# FAST ION TRANSPORT INDUCED BY EDGE LOCALIZED MODES

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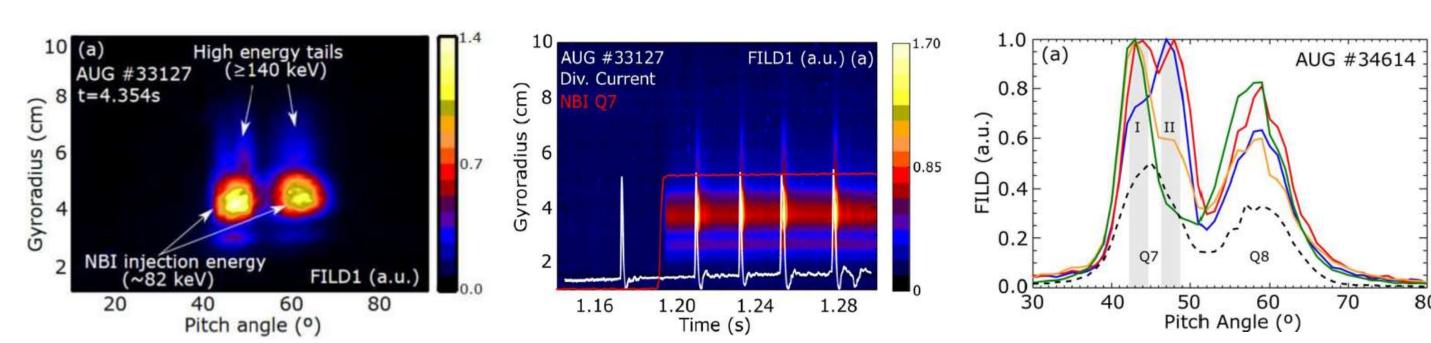
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#### Abstract

- Fast ions exhibit a notable acceleration during edge localized modes (ELMs) in tokamak devices.
- This paper presents an analytical investigation into the phase-space transport of fast ions driven by ELMs.
- Contrary to previous simulation results, it is shown that ELMs with low-frequency characteristics are inefficient at accelerating fast ions.
- Instead, the transport of fast ions is dominated by radial particle transport, resulting from the exchange of canonical toroidal angular momentum.
- The associated diffusivity increases sharply for high-energy particles, making fast-ion loss measurements in velocity space appear as an acceleration process

### Background

- Velocity space measurements of fast-ion losses reveal a population at energies well above the main NBI injection energy during ELMs [Galdon-Quiroga PRL, 2018;NF, 2019].
- Two populations can be observed at two main different pitch angles of  $\pi/4$  (Q7,passing) and  $\pi/3$  (Q8, trapped).
- NBI injects neutrals at three different energies E0 = 82 keV, E0/2 and E0/3.
- The observation of high-energy tail is reproducible and well correlated with the NBI heating and the occurrence of ELMs.
- High-energy population exhibits a pitch angle structure ('spikes') that depends on the beam source and q.



#### Methods

#### **Gyrokinetic Model**

• The gyrocenter Hamiltonian in 5D phase space

$$H(\mathbf{X}, \mu, w, t, \tau) = \mu B + \frac{w^2}{2} + \frac{e}{m} (\langle \delta \phi \rangle - \frac{w}{c} \langle \delta A_{\parallel} \rangle + \frac{v_{\perp} \rho}{c} \langle \delta B_{\parallel} \rangle_*).$$

#### **Fluctuations**

- During the ELM crash a broad spread in frequency is generally measured in AUG butlow frequencies  $\omega \sim 10 kHz$  are dominant, with n~5 and  $\delta B_1/B \sim O(10^{-3})$ .
- The inter-ELM modes in a high frequency range  $\omega \sim 100 \text{kHz}$  appears in the linear and early nonlinear phases, with n  $\sim 10$  and  $\delta B_{\perp}/B \sim O(10^{-5} 10^{-4})$ .
- Therefore, the perpendicular magnetic perturbation dominates the perturbed Hamiltonian of fast ions.

#### **Fast Ion Acceleration**

• An estimate for the time required for the particle acceleration

$$\Delta t \sim rac{H_0}{\omega \delta H} \sim rac{k_\perp 
ho_0}{\omega} rac{B_0}{\langle \delta B_\perp 
angle}$$

- Substituting into parameters of low-n modes and inter-ELM modes, respectively, one can estimate the required time as  $\Delta t_{low} \sim \mathcal{O}(10^3)/\omega_{low} \sim 1s, \quad \Delta t_{int} \sim \mathcal{O}(10^4-10^5)/\omega_{int} \sim 1-10s.$
- one concludes that both the inter-ELM modes and the dominant low-n modes cannot account for the observed fast ion 'acceleration' on  $\sim$  100 $\mu$ s.
- Fast-ion is not accelerated by ELMs.

# Lagrangian Perspective

### **Wave-Particle Resonance**

- Near a single island, the maximum energy exchange is  $\delta E = \frac{2\omega_n H_{m,l}}{\omega_B}$
- The maximum canonical momentum exchange, meanwhile, is  $\delta P_{\zeta} = \frac{2nH_{m,l}}{\omega_B}$
- Qualitatively, for both the low-n modes and inter-ELM modes during ELM crash, the canonical momentum exchange dominates the transport.

## Lagrangian Perspective

#### **Fluctuations**

where

An arbitrary perturbation, say  $\delta Q$ , can be decomposed into the Lagrangian description by introducing the fast ( $t_0$ ) and slow ( $t_1$ ) time scales

$$\delta Q_{n} = e^{-in[\omega_{\zeta}t_{0} + \partial_{P_{\zeta}}\omega_{\zeta}\int_{0}^{t_{1}}\delta P_{\zeta}dt' + \partial_{E}\omega_{\zeta}\int_{0}^{t_{1}}\delta Edt'] - in\int_{0}^{t_{1}}\delta\dot{\zeta}dt'}$$

$$\sum_{m,l} e^{i(m\delta_{c}+l)[\omega_{b}t_{0} + \partial_{P_{\zeta}}\omega_{b}\int_{0}^{t_{1}}\delta P_{\zeta}dt' + \partial_{E}\omega_{b}\int_{0}^{t_{1}}\delta Edt'] + im\int_{0}^{t_{1}}\delta\dot{\theta}dt'} c_{m,l},$$

$$c_{m,l}(E, \mu B_{0}, P_{\zeta}, t_{1}) = \oint \frac{\omega_{b}dt_{0}}{2\pi} e^{-il\omega_{b}t_{0} - in\tilde{\zeta} + im\tilde{\theta}} A_{n}(\bar{r} + \tilde{r} + \int_{0}^{t_{1}}\delta\dot{r}dt').$$

- Due to the finite drift orbit width (FDOW) effect, the orbit-averaged fields for trapped particles are typically smaller than those for circulating particles, leading to weaker cross-field transport.
- This is consistent with the experimental observation that trapped particles has a weaker FILD signal.

#### **Eulerian Perspective**

#### **Quasilinear Theory**

In the linear limit, the gyrokinetic equation can solved as

$$\delta G = i\delta\Phi L^{-1} \left[\omega \frac{\partial F_0}{\partial E} + n \frac{\partial F_0}{\partial P_c}\right]$$

where the propagator L accounts for the wave-particle interaction.

The effective potential

$$\delta\Phi = J_0(\delta\phi - \delta\psi) + J_0 \frac{\omega_d \delta\psi}{\omega} + \frac{v_\perp J_1}{k_\perp c} \delta B_{\parallel}$$

is respectively due to three forces: the parallel electric field which vanishes in the ideal MHD limit;  $v_d \times \delta B_I$ ; and the mirror force.

• The explicit quasilinear transport equation

$$\partial_t F_0 = \frac{\partial}{\partial I} \cdot [D \cdot \frac{\partial F_0}{\partial I}]$$

- The interaction of fast ions with turbulence is constrained to a two-dimensional  $J = (P_{\zeta}, E)$  manifold embedded in the full 6D phase space.
- The associated transport coefficients are defined as

$$D_{P_{\zeta}P_{\zeta}} = -\operatorname{Im}\left[\frac{n^{2}|\delta\Phi|^{2}}{L}\right] \qquad D_{EE} = -\operatorname{Im}\left[\frac{\omega^{2}|\delta\Phi|^{2}}{L}\right] \qquad D_{P_{\zeta}E} = D_{EP_{\zeta}} = -\operatorname{Im}\left[\frac{n\omega|\delta\Phi|^{2}}{L}\right]$$

• In the low-frequency ELM scale, the diffusion will be constrained to a 1D manifold in  $P_7$ .

## 'Acceleration'

- For circulating particles, as an example, the radial diffusion coefficient  $D_{rr} \propto v^3$  due to  $\delta\Phi \propto \omega_d$  and the summation over p.
- In contrast to the microturbulence case [Zhang, PRL, 2009], high energy particles will be transported faster, leading to FILD signals seems like an acceleration process.
- For typical AUG parameters, the required time for cross-field diffusion at edge is on the order of  $\Delta t \sim 100 \mu s$ , consistent with experimental observations.

## 'Spikes'

 $\omega + l\omega_b - n\omega_d = 0.$ 

Note that the circulating particle resonance condition depends on poloidal mode number, spikes correspond to multiple phase space islands for circulating particles.

# CONCLUSION

- The ELM crash cannot effectively accelerate fast ions, it induces an efficient radial transport of fast ions with Drr ∝ v3, yielding a strong FILD signal in high energy tail.
- Multiple spikes in pitch angle are due to multiple phase space islands for circulating particles.
- Theoretical predictions agree with the experimental observations:
  - Circulating particles are more easily transported, so the corresponding FILD signal is stronger.
  - The required time for cross-field diffusion process is estimated as t  $\sim$  100µs, consistent with experimental observations.

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