

FORO Project on Safety Culture in organizations, facilities and activities with sources of ionizing radiation

IAEA - International Conference on Human and Organizational Aspects of Assuring Nuclear Safety - Exploring 30 Years of Safety Culture Vienna, Austria

22 - 26 February 2016



Foro Iberoamericano de Organismos Reguladores Radiológicos y Nucleares

About FORO

FORO

an association of Nuclear and Radiological regulators created in 1997 with the aim of promoting Radiation Protection, Nuclear Safety and Security at the highest level in the region.

Objective

to be a fruitful environment for strengthening safety through the exchange of information and practices, as well as through technical and scientific projects in matters of mutual interest.

Language

Spanish

FORO Members

ARGENTINA

BRASIL

CHILE

COLOMBIA

CUBA

SPAIN

MEXICO

PERU

URUGUAY



















Autoridad Regulatoria **N**uclear

Comissão Nacional de Energia Nuclear

Comisión Chilena de Energía Nuclear

Ministerio de Minas y Energía

Centro Nacional de Seguridad Nuclear

Consejo de Seguridad Nuclear

Comisión Nacional de Seguridad Nuclear y Salvaguardias

Instituto Peruano de Energía Nuclear

Autoridad Reguladora Nacional en Radioprotección

FORO Program

- Radiation protection of workers
- Radiation protection of patients
- Radiation protection of the public and the environment
- Emergency preparedness and response
- Accident and Incident Management
- Control of radiation sources
- Decommissioning and closure of Installations

- Radioactive waste management
- Nuclear safety
- Transport of radioactive material
- Knowledge management
- Human and organizational factors
- > Physical security
- Legal issues

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FORO Safety Culture Experts



FORO Safety Culture Project Meetings

- Havana, Cuba, October 2012
- Santiago, Chile, May 2013
- Lima, Peru, November 2013
- Buenos Aires, Argentina, March 2014
- Havana, Cuba, September 2014

Project outcome is a document:
Safety Culture in
organizations, facilities and
activities with sources of
ionizing radiation



Safety Culture (following the IAEA approach):

"the assembly of characteristics and attitudes in the organizations, its managers and workers which assures that, as an overriding priority, safety issues receive the attention warranted by their significance".

Safety is understood

"as the protection of people and environment against the associated risks of ionizing radiation and also the radiological safety and the security of radiation sources", assuming that they are inextricably linked.



"Cultura de Seguridad en las organizaciones, instalaciones y actividades

Versión Final

INDICE

0	PREFACIO	Ш	
1	INTRODUCCIÓN		
	1.1. Antecedentes 1.2. Objetivos 1.3. Alcance 1.4. Estructura del Documento	1 5 6 6	
2	FUNDAMENTOS TEÓRICOS DE CULTURA	9	
	2.1. Conceptos Básicos. 2.2. Cambio Cultural.	9 12	
3	CULTURA DE SEGURIDAD EN LAS ORGANIZACIONES QUE REALIZAN ACTIVIDADES CON FUENTES DE RADIACIÓN	16	
	3.1. Generalidades sobre Cultura de Seguridad. 3.2. Situación de la Cultura de Seguridad en las Organizaciones que realizan	16	
	actividades con fuentes de radiación. 3.3. Definición de Cultura de Seguridad en las Organizaciones que realizan actividades	17	
	con fiuentes de radiación. 3-4. Particularidades de las Organizaciones que realizan actividades con fiuentes de radiación que deben considerarse en el abordaje del concepto de Cultura de	18	
	Seguridad 3.4.1. Particularidades conceptuales	20 20	
	3.2.2. Particularidades prácticas	21	
4	ELEMENTOS BÁSICOS DE LA CULTURA DE SEGURIDAD EN LAS ORGANIZACIONES QUE REALIZAN ACTIVIDADES CON FUENTES DE RADIACIÓN	23	
	4.1. Elementos Básicos de la Cultura de Seguridad en las Organizaciones que realizan actividades con fuentes de radiación	23	
	4.1.1. Prioridad de la seguridad	24	
	4.1.2. Liderazgo y Compromiso visibles de la Alta Dirección con la seguridad	26	
	4.1.3. Identificación y Solución oportuna de los problemas de seguridad	27 28	
	Responsabilidad, Involucramiento y Comportamiento Individual con respecto a la seguridad.	29	
9	4.1.6. Comunicación efectiva sobre seguridad	30	
	4.1.7. Reporte libre sobre seguridad	31 32	
	4.1.9. Aprendizaje organizacional continuo sobre seguridad	33 34	
5	NIVELES DE CULTURA DE SEGURIDAD EN LAS ORGANIZACIONES QUE REALIZAN ACTIVIDADES CON FUENTES DE RADIACIÓN	36	
	El beneficio y la complejidad de establecer Niveles de Cultura de Seguridad Niveles de Cultura de Seguridad en las Organizaciones que realizan actividades con fuentes de radiación	36 36	

- The FORO Project document on Safety Culture has 10 chapters.
- Develops from the theoretical bases of the safety culture...
- There are summarized the most important theoretical aspects of the concept of culture whose understanding is essential to comprehend, address and act on safety culture.
- From the abundant existing information and research in this regard, there were selected those concepts that were considered more aligned with the objectives of the project.

	FOR	"Cultura de Seguridad en las organizaciones, instalaciones y actividades con fuentes de radiación ionizante"	Versión Fin Noviembre 2
		DICADORES DE LA CULTURA DE SEGURIDAD EN LAS ORGANIZACION JE REALIZAN ACTIVIDADES CON FUENTES DE RADIACIÓN	
	6. 6.	2 Indicadores de la Cultura de Seguridad en las Organizaciones que realiz	zan
	6.	actividades con fuentes de radiación. Amenazas a la Cultura de Seguridad y Síntomas de su deterioro en u	40 ma
Г			
		/ALUACIÓN DE LA CULTURA DE SEGURIDAD EN LAS ORGANIZACION JE REALIZAN ACTIVIDADES CON FUENTES DE RADIACIÓN	
	7.		
	7.: 7.:	actividades con fuentes de radiación	50
ı	***	actividades con fuentes de radiación	
ı	7.		
ı		4.1. Revisión Documental	
ı		4.2. Observación de Procesos	
		4.3. Encuestas	
		4.4. Entrevistas	
		4.5. Grupos Focales.	
		Seguridad.	de . 62
	0	Organizaciones que realizan actividades con fuentes	de
		radiación.	62
	8.	2.1 Acción del Gobierno	64
	8.	2.2 Acción del Organismo Regulador	64
		2.2.1 Formas de la Acción Externa del Organismo Regulador	de
		la Seguridad de las Organizaciones. 2.2.3 Estrategias de acción del Organismo Regulador.	66
		2.3 Acción de las Sociedades Profesionales afines a la Protección Radiológica	67
	8.	8 Programa de Fomento y Desarrollo de la Cultura de Seguridad en l Organizaciones que realizan actividades con fuentes de radiación (PFD-CS) 3.1 FASE I: Establectimiento de las Bases del Programa	68
		3.2 FASE II: Desarrollo de las herramientas de evaluación de la Cultura Seguridad	de
		 FASE III: Evaluación y diagnóstico de la Cultura de Seguridad 	
		3.4 FASE IV: Planificación de las Acciones de Mejora de la Cultura Seguridad	69
		3.5 F.ÄSE V: Ejecución del Plan de Acción de Cultura Seguridad	69
		3.6 FASE VI: Evaluación de Seguimiento	
	8.	3.7 Actividades de Entendimiento Común	la
	8	Seguridad	71 72

- The FORO Project document on Safety Culture has 10 chapters.
- Develops from the theoretical bases of the safety culture...
- ...to the practical tools to assess the level of safety culture in medical, industrial and research activities and also for radioactive waste management and transport of radioactive material.



FC	ORO	"Cultura de Seguridad en las organizaciones, instalaciones y actividades con fuentes de radiación ionizante"	Documento XXX Versión Final Noviembre 2014
6		CADORES DE LA CULTURA DE SEGURIDAD EN LAS ORGANIZACION REALIZAN ACTIVIDADES CON FUENTES DE RADIACIÓN	
	6.1	Importancia y Tipos de Indicadores de la Cultura de Seguridad	
	6.3	actividades con fuentes de radiación. Amenazas a la Cultura de Seguridad y Sintomas de su deterioro en u Organización que realiza actividades con fuentes de radiación.	40 ma
7		CUACION DE LA CULTURA DE SEGURIDAD EN LAS ORGANIZACION REALIZAN ACTIVIDADES CON FUENTES DE RADIACIÓN.	ES
	7.1	Particularidades de la Evaluación de la Cultura de Seguridad	
	7.2	Vias de Evaluación de la Cultura de Seguridad en una Organización que real actividades con fuentes de radiación. Proceso de Evaluación de la Cultura de Seguridad en una Organización que real	iza 50
		actividades con fuentes de radiación	51
	7.4	Técnicas de Evaluación de la Cultura de Seguridad.	54
	7.4.		
	7.4.	2. Observación de Procesos	
	7.4		
	7.4.		60
8	ORG.	ENTO Y DESARROLLO DE LA CULTURA DE SEGURIDAD EN L ANIZACIONES QUE REALIZAN ACTIVIDADES CON FUENTES I ACIÓN	DE 62
	8.1 8.2	La Acción Interna de la Organización en el Fomento y Desarrollo de su Cultura Segundad. La Acción Externa en el Fomento y Desarrollo de la Cultura de Seguridad en	de . 62 las
		Organizaciones que realizan actividades con fuentes radiación.	62
	8.2.1	Acción del Gobierno	
	8.2.2	Acción del Organismo Regulador	64
		1 Formas de la Acción Externa del Organismo Regulador	de
		la Seguridad de las Organizaciones	
		Estrategias de acción del Organismo Regulador Acción de las Sociedades Profesionales afines a la Protección Radiológica	
_	8.3	Programa de Fomento y Desarrollo de la Cultura de Seguridad en	las
	b	Organizaciones que realizan actividades con fuentes de radiación (PFD-CS)	68
	8.3.1 8.3.2	FASE I: Establecimiento de las Bases del Programa FASE II: Desarrollo de las herramientas de evaluación de la Cultura	de
	022	Seguridad	
		FASE III: Evaluación y diagnóstico de la Cultura de Seguridad FASE IV: Planificación de las Acciones de Mejora de la Cultura	
		Seguridad	69
	0.5.5	Seguridad	
	8.3.6	FASE VI: Evaluación de Seguimiento	
	8.3.7	Actividades de Entendimiento Común	70
	8.3.8	Acciones sobre el Liderazgo y Compromiso de los Directivos con	la

- The FORO Project document on Safety Culture has 10 chapters.
- The document describes indicators of safety culture

> and proposes ways to promote and develop a strong safety culture.

The FORO Project on Safety Culture, has 3 appendices and 5 annexes.

- the analysis of the impact of safety culture in the occurrence of radiological accidents
- best practices to foster and develop a safety culture



"Cultura de Seguridad en las organizaciones, instalaciones y actividades con fuentes de radiación innivante"

CUITTUDA DE SECUDIDAD V SISTEMAS DE GESTIÓN DE LA SECUDIDAD

Versión Final

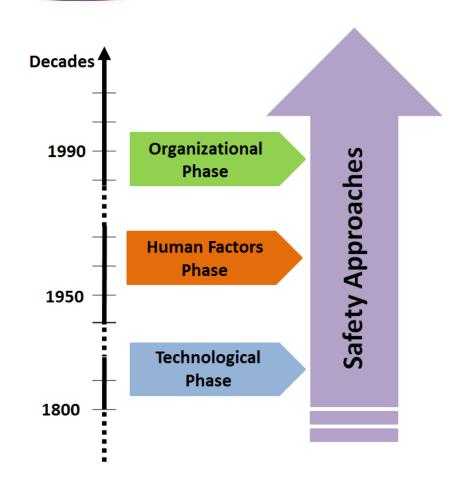
	COLI	CIGIDE SECCIONED I SISTEMES DE CESTION DE EN SECCIONED.	
		Relación de la Cultura de Seguridad con los Sistemas de Gestión de la Seguridad	74
	9.2	Los Sistemas de Gestión en las Organizaciones que realizan actividades con fuentes de radiación.	76
10	CULT	URA DE SEGURIDAD EN EL ORGANISMO REGULADOR	77
	10.1 10.2	Importancia de la Cultura de Seguridaden el Organismo Regulador Definición de Cultura de Seguridad en el Organismo Regulador y sus Particularidades.	77 77
	10.2.1	Particularidades de la Cultura de Seguridad en el Organismo	77
	10.3	Regulador. Propulador de la Cultura de Seguridad en el Organismo Regulador.	78
	10.2	1 Communica amunica con la Commidad	79
	10.3	1 Compromiso supremo con la Seguridad 2 Liderazgo y compromiso visible de la Alta Dirección del Organismo	80
	10.3	Regulador	
	10.	oportuna	81
	10.5	4 Énfoque permanente en la seguridad	81 82
			82
		.6 Relación profesional y reconocida del Organismo Regulador con las Organizaciones reguladas	83
		.7 Comunicación interna y externa efectiva sobre seguridad	83
		.8 Reporte libre y tratamiento justo de los comportamientos	84
	10.3	.9 Aprendizaje organizacional continuo	85
	10.3.	10 Comportamiento individual apropiado del personal del Organismo Regulador	86
	CONS	IDERACIONES FINALES	88
	REFE	RENCIAS BIBLIOGRÁFICAS	89
APÉ?	NDICE	PRINCIPALES SIGLAS, TÉRMINOS Y DEFINICIONES	93
APÉNDICE II		II CRITERIOS PARA LA DETERMINACIÓN DE LOS NIVELES DE CULTURA DE SEGURIDAD EN LAS ORGANIZACIONES QUE REALIZAN ACTIVIDADADES CON FUENTES DE RADIACIÓN	97
APÉ!	NDICE	III CRITERIOS PARA EL ESTABLECIMIENTO Y LA MEDICIÓN DE LOS INDICADORES DE LA CULTURA DE SEGURIDAD EN LAS ORGANIZACIONES QUE REALIZAN ACTIVIDADES CON FUENTES DE RADIACIÓN.	126
ANE	XO I	DEFINICIONES DE CULTURA DE SEGURIDAD SEGÚN	
		DIFFERENCE CONTROL OF STORES	213
ANTE	хо п	ANÁLISIS DEL IMPACTO DE LA CULTURA DE SEGURIDAD EN LA	
AINE	лоп	OCURRENCIA DE SUCESOS RADIOLÓGICOS	215
ANE	хо ш	EJEMPLO DE TRATAMIENTO DE COMPORTAMIENTOS INDIVIDUALES POR PARTE DE LOS DIRECTIVOS	239
ANE	XO IV	PRINCIPALES CARACTERÍSTICAS DE LA ORGANIZACIÓN ALTAMENTE FIABLE (OAF)	240
ANIE	XO V	BUENAS PRÁCTICAS DE FOMENTO Y DESARROLLO DE LA	
AINE	AU V	CULTURA DE SEGURIDAD EN LAS ORGANIZACIONES QUE REALIZAN ACTIVIDADES CON ELIENTES DE RADIACIÓN DESDE	

VII

Historical evolution of safety approaches

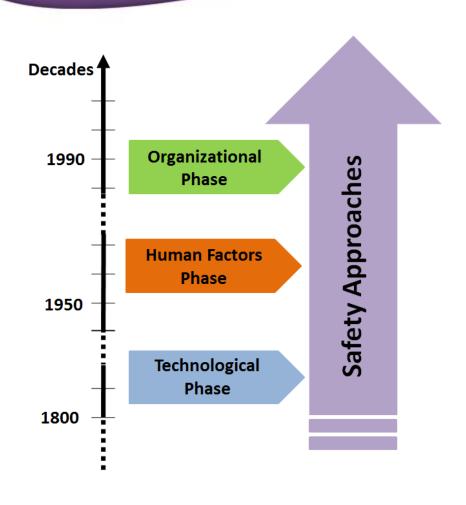
- > Safety approaches have had similar developments in almost all sectors of the industry and services with associated risks.
- > The occurrence of accidents or disasters has marked the beginning and the transition to higher stages of safety.
- Accidents revealed expiration, failure or vulnerability of the philosophies, concepts and methods to address safety, existing at that time, leading to its renewal and to qualitatively better approaches.

Historical evolution of safety approaches



- First phase: focus on technology to guarantee safety
- Second phase: more relevant the contribution of individual human error during operation, leading to the human factors phase
- Third phase: after the analysis of some accidents occurred during the 80's decade, a new vision leads to the next and most recent phase of safety approaches, the organizational phase, where the safety culture is framed.

Historical evolution of safety approaches



> The Human and
Organizational factors pose
the greatest impact on the
occurrence of accidents, with
an estimate contribution
closer to 80-90% in some
sectors.

> 10 Basic Elements



- > Several existing approaches and criteria in other risky sectors or activities were reviewed and analyzed.
- As result of this work the minimum elements to be considered in the scope of this document were established.

> 10 Basic Elements

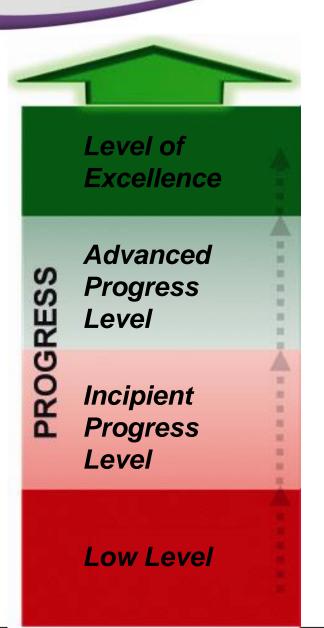


- > All 10 Basic Elements
- are interrelated and
- they all must be present to achieve a strong safety culture.

> 10 Basic Elements



provide a conceptual framework to orient the actions and efforts for promotion and development and for the evaluation, progress and monitoring of Safety Culture.



> Levels of Safety Culture

This classification in levels of safety culture has several benefits:

- it lets, after evaluation, to know where is the organization in terms of its safety culture,
- it is easier to visualize the goals or desired states, define how far/close is to achieve them and take relevant measures and actions; and
- > it serves to compare, through successive evaluations, the progress experienced on safety culture by the organization.

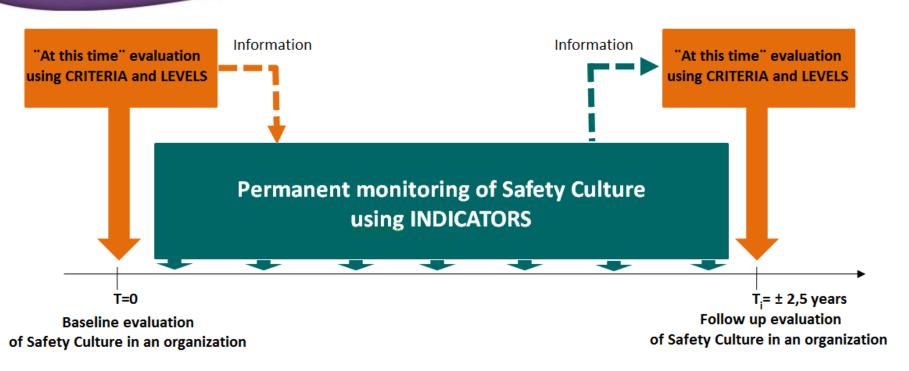
Indicators of Safety Culture

In Safety Culture it is necessary:

- to have some kind of indicators to monitor the state of safety culture,
- > to recognize if there is progress or decline, and
- because it is not possible to improve what is not measured or evaluated.

Monitoring the safety culture through INDICATORS, identifies trends that are very beneficial for an early alert on potential or imminent deterioration of safety in the organization, acting like an "anticipatory effect".

Indicators of Safety Culture



- In the context of the document, a set of Safety Culture Indicators is proposed, to evaluate each of the 10 Basic Elements and
- these indicators can be used by the organizations for systematically monitoring their behavior towards safety culture.

Indicators of Safety Culture

- ➤ 62 Safety Culture INDICATORS, related to the 10 Basic Elements, are described and the possible qualitative or quantitative measures to be used to evaluate each indicator.
- As the indicators are the result of indirect measurements, the information they provide requires an interpretation of what is reflected in terms of culture, the possible beliefs, values and behaviors with regard to safety in the organization.

Indicators of Safety Culture

- The list of indicators is a guide for the organizations to start using them in their daily work, in the appropriate form and on their distinctive features.
- This will enable the organization to become familiar with each type of indicator and simultaneously will validate its effectiveness.
- > The document also describes how to perform an evaluation of safety culture in an organization, providing information, criteria and techniques to complete the evaluation.
- The evaluation of safety culture is necessary for the diagnosis of the starting level and to decide the actions for process improvement.

- Indicators for the Basic Element of Safety Culture (1) "Priority of safety"
- (1.1) Visibility of the priority of radiation protection and safety in the documentation of the Organization.
- (1.2) Prevention/Management of conflicts related to radiation protection and safety.
- (1.3) Suspended work for concerns or suspicions about the radiation protection and safety.
- (1.4) Interaction of top management of the organization with Radiation Protection Officer or the Chief of the Radiation Protection Unit.
- (1.5) Safety management.
- (1.6) Radiation protection and safety in the career and promotion of staff within the Organization.
- (1.7) Radiation protection and safety in hiring personnel.
- (1.8) Radiation protection and safety in the procurement of services.
- (1.9) Security of radiation sources incorporated and integrated into the safety priority.



The Safety Culture evaluation and improvement process

Safety Culture evaluation process

- Five techniques are recognized to assess the safety culture. These techniques are:
- Document Review,
- Process Observation,
- Surveys,
- Interviews and
- Focus Groups.
- Each of the techniques has its particular advantages and disadvantages, emphasizing that the application of a single technique is not enough to reach conclusions on the safety culture of an organization.
- It is therefore necessary to apply a combination of all these techniques, because each has its own effectiveness to reveal or decode the different aspects of safety culture.

- Promotion and Development of Safety Culture
- Achieving a strong safety culture implies a cultural change by modifying the existing values, beliefs and behaviors with new ones that respond to the desired state.
- A cultural change can occur spontaneously as a result of experiences and processes over a period of time or by the effect of abrupt events or other factors that require change.
- > The process of cultural change can be accelerated by the planned actions.
- In the field of safety, you cannot expect events or accidents occur to produce a cultural change, it is necessary to act proactively to achieve the required level of safety culture, to avoid such events. This process is called: Promotion and Development of Safety Culture.

Promotion and Development of Safety Culture

- The promotion and development of safety culture is always a process "top-down", because the way people act is highly conditioned by the requirements set forth in the top levels of the Organization.
- This process should be complemented by the necessary involvement of all staff in terms of cultural change.

Promotion and Development of Safety Culture

There are two ways to promote safety culture in an organization:

- internal action by the organization itself and
- the action of external agents:

Government,

Regulatory Authorities,

Professional Societies,

Education and Training Organizations and

Relevant Stakeholders.

Safety Culture in Regulatory Authority

- ➤ The Regulatory Authority is one of the external factors who may have greater effect on the development and strengthening of safety culture.
- > Safety culture in the Regulatory Authority and its staff individually, their values, attitudes and behavior with respect to safety, will influence the methods of its regulatory action.
- It is important that the Regulatory Authority has and reflects a strong internal safety culture to ensure the necessary impact of regulatory action and be an example to the organizations that regulate and in promoting a strong safety culture.

> 10 Basic Elements



- Safety Culture in Regulatory Authority
- to provide a conceptual framework and guidance for the Regulatory Authority about its internal Safety Culture.

> 10 Basic Elements



- information on how to develop a Program for the Promotion and Development of Safety Culture
- practices to foster safety culture by the Regulatory Authorities, taken from the experience of FORO member countries.

FORO project on Safety Culture

- The FORO document on Safety Culture is the first stage of the process to achieve a strong safety culture in organizations working with radiation sources and
- should be completed later with other stages focused on the diffusion and implementation of the project in the different FORO member countries.

FORO project on Safety Culture

➤ The diffusion actions to facilitate the technical understanding of the document and to prepare organizations and their management and workers for project implementation was initiated by placing the document in the FORO website (free of charge)...



FORO project on Safety Culture

- The diffusion actions will be continued through:
- discussions,
- seminars and
- courses organized locally in member countries and in regional events.



X Latinamerican Regional IRPA Congress on Radiation Protection and Safety Buenos Aires, Argentina, April 2015

X Latinamerican Regional IRPA Congress on Radiation Protection and Safety Buenos Aires, Argentina, April 2015





Plenary Session on Safety Culture

Course on Safety Culture

Further prospective actions

- The diffusion actions will be completed by the possible edition of the FORO document in other languages and the preparation of IAEA TecDoc.
- Dissemination/implementation via technical cooperation projects, regional and national events.
- Experts meeting to promote Safety Culture.
- ➤ To introduce Safety Culture in Radiation Safety as infrastructure element to be included in IAEA missions.
- ➤ To consider creating audio visual presentations to illustrate the Basic Elements of Safety Culture.

FORO project on Safety Culture

- ➤ All these actions will contribute to the practical, gradual and extensive implementation of the Safety Culture Project in the FORO member countries.
- The FORO Project on Safety Culture will be a useful reference for the Iberoamerican region and
- a valuable tool to reach and maintain a strong safety culture for organizations and institutions in other parts of the world.

FORO Project on Safety Culture in organizations, facilities and activities with sources of ionizing radiation

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