

# Overview of Gyrokinetic Studies on Electromagnetic Turbulence

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# Recent Discoveries from Gyrokinetic Studies of Turbulence and Transport at Finite $\beta$

OV/5-1

This overview describes

- Discoveries concerning saturation of microinstabilities at finite  $\beta$ 
  - Effect of stable modes
  - Effect of stable modes on magnetic fluctuations
  - Modifications of zonal flows
  - Effect magnetic configurations with short magnetic field scale lengths
- Comparative modeling across different magnetic configurations
  - Special focus: RFP  $\leftrightarrow$  Tokamak
- Synthesis of saturation understanding, modeling and theory allow us to determine scaling behavior of critical  $\beta$  values for confinement effects

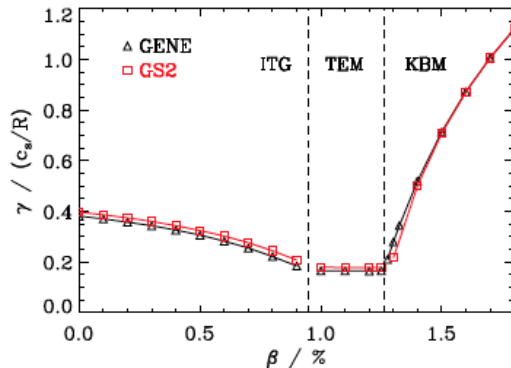
Key conclusions:

- Stable modes (nonlinearly excited) change saturation, transport
- Short magnetic length scales push critical  $\beta$ 's and gradients to higher values

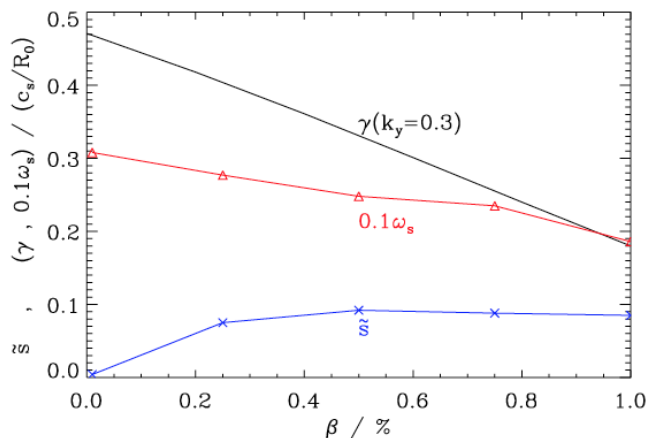
Finite  $\beta$  operation is highly desirable for fusion

- Fusion reactions rates, bootstrap current benefit from high  $\beta$

Finite  $\beta$  affects confinement as shown in prior gyrokinetic studies



- Various instabilities arise
  - Kinetic ballooning mode (KBM)
  - Microtearing mode (MTM)
- Overtake electrostatic modes
  - Ion temperature gradient (ITG)
  - Trapped electron mode (TEM)



- Finite  $\beta$  affects saturation mechanisms
  - Zonal flows decrease more slowly with  $\beta$  than ITG growth rate
- Damped modes saturate ITG
  - What does finite  $\beta$  do to them?

Pueschel 2010

## Saturation Studies

- Tearing parity stable mode

- Non zonal transition

- KBM

## Modeling

- MTM in NSTX

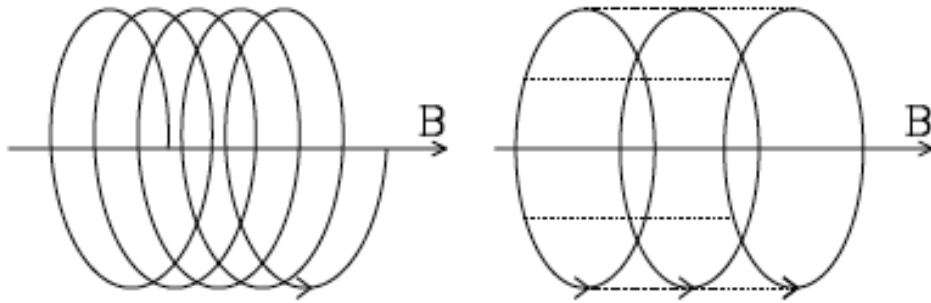
- MTM in RFX

- MTM in MST

- TEM/ITG in MST

## Scaling Analysis

Gyrokinetics: eliminate  
the fast gyrophase from  
the equations of motion  
 $\Rightarrow$  significant speed up

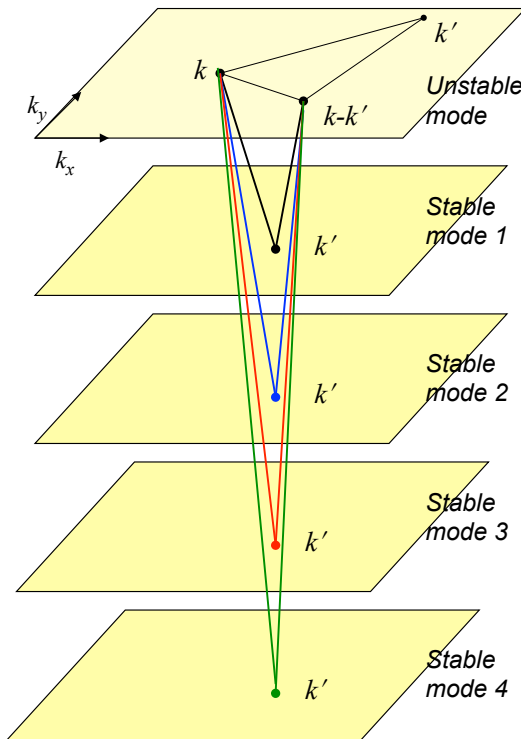


$\Rightarrow$  gyrokinetic Vlasov,  
field equations

Capabilities used:

- Nonlinear gyrokinetic equations
- Radially local simulations
- $\delta f$  approach
- Multiple geometries and equilibria
- Electromagnetic, binary collisions
- Codes: GENE, GYRO, GS2, GKV

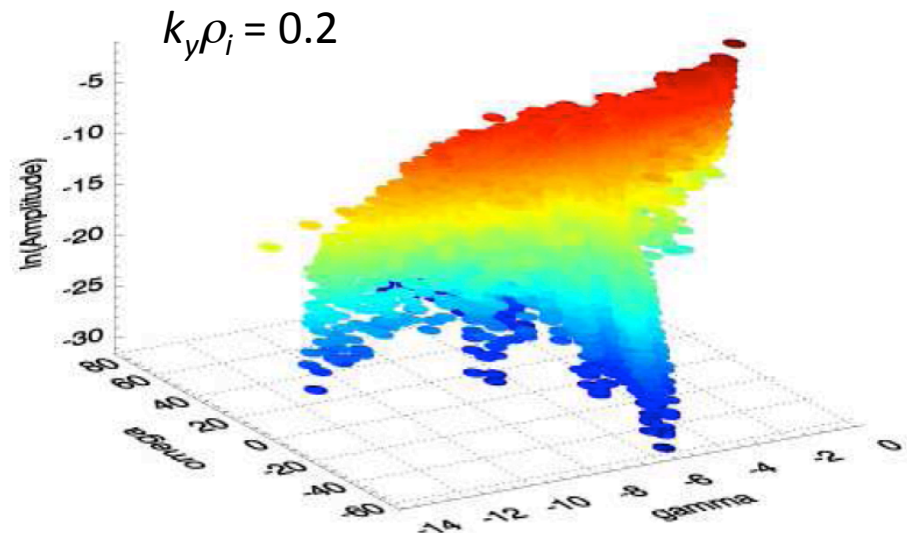
## Nonlinearity excites damped modes in unstable k-space range



Energy transfer: • High  $k$  modes (traditional cascade)  
• Damped modes at same  $k$

Damped modes: • Thousands excited  
• Significant sink for saturation

In CBC ITG turbulence:  
 $O(10^4)$  damped modes excited

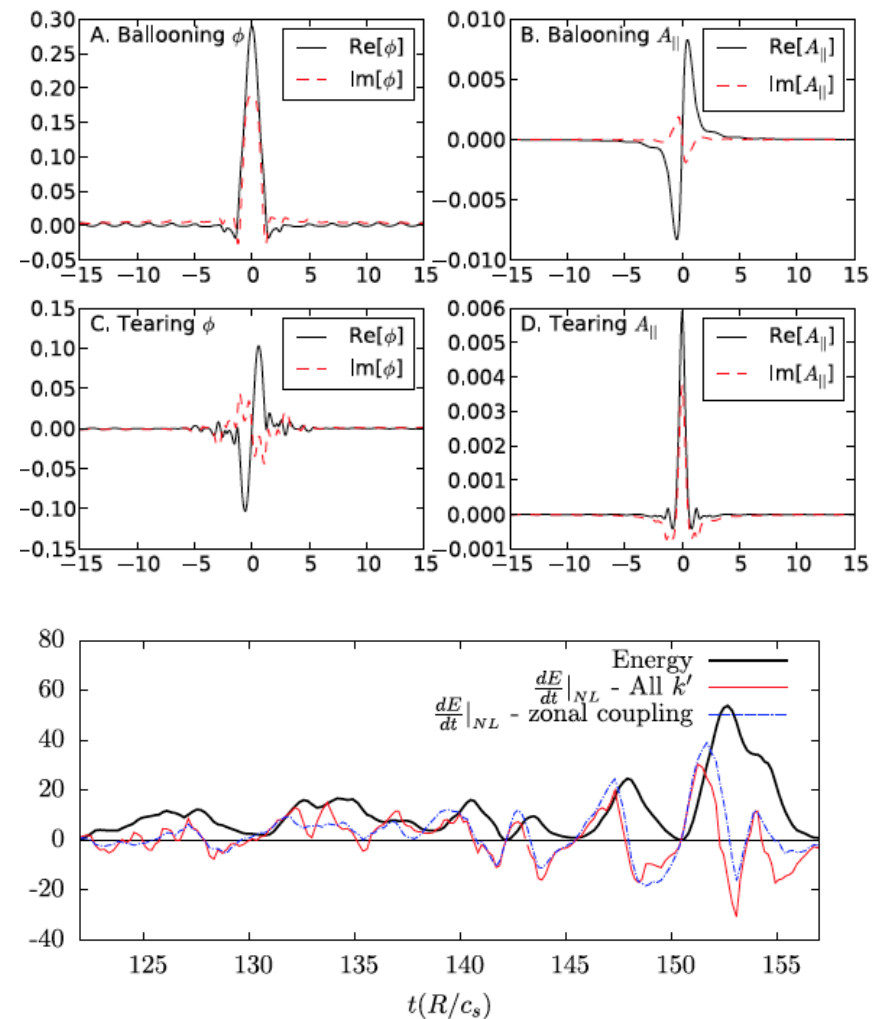


A significant subset of damped modes have tearing parity

- Damped modes sample  $z$ ,  $v_{||}$
- Unstable mode: ballooning parity
- Damped modes: ballooning, tearing, mixed parities

Zonal flows catalyze transfer to tearing parity modes, leading to

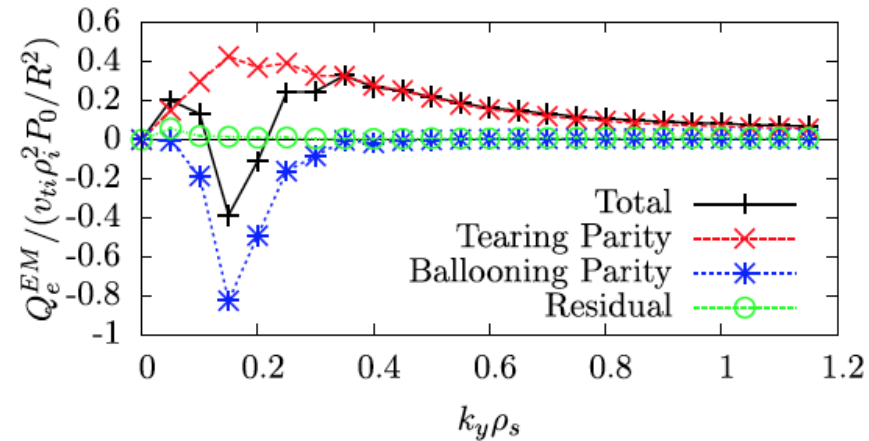
- Stochastic field at low  $\beta$
- Flutter-induced electron heat transport



Tearing parity modes: outward magnetic fluctuation-induced electron heat flux

Unstable (ITG) mode: inward flux (low k)

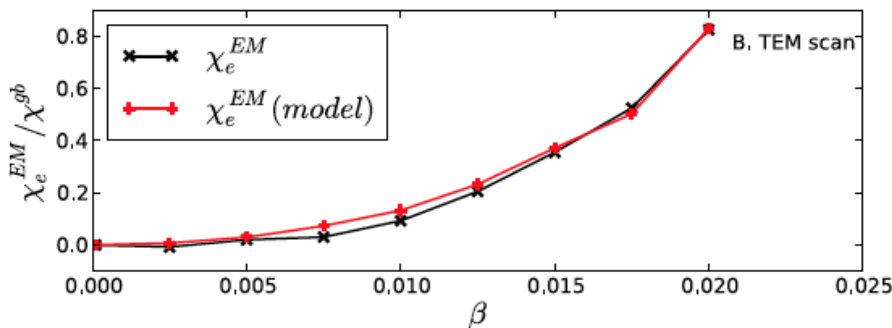
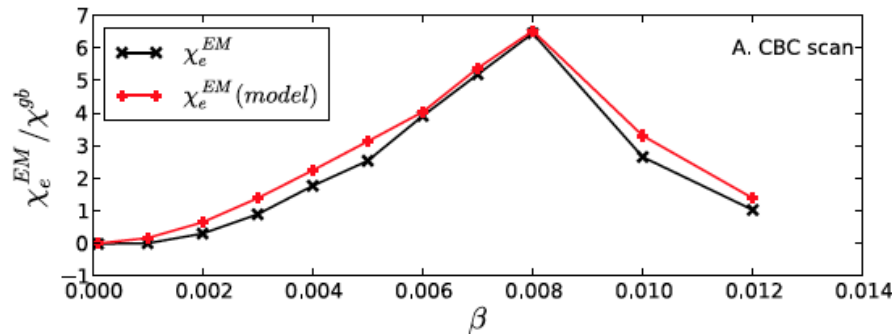
Tearing parity modes: outward flux at lowest k's and high k



Away from  $k_y \rho_s = 0.2$ , flux not attributable to unstable mode

Not captured by quasilinear theory

Hatch 2013



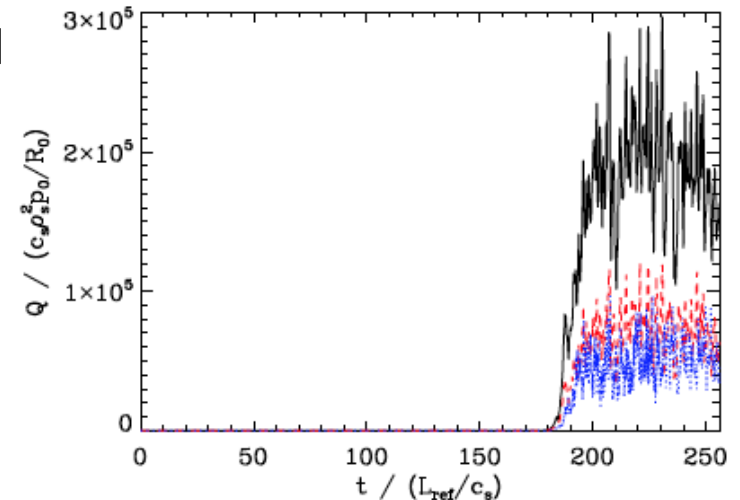


Above a critical  $\beta$  zonal flows are disabled and transport 'runs away' to high values

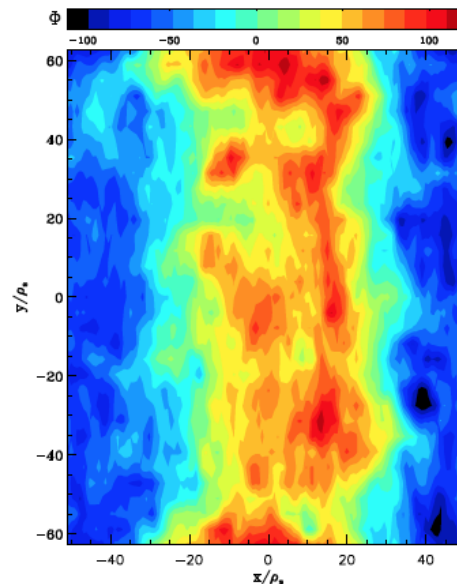
- Very large fluxes
- $\beta_{\text{crit}}^{\text{NZT}} \sim 0.9\%$  Cyclone base case

Zonal flows are disabled through magnetic field stochasticity

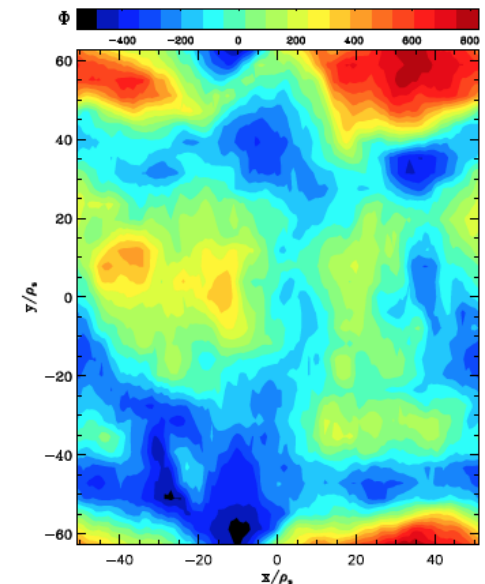
- Allows charge to stream from flux surfaces
- Confirmed by residual flow calculation



$\beta < \beta_{\text{crit}}^{\text{NZT}}$ : strong ZFs



$\beta > \beta_{\text{crit}}^{\text{NZT}}$ : ZFs break up

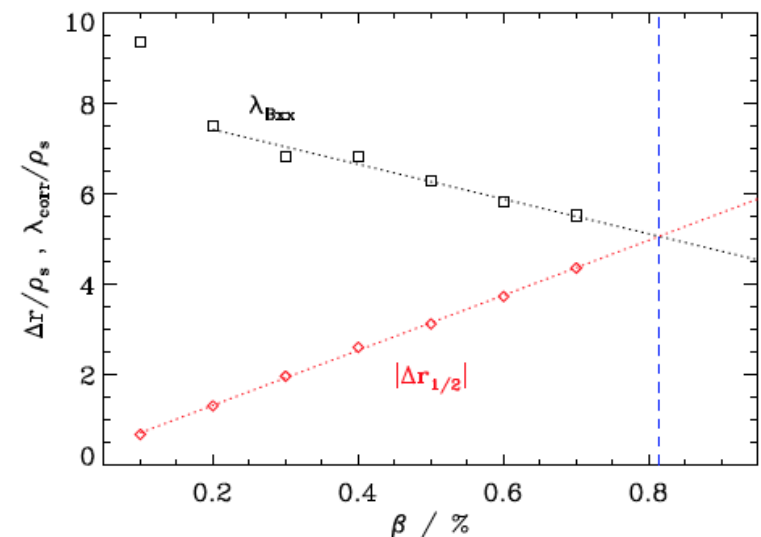
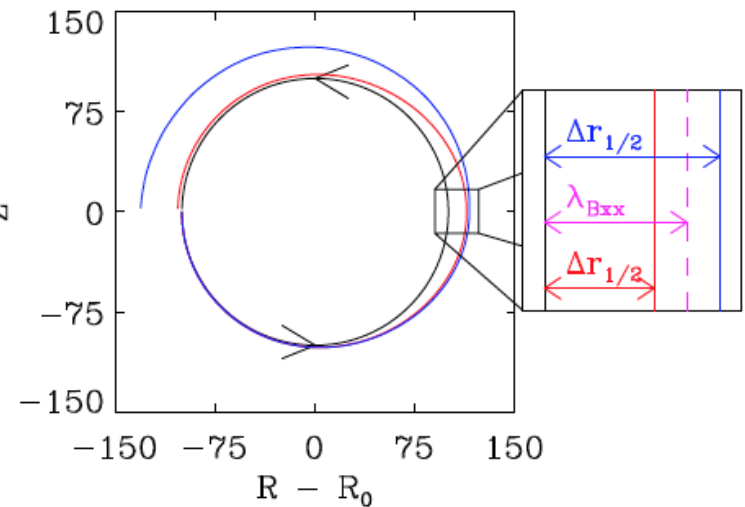


$\beta_{\text{crit}}^{\text{NZT}}$  set by a form of overlap criterion

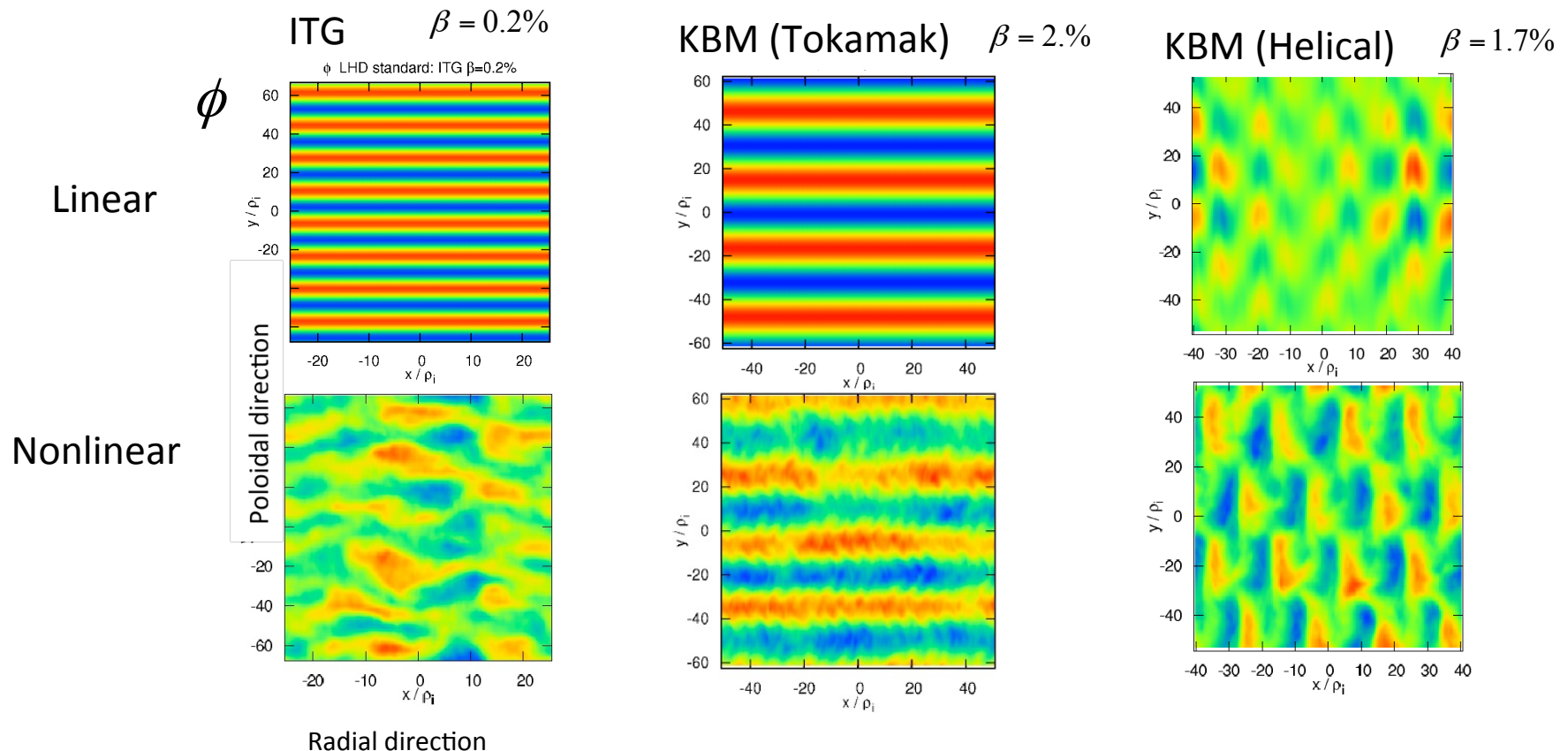
- When  $\Delta r_{1/2} \approx \lambda_{Bxx}$ 
  - $\Delta r_{1/2}$  is radial displacement of perturbed field  $\langle B_x \rangle$  in  $\frac{1}{2}$  poloidal turn
  - $\lambda_{Bxx}$  is radial correlation length
- $\Delta r_{1/2}$  depends on gradients through  $\langle B_x \rangle$   
 $\Rightarrow \beta_{\text{crit}}^{\text{NZT}}$  increases with weaker gradients

$$\frac{\beta_{\text{crit}}^{\text{NZT}}}{\beta_{\text{crit}}^{\text{KBM}}} \propto \frac{1}{(\omega_T - \omega_{T,\text{crit}})^{\xi/2}} \quad (0.5 < \xi < 1)$$

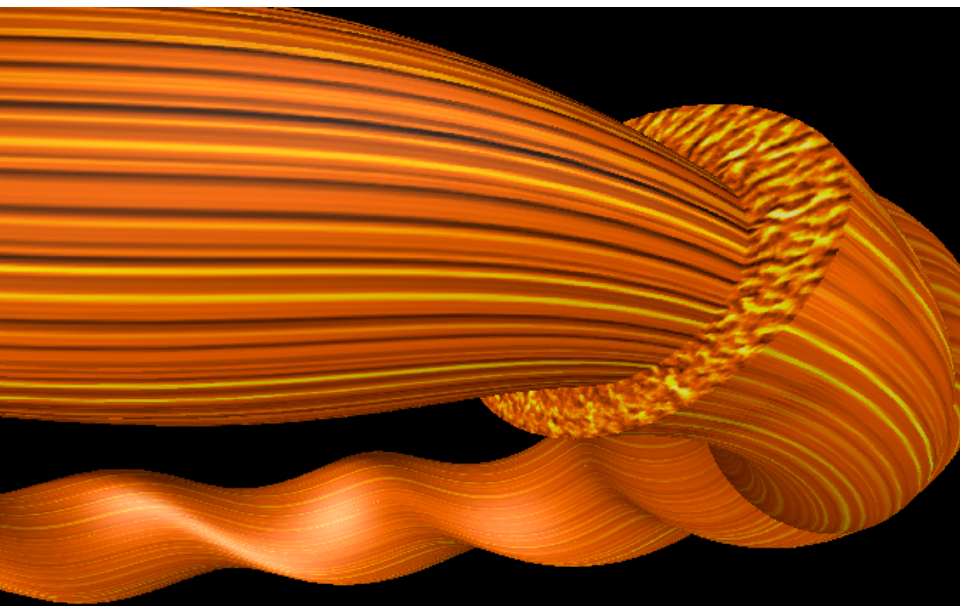
where  $\omega_T = \frac{\partial T}{\partial r} \frac{R_0}{T}$



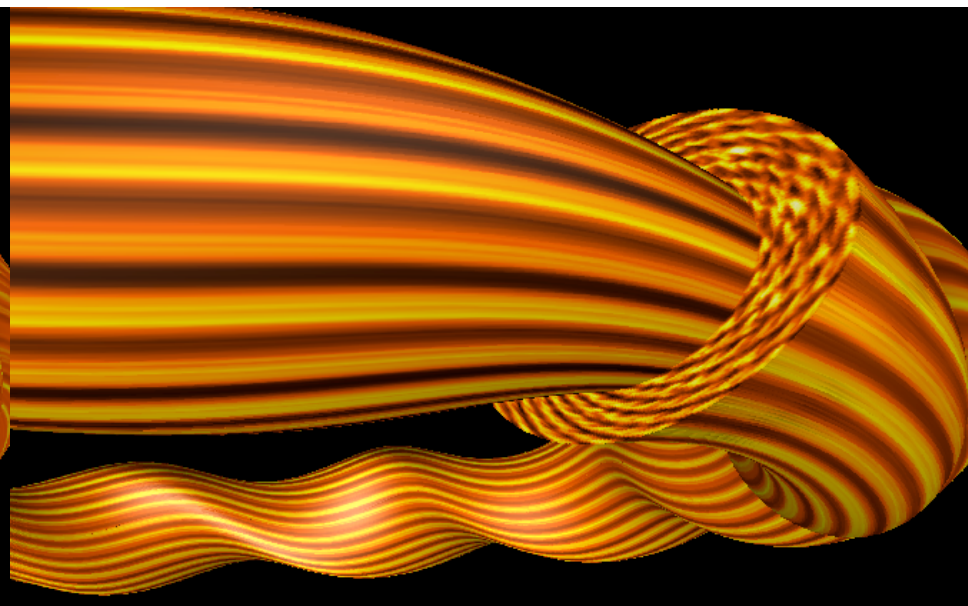
In absence of zonal flows (high  $\beta$ ) kinetic ballooning mode saturates by developing particular structures



- Tokamak: twisted modes along the field line saturate KBM
  - LHD: most unstable KBM has finite radial wavenumber,  $k_r$
- => Saturation caused by nonlinear interactions between oppositely inclined finite  $k_r$  modes



ITG (beta=0.2%)  
regulated by zonal flows

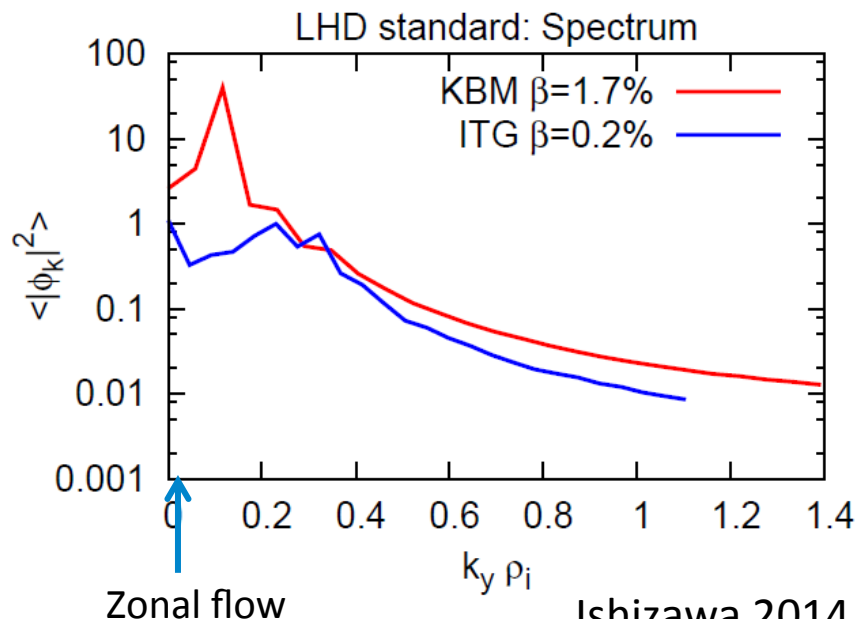


KBM (beta=1.7%) regulated  
by oppositely inclined modes



## Transport at finite-beta

- Zonal flow of KBM turbulence is much weaker than that of ITG turbulence
- KBM turbulence is less effective in driving transport than ITG turbulence



$$\text{ITG} \quad Q_i = 5n_0 T_i v_{Ti} \rho_i^2 / L_n^2$$

$$\text{KBM} \quad Q_i = 3n_0 T_i v_{Ti} \rho_i^2 / L_n^2$$

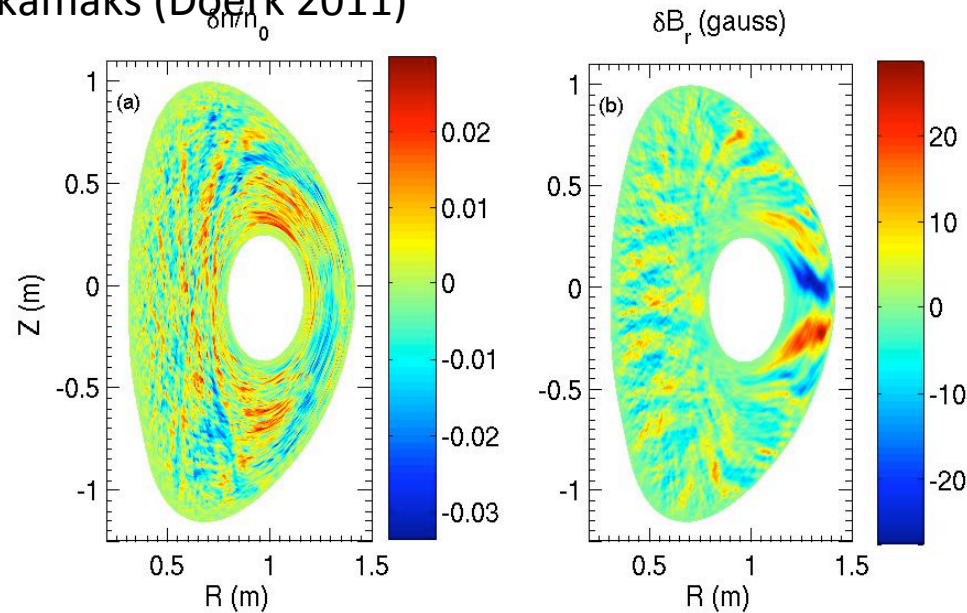
Gyrokinetic simulation: MTM in standard tokamaks (Doerk 2011)

NSTX: MTM drives large  $\chi_e$   
(high  $\beta$ , high  $\nu$ )

- Transport from magnetic “flutter”

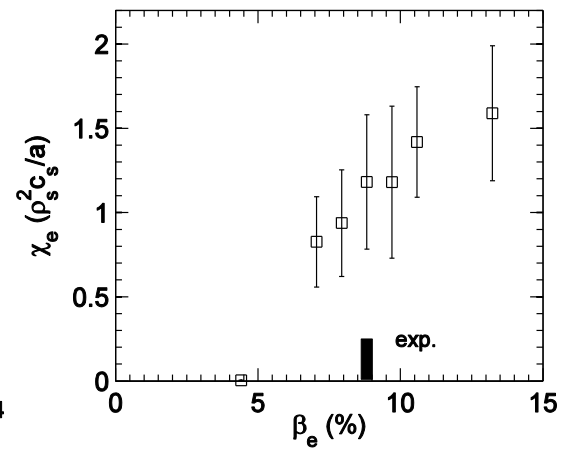
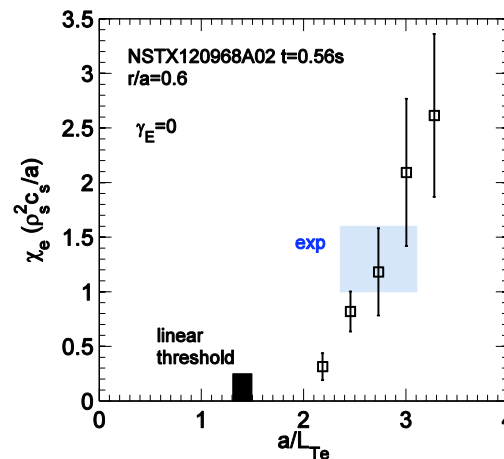
$$\chi_{e,em} \sim v_{||,e} \delta B_r$$

- Unclear what sets overall saturation and scaling of  $\delta B_r$



- Threshold in  $\nabla T_e$ , or  $\beta_e$
- $\gamma$  and  $\chi_e$  depend on  $\nu_e$  (time-dependent thermal force)
- $\chi_e \sim \nu_e$  consistent with global confinement trends  $\Omega \tau_E \sim \nu_*^{-1}$

Guttenfelder 2013





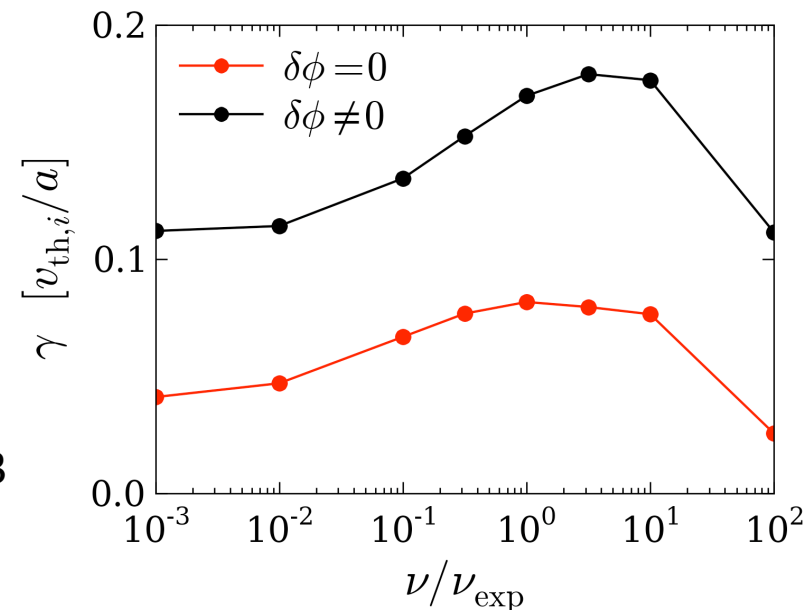
- MTM: most unstable mode in transport barriers of helical states (QHS)
- Quasi-linear collisionless form of  $\chi_e \sim (\rho_e/L_{Te}) v_{th,e} L_c$ , in good agreement with experiment
- Unstable for  $a/L_{Te} \sim 2.5 - 3$  for typical values of  $\beta$

MTM in the RFP is sensitive to grad-B/  
curvature drifts in  $\omega_d$ .

Collisionless MTMs exist, even neglecting  
trapped electron dynamics.

Retaining  $\delta\phi$  is always destabilizing.

Predebon 2013



MTM is unstable in standard MST discharges at low  $\theta$   
Study with toroidal Bessel function equilibrium

Thresholds:  $\beta = \text{few } \%$   
 $a/L_{Te} = 3 - 4$

Finite growth rate as collisionality  $\rightarrow 0$

Requires weak to moderate shear

Theory:

Start with DKE, take high freq. fluid limit

Instability as  $\nu \rightarrow 0$  if  $\phi \neq 0$

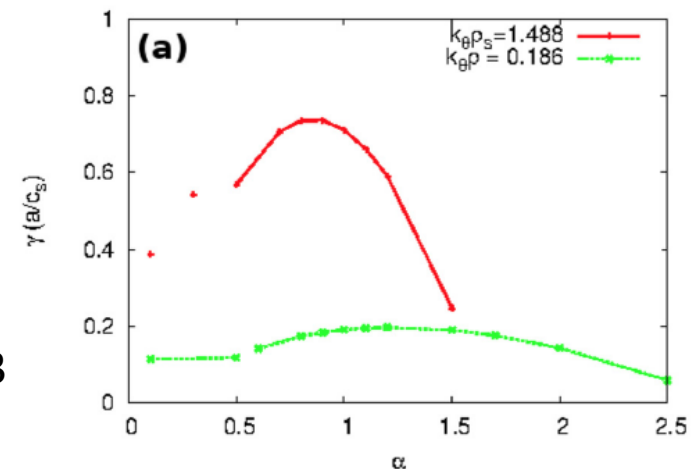
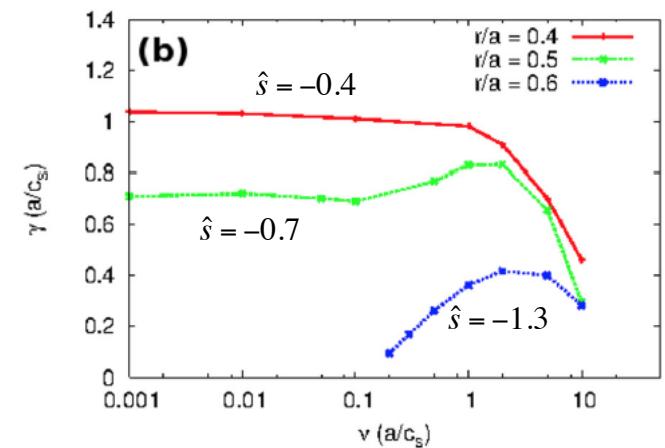
Enabled by  $\omega_{De}$

( $\omega_{De}$  in RFP is larger than tokamak value by  $R/a$ )

Carmody 2013

$$\Theta = \langle B_\theta \rangle^{\text{wall}} / \langle B_\phi \rangle^{\text{vol}}$$

(low  $\theta \Rightarrow$  low magnetic shear)





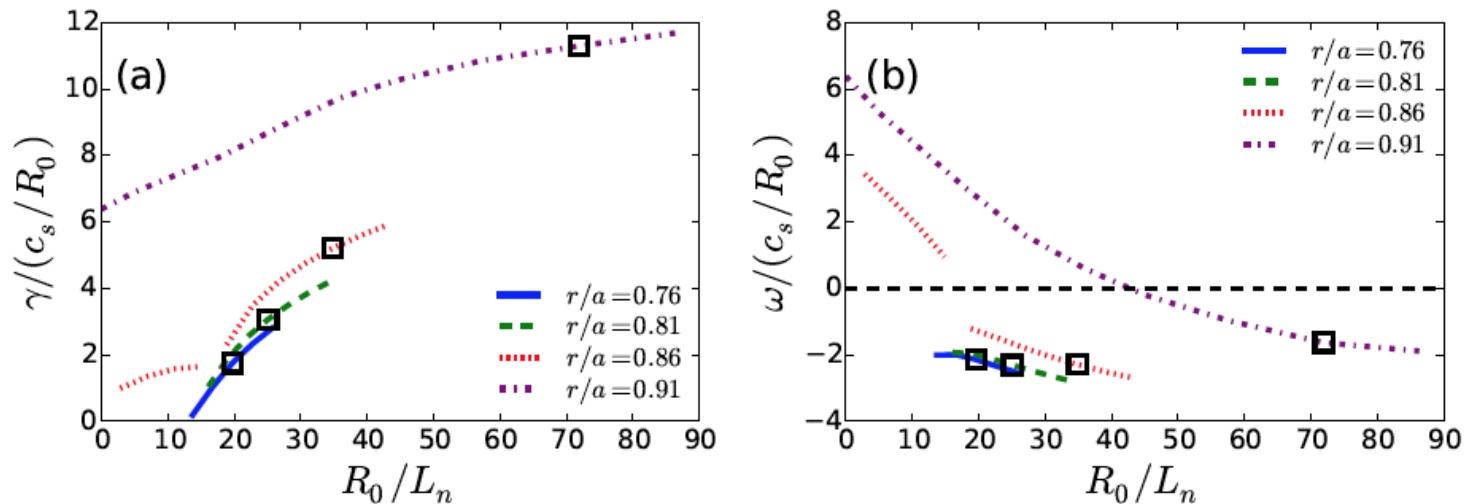
MST enhanced-confinement discharges show surprising absence of electrostatic turbulence

Flat current profile (reduce global tearing)

High  $\theta$  (high shear)

Instability in outer region ( $\beta$  small)

Gyrokinetic modeling (fitting experimental equilibrium): TEM/ITG



- Density gradient driven TEM (frequency in electron direction)
- At  $\beta \sim 1 - 2\%$ , discharge is below critical  $\beta$  for MTM, NZT, etc.

## Saturated turbulence:

Large zonal flows

Large Dimits shift

Transport rates: weaker than experiment by x10

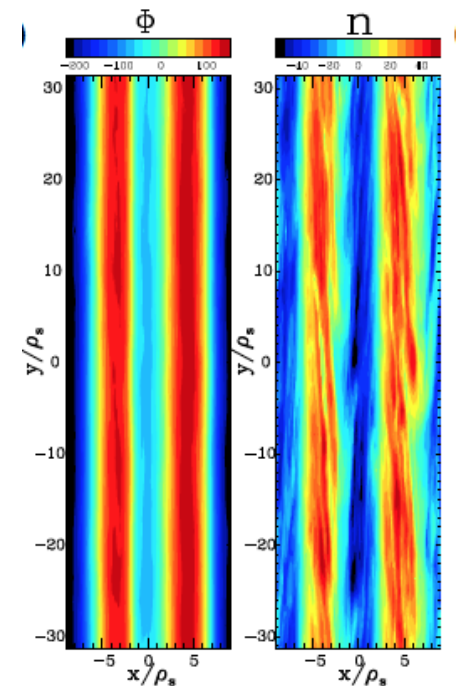
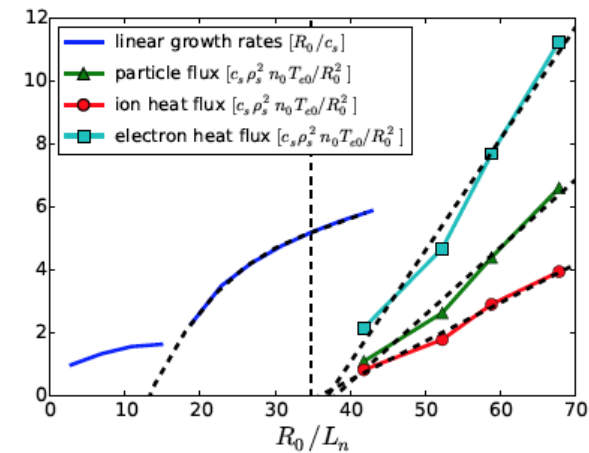
Mock up tearing mode activity using external magnetic perturbation at experimental level

- Weaker zonal flow
- Lower Dimits shift – close to exp. gradient
- $\chi_e$  at experimental level

Key issue:

Despite relatively high  $\beta$ , RPF is below critical  $\beta$  for electromagnetic effects

Why?

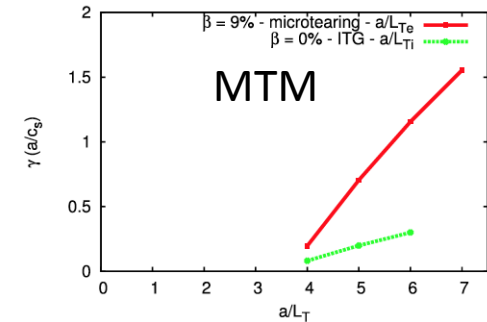
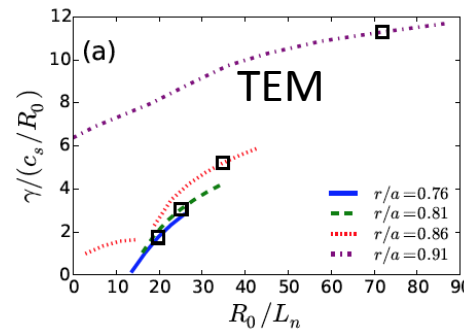
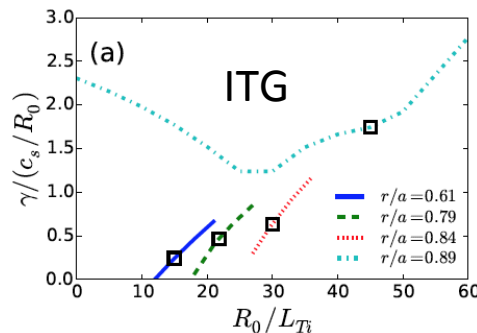


RFP equilibrium has smaller length scales than standard tokamak

	Equilibrium	Scale of variation	Connection length	Safety factor q
Tokamak	$B_\phi \gg B_\theta$	R	$qR$	$q > 1$
RFP	$B_\phi \approx B_\theta$	r	r	$q < 0.2$

Smaller equilibrium scales generally push instability thresholds to higher values

Gyrokinetics:  $\frac{1}{L_{crit_{RFP}}} \approx \left(\frac{R}{r}\right) \frac{1}{L_{crit_{tok}}}$  (for both electrostatic and electromagnetic)



In RFP all  $\beta$  thresholds for electromagnetic effects pushed to much higher values

Primary causes:

Shorter magnetic shear scale lengths:

Smaller  $q$

$$\frac{L_{S_{Tok}}}{L_{S_{RFP}}} \sim q_{Tok} q_{0_{RFP}} \left( \frac{R}{r} \right)^2 \sim O\left( \frac{R}{r} \right)$$

ITG stabilization  $\beta \geq \varepsilon_n \varepsilon_T^2 \tau^2 \left[ 1 + (\varepsilon_T / q_0)^2 \right]^{-1} q_0^{-2} \left[ (\tau + 2\varepsilon_n)(\tau + 1) + \tau^2 \eta_e \right]^{-1} \sim O\left( \frac{R}{r} \right) \beta_{crit_{Tok}}$

NZT  $\frac{\beta_{crit_{RFP}}^{NZT}}{\beta_{crit_{Tok}}^{NZT}} \propto \left( \frac{R_0}{r} \right)^{1+\xi/2} q_{0_{Tok}}$

KBM  $\beta_{crit_{RFP}}^{KBM} \sim 0.6 \frac{\left( \frac{R}{r} \right)}{q \left[ \frac{R_0}{L_n} + \frac{R_0}{L_{T_e}} + \left( \frac{R_0}{L_n} + \frac{R_0}{L_{T_i}} \right) \frac{T_i}{T_e} \right]} \gg \beta_{crit_{Tok}}^{KBM}$

Larger shear, smaller  $q$  push electromagnetic effects to higher  $\beta$

Higher critical gradients allow steeper gradients in experiment

Microturbulence at finite  $\beta$  subject to new effects

- Stable tearing parity fluctuations excited by ITG => electron heat transport
- Magnetic fluctuations can disable zonal flows => much higher transport
- New instabilities arise (microtearing, kinetic ballooning mode)
- Shorter magnetic scale lengths push these effects to higher gradients, beta

Saturation of microturbulence at finite  $\beta$  involves complex feedback loops, especially with zonal flows and magnetic fluctuations (both stable and unstable)

Have demonstrated:

- How interplay between instability, nonlinearly excited stable modes, zonal flows affects saturation and transport (variation of  $\beta$  changes balances to reveal physics)
- Magnetic field scales push critical gradients and  $\beta$  to higher values