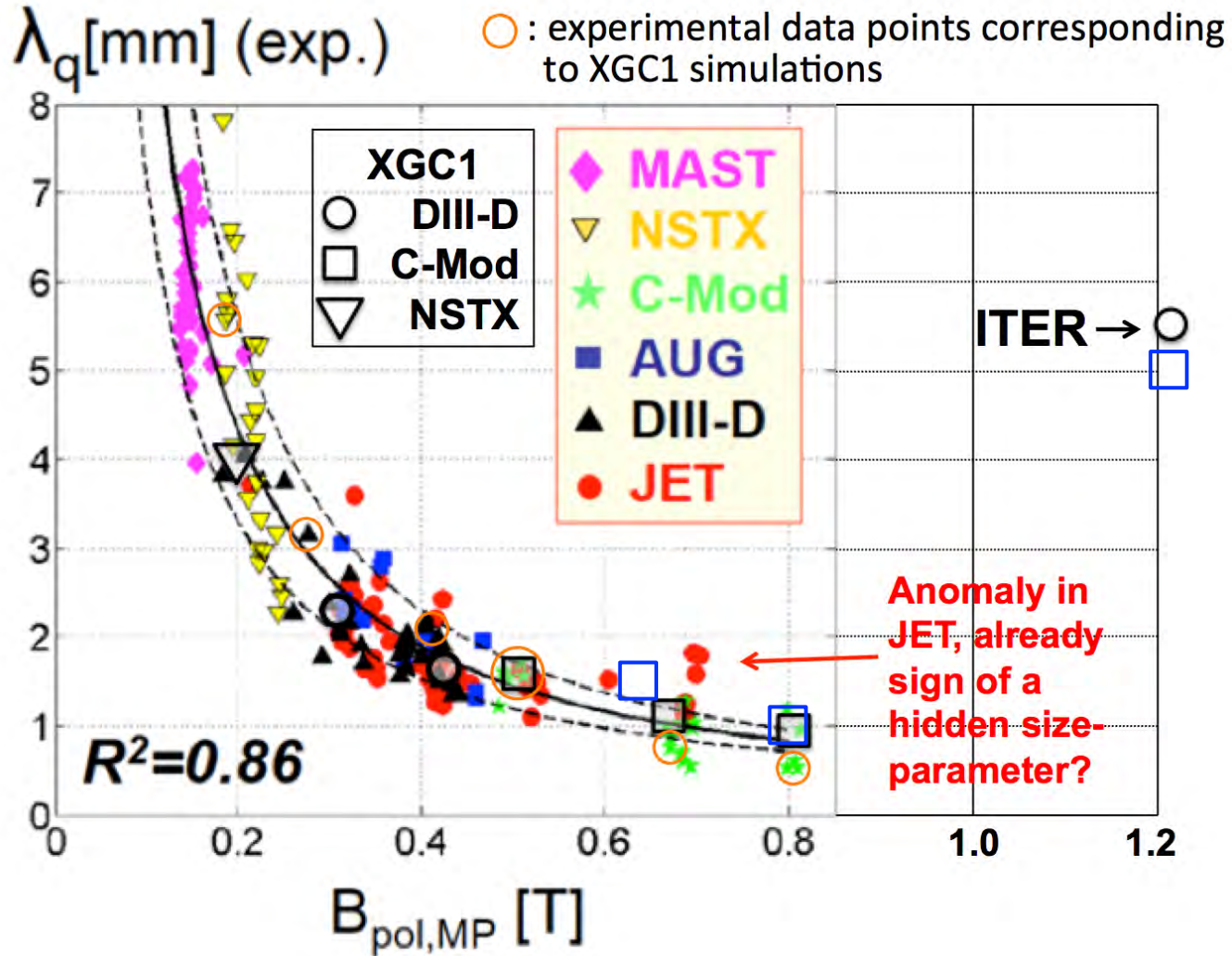
A new heuristic argument, using ion magnetic drift and $\delta E \times B$ mixing

[ρ_i and ρ_{iPOL} are at outboard midplane using $T_{i,sep}$]

- Blob size $\Delta_{blob} \approx$ edge meso scale $\approx (L_n \rho_i)^{1/2} \approx (0.05 a \rho_i)^{1/2}$
- Radial ambipolar spread by blobs $\lambda_q^{Blob} \approx (\Delta_{blob} / 2) (T_{e,sep} / T_{i,sep})$
 $\rightarrow \Delta_{blob} \approx 1.0$ mm for 0.8-0.9MA C-Mod, $T_{i,\psi=0.99} = T_{e,\psi=0.99} = 75$ eV, and
 ≈ 5.0 mm for ITER, $T_{i,\psi=0.99} = T_{e,\psi=0.99} = 0.8$ keV
- Ion-drift spread $\lambda_{q,HD}$ (Goldston) $\sim (2a/R) \rho_{iPOL} \sim \lambda_q^{Eich14} = 0.63 B_{pol}^{-1.19}$
- **$\lambda_q = \text{Max}(\lambda_q^{Eich14}, \lambda_q^{Blob})$ yields a good projection from C-Mod to ITER**
- JET is the closest tokamak to ITER and can be an excellent validation bed for a quantitative scaling formula if a higher B_p operation is possible.
- Small C-Mod at higher B_p and fixed $T_{i,sep}$ can also be helpful.

Different arguments on turbulent spreading of λ_q in [J. Myra et al., PoP 22, 042516 (2015)], and in references therein.

“□” from $\lambda_q = \text{Max}(\lambda_q^{\text{Eich14}}, \lambda_q^{\text{Blob}})$ recovers Eich14 (\approx Goldston), JET high B_p , and all the XGC1-predicted data points including ITER.



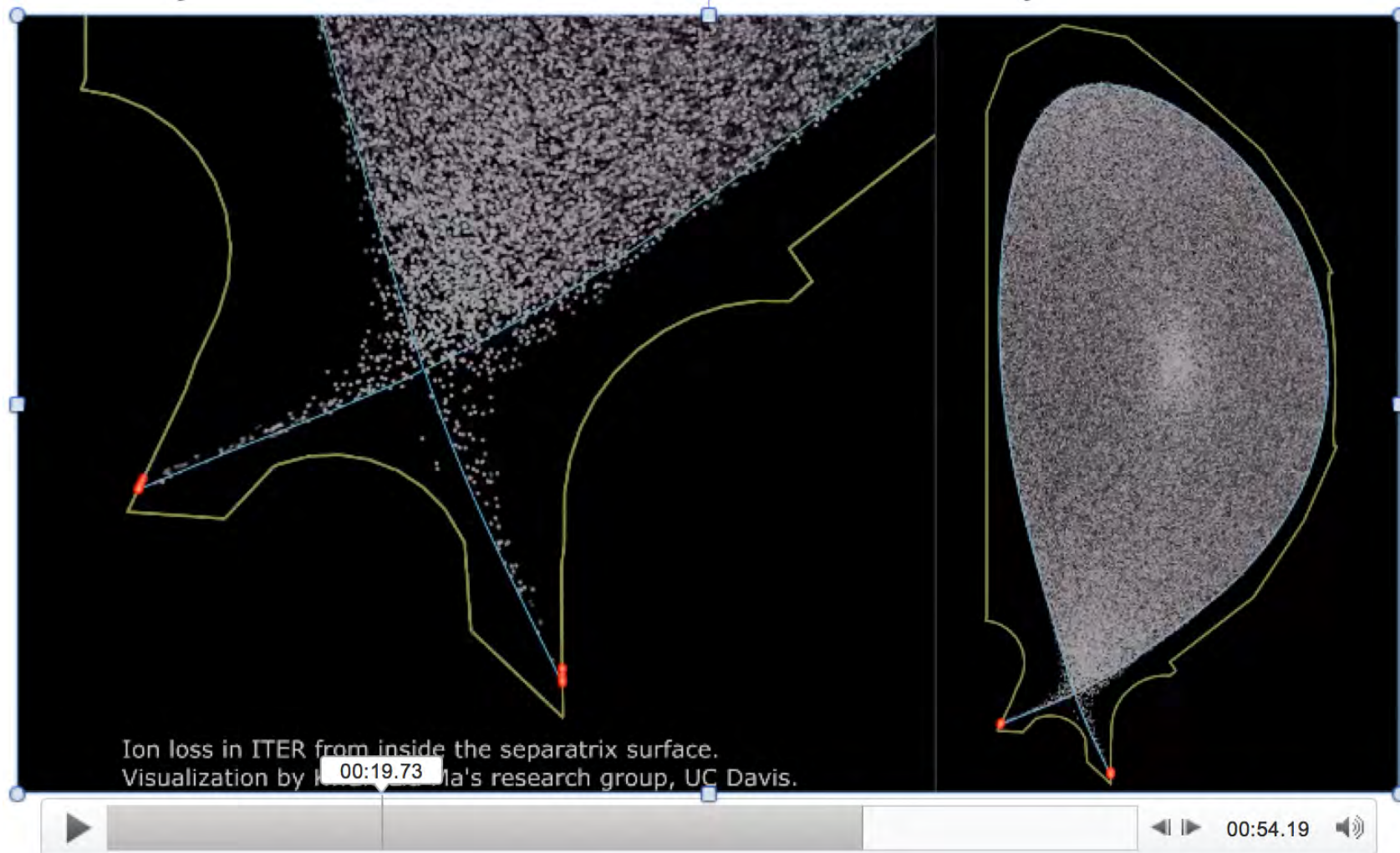
Conclusion and discussion

- Prediction from gyrokinetic XGC1 simulations on the 3 US tokamaks have been validated against the experimental scaling $\lambda_q \propto 1/B_p^{1.19}$.
- For DIII-D and NSTX, heat-flux width is dominated by the ion magnetic drift physics \rightarrow Similar to Goldston scale, but by warm ions
- For C-Mod (small size) that has high B_p and small magnetic drift, λ_q is at cross-over between magnetic-drift and blobby spreads.
- The same code that reproduces the experimental results on three US tokamaks shows $\sim \lambda_q \approx 5.6\text{mm}$ for ITER (large size): blob dominated
 - \rightarrow Much easier operation of ITER
- It appears that there is a size scaling missing in the existing formula.
 - $\lambda_q = \text{Max}[\lambda_q^{\text{Eich14}}, \lambda_q^{\text{Blob}}]$ gives an adequate projection from C-Mod to ITER (and JET experiment)
- JET at higher B_p could shed brighter light on the hidden size scaling parameter.
- More computing time could nail this down.

Backup slides

XGC1 can study divertor heat-flux at unprecedented detail.

- Ion magnetic-drift loss from warm pedestal: $\lambda_{NEO} \approx 2(a/R) \rho_{iPOL}^w$ (extruded part from separatrix) $\sim 2(a/R)\rho_{iPOL}$ (at separatrix): Basis for Goldston model
- Blobby turbulent ion spread also comes into play: Checked by electrons.



ITER

In a core plasma, f evolves slowly, $\gtrsim 100\text{ms}$

For this argument, let's use the drift kinetic equation

$$\partial f / \partial t + (\mathbf{v}_{\parallel} + \mathbf{v}_d) \cdot \nabla f + (e/m) E_{\parallel} v_{\parallel} \partial f / \partial w = C(f, f) + \text{Sources/Sinks}$$

where w is the particle kinetic energy.

In a near-thermal equilibrium, we can take the “transport ordering” (= diffusive ordering):

$$\partial f / \partial t = O(\delta^2), S = O(\delta^2), \text{ with } \delta \ll 1$$

- Let $f = f_0 + \delta f$, with $\delta f / f_0 = O(\delta)$, $\delta \ll 1$, $v_d / v_{\parallel} = O(\delta)$, $E_{\parallel} / m = O(\delta \text{ or } \delta^2)$

$$O(\delta^0): v_{\parallel} \cdot \nabla f_0 = C(f_0, f_0) \rightarrow f_0 = f_M: \text{H-theorem} \rightarrow \text{fluid background}$$

$$O(\delta^1): \partial \delta f / \partial t + v_{\parallel} \cdot \nabla \delta f + v_d \cdot \nabla f_0 + (e/m) E_{\parallel} v_{\parallel} \partial f_0 / \partial w = C(\delta f)$$

- ✧ Perturbative kinetic theories then yield transport coefficients $= O(\delta^2)$
- ✧ In this case, fluid transport equations ($f_0 \rightarrow n, T$) can be used with the kinetically evaluated or ad hoc closures

→ **GK simulation is cheaper per physics time, but δf equilibrates on a slow time scale $O(\delta^1 \omega_{bi}) \sim \text{ms}$. And, a meaningful time evolution of f_0 in \mathbf{V}_T frame can only be obtained in a long “transport-time” scale $O(\delta^2 \omega_{bi})$. \mathbf{V}_T evolves on an even slower time scale.**

In edge plasma, f evolves fast, $\sim 0.1\text{ms}$

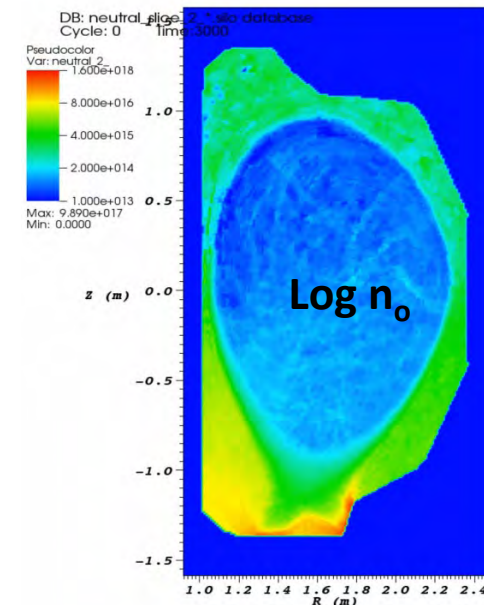
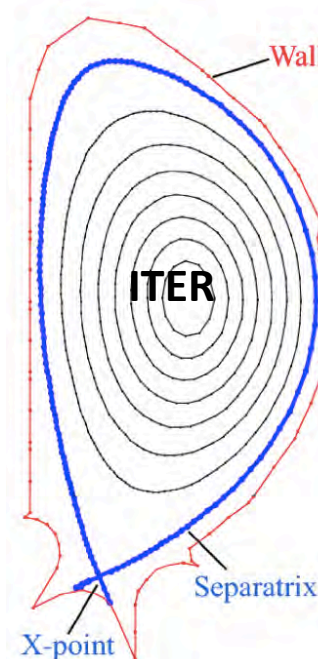
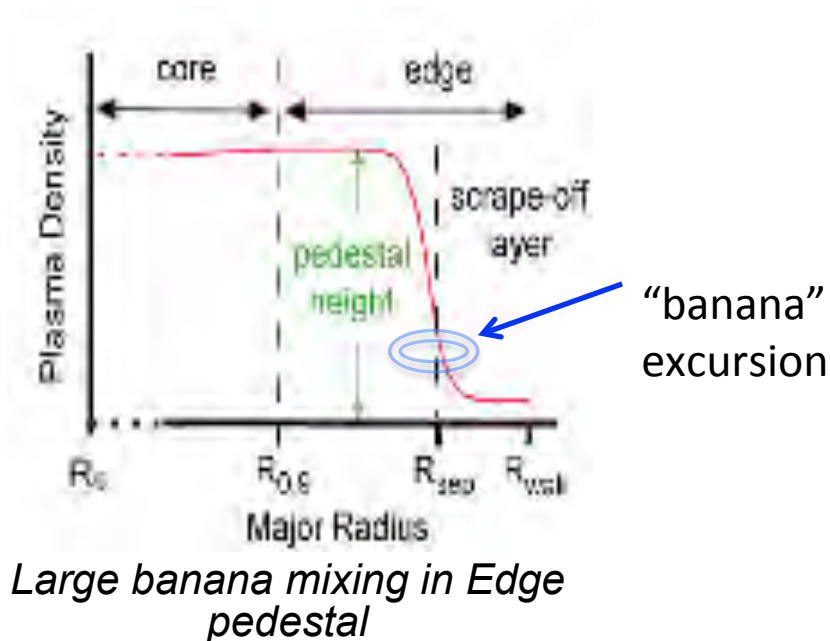
- Ion radial orbit excursion width \sim pedestal width & scrape-off layer width
- Orbit loss from $\psi_N < 1$ and parallel particle loss to divertor

All terms can be large: \sim either $O(\omega_{bi})$ or $O(v_C)$

- $\mathbf{v}_{\parallel} \cdot \nabla f \sim \mathbf{v}_d \cdot \nabla f \sim C(f, f) \sim eE_{\parallel} v_{\parallel} / m \partial f / \partial w \sim O(\omega_{bi}) \sim 0.05 \text{ ms}$ in DIII-D edge
- f equilibrates very fast: $\partial f / \partial t + (\mathbf{v}_{\parallel} + \mathbf{v}_d) \cdot \nabla f (e/m) + E_{\parallel} v_{\parallel} \partial f / \partial w = C(f, f) + S$.

Fast-evolving nonthermal, non-diffusive kinetic system:

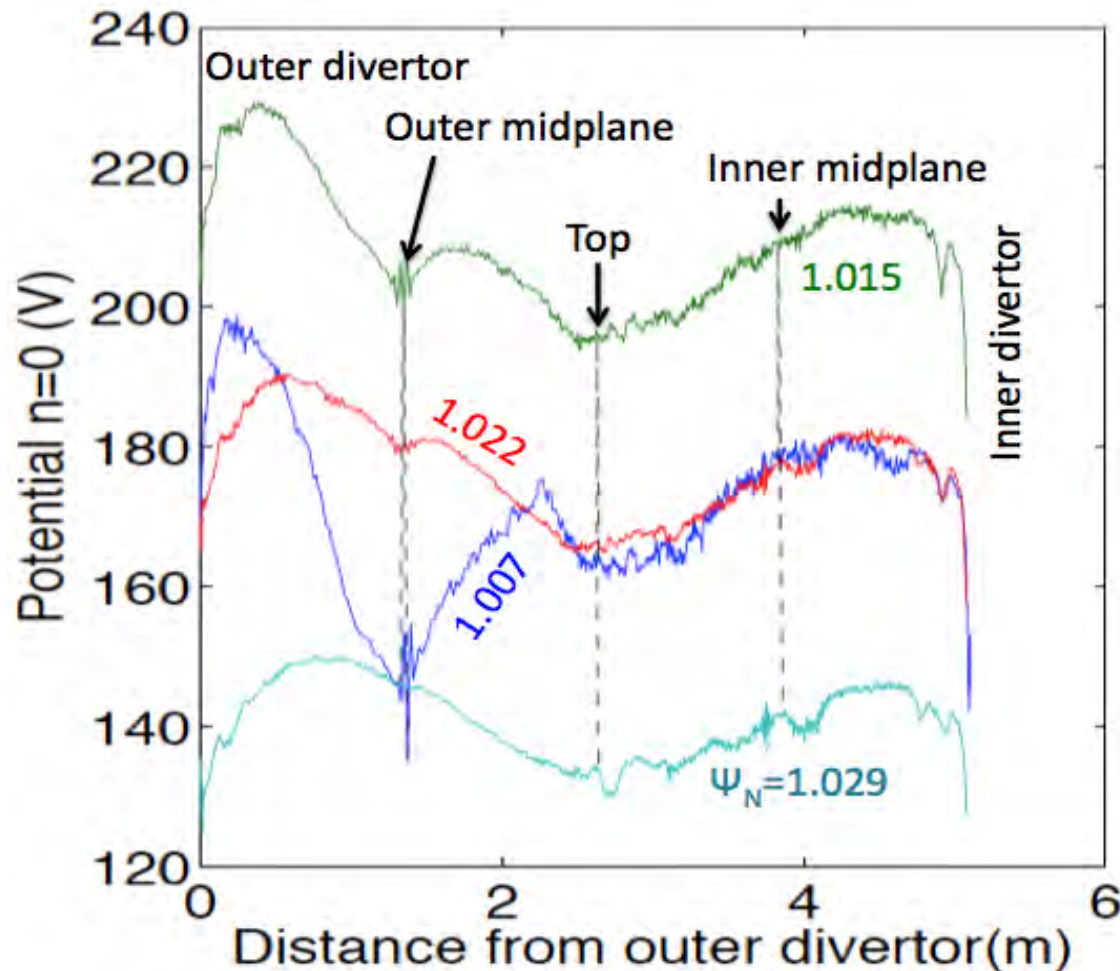
- Expensive per physics time \rightarrow ideal for extreme scale computing.
- However, a short time simulation ($\sim 0.1\text{ms}$) can yield equilibrium physics.



Poloidal potential variation in the scrape-off layer is also calculated in XGC1 for self-consistent heat-flux physics.

Notice strong pre-sheath in front of the divertor plates, which plays an important role in energy exchange between ions and electrons.

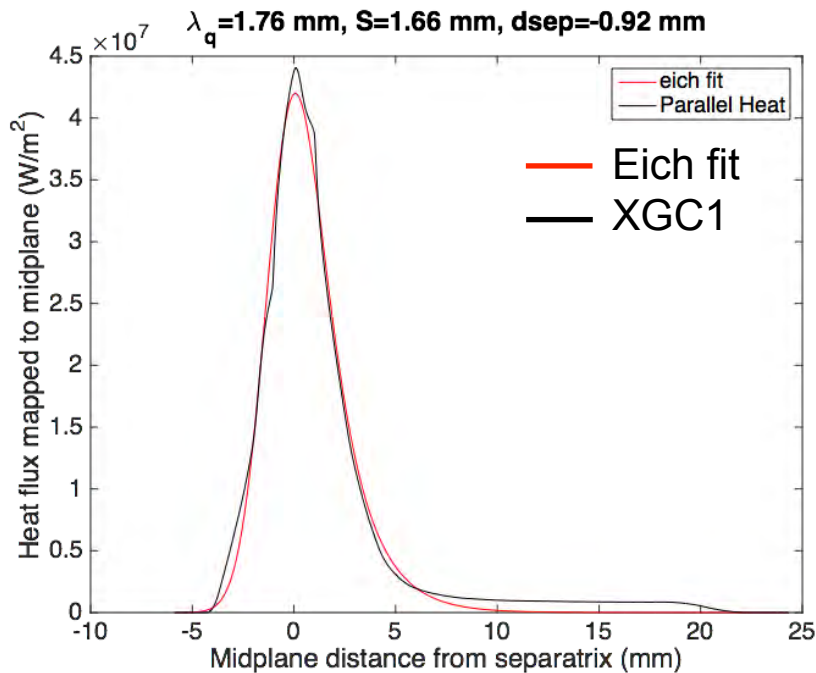
With nonlinear collisions and neutral recycling



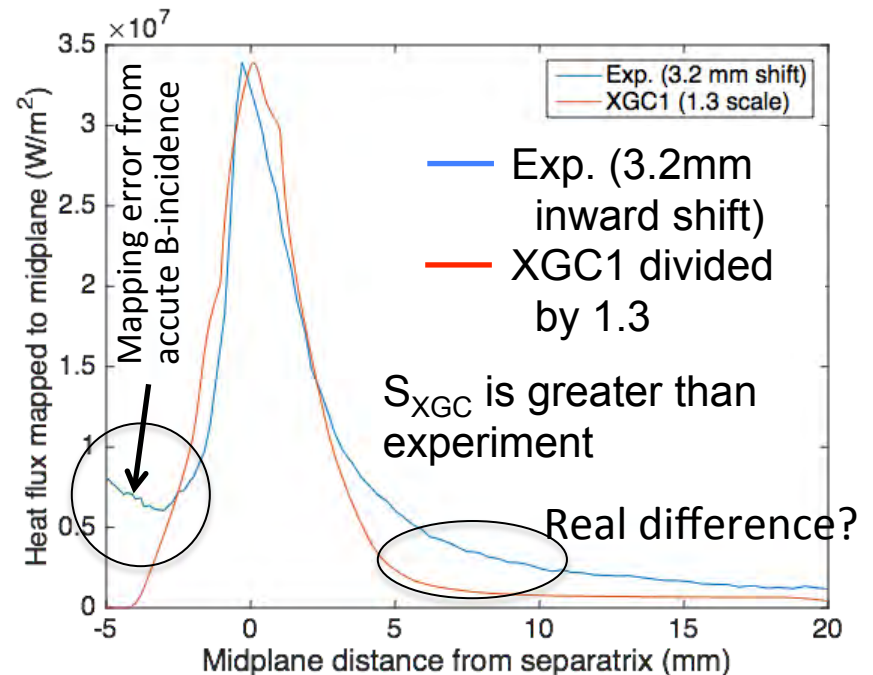
A model DIII-D
H-mode plasma

Example heat flux footprint: DIII-D 144981, 1.5MA:

Some have better and some (e.g., NSTX data) have worse agreement with experimental data than shown.



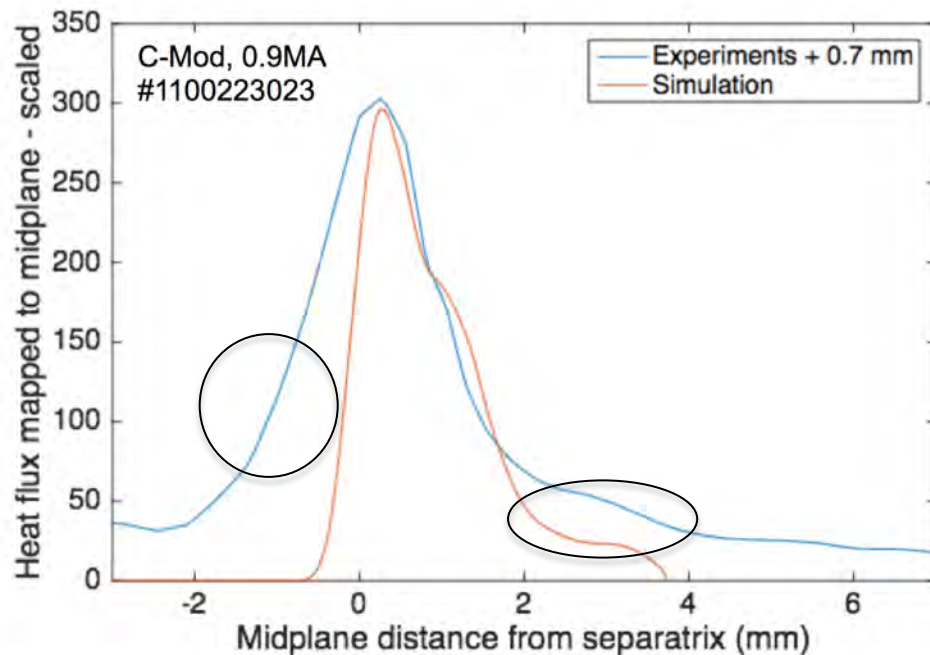
Heat-flux footprint mapped back to outside midplane for DIII-D #144981. The Eich formula yields an excellent fit, with $\lambda_q^{XGC1} \approx 1.8 \text{ mm}$.



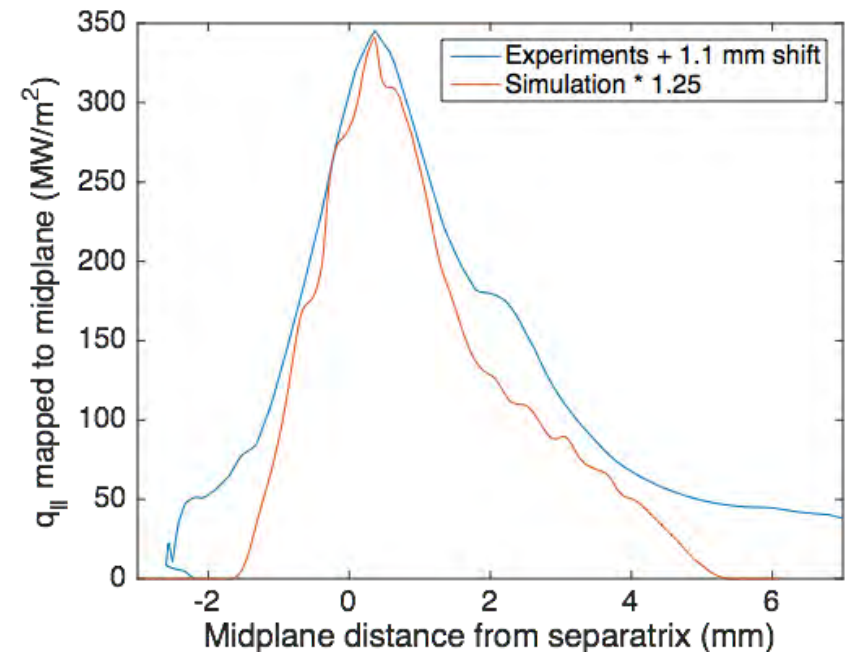
Heat-flux footprint comparison between XGC1 (divided by 1.3) and experiment (moved inward by 3mm) on DIII-D discharge #144981.

Disagreement in the private flux region with experiments exists on C-Mod, too, at high B_p , but not at lower B_p .

However, the C-Mod IR data in private flux region was not reliable (LaBombard). Even with these disagreements with experiments in some cases, $\lambda_q(XGC1)$ fits the overall scaling curve better than the experimental IR results do.

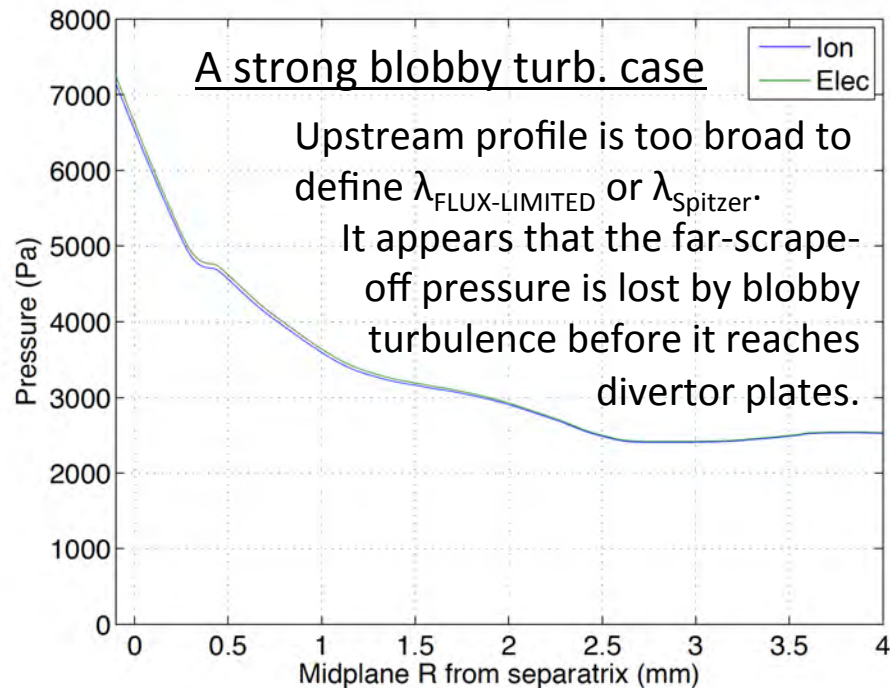


C-Mod, 1100223023, 0.9MA, showing a bigger spread of midplane IR footprint into the private flux region. Lower B_p C-Mod cases do not show this.

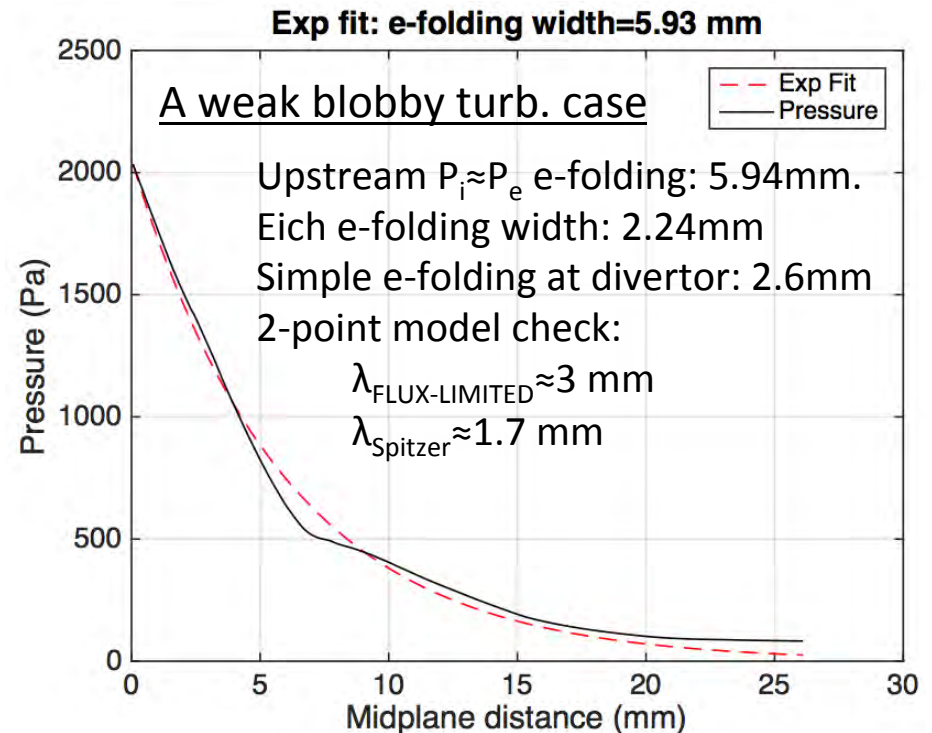


C-Mod, 1100223026, 0.5MA, does not show a wider spread of midplane IR footprint into the private flux region than what XGC1 shows.

2-point model check in XGC1: Not conclusive yet.



Upstream electron and ion pressure profile at outboard midplane from the 0.9MA C-Mode simulation using XGC1.



Upstream pressure profile at outboard midplane from the 1MA DIII-D simulation using XGC1.

For those who are interested in Eich's S-value

- The Eich spread parameter from XGC1 varies widely
 - $0.35 \leq S/\lambda_q \leq 0.94$ for DIII-D and C-Mod
 - Why does λ_q agree so well with experiments while S does not?
- For ITER, $S/\lambda_q = 0.375$