

# Development of predictive rotation models for ITER-relevant plasma conditions on the ASDEX Upgrade and DIII-D tokamaks

C. F. B. Zimmermann<sup>1,2</sup>, C. Angioni<sup>1</sup>, A. Bortolon<sup>3</sup>, C. Chrystal<sup>4</sup>, B. P. Duval<sup>5</sup>, E. Fable<sup>1</sup>, S. Haskey<sup>3</sup>, R. M. McDermott<sup>1</sup>, G. McKee<sup>6</sup>, T. Odstrčil<sup>4</sup>, E. Perez<sup>6</sup>, X. Qin<sup>7</sup>, A. Salmi<sup>8</sup>, L. Schmitz<sup>7</sup>, T. Tala<sup>8</sup>, G. Tardini<sup>1</sup> and the ASDEX Upgrade<sup>9</sup> and DIII-D Team.

<sup>1</sup> Max Planck Institute for Plasma Physics, Garching, Germany

<sup>2</sup> Department of Applied Physics and Applied Mathematics, Columbia University, United States of America

<sup>3</sup> Princeton Plasma Physics Laboratory, Princeton, United States of America

<sup>4</sup> General Atomics, San Diego, United States of America

<sup>5</sup> EPFL, SPC, Lausanne, Switzerland

<sup>6</sup> Department of Engineering Physics, University of Wisconsin, Madison, United States of America

<sup>7</sup> University of California Los Angeles, Los Angeles, United States of America

<sup>8</sup> VTT, P.O. Box 1000, FI-02044 VTT, Finland

<sup>9</sup> see the author list of H. Zohm et al, 2024 Nucl. Fusion.

Email: benedikt.zimmermann@columbia.edu

In recent years, significant work has been carried out on the ASDEX Upgrade (AUG) and DIII-D tokamaks to validate our theoretical understanding of momentum transport. This has resulted in the development of the first reduced, validated, analytical model capable of predicting the rotation in the core of tokamak plasmas, as demonstrated by the predictions in Fig. 1. These advancements will impact predictions of impurity transport, turbulence stabilization, and mode locking in future fusion devices, while also opening new avenues for integrated modeling and real-time control.

The foundation for validating the momentum transport theory was established on AUG through the development of a new analysis methodology based on the TRANSP [1] and ASTRA [2] codes. This methodology extracts diffusive, convective, and residual stress momentum transport coefficients from torque perturbation experiments performed using neutral beam injection (NBI) modulation [3,4].

First investigations of the isotope dependence of momentum transport revealed no impact of different isotopes on the transport coefficients, consistent with gyrokinetic theory [5]. This indicates that the validated models are broadly applicable to fusion reactors with mixed fuel compositions.

Scaling laws for the normalized momentum diffusivity (Prandtl number) and convective velocity (pinch number) were developed and validated based on AUG data. This was achieved by applying the methodology to a set of AUG discharges in a predominantly ion-temperature-gradient-dominated turbulence regime, with the extracted Prandtl and pinch numbers compared to predictions from linear, local gyrokinetic theory, computed using the GKW code [6]. For the first time, this comparison resulted in quantitative agreement between theory and experiment in terms of absolute magnitudes, profile shapes, and trends with plasma parameters [4]. This breakthrough facilitated the development of validated scaling laws for the Prandtl and pinch numbers [7]. Additionally, gyrokinetic parameter scans provided valuable insight into the physical mechanisms behind the observed trends.

The corresponding measurements of residual stress are consistent with current theoretical understanding [7]. Residual stress, a non-Fickian, off-diagonal transport term unique to momentum transport, generates intrinsic torque and can spin up the plasma from rest. On AUG, the measured intrinsic torque is typically small in the inner plasma core and becomes stronger toward the pedestal top, a pattern also observed on DIII-D. In the inner core, intrinsic torque is most strongly correlated with the logarithmic density gradient. In the outer core, the intrinsic torque shows a stronger correlation with the local pressure gradient. These findings suggest that different mechanisms drive intrinsic torque in the core and edge regions of the plasma. The observed trends align qualitatively with the concept of profile shearing [8] and turbulence intensity gradients influencing the inner core, while ExB-shearing effects become more prominent at the edge.

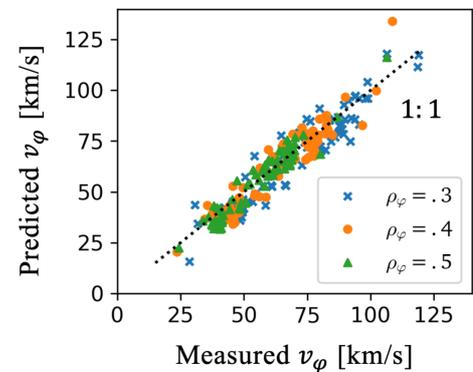


Figure 1: Comparison between the measured (x-axis) and predicted (y-axis) toroidal rotation for different radii. Unity is shown as black dotted line. Data set is constructed from various AUG H-mode discharges. Adapted from [7].

Building on the insights from this study, a reduced momentum transport model was developed to capture the essential contributions to momentum transport in the plasma core while significantly reducing computational complexity compared to gyrokinetic calculations [7]. This reduced model incorporates gyrokinetic scalings for the Prandtl and pinch numbers, combined with experimental scalings for intrinsic torque. It has been implemented in the ASTRA code and is published, making it available for broader use and further development. It accurately predicts rotation profiles for a database of discharges, confirming that it captures the most critical contributions to momentum transport, as illustrated in Fig. 1. Recent efforts have shown that the experimentally measured dependencies of intrinsic torque can be unified with the theoretical concept of the so-called profile shearing effects [8], providing a normalized, machine-independent, and extrapolatable formulation of the reduced model.

This work was extended to more reactor-relevant conditions by analyzing AUG discharges with an ITG-TEM mixed-mode turbulence regime. The application of strong ECH modifies the density gradient profiles and induces residual stress, which generates a counter-current intrinsic torque in the inner plasma core. Combined with momentum diffusion and convection, this mechanism leads to hollow rotation profiles, as shown in Fig. 2(a). This outcome is potentially undesirable for the stability of future fusion devices. Notably, the reduced transport model accurately reproduces these hollow rotation profiles, as demonstrated in Fig. 2(a).

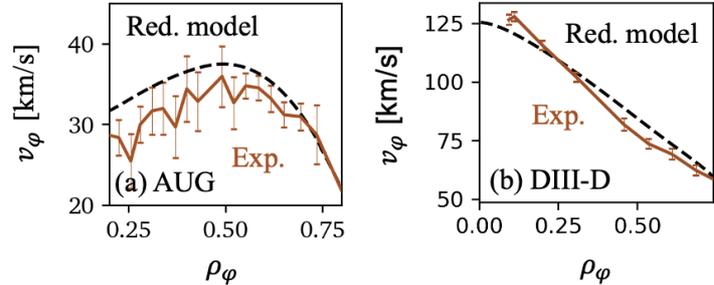


Figure 2: Predictions of the reduced momentum transport model for (a) a AUG discharge with hollow rotation profiles due to an ITG-TEM mixed-mode regime (#29216,  $P_{\text{NBI}} = 3$  MW,  $P_{\text{ECH}} = 3.4$  MW,  $n_e = 7.2 \cdot 10^{19} \text{ m}^{-3}$ ), (b) a DIII-D discharge in a TEM-dominated turbulence regime (#200416,  $P_{\text{NBI}} = 2.2$  MW,  $P_{\text{ECH}} = 3.2$  MW,  $n_e = 2.7 \cdot 10^{19} \text{ m}^{-3}$ )

In recent experiments, the momentum transport analysis scenario was adapted to the DIII-D tokamak, and a corresponding analysis framework was established. To advance the validation efforts and further develop the reduced model for reactor-relevant conditions, the experiments were conducted to investigate turbulent momentum transport in low-torque and, consequently, low-rotation regimes, where turbulence growth rates exceed the ExB-shearing rates. These experiments involved thorough scans of the turbulence regime by varying the electron cyclotron resonance heating. During these experiments, it was possible to explore a TEM-dominated turbulence regime. Preliminary analysis shows that the hollow rotation profiles disappear in strong TEM conditions, indicating a co-current intrinsic torque. As shown in Fig. 2(b), the reduced transport model successfully explains the peaking of the rotation profile under these conditions.

The new DIII-D dataset, along with the specific implementation of the analysis technique, will provide further opportunities to adapt and refine the presented models. Ultimately, the existing implementation of the reduced transport model in ASTRA, together with the usage of quasi-linear gyrokinetic codes, will allow a more comprehensive understanding of turbulent momentum transport in the plasma core. This will enable mapping out the core rotation profiles of ITER based on assumptions about the rotation boundary. Altogether, this will give the community greater confidence in the extrapolation of rotation profiles for future tokamak devices and consistently incorporate the effects of rotation into scenario development for the stable operation of future fusion devices.

## ACKNOWLEDGEMENTS

This work has been carried out within the framework of the EUROfusion Consortium, funded by the European Union via the Euratom Research and Training Programme (Grant Agreement No 101052200 — EUROfusion). Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Commission. Neither the European Union nor the European Commission can be held responsible for them. Work supported by US DOE under DE-FC02-04ER54698, DE-AC02-09CH11466, DE-FG02-08ER54999, and DE-SC0020287. The Swiss contribution to this work has been funded by the Swiss State Secretariat for Education, Research and Innovation (SERI).

## REFERENCES

- [1] R. Hawryluk. 1981. Physics of Plasmas Close to Thermonuclear Conditions. pp. 19–46
- [2] E. Fable et al. 2013. Plasma Phys. Control. Fusion 55 124028
- [3] C.F.B. Zimmermann et al. 2022. Plasma Phys. Control. Fusion 64 055020
- [4] C.F.B. Zimmermann et al. 2023. Nucl. Fusion 63 124003
- [5] C.F.B. Zimmermann et al. 2023. Nucl. Fusion 63 126006
- [6] A.G. Peeters et al. 2009 Comput. Phys. Commun. 180 2650
- [7] C.F.B. Zimmermann et al. 2024. Phys. Plasmas 31 042306
- [8] Y. Camenen et al. 2011. Nucl. Fusion 51 073039