

Theory and Simulation of Phase Space

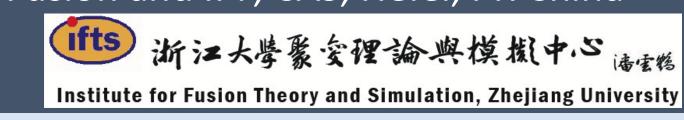
Transport in Burning Plasmas F. Zonca^{1,2}, M.V. Falessi^{1,3}, Ph. Lauber⁴, S. Briguglio¹, A. Bottino⁴, L. Chen^{2,1}, T. Hayward-Schneider⁴, G. Meng⁴, A. Mishchenko⁵, Z. Qiu^{6,1} and G. Wei^{2,1}



¹Center for Nonlinear Plasma Science and C.R. ENEA Frascati – C.P. 65, 00044 Frascati, Rome, Italy; ²Institute for Fusion Theory and Simulation and School of Physics, Zhejiang University, Hangzhou 310027, China; ³Istituto Nazionale di Fisica Nucleare, Sezione di Roma, Piazzale Aldo Moro, 2, Roma, Italy; ⁴Max Planck Institute for Plasma Physics, 85748 Garching, Germany; ⁵Max Planck Institute for Plasma Physics, 17491 Greifswald, Germany; ⁶Key Laboratory of Frontier Physics in Contr. Nucl. Fusion and IPP, CAS, Hefei, PR China

EVEV

fulvio.zonca@enea.it

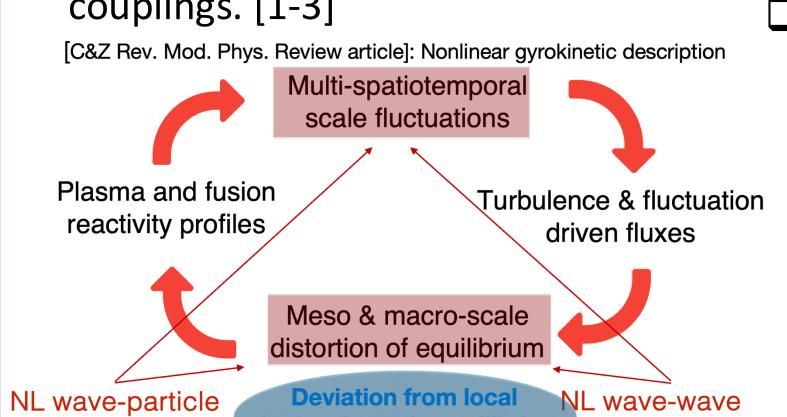


HIGHLIGHTS

- ☐ This study reviews the role of phase-space zonal structures (PSZS) in burning plasmas, illustrating their evolution due to Alfvénic fluctuations using synthetic diagnostics with HMGC [6], GTC [16], and ORB5 [7] codes.
- ☐ The presented work demonstrates the existence of an overarching and unified theoretical framework for the self-consistent description of fluctuation spectra and corresponding transport in reactor-relevant fusion plasmas.
- ☐ The results validate the ATEP [8] code for simulating distribution function evolution in realistic tokamak conditions, including sources and collisions.
- ☐ The integration of the ATEP workflow into the ITER IMAS system is complete, and applications to realistic cases of practical interest are in progress.

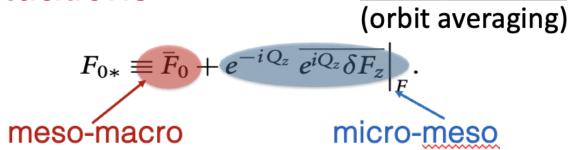
BURNING PLASMAS AND PHASE SPACE TRANSPORT

☐ Reactor-relevant burning plasmas are complex, self-organized systems in which energetic particles (EPs) play a crucial role in processes underlying cross-scale couplings. [1-3]



☐ Proper nonlinear equilibrium

- > Zonal State: zonal e.m. fields and phase space zonal structures
- Compute Zonal State in the presence of finite level of fluctuations



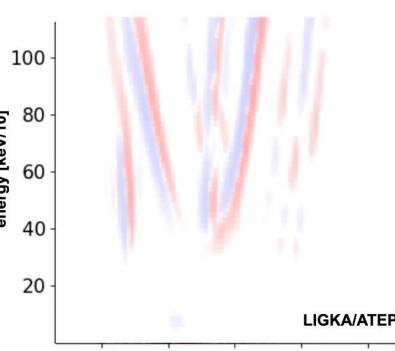
- ☐ The phase-space zonal structures (PSZS) depend solely on actions (invariants of motion in a nearly integrable Hamiltonian system)
- ☐ The PSZS concept generalizes the local Maxwellian equilibrium, and zonal state evolution equations allow to simulate transport in burning plasmas on long times [4,5]

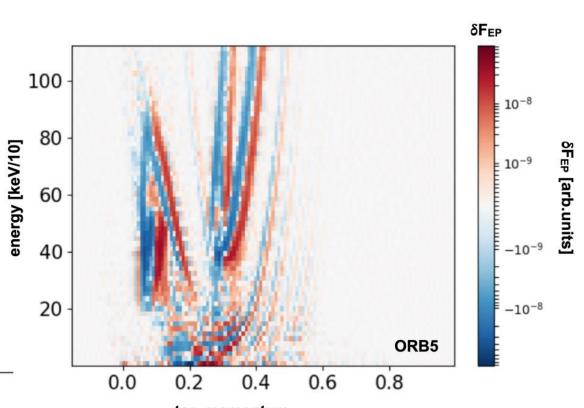
 $\frac{\partial}{\partial t}\overline{F_{z0}} + \frac{1}{\tau_b} \left[\frac{\partial}{\partial P_{\phi}} \overline{\left(\tau_b \delta \dot{P}_{\phi} \delta F \right)_z} + \frac{\partial}{\partial \mathcal{E}} \overline{\left(\tau_b \delta \dot{\mathcal{E}} \delta F \right)_z} \right]_S = \left(\sum_b C_b^g \left[F, F_b \right] + \mathcal{S} \right)$

☐ ATEP code [8] solves zonal state evolution in realistic tokamak conditions with various levels of approximations and is fully consistent with ORB5 nonlinear gyrokinetic code results

Circulating EP PSZS

ITER NBI case with two TAEs n=18 and n=19 in the non-linear saturation phase

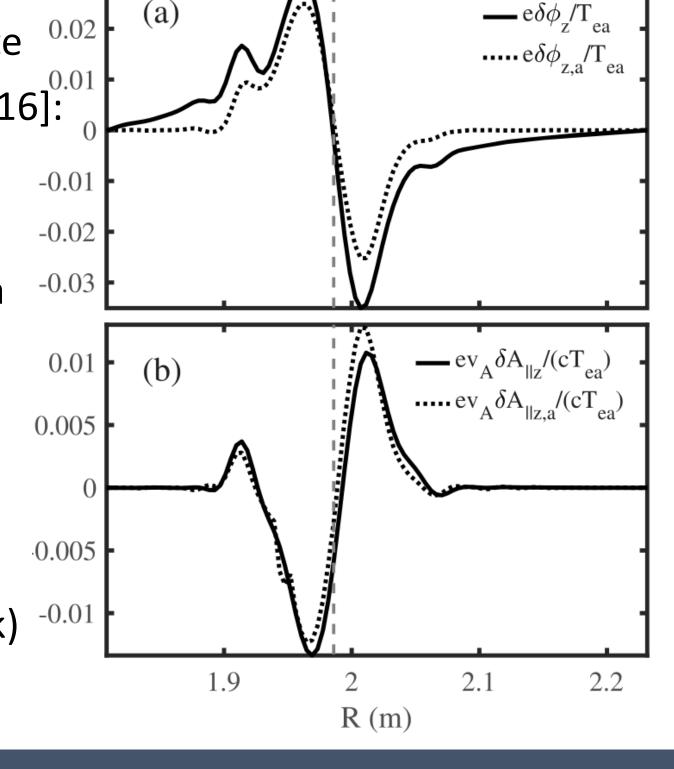




ZONAL STATE BY RSAE IN GTC SIMULATIONS

- ☐ Including thermal component is crucial [14] and enables description of phase transitions in zonal state evolution [S.J. Wang et al. PRL 2024, ITB by ITG] [15]
- ☐ Core plasma also plays a crucial role in determining the properties of the zonal state
- ☐ Reversed shear Alfvén eigenmodes (RSAE) [16]:
 - > zonal flow has negligible effect on EP resonance detuning (shearing)
 - > zonal e.m. fields enhance the EP drive via phase space zonal structures
 - > zonal e.m. fields are dominated by zonal current
 - > saturation takes place via downward frequency shift and enhanced damping due to core plasma effects (ongoing work)

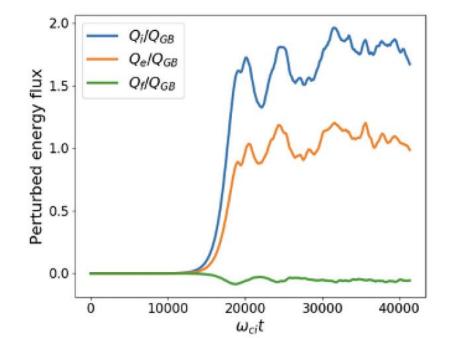


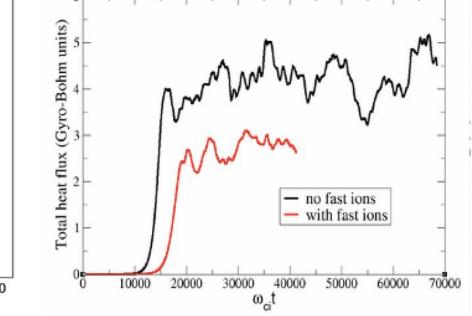


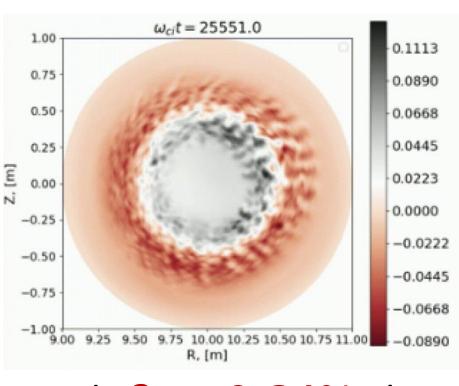
CROSS-SCALE COUPLINGS IN ITG PLASMA TURBULENCE

→ A. Könies et al. P6-3470

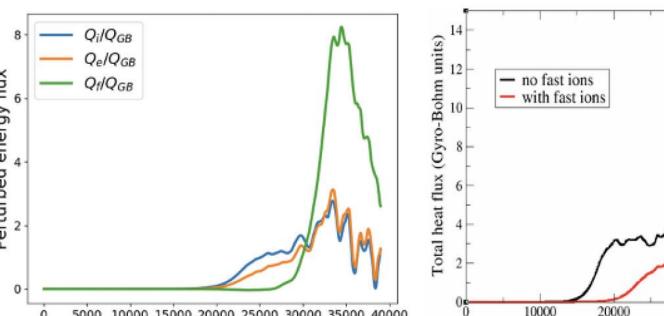
☐ Nonlinear gyrokinetic simulations with ORB5 code demonstrate clear reduction in the heat flux for both the bulk ions and the electrons at $\beta_e = 0.1\%$ in the presence of energetic particles

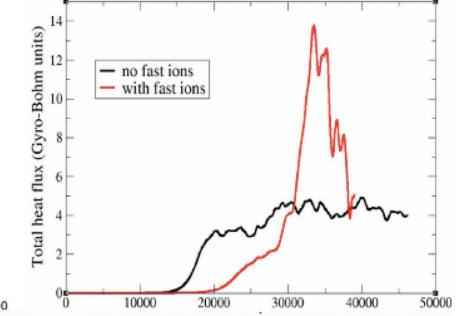


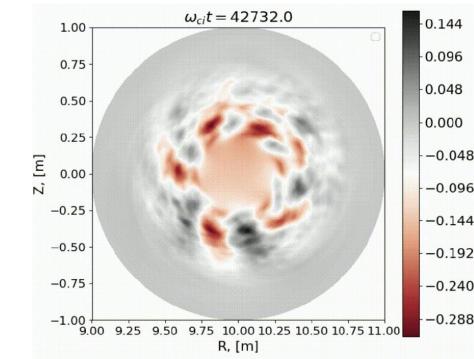




 \square Zonal State becomes more global as plasma β is increased. $\beta_e = 0.24\%$ Flutelike and meso-scale structures emerge. Overall transport deteriorates.



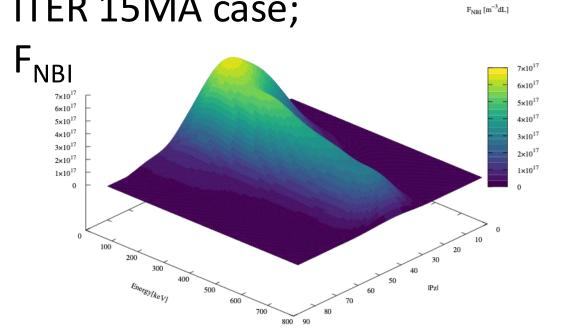


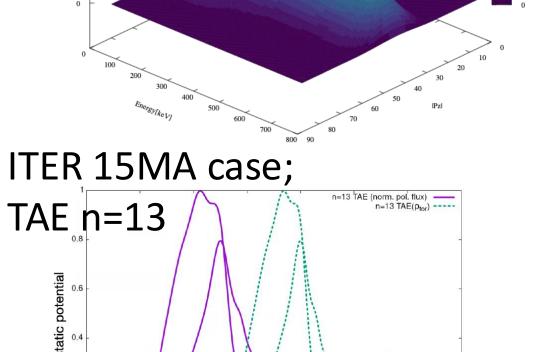


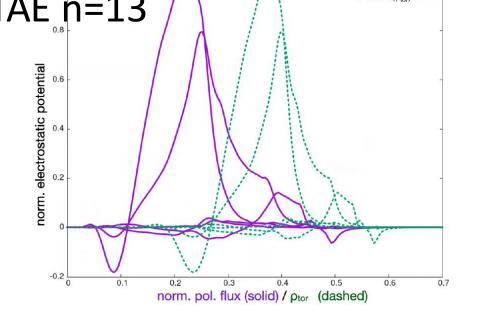
☐ Meso-scale phenomena, such as kinetic Alfvén wave propagation, need to be captured for a correct description of the plasma dynamics

ATEP-3D code and EP Workflow

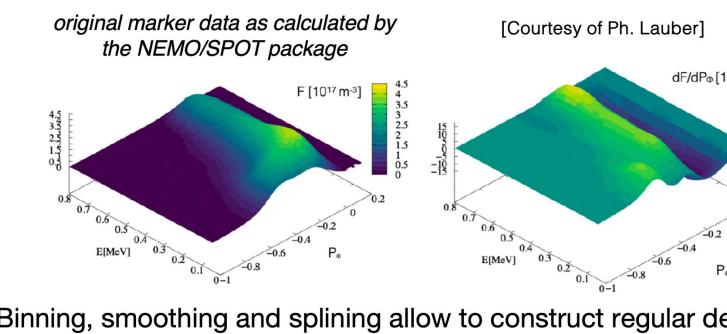
☐ Energetic particle workflow is fully integrated in IMAS [8,21,22] ITER 15MA case;



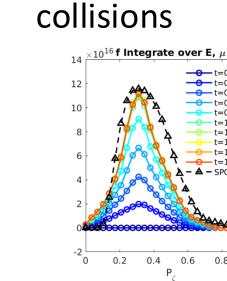




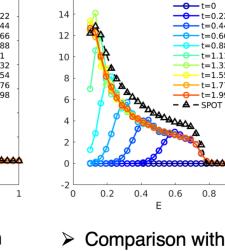


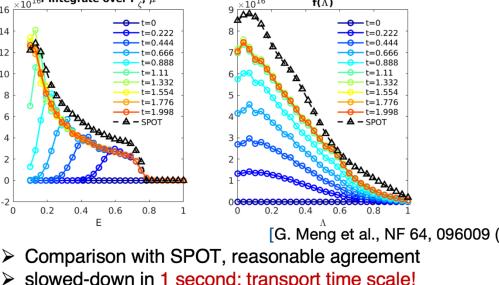


- ☐ Binning, smoothing and splining allow to construct regular derivatives in phase space
- ☐ Steady state solution obtained with sources and collisions



> Source model: Gaussian





function for NBI

Off-axis beam relaxation in ITER

Initial (as given by NEMO/SPOT) and final state after evolving the PSZS transport equation for 700ms (1000 time steps) with constant-amplitude n=13 TAE with $\delta B/B = 10^{-5}$

Monte-Carlo code, several days/case

CONCLUSIONS AND DISCUSSIONS

- ☐ This work demonstrates a comprehensive theoretical framework for the selfconsistent modeling of fluctuation spectra and transport in reactor fusion plasmas.
- ☐ Verification efforts, including comparisons between ATEP-3D [8,21,22] and ORB5 [7], demonstrate a good level of consistency in simulating phase-space fluxes and zonal structures. These results validate the numerical tools and the underlying theoretical approach, with the inclusion of source and collision terms.
- ☐ The ATEP code has been integrated into the ITER IMAS system, enabling its use for predictive modeling in ITER [22].

ACKNOWLEDGEMENTS

This work has been supported in part by the Italian Ministry of Foreign Affairs under Grant No. CN23GR02, the National Natural Science Foundation of China under Grant No. 12261131622 and by INFN - CSN4 (Commissione Scientifica Nazionale 4 - Fisica Teorica) MMNLP project. This work has also partly been carried out within the framework of the EUROfusion Consortium, funded by the European Union via the Euratom Research and Training Programme (Grant Agreement No. 101052200EUROfusion). Views and opinions expressed are, however, those of the author(s) only and do not necessarily reflect those of the European Union or the European Commission. Neither the European Union nor the European Commission can be held responsible for them.

REFERENCES

[1] CHEN, L., and ZONCA F. 2016 Rev. Mod. Phys. 88 015008, [2] ZONCA, F. et al. 2015 New J. Phys. 17 013052; [3] ZONCA, F. et al. 2015 Plasma Phys. Contr. Fusion 57 014024; [4] FALESSI, M. V., and ZONCA F. 2019 Phys. Plasmas 26 022305; [5] FALESSI, M. V., CHEN, L., QIU, Z., and ZONCA F. 2023 New J. Phys. 25 123035; [6] BRIGUGLIO, S. et al. 2014 Phys. Plasmas 21 112301; [7] BOTTINO, A. et al. 2023 J. Phys.: Conf. Ser. 2397 012019; [8] LAUBER, P. et al. 2024 Nucl. Fusion 64 096010; [9] ABEL, I. G. et al. 2013 Rep Prog Phys. 76 116201; [10] PARKER, J. B. et al. 2024 Nucl. Fusion 58 054004; [11] ZONCA, F. et al. 2021 J. Phys.: Conf. Ser. 1785 012005; [12] WEI, G. et al. 2024 Phys. Plasmas 31 072505; [13] WEI, G., et al. 2025 Nucl. Fusion 65 in press https://doi.org/10.1088/1741-4326/ae0803; [14] CHEN, L., and ZONCA F. 2007 Nucl. Fusion 47 S727; [15] WANG, S., WANG, Z., and WU T. 2007 Phys. Rev. Lett. 132 065106; [16] CHEN, L., et al. 2025 Nucl. Fusion 65 016018; [17] WANG, T. et al. 2018 Phys. Plasmas 25 062509; [18] WANG, T. et al. 2019 Phys. Plasmas 26 012504; [19] CITRIN, J., and MANTICA P. 2023 Plasma Phys. Contr. Fusion 65 033001 [20] QIU, Z. et al. 2025 Plasma Sci. Technol. 27 095101; [21] MENG, G. et al. 2024 Nucl. Fusion 64 096009; [22] LAUBER, P. et al. 2025 Oral presentation at the 29th EU-US Transport Workshop, Sept. 8-12 2025, Budapest, Hungary