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L→H Transition Criterion: A 3D Nonlinear Simulation Study

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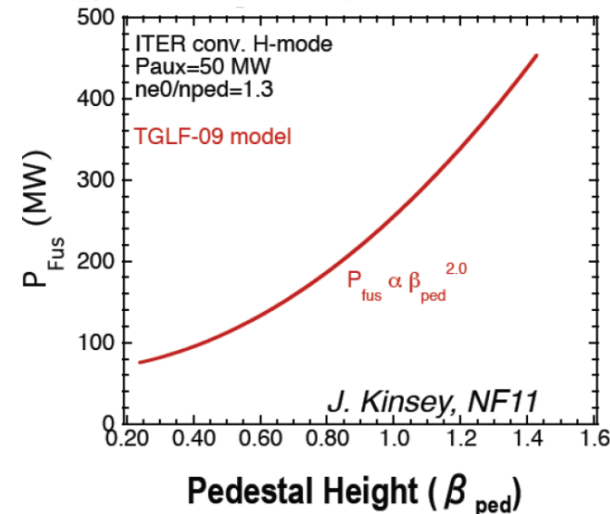
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Introduction

- L-H transition phenomenology
 - Sudden bifurcation to high confinement (H-mode)
 - Studied for ~32 years
 - Theory perspective-based on **transport bifurcation** and **profile self-organization** via predator-prey dynamics
 - **Main paradigm: ExB flow shear (ω_{ExB}) suppression of the turbulence**
 - ✓ $\omega_{\text{ExB}} > \gamma_{\text{lin}} \rightarrow$ turbulence suppressed and H-mode sustained
 - Unknown
 - ✓ Trigger mechanism
 - ✓ **Transition criterion based on microphysics** (need predictive capability)
- Main questions
 - **What triggers the transition?** → To be explained in the present talk
 - **How the transition evolves?**
 - **How predict transition, and power threshold?**

H-mode and L-H transition

- H-mode: enhanced plasma confinement with edge transport barrier (ETB)
- H-mode history/phenomenology (1982-2014)
 - ✓ Wagner (1982): first discovered at ASDEX-U
 - ✓ Er shear layer at edge, fluctuation decrease, existence of power threshold (P_{th})
 - ✓ Predator-Prey paradigm [Diamond, PRL, 1994; Kim & Diamond, PRL 2003]
 - Zonal flow (ZF): predator, turbulence: prey, mean flow: another predator
 - ZF triggers the transition, while mean flow sustains the barrier
- Why H-mode is important for fusion?
 - ✓ Practical reason: can reduce reactor size
 - ✓ H-mode driven high pedestal height
→ high fusion performance



Experimental evidence of a role of turbulence-driven (ZF) flow in triggering L-H transition

- Tynan (2013) and Manz (2012)

- ✓ Normalized Reynolds power

$$R_T = \frac{\langle \tilde{v}_r \tilde{v}_\theta \rangle \langle V_{E \times B}^{LF} \rangle'}{\gamma_{eff} \langle \tilde{v}_\perp^2 \rangle}$$

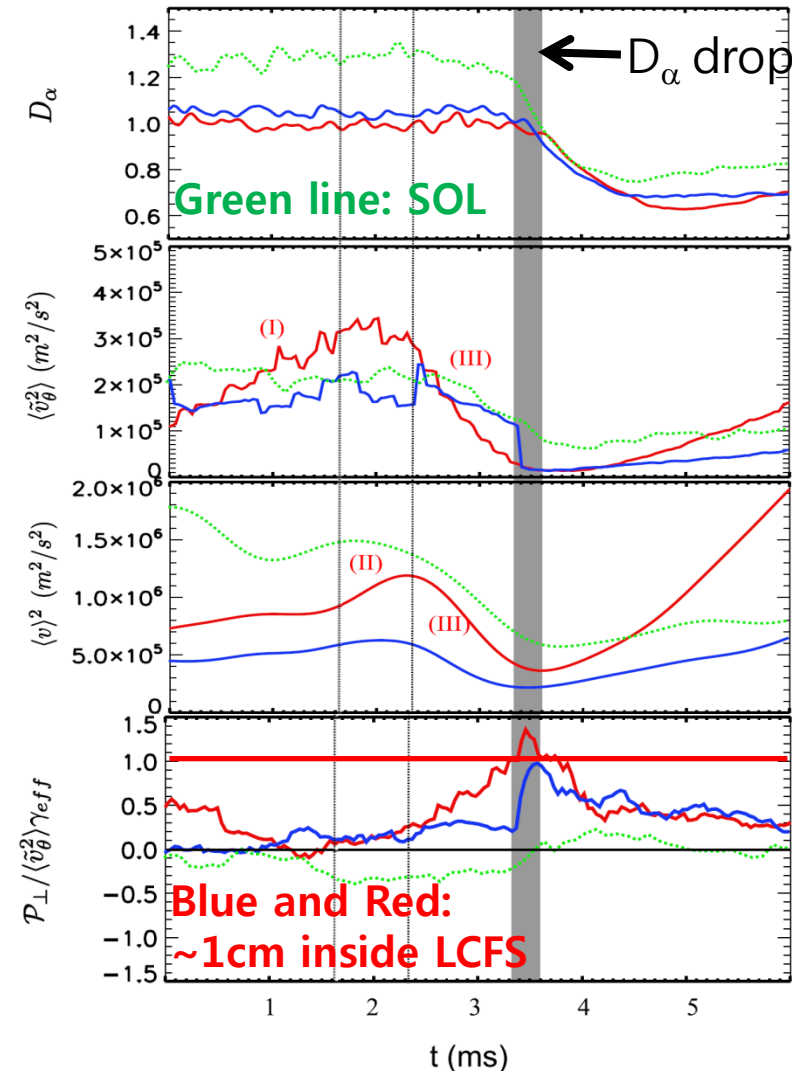
meaning a ratio of kinetic energy transfer from turbulence into ZF to the turbulence input power

- ✓ **Turbulence collapse condition**
 $R_T > 1$

- ✓ Experimental results show that L-H transition occurs when $R_T > 1$

- Yan (2014) reported a similar finding at DIII-D

- Tynan (2013)



Main results

- **3D flux-driven simulation of edge transport barrier (ETB) formation** shows that

- 1. ETB forms once input power exceeds a threshold value**

- ✓ Steep pressure pedestal , deep Er well appear when $P_{in} > P_{th}$
- ✓ Q versus $-\nabla P$ curve shows a feature of first-order phase transition

- 2. ETB transition is triggered by turbulence-driven flow shear**

- ✓ **$R_T > 1$: criterion for the trigger of the transition**
- ✓ Burst of the turbulence-driven flow shear appears just prior to the transition point

- 3. Time sequence of the transition is clear**

- 1) Peaking of the normalized Reynolds power ($R_T > 1$):**
- 2) Turbulence suppressed and pressure gradients increased
- 3) Mean flow shear ($\langle V_E \rangle'$ from ∇P) rises: **sustain H-mode**

➡ Microphysics (R_T) may govern L→H transition!

3D model using BOUT++

- **Electrostatic model with resistive ballooning (RBM) turbulence**
 - ✓ **Two field (vorticity, pressure) reduced MHD equations (constant density)**
 - ✓ **Flux driven**, self-consistently evolving pressure profile

- **Vorticity (U)**

Neoclassical poloidal flow damping accounting for self-consistent flow

$$\frac{\partial U}{\partial t} = -\vec{V}_E \cdot \nabla U - B^2 \nabla_{\parallel} \frac{J_{\parallel}}{B} + \vec{b} \times \vec{\kappa} \cdot \nabla P + \mu_{\perp} \nabla_{\perp}^2 U - \mu_{neo} (U_{0,0} - U_P),$$

$$U = \nabla_{\perp}^2 \Phi, \quad J_{\parallel} = S \nabla_{\parallel} \Phi, \quad S = \mu_0 \bar{L} V_A / \eta, \quad \text{Lundquist number } (=10^5)$$

$$U_P = -\delta(1 - k_{neo}) \nabla_{\perp}^2 P_{0,0}, \quad k_{neo}(v_{i,*}), \mu_{neo}(v_{i,*}): \text{Neoclassical flow/friction coefficients}$$

Since $v_{i,*} \sim nT_i^{-2} \sim P^{-2}$, $k_{neo}(v_{i,*}) \rightarrow k_{neo}(P)$, $\mu_{neo}(v_{i,*}) \rightarrow \mu_{neo}(P)$

- **Pressure (P)**

Heat source Sink \rightarrow models SOL loss

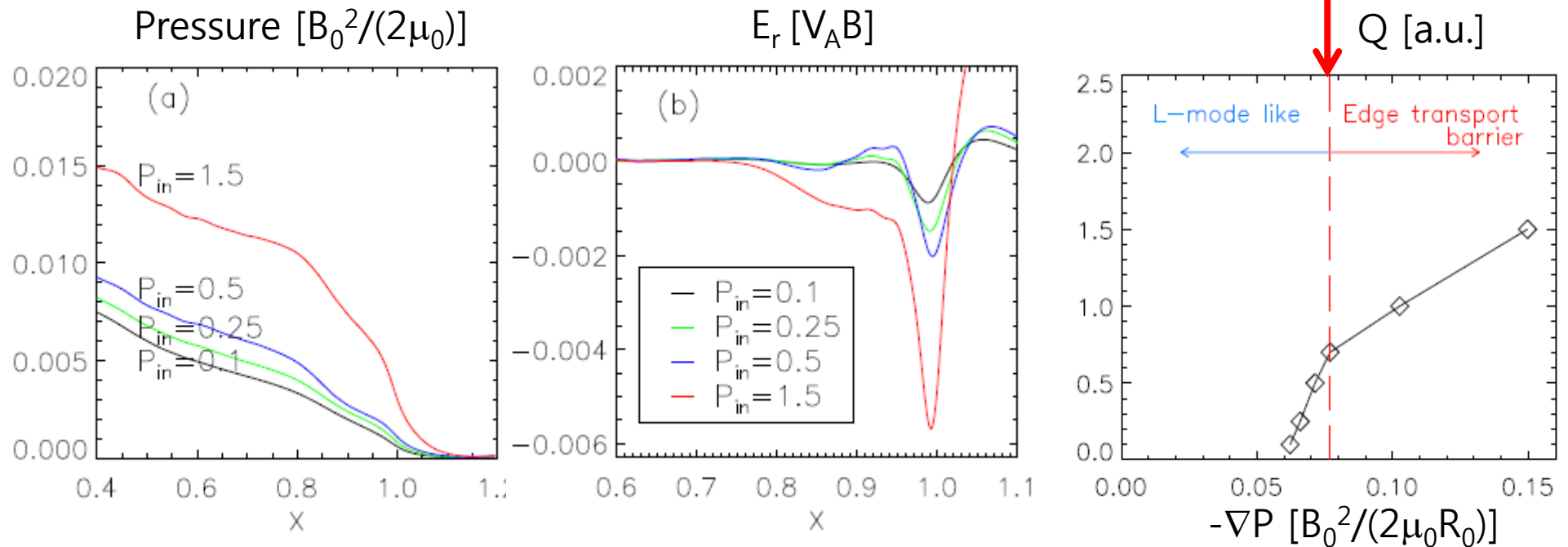
$$\frac{\partial P}{\partial t} = -\vec{V}_E \cdot \nabla P + \chi_{\parallel} \partial_{\parallel 0}^2 P + \chi_{neo} \nabla_{\perp}^2 P + S_0(r) - S_1 P_{0,0}$$

- Overall results are independent of the particular source and sink profiles
- For transport coefficients, we use $\chi_{\parallel} = 0.1$, $\chi_{neo} = \mu_{\perp} = 3.0 \times 10^{-6}$

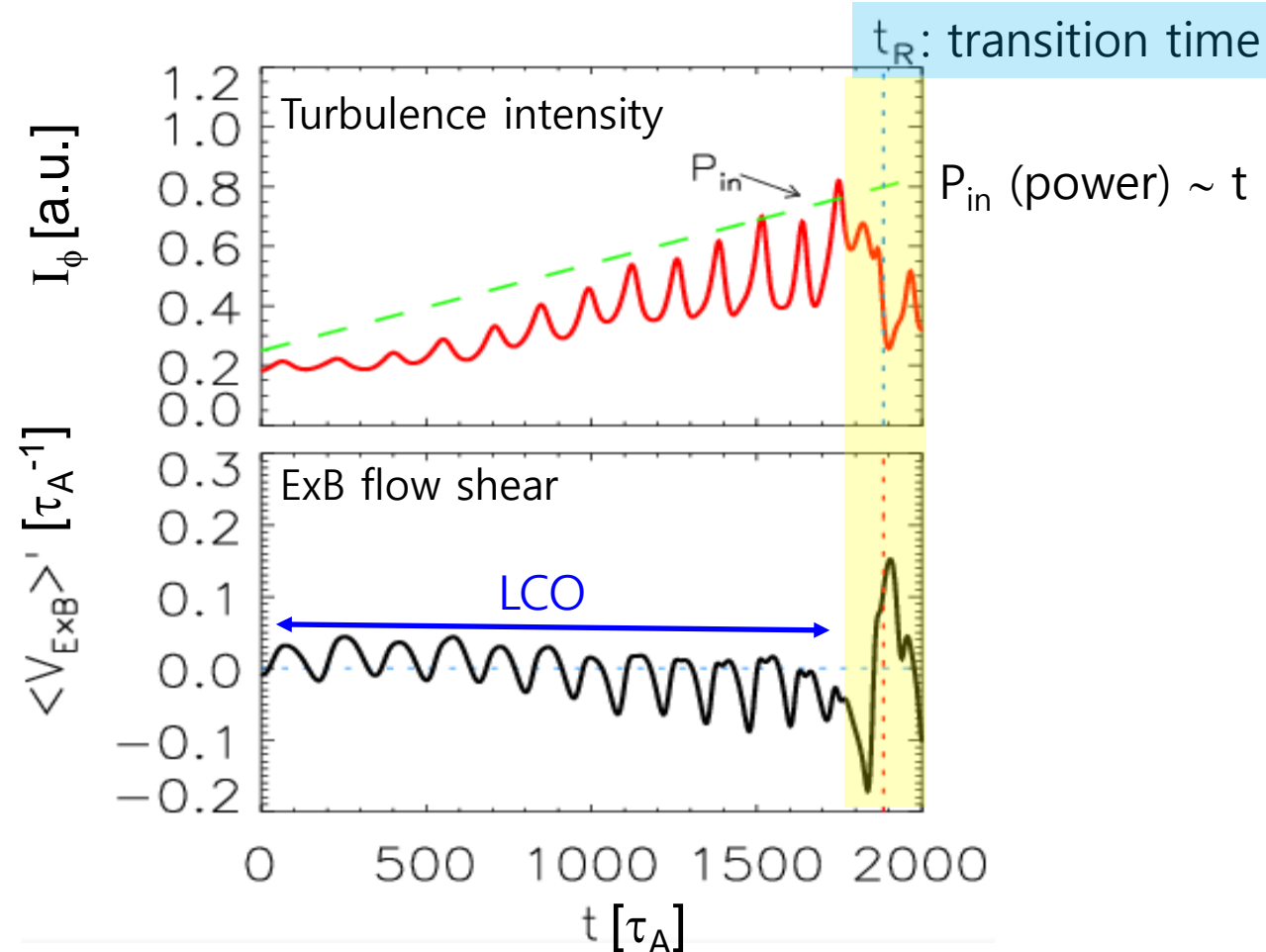
Edge transport barrier (ETB) forms when $P_{in} > P_{th}$

➤ **ETB forms at $x \sim 0.95$ for $P_{in} > P_{th}$** [Park, H-mode Workshop, 2013]

- Steep pressure pedestal
- Deep E_r well
- Discontinuity in slope of Q versus $-\nabla P$ graph
→ A feature of first-order phase transition
- Similar simulation result of ETB formation has been reported [Chone, PoP, 2014]



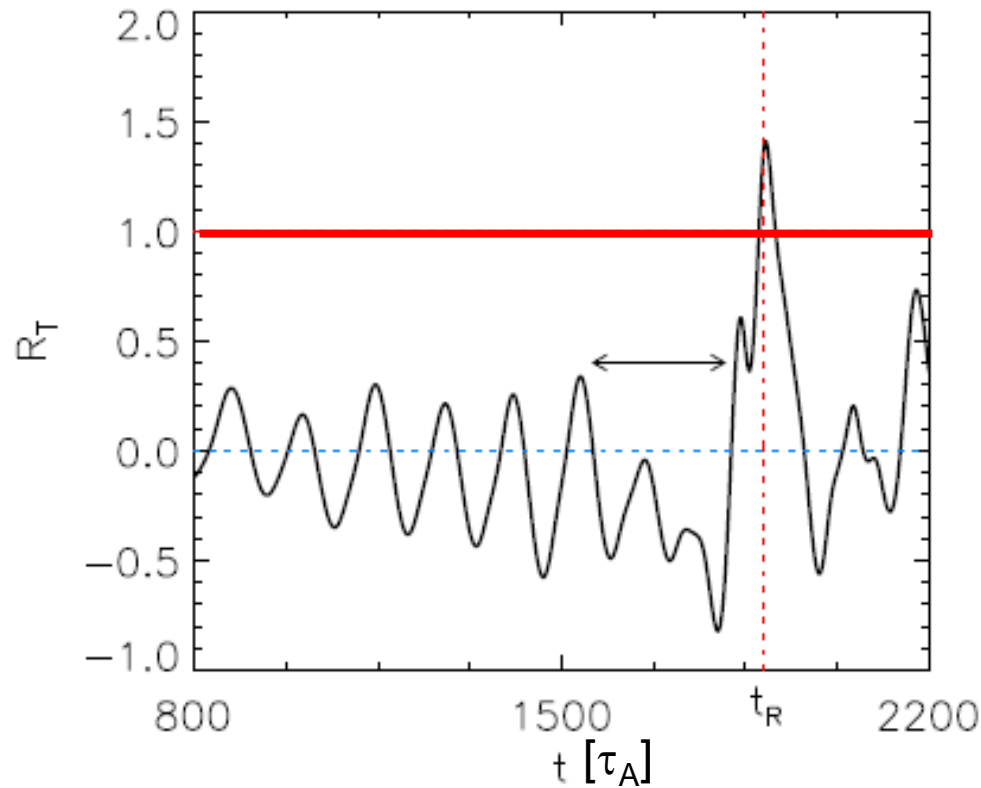
Power ramp up simulation shows the turbulence collapse at $t=t_R$ via an intermediate phase



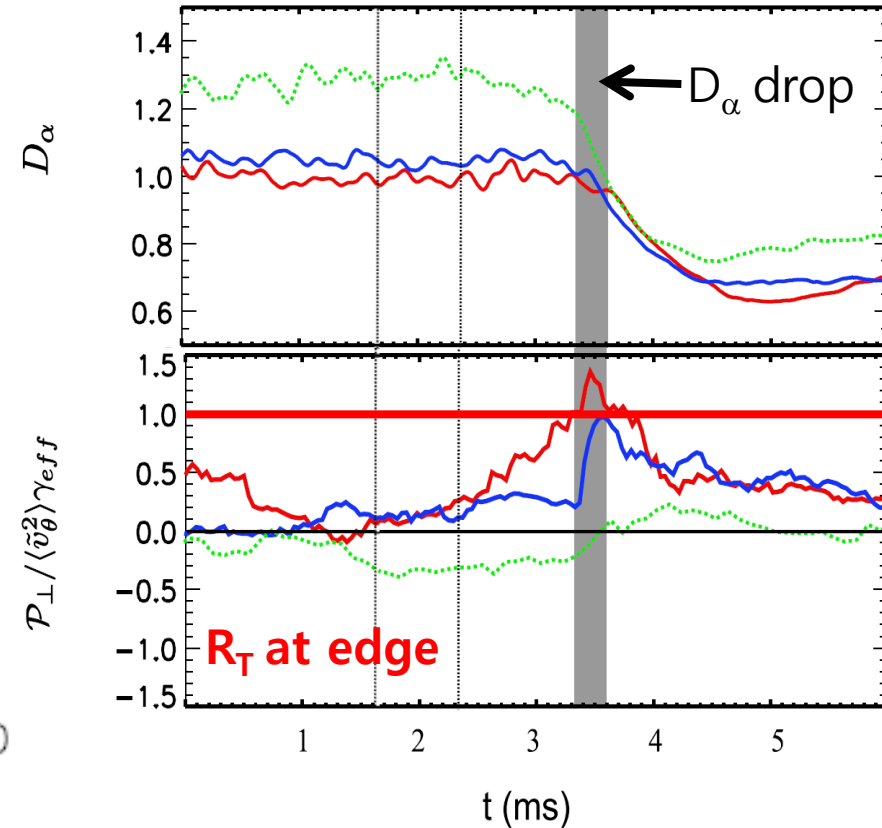
- Limit-cycle oscillation (LCO) appears prior to the transition
- Turbulence is continuously growing and peaks just before the transition
- ExB flow shear changes abruptly near the transition (yellow shaded area)

$R_T > 1$ for the trigger of the transition at $t=t_R$: fluctuation energy \rightarrow flow (m=n=0) energy

Reynolds work (simulation)

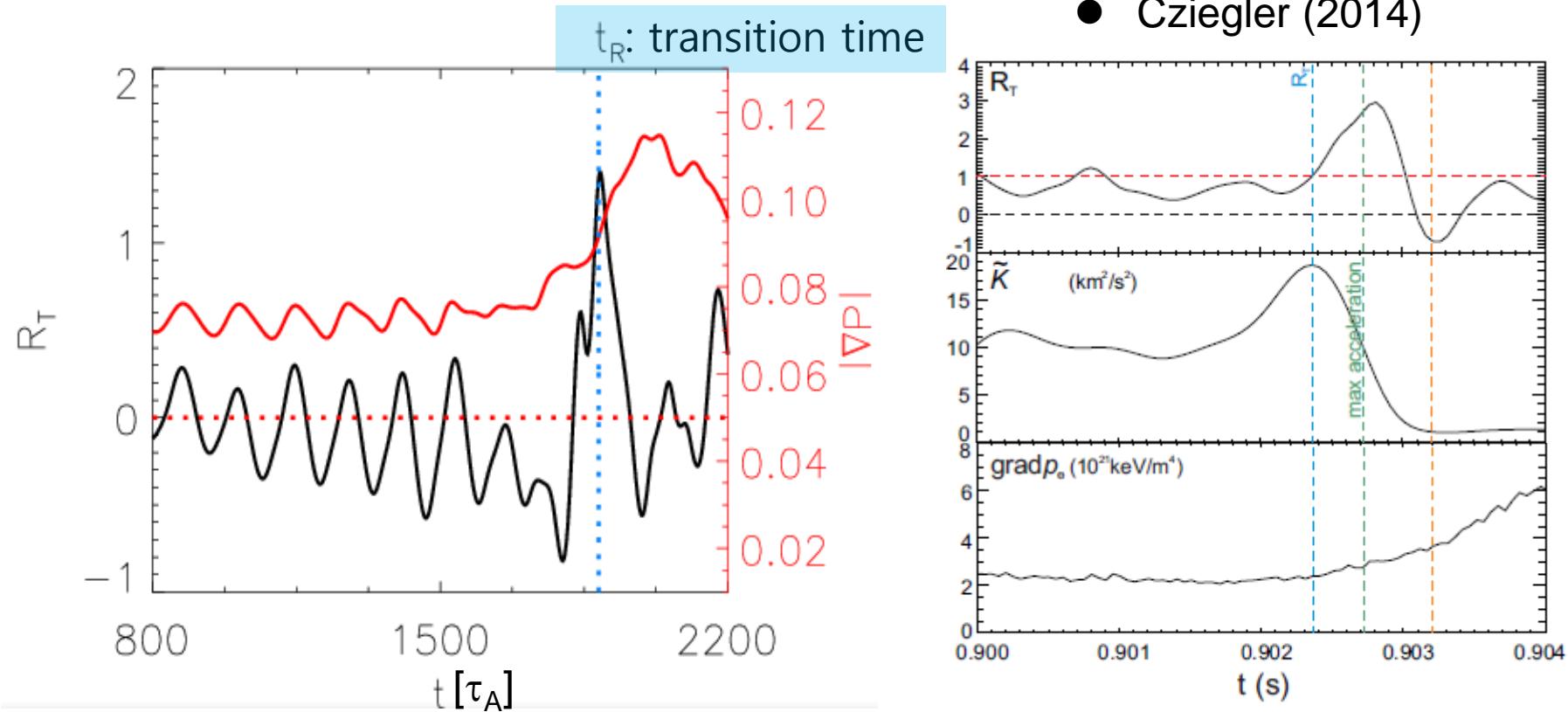


● Tynan (2013)



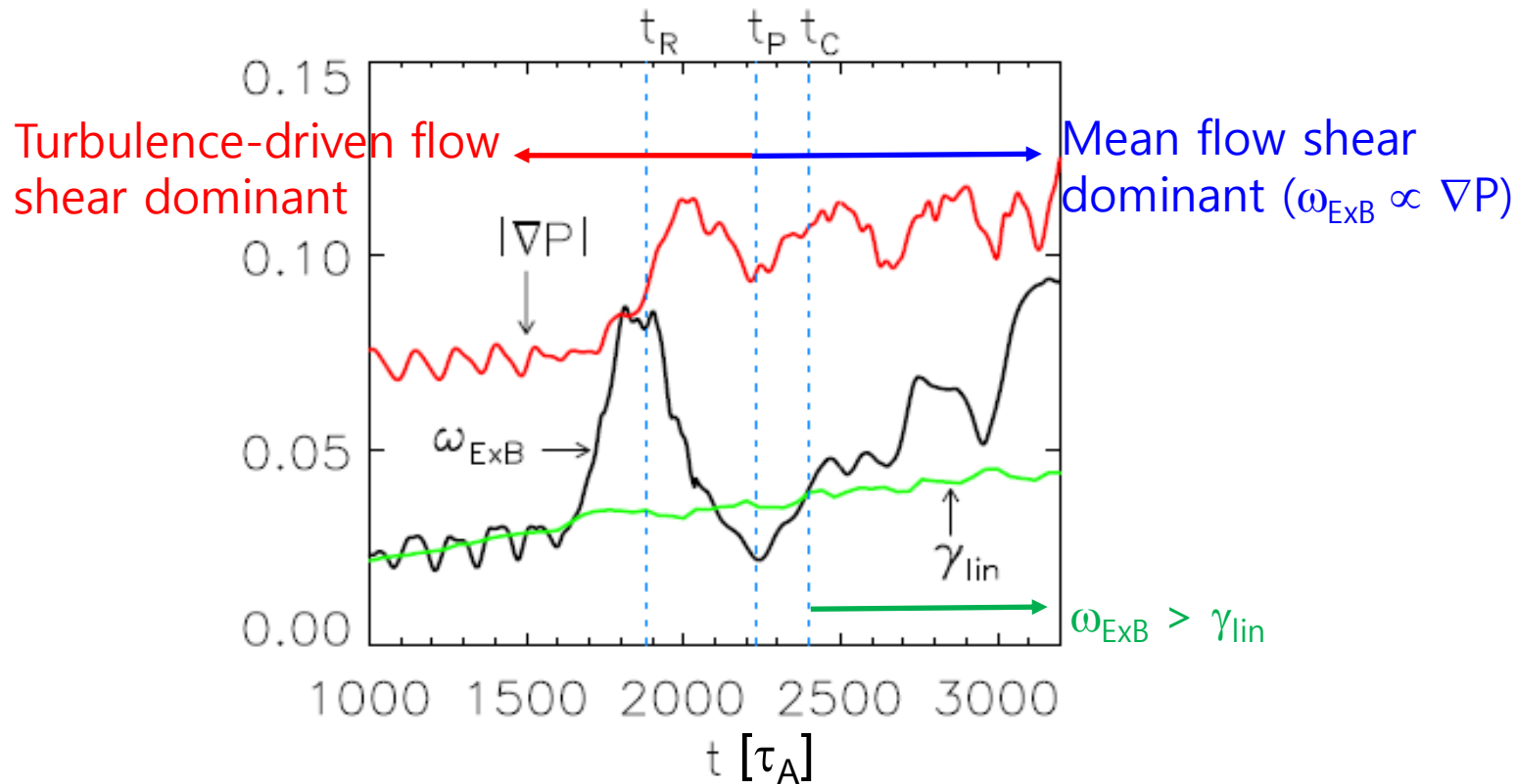
- Turbulence collapse condition ($\partial \tilde{V}_\perp^2 / \partial t \leq 0$) $\rightarrow R_T \geq 1$
- $R_T > 1$ means the conversion of fluctuation energy into flow energy faster than turbulence energy increase

Simulation shows a similar sequence of the transition to that observed on C-Mod (Cziegler, 2014)



- $R_T > 1$ at $t=t_R \rightarrow$ an increase of pressure gradient.
 - ✓ $R_T > 1$ at $t=t_R$ triggers the transition
 - ✓ Turbulence collapse \rightarrow an increase of ∇P

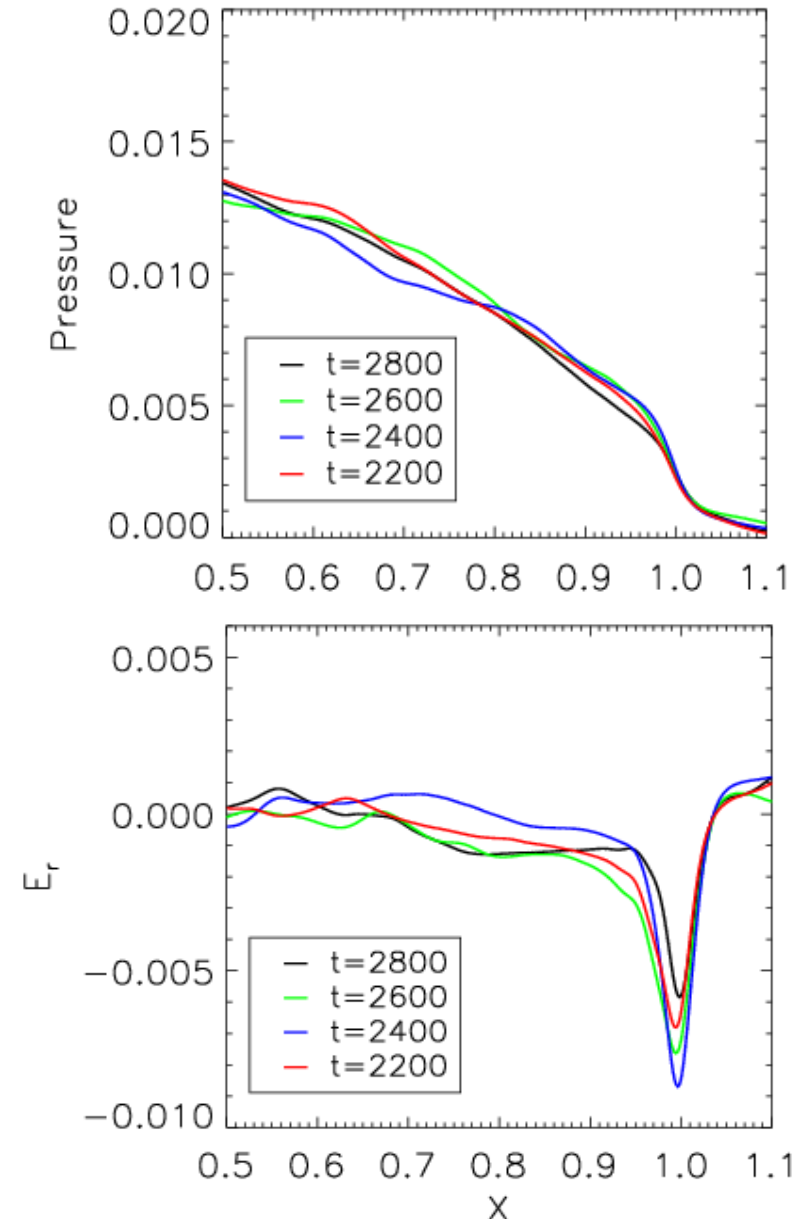
Microscopic time sequence of the transition: ∇P , ExB flow shear (ω_{ExB}), and linear growth rate (γ_{lin})



- $R_T > 1$ causes the surge of the turbulence-driven flow shear at $t=t_R$
- Increase of pressure gradient precedes mean flow shear development
- Positive feedback between ∇P and ω_{ExB} begins at $t=t_P$
- Mean shear criterion ($\omega_{\text{ExB}} > \gamma_{\text{lin}}$) is satisfied later, at $t=t_C \rightarrow$ H-mode sustained afterward

Preliminary electromagnetic three-field results

- Simulation of ETB formation using three-field model
 - ✓ Two-field model + Ohm's law for perturbed vector potential (ψ)
$$\frac{\partial \psi}{\partial t} = -\nabla_{\parallel} \Phi + \frac{1}{S} \nabla_{\perp}^2 \psi,$$
 - ✓ Profiles of μ_{neo} and k_{neo} are fixed in time in this simulation
 - ✓ ETB occurs for $P_{\text{in}} = 2.0$ as seen in right figures
 - ✓ Suggests that the transition physics as found in electrostatic case may also apply for the electromagnetic case (Work is in progress)



Conclusions and discussions

- First 3D turbulence simulation to explicitly show
 - ✓ ETB formation for $P_{in} > P_{th}$
 - ✓ **The criteria $R_T > 1$ is the trigger of the L→H transition**
 - ➔ **Microphysics (R_T) may govern L→H transition process**
 - ✓ **Detailed time sequence of the L-H transition**
 - $R_T > 1 \rightarrow$ the surge of the turbulence-driven flow shear
 - An increase of pressure gradient \rightarrow mean flow shear development via positive feedback
 - $\omega_{ExB} > \gamma_{lin} \rightarrow$ steady H-mode sustained
- Future works
 - ✓ **Microscopic parameter trends in R_T and their relation to L→H transition power threshold scaling**
 - ✓ Formation of sudden deep (in time) R_T just prior to the transition
 - ✓ H→L back transition and hysteresis
 - ✓ Electromagnetic case