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SUMMARY Theory

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TH Statistics

Type of presentation (presented papers)

- Overview 1
 Oral 17+2 (Rapporteured)
 Poster 127+1 (Post deadline)
- Total 148

Topics		Oral/OV	Poster
 Confinement 	53	7	46
– Stability	39	4	35
 Wave-plasma interactions+ 	34	3	31
 Plasma-material interactions+ 	22	6	16

Outline

ITER related issues

- ELM physics and SOL transport
- Disruption physics and runaway electrons
- Confinement
- Stability
- Energetic particles
- Heating and current drive
- Integrated modelling

ELM Physics



- Dynamical ELM model based on multi-scale interaction between low-n MHD ballooning mode and ETG turbulence
 - Self-consistent theory of hyper resistivity TH/P1-15 Singh

JET 1-0.33 2.5MA/2.7T & 1.9MA/2.0

10

ET 3H0.33 betide pellet 2.5MA/2.7

JET 2-0.55 2.5MA/2.7T G

BOUT++

Nonlinear MHD modelling of ELMs

Nonlinear modelling of ELM and their interaction with RMP in rotating plasmas (JOREK with flow)



- ELM control
 - pellet pacing, QH-mode
- SOL MHD stability

ELM Mitigation

Prediction and observation of "Super H-mode" TH/2-2 Snyder

- High triangularity and high density



EPED Prediction of Super H-Mode at High Triangularity

ELM mitigation by RPM

- JOREK simulation and a simple analytic model
- ELM control by SMBI and Pellet Injection
 - Type-I ELM is reproduced in the sandpile model
- ELM pacing with periodic plasma column displacement
 - ELM trigger by vertical and radila jog

TH/P6-1 Cahyna

TH/P2-9 Rhee



SOL Physics

+ Gyrokinetic study of divertor heat-load width λ_q TH/2-3 Chang

- XGC1: gyrokinetic PIC code
 - In the present-day tokamak, λ_q is proportional to $1/I_p$
 - In ITER, this neoclassical estimate may fail due to blob size
- Scaling of the SOL width in limited tokamak plasmas
 - GBS: drift-reduced Braginskii equations

TH/3-2 Ricci

Scaling of SOL width

$$L_p \simeq 7.22 \times 10^{-8} q^{8/7} R^{5/7} B_{\phi}^{-4/7} T_{e,\text{LCFS}}^{-2/7} n_{e,\text{LCFS}}^{2/7} \left(1 + \frac{T_{i,\text{LCFS}}}{T_{e,\text{LCFS}}} \right)^{1/7}$$

 Agreement with multi-machine DB



SOL Physics with Fluid Model

SOL simulations with Drifts

- **SOLPS5.0**

TH/3-3 Aho-Mantia

- Drift leads to asymmetric particle and power fluxes ASDEX-U, JET-ILW
- Numerical modeling for

divertor design TH/P5-50 Bufferand

- SOLEDGE2D
 - **Tore Supra WEST**

Detachment assisted by nitrogen

EDGE2D ____

TH/P5-34 Järvinen

- N₂ seeded H-mode plasmas in JET-C and JET-ILW
- LFS detachment when 1/2 of the SOL power is radiated in the divertor



Disruption Mitigation

✦ 2-Jet Massive Gas Injection on DIII-D

NIMROD predicts

- Relative location of 2 gas jets with respect to field line pitch affects toroidal radiation asymmetry
- No unacceptably high peaking factors for DIII-D or ITER
- Integrated Modelling of ITER
 Disruption Mitigation



TH/P3-31 Konovalov

- DINA-ASTRA-ZIMPUR simulation confirms

- Mitigated disruption scenarios in ITER
- The use of Ne is preferable to Ar providing longer current quench time
 TH/P3-35 Leonov
- ASTRA-ZIMPUR simulations demonstrated that
 - radiating impurity dynamics plays the dominant role in the pre thermal quench stage in MGI scenarios

Runaway Electrons

 Kinetic modelling of runaway electrons (RE) and their mitigation in ITER
 TH/P3-38 Aleynikov

- Self-consistent modelling
 - 2D Fokker-Planck equation
 - Toroidal electric field evolution in 1D transport code
 - Scattering on high-Z nuclei, collisional friction force, synchrotron radiation, and knock-on source term
 - Ar gas densities required for successful RE mitigations are within the limitation of envisioned ITER DMS
- Formation and termination of RE in disruptions and implications for ITER
 TH/P3-43 Martín
 - OD modelling of RE with Ar and Ne injection
- Monte-Carlo simulation on energy-dependence of RE loss
 induced by low-n MHD instabilities
 TH/P5-13 Matsuyama
 - Near the stochastic threshold, drift resonance characterises the energy dependence of the RE orbit 10

Nonlinear GK simulation codes

GLOBAL f(continuum) - GYSELA EU ES, momentum transport TH/P4-4 Sarazin - GKNET JP ES, intermittent burst TH/P5-8 Imadera Flux tube/surface f(continuum) TH/1-1 Maeyama - GKV **EM**, Helical JP OV/5-1Terry GENE EU EM, RFP/Helical — - GYRO US EX/2-3 Ernst ES – **GS**2 US ES EX/2-3 Ernst Particle-in-cell TH/7-2 Lin - GTC EM, Alfvén Eigenmode US

- XGC1 US
- ELMFIRE EU
- - EM, X-point transport
- EM, full-f

TH/2-3 Parker TH/P5-6 Kiviniemi

Turbulent Transport (Tokamak)

Multi-scale ITG/TEM/ETG Turbulence

TH/1-1 Maeyama

- Simulations with Real Mass Ratio and β value
 - Shearing of ITG/TEMs suppresses ETG/Streamers
 - ETG/Streamers enhance ion-scale transport via ZF damping



Turbulent Transport (Tokamak)

"Transport shortfall"

 Discrepancy of ion heat transport in outer core Lmode discharge of DIII-D [Holland PoP 2009]



No shortfall

- GENE: within the error bars of the density profile
- XGC1: with full edge model

TH/2-3 Parker

TH/P2-7 Jenko

Turbulent Transport (Tokamak)

TH/P4-4 Sarazin

- Global profile relaxation by intermittent bursts with long correlation lengths by Imadera
 TH/P5-8 Imadera
- Understanding of momentum transport
 - by GYSELA and NEMORB



- Extension of GTC to real geometry of EAST
- JET discharges with carbon wall
- ITG-TEM simulation for JT-60U by GKV





Turbulent Transport (Helical)

- Advances in stellarator gyrokinetics
 - EUTERPE (Linear, EM, PIC, Global)
 - GENE (Nonlinear, EM, Continuum, Flux surface)
- Less turbulence and transport when density gradient is large
 - since trapped-electron modes are more stable in stellarators where trapping regions have good
 magnetic curvature
 and transmitted and tr



Nonlinear potential fluctuations in ITG tubulence in W7-X (GENE)



TH/1-2 Helander

Growth rates of fastest growing modes vs density and temperature gradient in W7-X and DIII-D (GENE)

Turbulent Transport (Helical)

- Electromagnetic Gyrokinetic Analysis of Turbulent Transport in Finite-Beta LHD Plasmas by GKV
 - Coupling between oppositely inclined convection cells leads to the saturation of KBM
 - Transport due to KBM turbulence is much less than ITG



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Microturbulence at Finite **B**

Girokinetic simulation of microturbulence saturation at finite β

OV/5-1 Terry

- Microturbulence at finite β subject to new effects
 - Stable tearing parity fluctuations excited by ITG => electron heat transport
 - Magnetic fluctuations can disable zonal flows => much higher transport
 - New instabilities arise
 - microtearing
 - kinetic ballooning mode
 - Shorter magnetic scale lengths push these effects to higher gradients, beta
- Saturation of microturbulence at finite β involves complex feedback loops, especially with zonal flows and magnetic fluctuations (both stable and unstable)

Fluid Turbulent Transport in Tokamak

- Nonlocal transport from edge to core
 - **Global Reduced MHD simulation**
 - Two-dimensional transport with spiral structure
 - ITG turbulence, Zonal flow, GAM oscillation ____
- Simulations and validations of transport
 - During fueling by SMBI, GP, and PI on HL=2A tokamak —
 - BOUT++ with neutral and plasma transport —
 - Qualitative agreement with experimental measurements
- Numerical diagnostics of non-diffusive transport process
 - using Turbulent Diagnostic Simulator TH/P5-14 Kasuya
 - Hysteresis in the gradient-flux relation
 - Turbulence spreading, and role of the global mode
- Influence of boundary conditions
 - on turbulent transport and energy confinement time
 - in tokamaks with additional heating
- Feedback of NTM on DW-ZF turbulence

TH/P2-11 Pastukhov

TH/P7-30 Wang

TH/P2-12 Miyato

Turbulence Physics

Turbulence driven by trapped ions

- clusters of resonant trapped ions (granulations)
- poloidal flows converted into toroidal flows
- New theory of mixing scale selection
 - What determines Avalanche Scale?
 - Formation of staircase
 - co-existence of heat avalanches and ExB sheared flows
 - finite time delay allows heat flux waves; jamming happens
- Turbulent elasticity and the physics of time delay
- Effects of magnetic shear and toroidal rotation shear on TH/P6-10 Yi turbulence spreading



z

urbulence drive:

TH/P2-14 Kosuga

GAM Physics

Nonlinear excitation of kinetic GAM



- by drift waves (DW) in nonuniform plasmas
- General equation describing parametric excitation of GAM by DW turbulence
- Nonlinear saturation of DW due to GAM excitation
- Evolution and system dependent properties TH/P7-2 Hallatschek of Zonal Flows (ZFs) and GAMs
 - in tokamaks and planet atmosphere
 - Two fluid and gyrokinetic simulations
 - Deterministic time evolution for large enough systems
- Gyrokinetic parameter scan of GAM
 - close to L-H transition
 - Full-f ELMFIRE simulation
 - Good agreement with analytic theory for GAM
- Frequency and damping rates of GAM
 - in collisional plasmas; importance of energy conservation

TH/P5-6 Kiviniemi

TH/P2-5 Gao

L/H Transition

TH/P7-5 Chôné

L/H transition and limit cycle oscillation

- from mean field transport equations
- including both toroidal and parallel momentum transport
- L/H transition dynamics
 - in fluid turbulence (EMEDGE3D)
 - with neoclassical force balance
 - transition above a power threshold







L/H Transition, Barrier formation

- 3D nonlinear simulation study of the L/H transition criterion
 - ETB formation triggered by turbulence-driven flow
- Power threshold scaling of L/H transition
 - Extension of 1D model of Miki & Diamond
 - Separation of electron ion heat fluxes
 - Increase of power threshold for high density
- Modeling of transition between L and H
 - including W behaviour in ITER scenario
 - Limits for density ramp rate with respect to auxiliary heating power for L/H transition have been established
- Analysis of ITB and ETB formation
 - in tokamak plasma using bifurcation concept
- Analysis of radial electric field formation
 - by asymmetry of NBI on KSTAR and NSTX
 - based on the gyro-center shift



TH/P3-24 Koechl

TH/P6-8 Onjun

TH/P6-24 Lee

TH/P1-9 Malkov

TH/8-1 Park

Edge Physics

Understanding of impurity poloidal distribution TH/2-1 Rozhansky

- in edge pedestal by B2SOLPS5.2 transport code
- Strong poloidal asymmetry of B⁺⁵ ions was obtained in accordance with experiment
- neoclassical effects and poloidal ExB drifts in plasma with strong gradients
- Rotation instability of
 neoclassical plasma near
 magnetic separatrix TH/P6-2 Daybelge



Transport issues

- Thermodynamical approach
 - Thermal equilibrium and density limit in tokamak reactor
 - Interpretation of the tokamak selfconsistent pressure profile
 - Energy confinement scaling close to ITER98(y,2)
- Current drive by electron temperature TH/P gradient turbulence in tokamak pedestal region
- Bayesian derivation of equilibrium distribution function for tokamak scenario
- Open theoretical issues and solutions
 - Quasi coherent mode, magnetic reconnection paradox, spontaneous rotation
- Qualifying self-organization
 - in magnecally confined plasmas



TH/P5-4 Morozov



TH/P7-6 Troia



TH/P1-7 Rajkovic

Advanced Divertor

- Divertor design and analysis of HL-2M TH/3-1Rb Zheng
 - Standard, snowflake, tripod
 - Tripod: long divertor leg and large low- B_p area
- X-divertors in ITER, current machines and DEMO
 - X-divertor magnetic configuration can be created on ITER without hardware changes
- Modeling divertor concepts for
 spherical tokamaks: NSTX-U and ST-FNSF
 - snowflake divertor: effective heat flux mitigation
 - divertor with vertical target and super-snowflake for ST-FNSF
- Analysis of open and closed
 LHD divertor TH/P6-39 Kawamura
 - Neutral gas compression under the dome





TH/P3-34 Kotschenreuther

TH/P6-50 Mwier

Plasma Material Interaction

- Modelling of melt damage of W armour
 - 3D MEMOS code
 - Validation exercise against JET-ILW
- TH/P3-29 Dux Influence of W divertor on the performance of ITER H-mode plasmas
 - A very large fraction (>99.99%) of the sputtered W is immediately re-deposited
- Kinetic simulation model of neoclassical high-Z impurity transport
 - Outward temperature screening effect and inward pinch
- Influence of the divertor plate material on the plasma performance of DEMO
 - COREDIV code
 - Fusion factor Q is quite similar for W and Mo plates, whereas it is slightly reduced for Ni target

TH/P3-40 Bazylev

TH/P7-8 Homma

TH/P4-17 Stanik

Low-n MHD Modes

- Nonlinear and toroidal mode coupling effects on m=1, n=1 instabilities
 - Compressional MHD gives good fit to experimental
 - 1/1 modes, sawtooth crashes, and edge instabilities
- Nonlinear 3D XTOR simulation of internal macro-instabilities
 - including two-fluid and kinetic effects
- Resistive instabilities with full toroidal geometry and coupling using DCON
 - Resistive DCON was developed with resonant Galerkin method and show good convergence property and numerical stability
- FLR effects on low-n MHD mode at H-mode pedestal with plasma rotation
 - FLR effects can stabilize the modes with n >= 3
- Global GK sim. of EM instabilities in Tokamak TH/P4-11 Holod

TH/P1-13 Sugiyama



TH/P5-3 Lütjens

TH/P7-4 Zheng

MHD Modes and Flow



 Strong screening of resonant harmonics due to resistive plasma response

Finite toroidal flow generated by resistive wall tearing modes

 Initially unstable tearing mode can be stabilized by the self-generated toroidal flow

Tokamak toroidal rotation caused by disruptions and ELMs

- AVDE disruptions and ELMS can drive v_ϕ



TH/P2-16 Strauss

TH/P3-44 Liu

MHD Modes in Helical Plasmas

3D MHD analysis of heliotron plasma with RMP [TH/6-2 Ichiguchi]

- Pressure driven modes in heliotron equilibria with RMP
- An m=1/n=1 magnetic island is generated. Equilibrium pressure profile is locally flat at the O-point.



Different mode structures are obtained.

Without RMP : A typical interchange mode







Puncture plots of field lines and mode pattern of perturbed plasma pressure in the linear phase (red and blue patterns).

- Two-fluid and gyro-viscous effects on the pressure-driven TH/P5-17 Miura modes in heliotron plasma
 - Two-fluid effect can deteriorate pressure profile
 - Gyro-viscosity effect does not explain mild saturation 29

MHD Issues, Mirror Configuration

✦ A Cross-Benchmarking and Validation Initiative TH/P4-7 Reiman

- for tokamak 3D equilibrium calculations
 - Tokamak: IPEC, MARS-F
 - Time-dependent MHD: M3D-C1, M3D, NIMROD
 - Stellarator: VMEC, NSTAB, PIES, HINT, SPEC
- Energy principle for fast RWM in tokamaks
- Theoretical studies of RWM for RFP plasmas
 - Comparison with tokamaks
 - RWM and FLEM driven by energetic particles
 - FLEM: non-resonant fishbone like external kink mode
- Effects of flow shear on tearing mode stability TH/P4-15 Chandra
 - Reduced MHD code CUTIE
- Flute instability with ExB shear flow in an open system
 - Rayleigh-Taylor and K-H instabilities
- Plasma behavior in the localized non-axisymmetric B region of the GAMMA 10 tandem mirror
 TH/P1-31 Islam





system

Alfvén Eigenmodes



◆ Global gyrokinetic PIC simulation of AE

TH/P4-49 Mishchenko

AE, Zonal Flow, Transport

Saturation of TAE caused by zonal flow generation

GTC simulation of TAE in DIII-D



GTC

DIII-D

- Predictive models for fast ion relaxation in burning plasmas
 - Critical gradient model based on linear stability theory of AE excited by AE
- Chirping AEs drive convective and diffusive transport
 - Electrostatic self-trapping
- Energetic particle driven geodesic acoustic mode (EGAM)
 - New kind of EGAM observed in LHD



TH/7-2 Lin

TH/P7-15 Lesur

TH/P1-12 Wang

AE and EP in ITER

Systematic scan of AE for ITER 15MA scenario

- MISHKA + CASTOR-K
- n=20 ~ 30 are most unstable
- **AE for ITER 9 MA steady-state**
 - KINX

- TH/P3-39 Isaev
- Nonlinear fast particle transport for ITER 15MA scenario
 - HAGIS + LIGKA TH/P2-6 Schneller
- AE transport predicts only mid-core re-distribution of ITER Alpha
 TH/P3-42 Bass
 - GYRO
- Alpha-particle confinement study in ITER
 - by NPA measurements of knock-on ion tails
 - Energy dependence and absolute intensity of knockon ion fluxes depend on the fast ion confinement time



10-1 100 90 80 70 10-2 60 50 40 10-3 30 20 10 0 10^{-4} 0.2 0.8

TH/P3-25 Rodrigues

TH/P3-37 Afanasyev

Energetic particle confinement

ITER energetic particle (EP) confinement

- in the presence of ELM control coils and EU TBMs
- ASCOT including recent 3D wall, feromagnetic components, ELM control coils, and EU TBMs
- No threat to first wall from NBI ions and α -particles
- Equilibrium and fast particle confinement
 - in 3D tokamaks with toroidal rotation
 - Helical core deformations with characteristics of saturated ideal MHD internal kink modes: Snakes
- 3D plasma response to external magnetic
 TH/P7-37 Suzuki perturbation on fast ion confinement in JT-60SA plasmas
 - Fast ion loss is sensitive to the magnetic topology
- NBI and HHFW Fast Ion Temporal Dynamics Modeling
 - with CQL3D-Hybrid-FOW
 - in NSTX discharges
 - FIDA, NPA, and dn/dt signals are in reasonable with Exp_{34}



TH/P3-30 Suonio



Energetic Particle Physics

- Stabilization of core microturbulence by fast ions TH/5-2 Garcia
 - Pressure gradients are strong stabilizing for ITG turbulence: GENE + MISHKA
 - Fast ions are more efficient than thermal particles
- Energetic particle driven n=1 MHD instabilities in tokamaks with weakly reversed shear
 TH/P7-1 Brennan
 - NIMROD simulation suggests particles can be destabilizing the mode observed in DIII-D hybrid cases
- Fishbone modes with dual NBI heating
- Redistribution of energetic particles
 - due to internal kink modes
- Transport theory for energetic alpha particles
 - extended to finite aspect ratio tokamaks with broken toroidal symmetry
- Fast particle driven ion cyclotron emission TH/P3-28 McClements (ICE) in tokamaks and ICE diagnostic in ITER 35

TH/P2-13 He

TH/P1-8 Farngo



ICRF Waves

Fast wave heating and power losses in SOL

- Full wave analysis: AORSA
 - NSTX: large SOL losses near the antenna and the LCFS
 - NSTX-U: wider evanescent region and lower SOL losses
- Verification of the ICRH antenna design for W7-X
 - Antenna code: TOPICA

TH/P6-60 Ongena

TH/P6-9 Colas

TH/P4-14 Bertelli

- Self-Consistent modeling of RF Sheaths
 - SSWICH-SW code
 - ICRF slow wave propagation and DC SOL plasma biasing
 - Nonlinear RF and DC sheath BCs at plasma-wall interface
 - Qualitative agreement with Tore Supra observations
- Theoretical analysis of the ICRH antenna's impedance matchin for ELMy plasmas on EAST TH/P3-13 Gong 36

LHRF Waves

Off-axis current drive by fast waves in LHRF

- ITER, FNSF-AT, DEMO
 - 3D full wave code: PSTELION, STELEC2
- DIII-D
 - Ray-tracing code: GENRAY

Particle simulation of RF waves

- Particle simulation model
 - Ion: fully kinetic
 - Electron: Drift kinetic
- Linear LH wave propagation
 - Landau damping
 - Slow-fast mode conversion
- Nonlinear LH wave trapping of electrons

TH/P2-10 Kuley

TH/P3-36 Vdovin

TH/P2-38 Pinsker



EC Waves and CHI

Anomalous absorption of EC waves



- Low-threshold parametric decay instabilities
 - 3D trapping of the upperhybrid or electron Bernstein waves in the drift-wave eddy





Fig. 1: The phase portrait along the EBW's ray trajectory for TCV conditions. The 3D trapping of the EBW's ray trajectory is shown.

TH/P3-32 Minashin

Effect of multi-pass absorption of EC waves

- Absorption of EC waves at initial stage of discharge
- Based on the approach of EC radiation transport

Noninductive plasma start-up by Coaxial Helicity Injection

- TSC simulation predicts 0.4 MA in NSTX-U TH/P6-55 Raman
- NIMROD simulation provides a more complete picture

Integrated Modelling of Toroidal Plasmas



- TOPICS + VMEC + FORTEC3D
- Neoclassical toroidal viscosity (NTV) improves the reproducibility of toroidal rotation



Integrated modeling of core-SOL-divertor

- Globus-M ASTRA + B2SOLPS
- ITER ASTRA/JETTO + COREDIV



- Integrated heat transport simulation
 - High-Ti plasma of LHD
- Kinetic integrated modeling of burning start-up phase tokamaks

TH/P6-38 Murakami

TH/P6-04 Fukuyama

Integrated Modelling Framework

OMFIT

TH/P6-11 Meneghini

TH/P3-41 Imbeaux

- Comprehensive integrated modeling framework
- New physics studies
 - Transport modeling based on Neural Networks
- + IMAS
 - ITER Integrated Modelling & Analysis Suite
 - Standardised, machine-generic data model
- Modelling of DEMO scenario

TH/P1-14 Giruzzi

- Three levels of analysis
 - OD PROCESS
 - Simplified IM (steady) METIS/COREDIV/ASTRA
 - Full IM (time dependent) CRONOS/JINTRAC/ASTRA



Final Remarks

- Since FEC2012 meeting, remarkable progress has been made in many areas in theory, modelling, and simulation of magnetic fusion plasmas.
- Increase of available computational resources has enable us to challenge new subjects in simulation research.
- The collaboration between experiment and theory has become an indispensable part of research activities. Now the collaboration between fusion technology, such as DEMO design, and theory is growing. This tendency will continue.
- I hope further progress in next two years, and also hope that long standing issues, such as density limit, are clearly solved at least in theoretical points of view.