



# Overview of the ASDEX Upgrade shattered pellet injection studies

What have we learned, and what is missing?



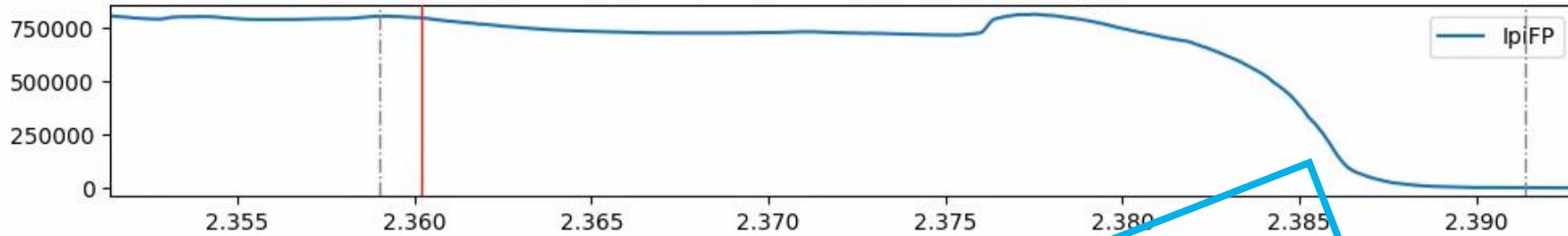
Paul Heinrich<sup>1</sup>, G. Papp<sup>1</sup>, S. Jachmich<sup>2</sup>, J. Artola<sup>2</sup>, M. Bernert<sup>1</sup>, A. Bock<sup>1</sup>, P. David<sup>1</sup>, P. de Marné<sup>1</sup>, M. Dibon<sup>2</sup>, R. Dux<sup>1</sup>, T. Eberl<sup>1</sup>, R. Fischer<sup>1</sup>, M. Hoppe<sup>3</sup>, M. Hölzl<sup>1</sup>, P. Haldestam<sup>1</sup>, J. Hobirk<sup>1</sup>, J. Illerhaus<sup>1</sup>, M. Lehnent<sup>1</sup>, T. Lunt<sup>1</sup>, M. Maraschek<sup>1</sup>, A. Matsuyama<sup>4</sup>, M. Miah<sup>1</sup>, R. Nocentini<sup>1</sup>, A. Patel<sup>1</sup>, T. Peherstorfer<sup>5</sup>, V. Rohde<sup>1</sup>, N. Schwarz<sup>2</sup>, U. Sheikh<sup>6</sup>, B. Sieglin<sup>1</sup>, J. Svoboda<sup>7</sup>, W. Tang<sup>1</sup>, O. Vallhagen<sup>8</sup>, the ASDEX Upgrade and WPTE Teams

<sup>1</sup>MPI for Plasma Physics, Germany | <sup>2</sup>ITER Organization, France | <sup>3</sup>Department of Electrical Engineering, KTH Royal Institute of Technology, Sweden | <sup>4</sup>Graduate School of Energy Science, Kyoto University, Japan | Vienna University of Technology, Austria | <sup>5</sup>EPFL, Swiss Plasma Center, Switzerland | <sup>7</sup>IPP of the CAS, Czech Republic | <sup>8</sup>Chalmers University of Technology, Sweden | <sup>†</sup>Deceased

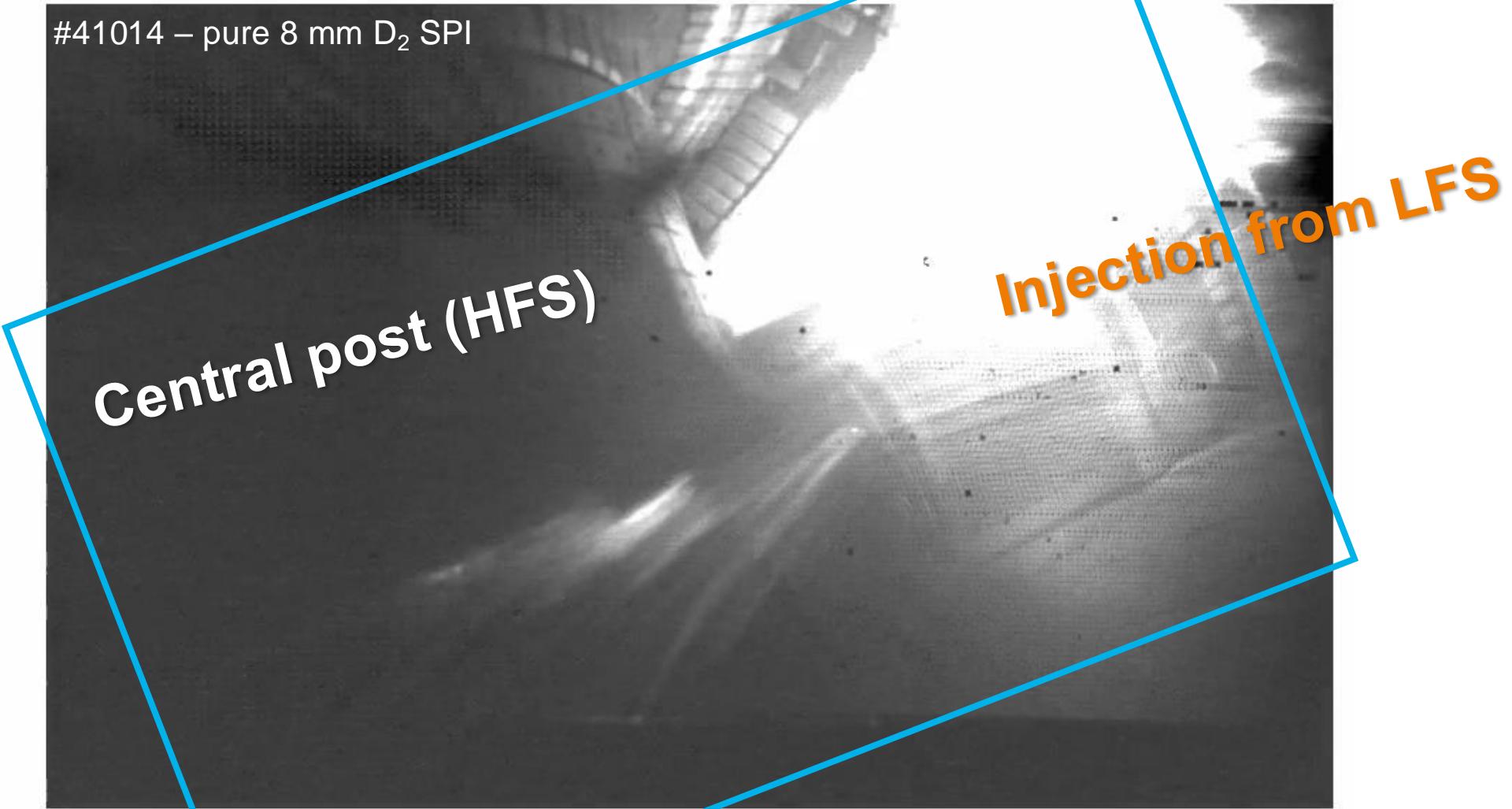


This work has been carried out within the framework of the EUROfusion Consortium, funded by the European Union via the Euratom Research and Training Programme (Grant Agreement No 101052200 – EUROfusion). Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Commission. Neither the European Union nor the European Commission can be held responsible for them. ITER is the Nuclear Facility INB no. 174. The views and opinions expressed herein do not necessarily reflect those of the ITER Organization. This work was performed in collaboration with the ITER DMS Task Force and received funding by the ITER Organization under contracts IO/CT/43-2084, IO/CT/43-2115 and IO/CT/43-2116.

#41014 from 2.359 - 2.391 sec (camera delay: 1.4 ms)



#41014 – pure 8 mm D<sub>2</sub> SPI



# Outline

- ❖ What is SPI supposed to deliver?
- ❖ What is the specific goal of the ASDEX Upgrade SPI system?
- ❖ What did we learn from the AUG SPI project so far?
  - Commissioning (Lab) phase
  - AUG SPI experiments in 2022 & AUG SPI modelling
    - Disruption evolution
    - Radiation asymmetries
    - Radiated energy fraction ( $f_{rad}$ )
    - Is there an „optimal“ shatter head for all purposes?
- ❖ Shatter head setup for the 2025 experimental campaign

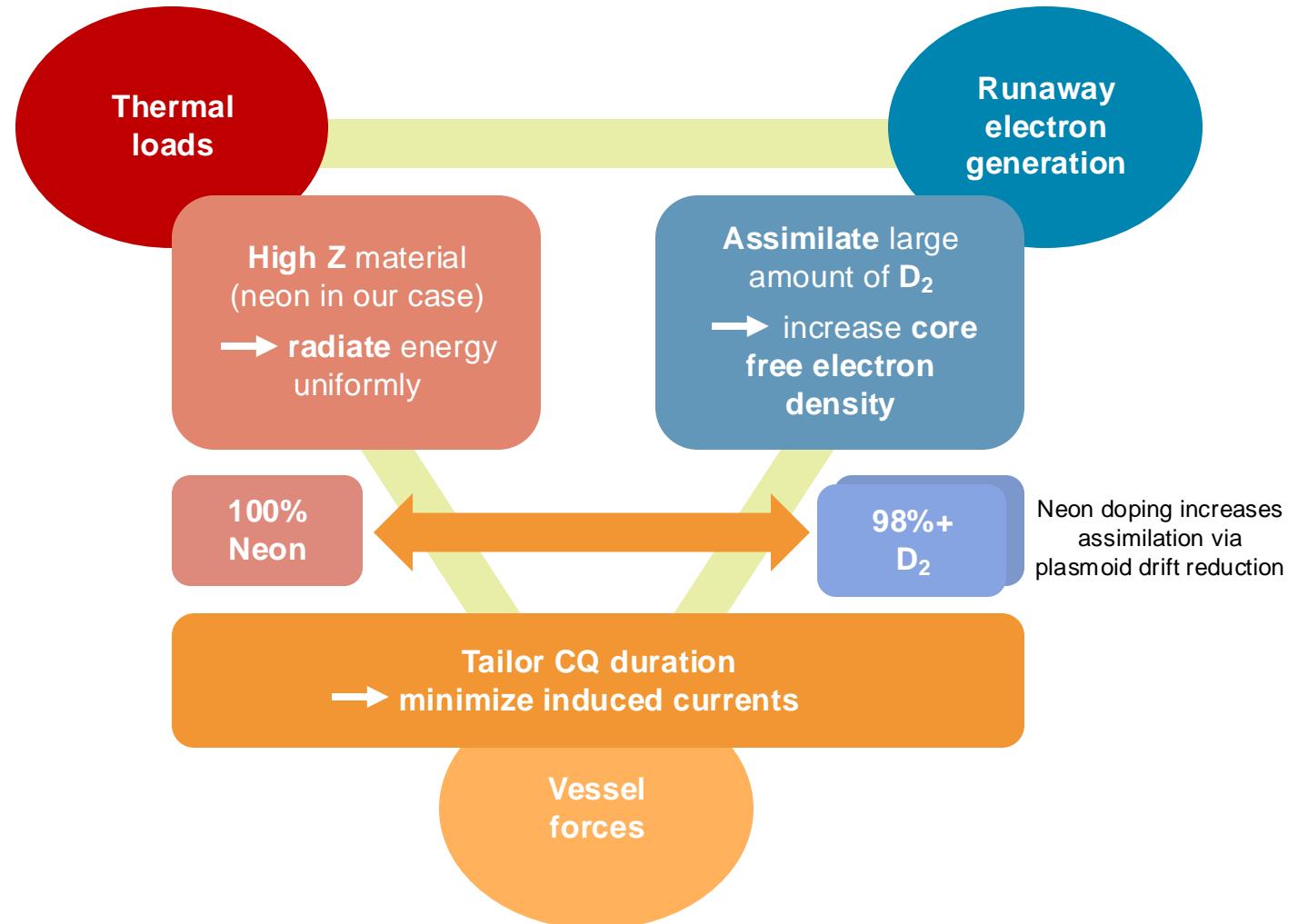


# Outline



- ❖ **What is SPI supposed to deliver?**
- ❖ **What is the specific goal of the ASDEX Upgrade SPI system?**
- ❖ **What did we learn from the AUG SPI project so far?**
  - **Commissioning (Lab) phase**
  - **AUG SPI experiments in 2022 & AUG SPI modelling**
    - Disruption evolution
    - Radiation asymmetries
    - Radiated energy fraction ( $f_{rad}$ )
    - Is there an „optimal“ shatter head for all purposes?
- ❖ **Shatter head setup for the 2025 experimental campaigns**

# The goals of shattered pellet injection (SPI)



# Outline

- ❖ What is SPI supposed to deliver? **heat loads:** max. radiation **forces:** tailor CQ rate **runaway electrons (REs):** max. assimilation (density increase)
- ❖ What is the specific goal of the ASDEX Upgrade SPI system?
- ❖ What did we learn from the AUG SPI project so far?
  - Commissioning (Lab) phase
  - AUG SPI experiments in 2022 & AUG SPI modelling
    - Disruption evolution
    - Radiation asymmetries
    - Radiated energy fraction ( $f_{rad}$ )
    - Is there an „optimal“ shatter head for all purposes?
- ❖ Shatter head setup for the 2025 experimental campaigns



# Outline

## ❖ What is SPI supposed to deliver?

heat loads:  
max. radiation

forces:  
tailor CQ rate

runaway electrons (REs):  
max. assimilation (density increase)

## ❖ What is the specific goal of the ASDEX Upgrade SPI system?

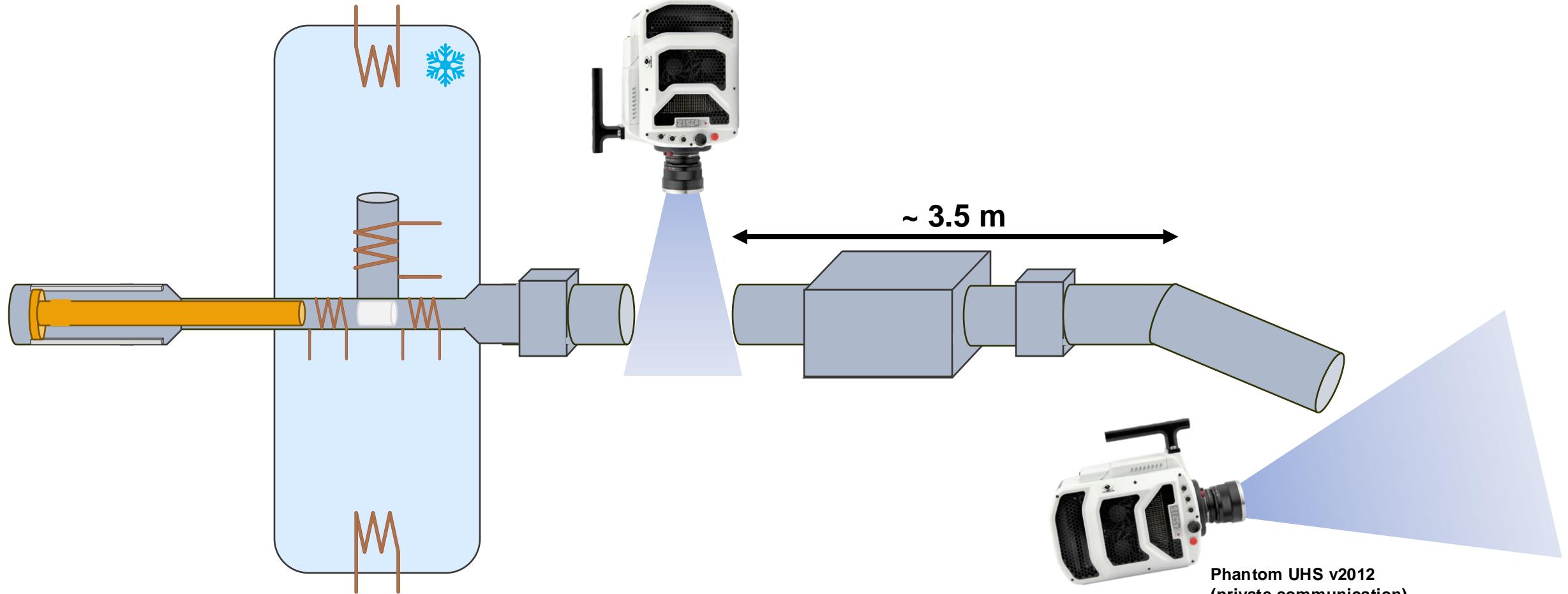
## ❖ What did we learn from the AUG SPI project so far?

- Commissioning (Lab) phase
- AUG SPI experiments in 2022 & AUG SPI modelling
  - Disruption evolution
  - Radiation asymmetries
  - Radiated energy fraction ( $f_{rad}$ )
  - Is there an „optimal“ shatter head for all purposes?

## ❖ Shatter head setup for the 2025 experimental campaigns

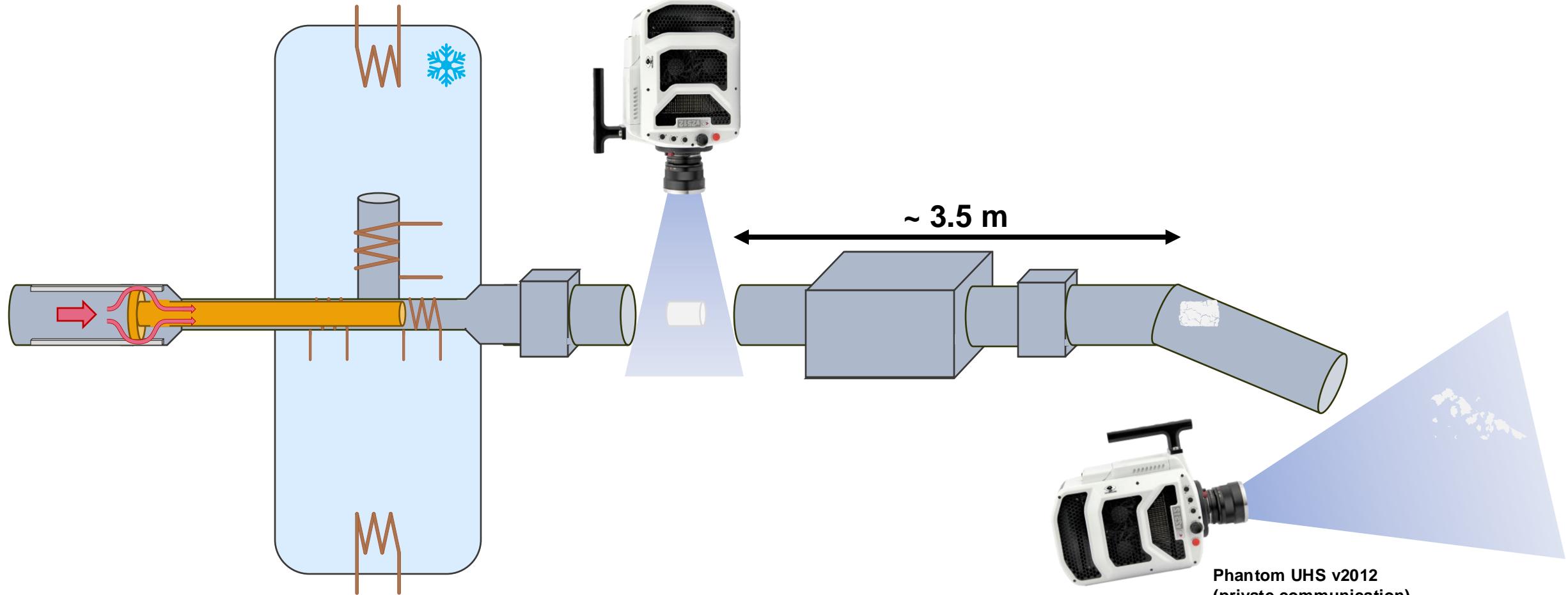


# How does the AUG SPI system work?



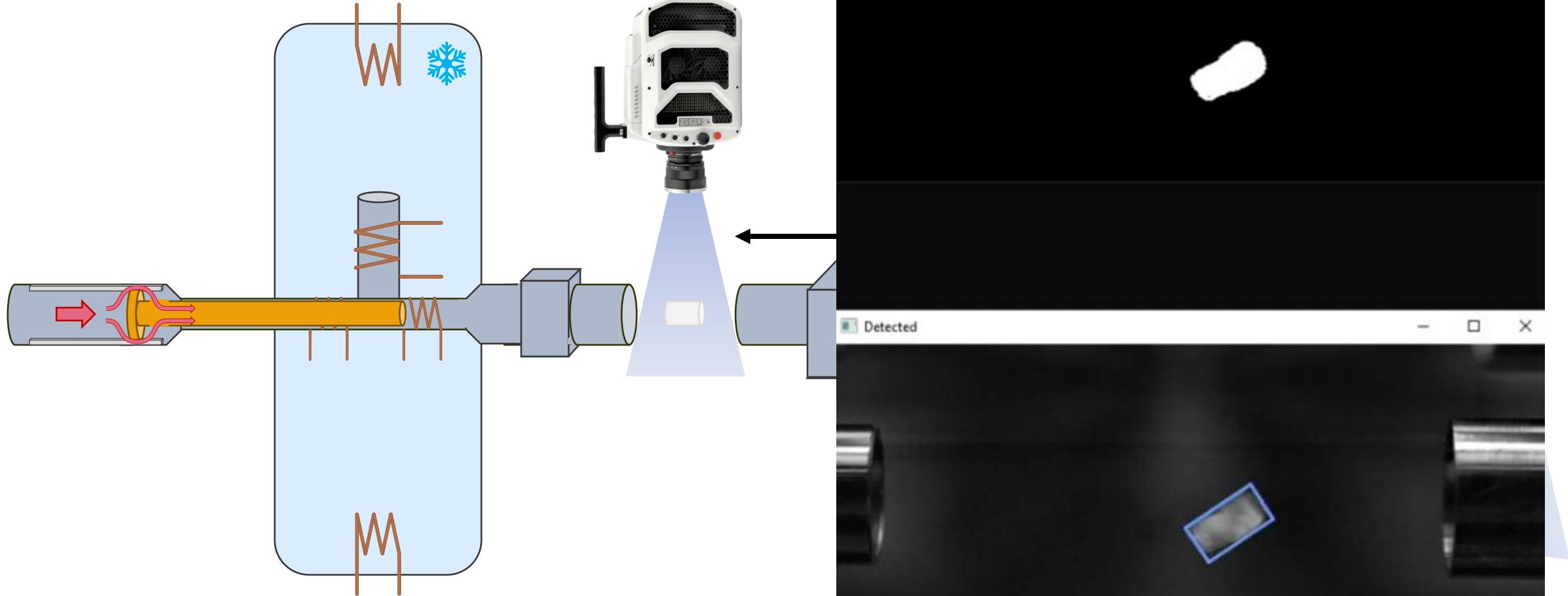
**Phantom UHS v2012  
(private communication)**  
<https://www.phantomhighspeed.com/>

# How does the AUG SPI system work?



**Phantom UHS v2012  
(private communication)**  
<https://www.phantomhighspeed.com/>

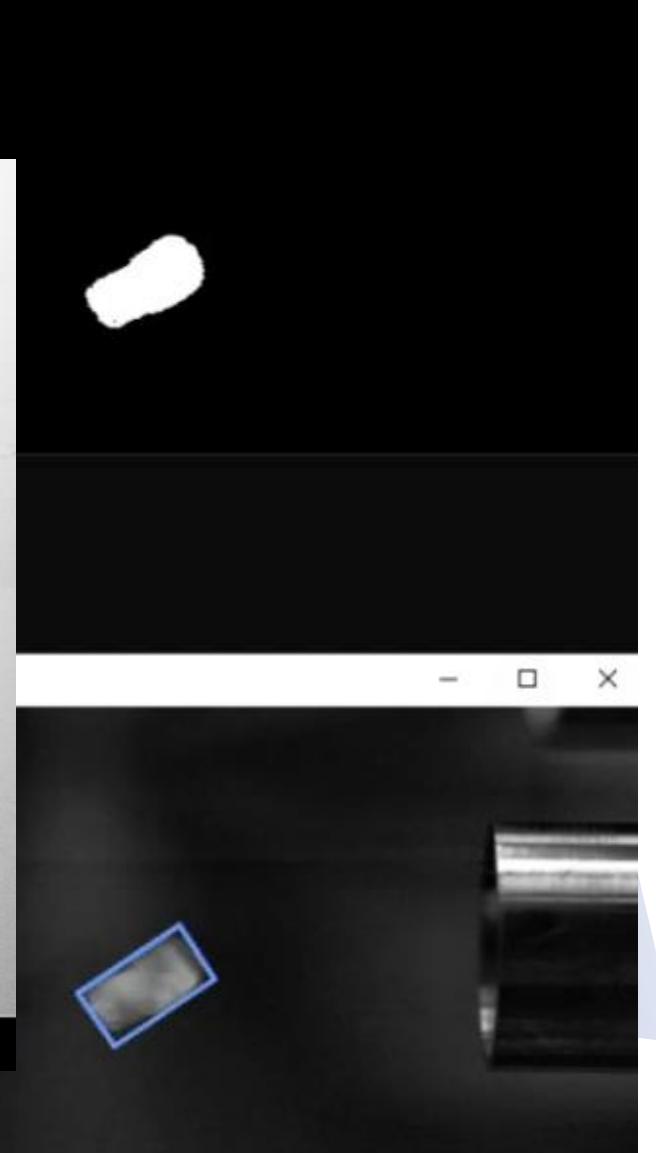
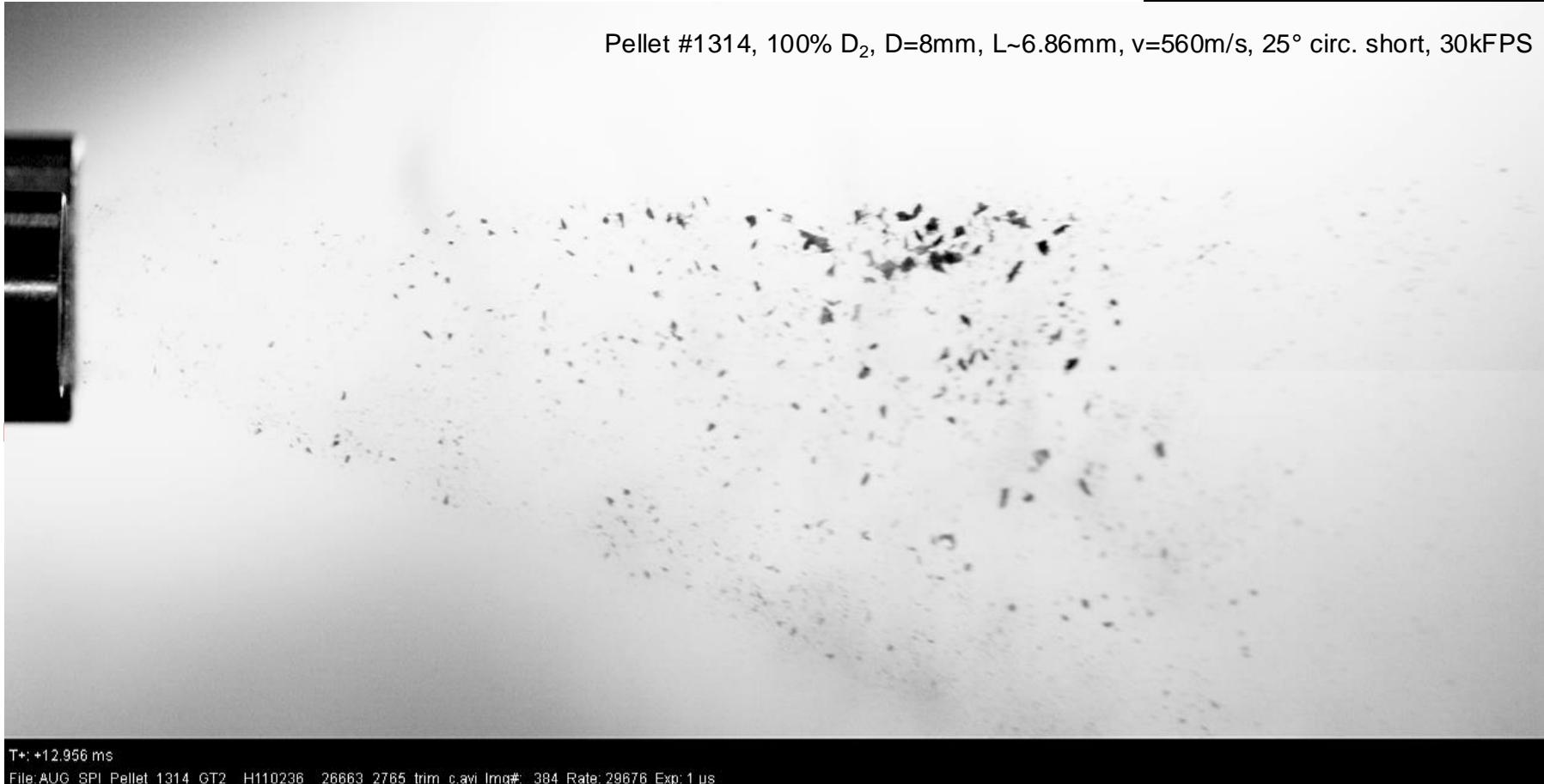
# How does the AUG SPI system work?



# How does the AUG SPI system work?



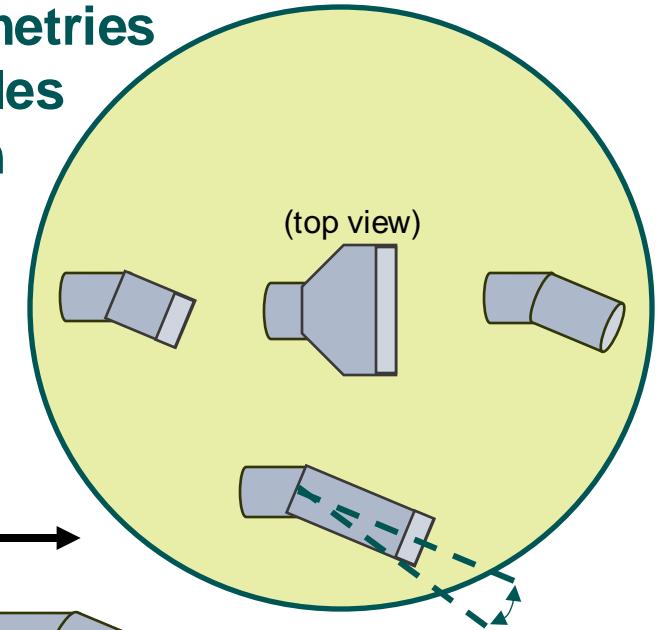
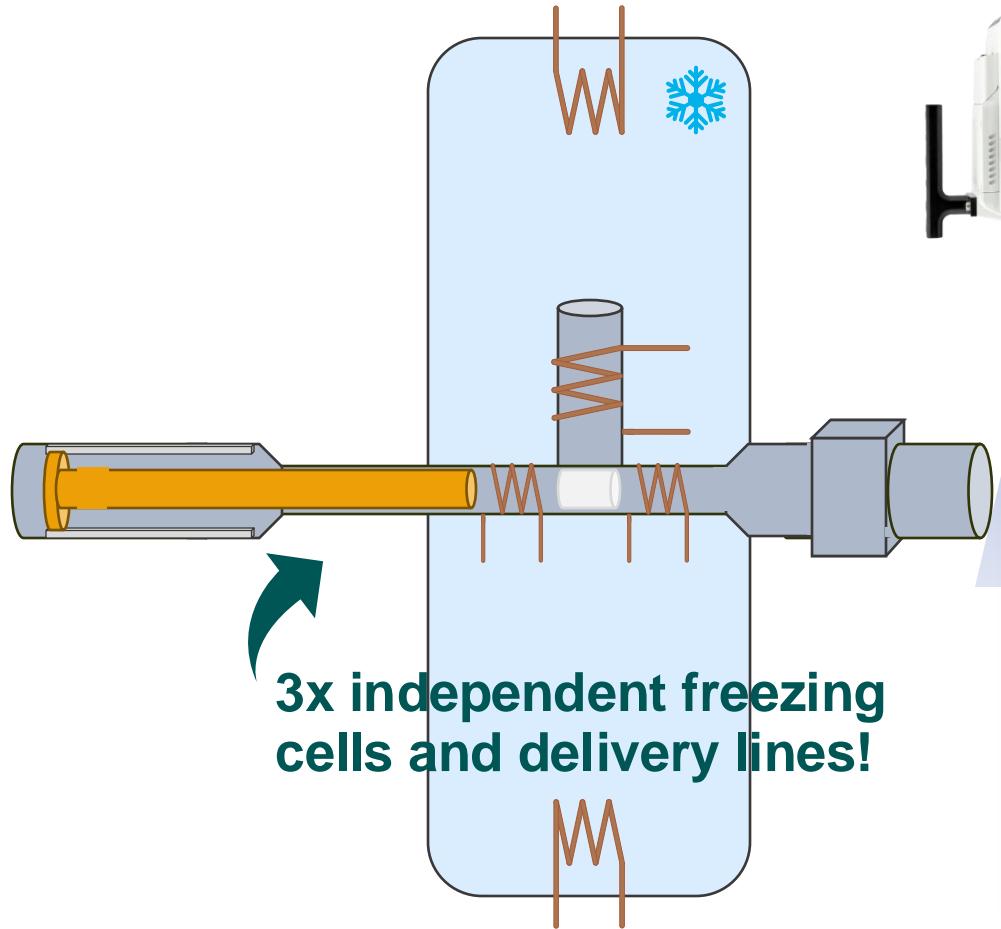
Pellet #1314, 100% D<sub>2</sub>, D=8mm, L~6.86mm, v=560m/s, 25° circ. short, 30kFPS



T+; +12.956 ms  
File: AUG SPI Pellet 1314 GT2 H110236 26663 2785 trim cavi Img# 384 Rate: 29676 Exp: 1 μs

# What is special about the SPI system at AUG?

- 10 different shatter head geometries
  - $12.5^\circ$ ,  $15^\circ$ ,  $25^\circ$  and  $30^\circ$  angles
  - Pellet speeds between 70 - 800 m/s

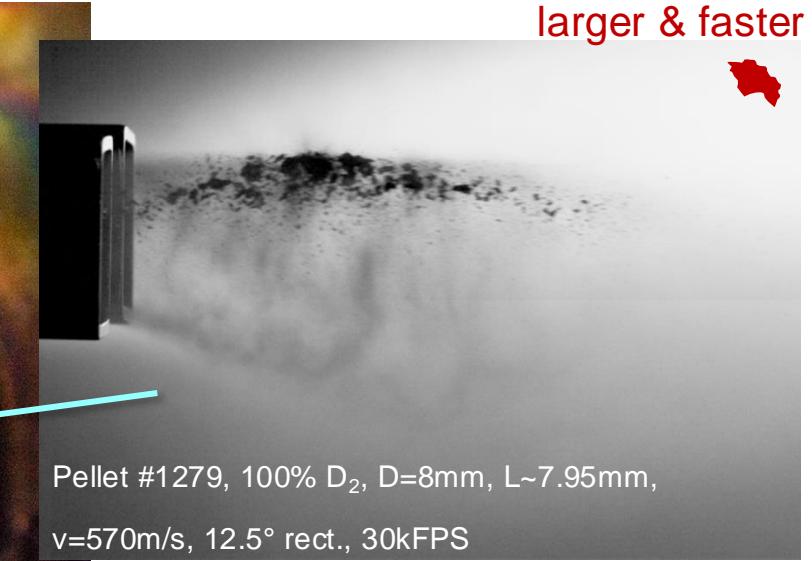


Phantom UHS v2012  
(private communication)  
<https://www.phantomhighspeed.com/>

# Shatter heads at ASDEX Upgrade in 2022

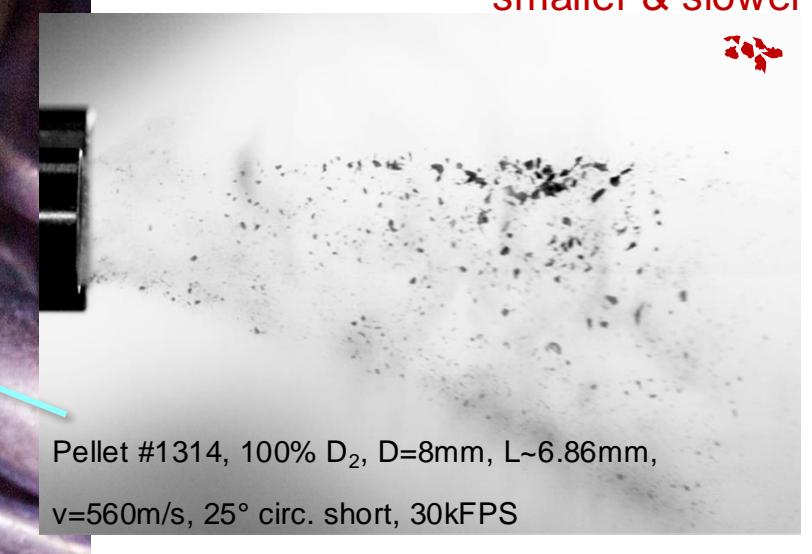


larger & faster

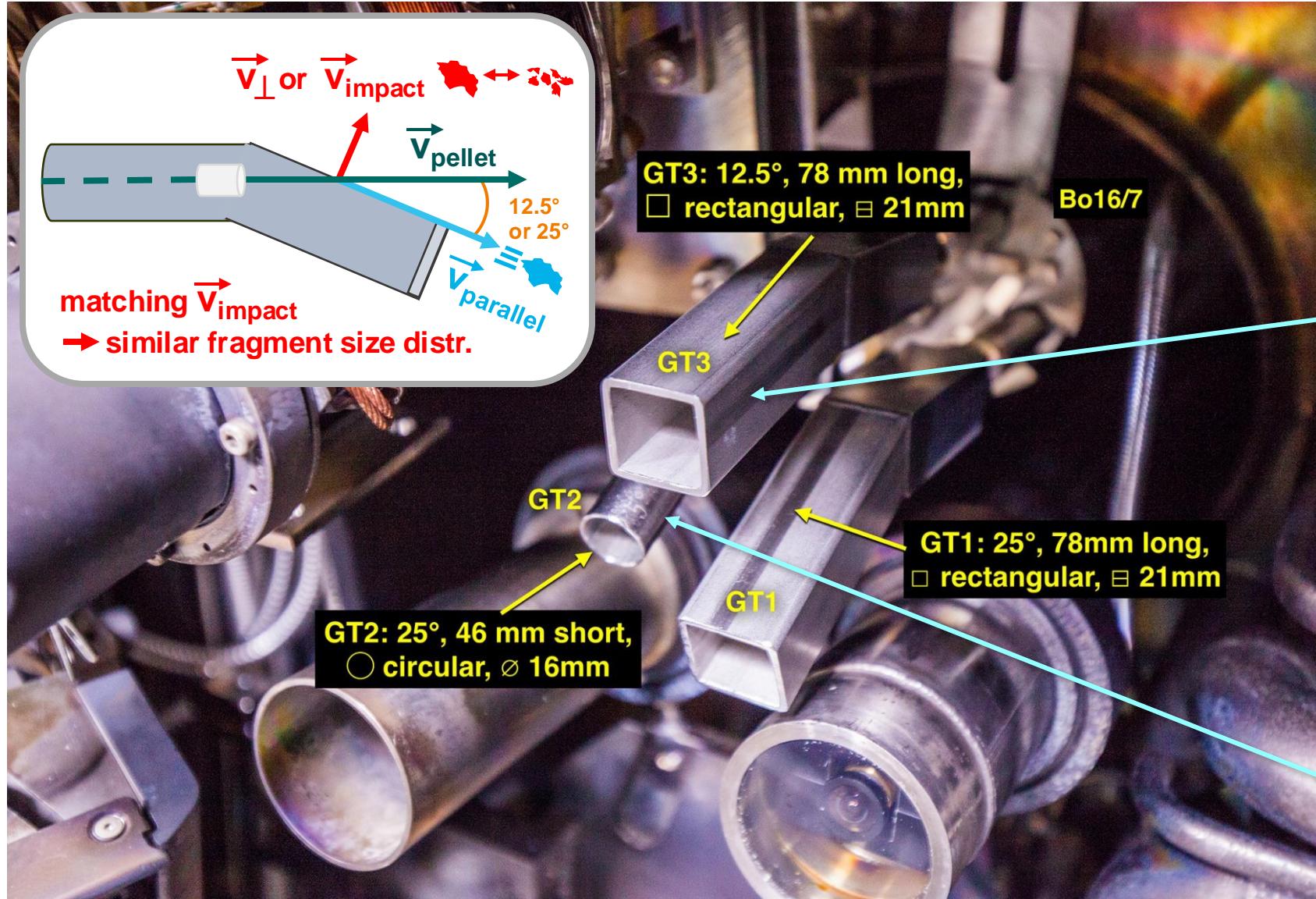


Pellet #1279, 100% D<sub>2</sub>, D=8mm, L~7.95mm,  
v=570m/s, 12.5° rect., 30kFPS

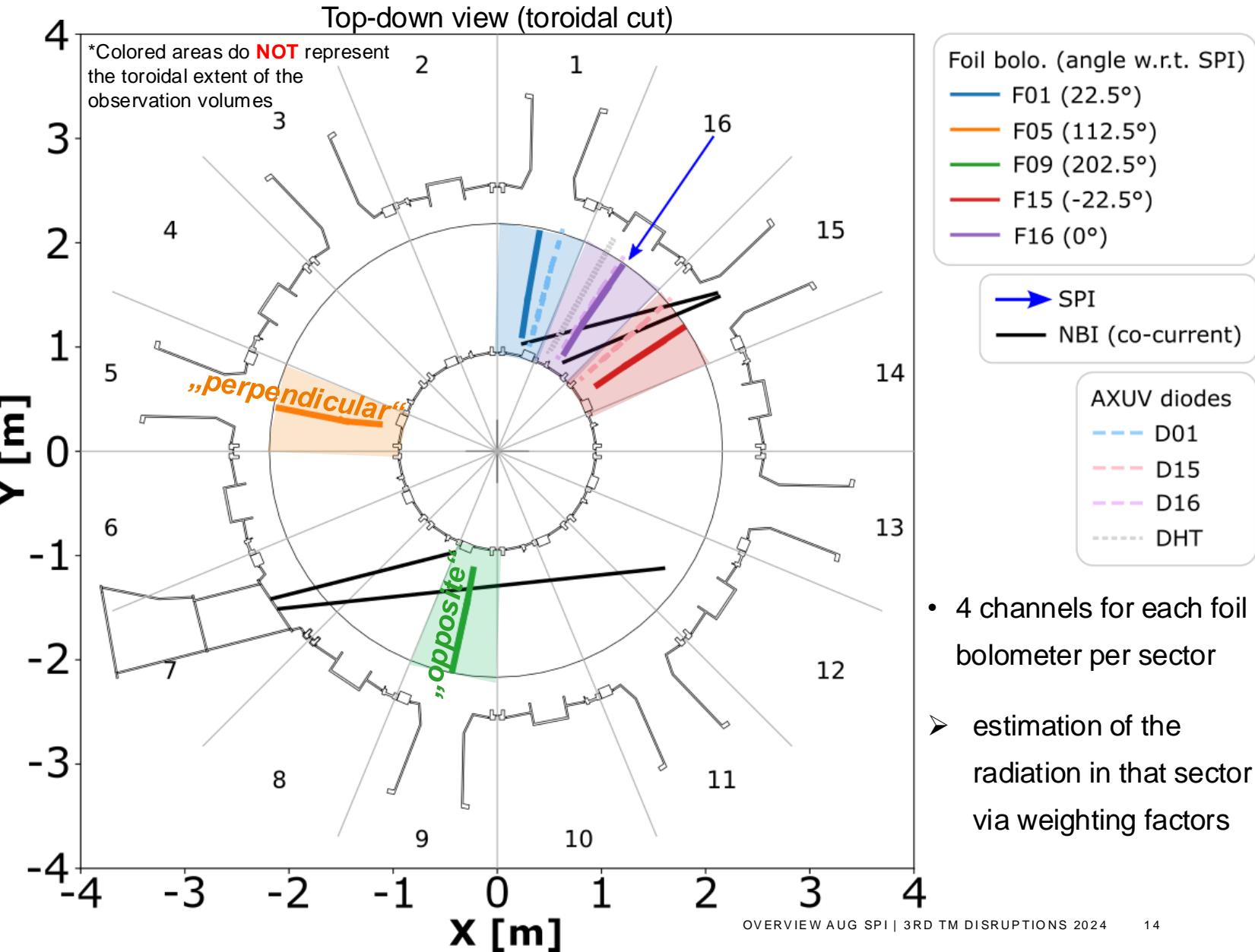
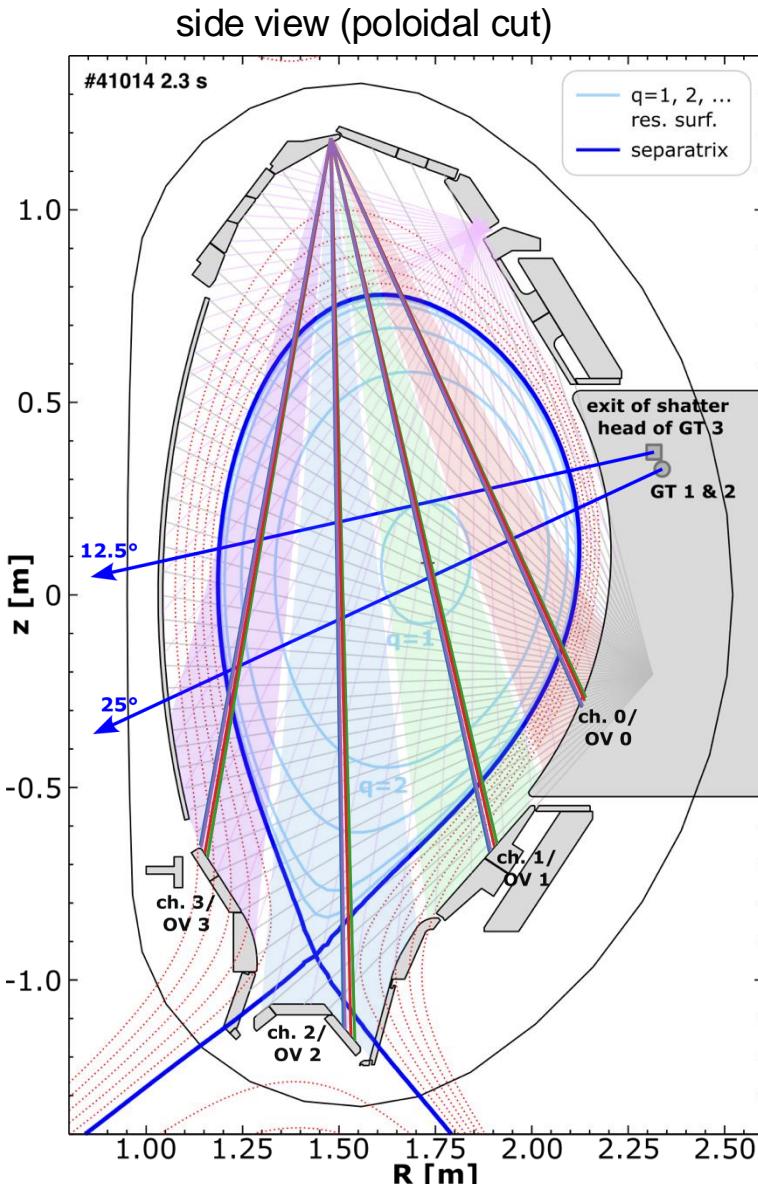
smaller & slower



Pellet #1314, 100% D<sub>2</sub>, D=8mm, L~6.86mm,  
v=560m/s, 25° circ. short, 30kFPS



# AUG radiation measurements



## Outline



- ❖ What is SPI supposed to deliver?      **heat loads:** max. radiation      **forces:** tailor CQ rate      **runaway electrons (REs):** max. assimilation (density increase)

## ❖ What is the specific goal of the ASDEX Upgrade SPI system?

Highly flexible system (angle and velocity) → unique for characterizing fragment size & velocity effects!

## ❖ What did we learn from the AUG SPI project so far?

- Commissioning (Lab) phase
  - AUG SPI experiments in 2022 & AUG SPI modelling
    - Disruption evolution
    - Radiation asymmetries
    - Radiated energy fraction ( $f_{rad}$ )
    - Is there an „optimal“ shatter head for all purposes?

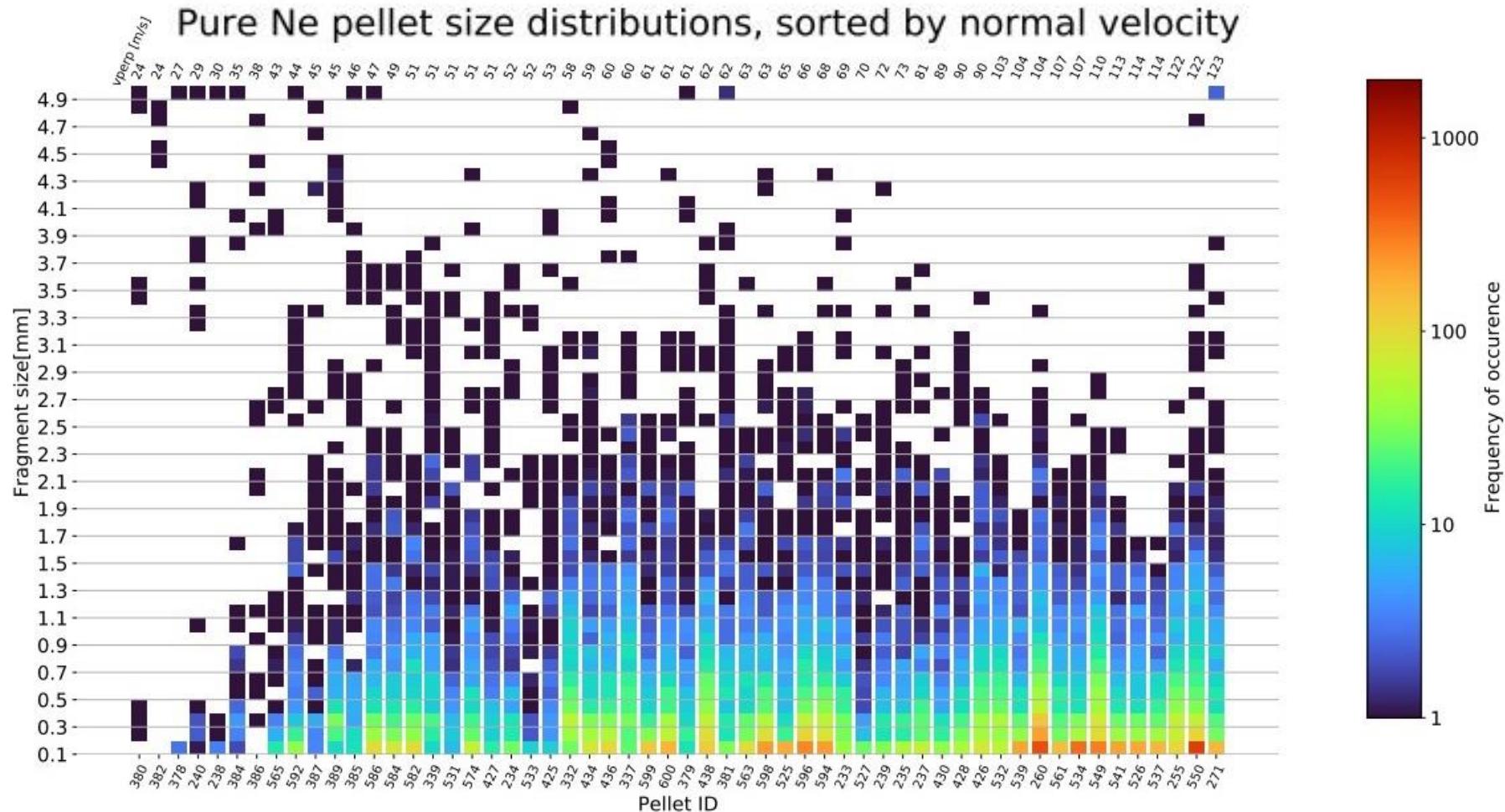
#### ❖ Shatter head setup for the 2025 experimental campaigns

# Outline

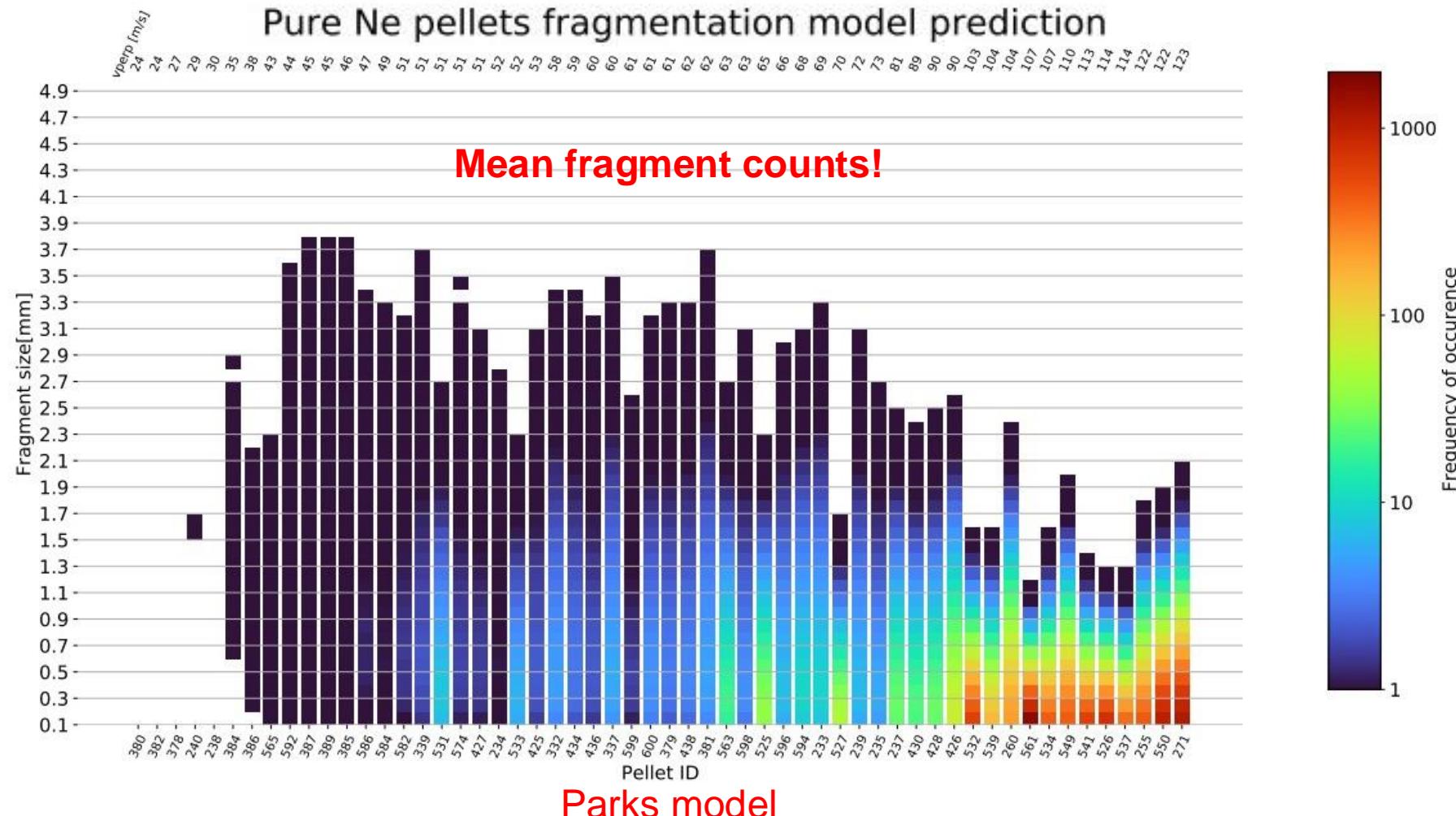
- ❖ What is SPI supposed to deliver?      **heat loads:**  
max. radiation      **forces:**  
tailor CQ rate      **runaway electrons (REs):**  
max. assimilation (density increase)
- ❖ What is the specific goal of the ASDEX Upgrade SPI system?  
  
Highly flexible system (angle and velocity) ➔ unique for characterizing fragment size & velocity effects!
- ❖ What did we learn from the AUG SPI project so far?
  - Commissioning (Lab) phase
  - AUG SPI experiments in 2022 & AUG SPI modelling
    - Disruption evolution
    - Radiation asymmetries
    - Radiated energy fraction ( $f_{rad}$ )
    - Is there an „optimal“ shatter head for all purposes?
- ❖ Shatter head setup for the 2025 experimental campaigns



# Fragment count for experimental data

T. Peherstorfer, [M.Sc. thesis \(2022\)](#)

# Mean fragment count for Parks model prediction

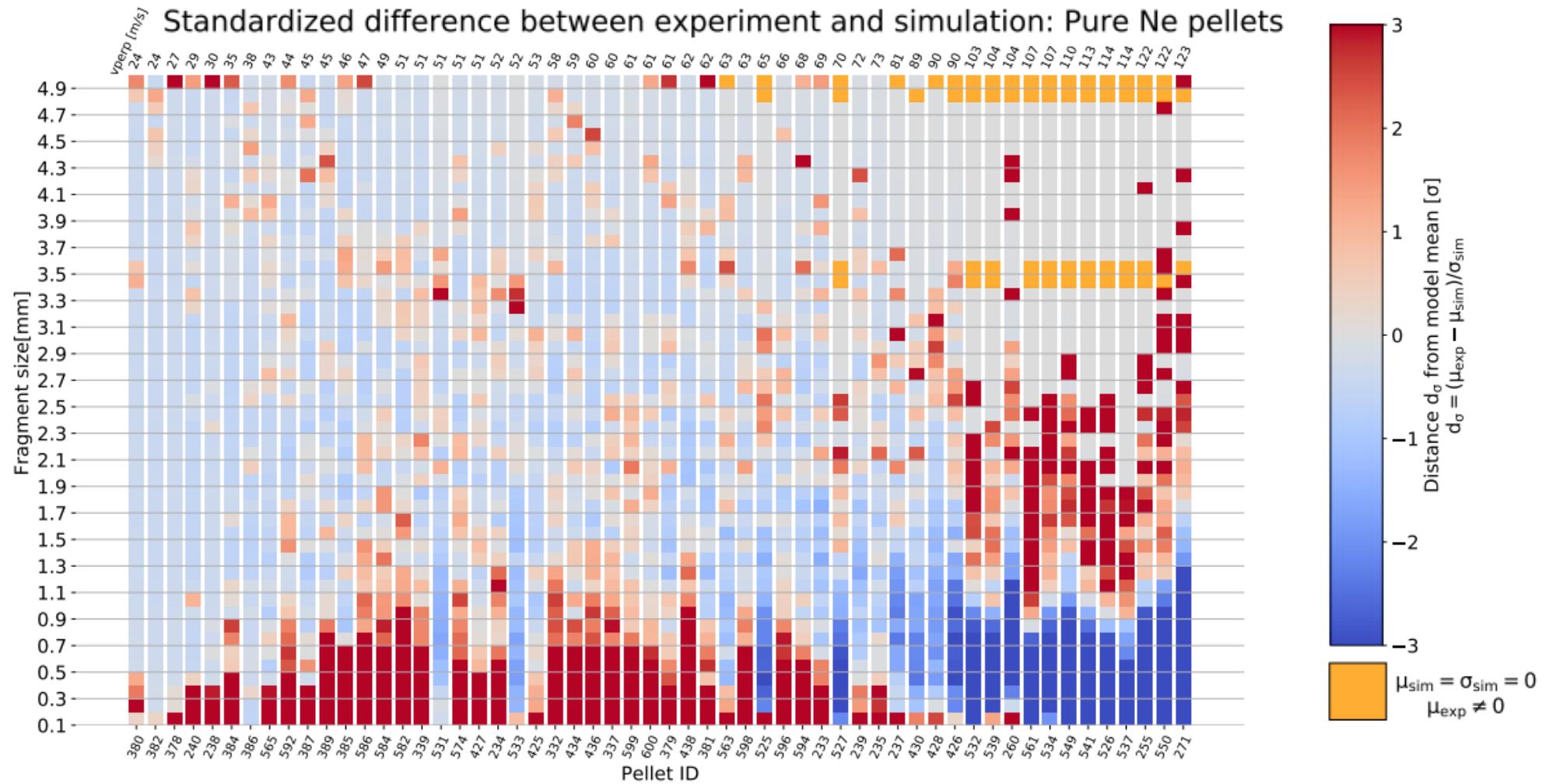
T. Peherstorfer, [M.Sc. thesis \(2022\)](#)

# Difference of model predictions to experimental data

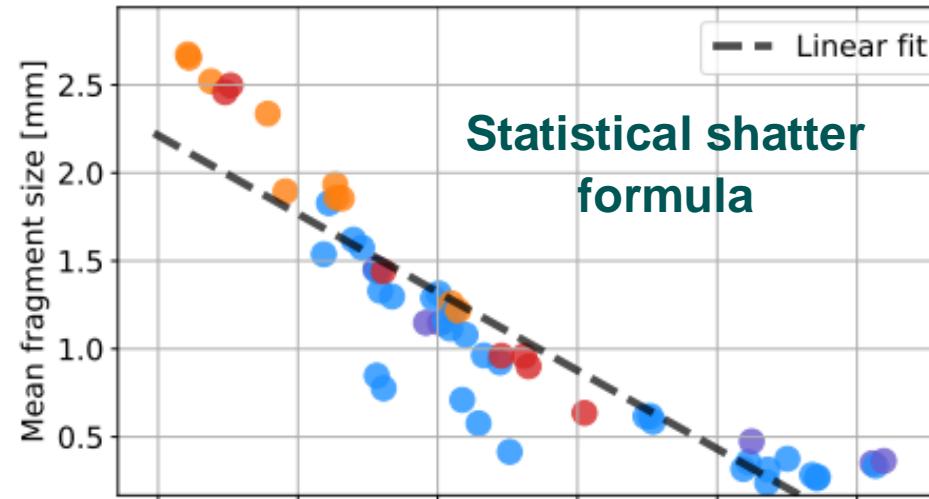
T. Peherstorfer, [M.Sc. thesis \(2022\)](#)

**Red:**  
**Model under-**  
**estimates**

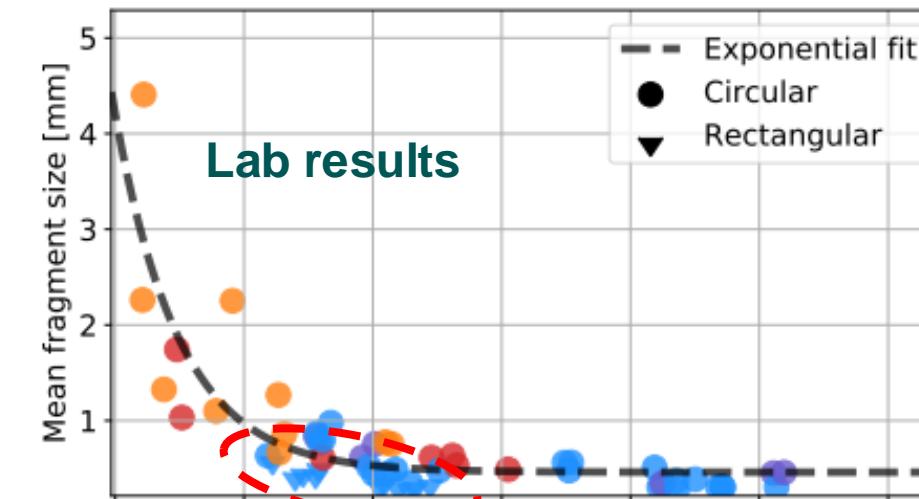
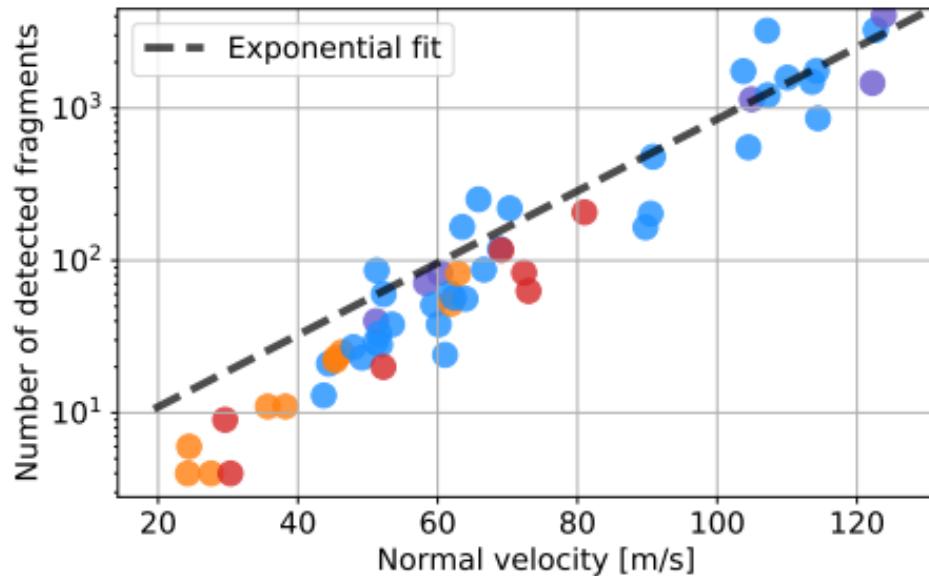
**Blue:**  
**Model over-**  
**estimates**



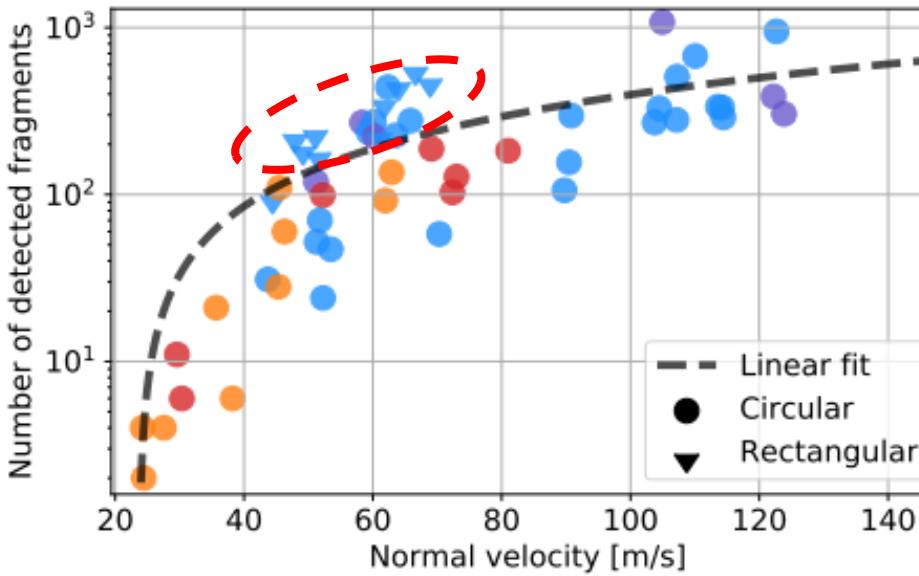
# Statistical shatter formula<sup>1</sup> and lab fragment detection<sup>2</sup>



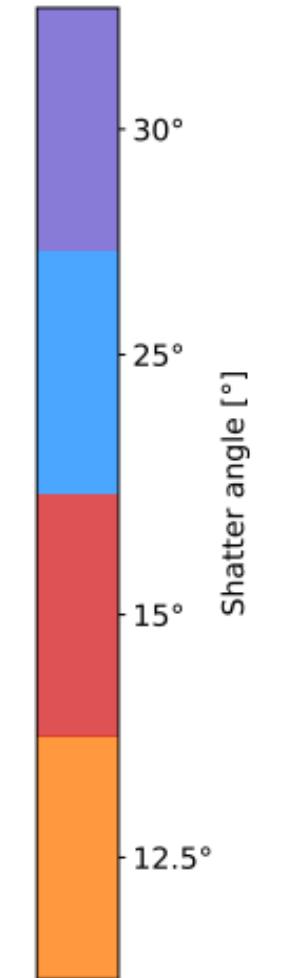
<sup>1</sup>[Parks, 2016]



<sup>2</sup>[Peherstorfer, M.Sc. thesis (2022)]



100% neon, 4mm



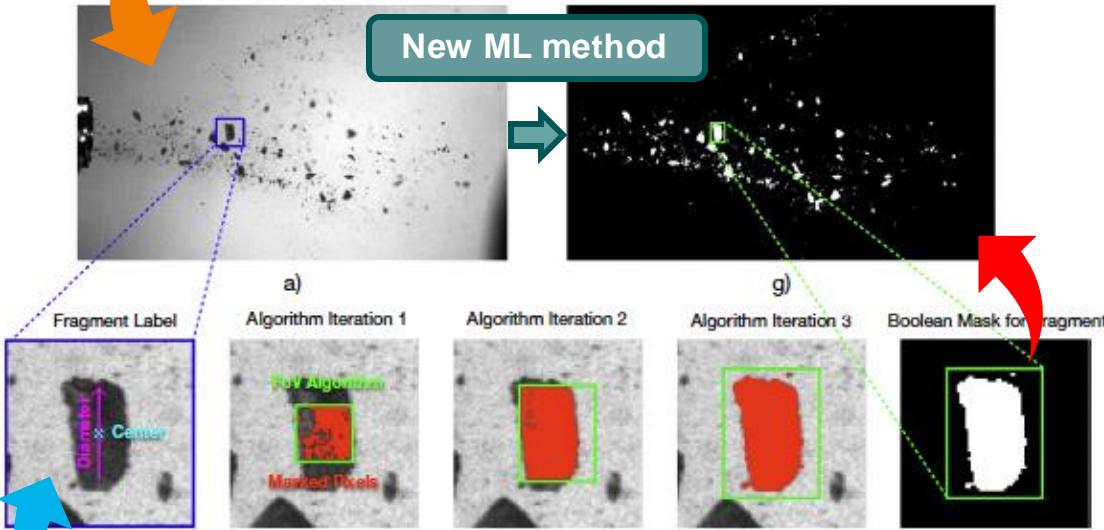
# New fragment detection based on Machine Learning

## Lab data

Input: Raw Frame

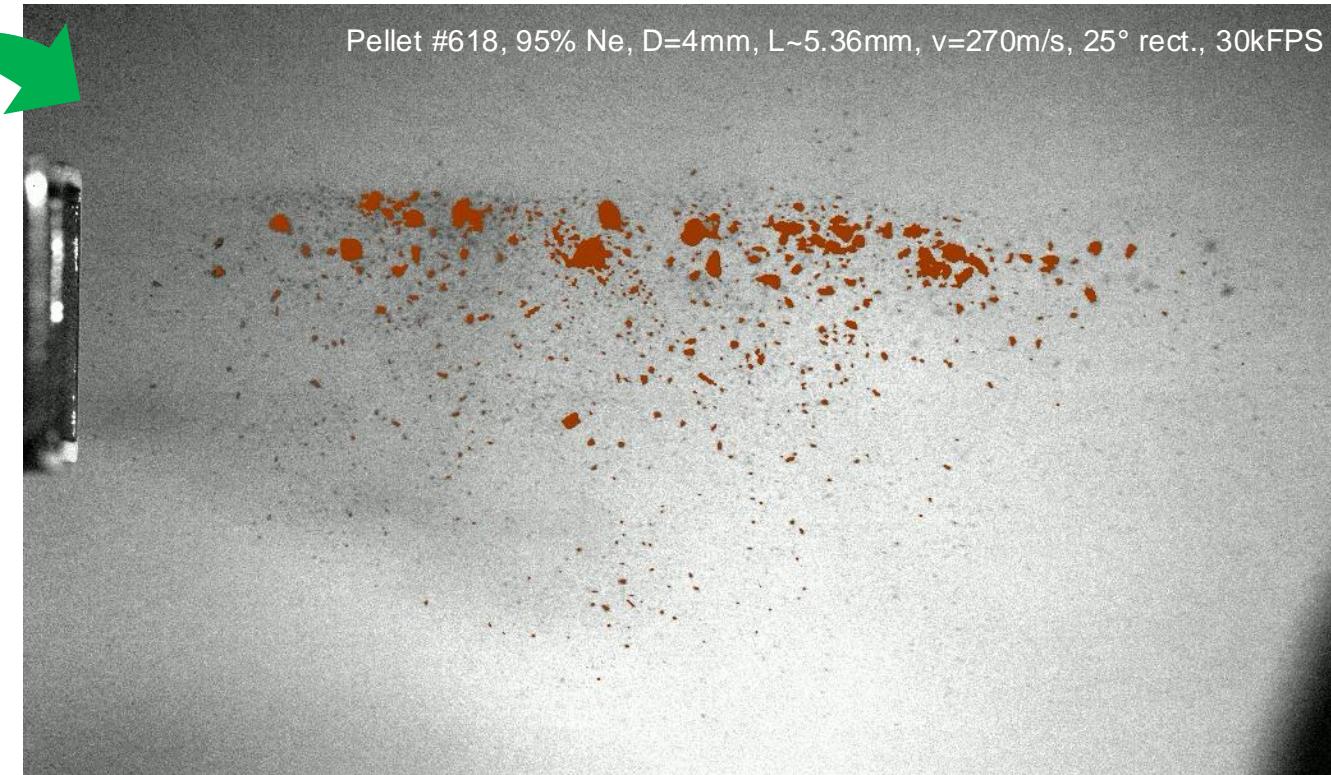
Output: Boolean Mask for Whole Frame

New ML method



[Illerhaus et al., [Journal of Fusion Energy 43:14 \(2024\)](#)]

**Label from previous detection method (T. Peherstorfer)**



With the help of Machine Learning (model: U-Net; EfficientNet B0 backbone) → Generation of Boolean masks for fragment tracking

→ Possible to analyse all 1100 lab videos without manual parameter adjustments (Mohammad Miah)

# Outline

- ❖ What is SPI supposed to deliver?      **heat loads:**  
max. radiation      **forces:**  
tailor CQ rate      **runaway electrons (REs):**  
max. assimilation (density increase)
- ❖ What is the specific goal of the ASDEX Upgrade SPI system?  
  
Highly flexible system (angle and velocity) ➔ unique for characterizing fragment size & velocity effects!
- ❖ What did we learn from the AUG SPI project so far?
  - **Commissioning (Lab) phase** The Parks model does **not** seem to fit **our** observed fragment distributions well
  - **AUG SPI experiments in 2022 & AUG SPI modelling**
    - Disruption evolution
    - Radiation asymmetries
    - Radiated energy fraction ( $f_{rad}$ )
    - Is there an „optimal“ shatter head for all purposes?
- ❖ Shatter head setup for the 2025 experimental campaigns

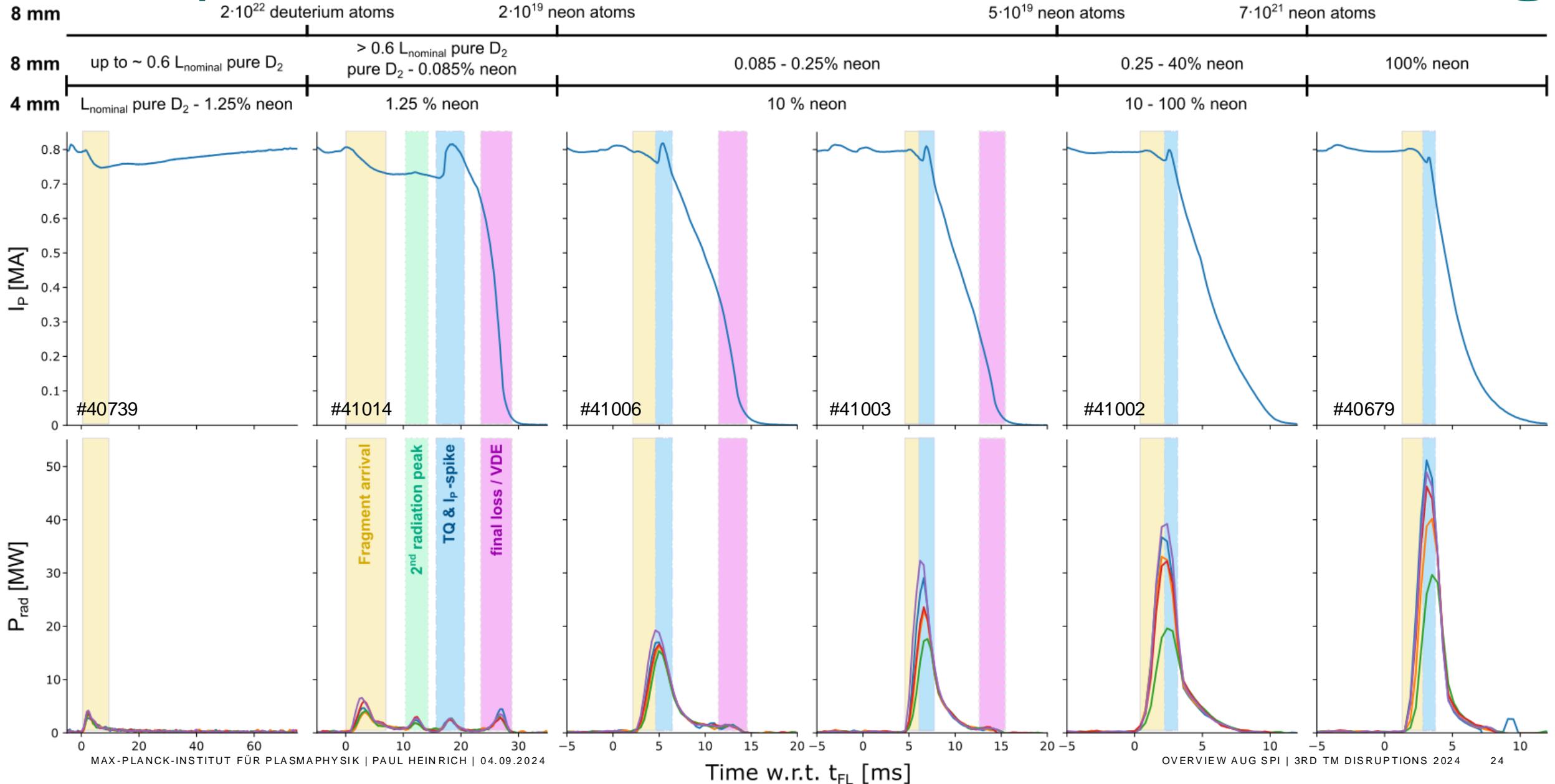


# Outline

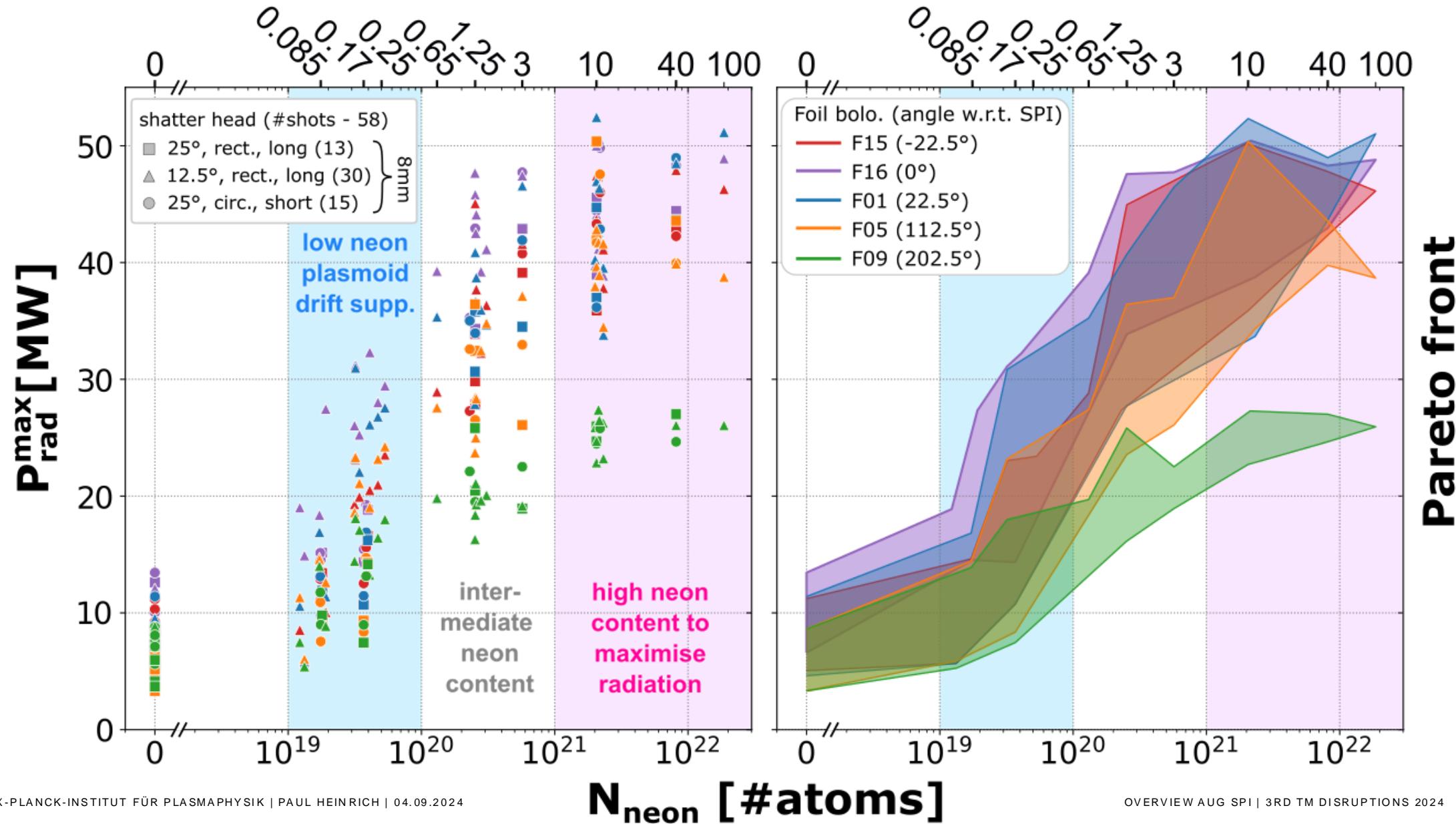
- ❖ What is SPI supposed to deliver?      **heat loads:** max. radiation      **forces:** tailor CQ rate      **runaway electrons (REs):** max. assimilation (density increase)
- ❖ What is the specific goal of the ASDEX Upgrade SPI system?  
Highly flexible system (angle and velocity) ➔ unique for characterizing fragment size & velocity effects!
- ❖ What did we learn from the AUG SPI project so far?
  - Commissioning (Lab) phase The Parks model does not seem to fit our observed fragment distributions well
  - **AUG SPI experiments in 2022 & AUG SPI modelling**
    - Disruption evolution
    - Radiation asymmetries
    - Radiated energy fraction ( $f_{rad}$ )
    - Is there an „optimal“ shatter head for all purposes?
- ❖ Shatter head setup for the 2025 experimental campaigns



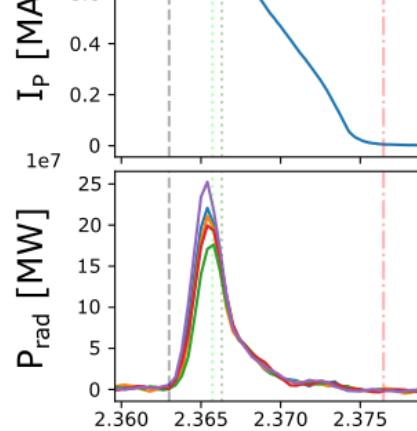
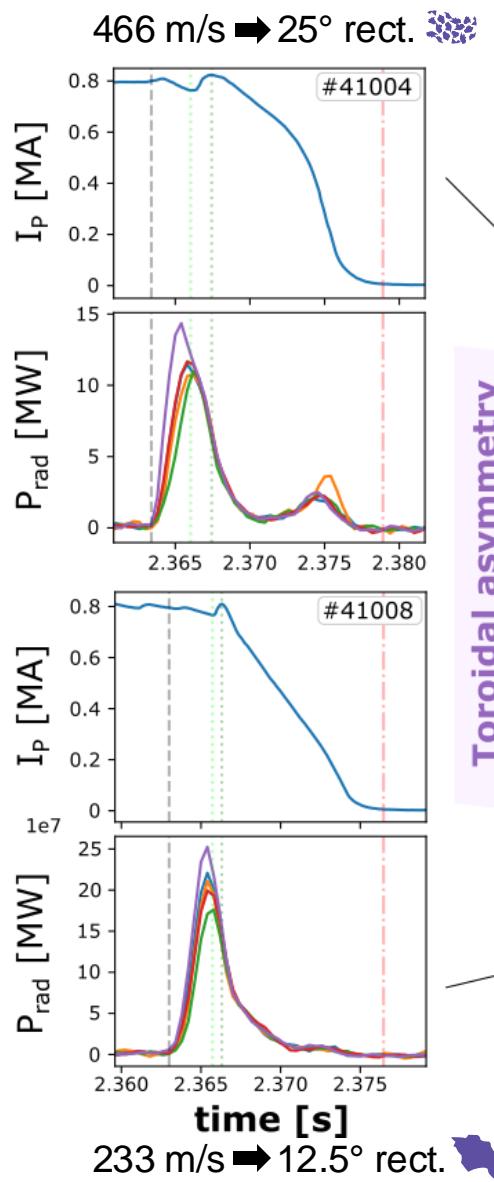
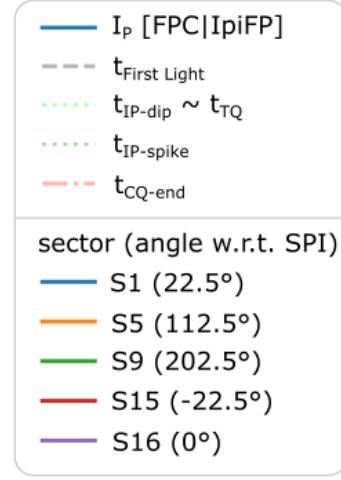
# Disruption evolution



# Radiation asymmetry $c_{\text{neon}}$ (full-size, 8 mm)[%]



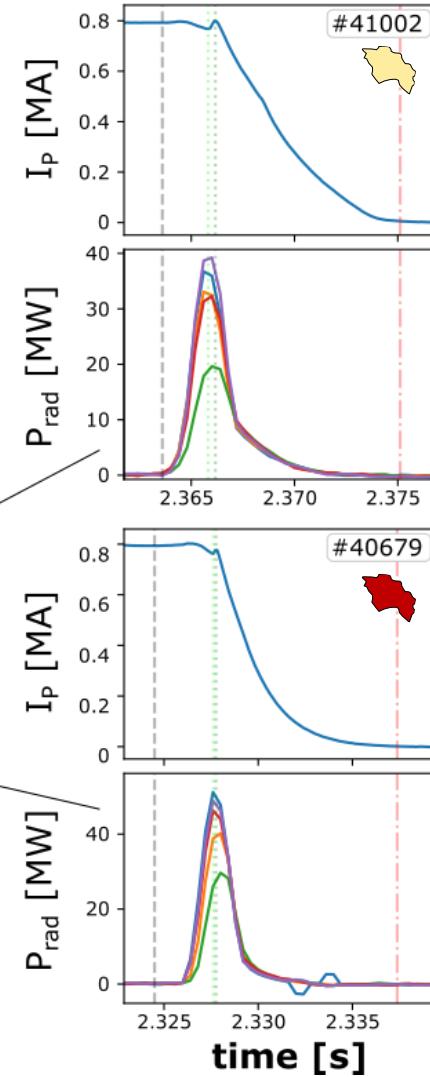
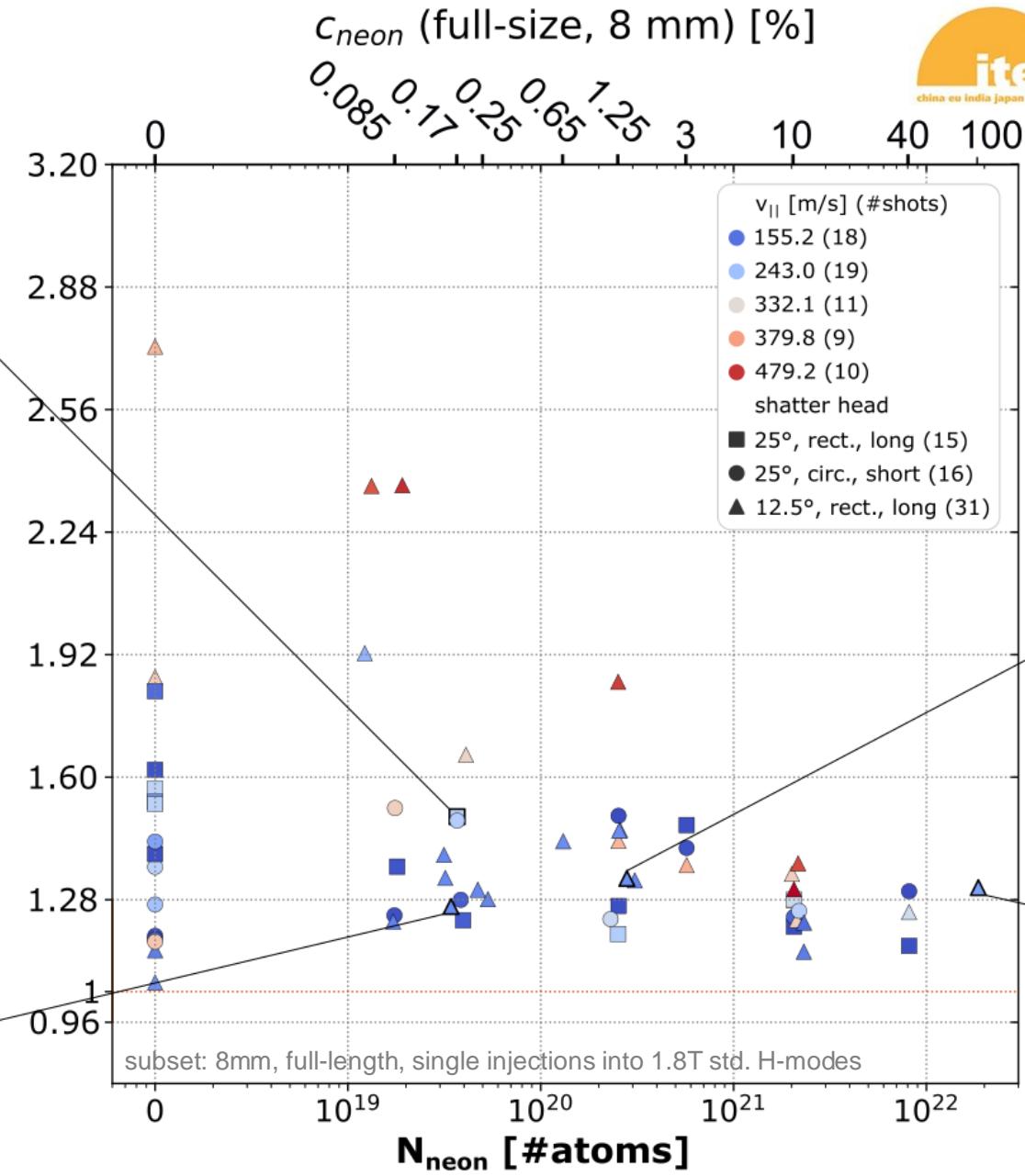
# Toroidal asymmetries



**Tor. asym.**

$$\frac{P_{rad}^{\max}}{\sum_{i=0}^{16} P_{rad,i}}$$

Toroidal asymmetry



# Outline

❖ What is SPI supposed to deliver?      heat loads: max. radiation      forces: tailor CQ rate      runaway electrons (REs): max. assimilation (density increase)

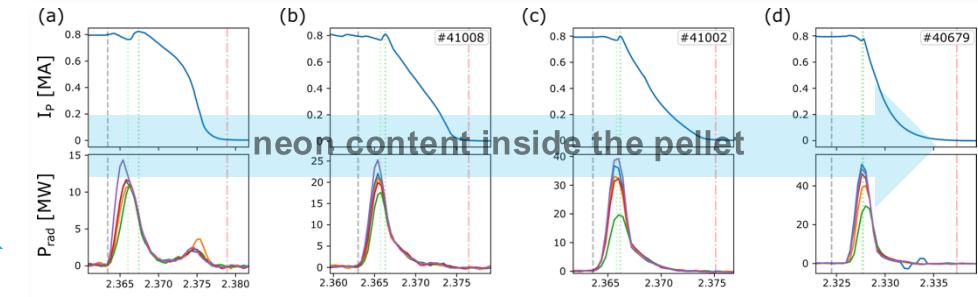
## ❖ What is the specific goal of the ASDEX Upgrade SPI system?

Highly flexible system (angle and velocity) ➡ unique for characterizing fragment size & velocity effects!

## ❖ What did we learn from the AUG SPI project so far?

- Commissioning (Lab) phase The Parks model does not seem to fit our observed fragment distributions well
- **AUG SPI experiments in 2022 & AUG SPI modelling**
  - Disruption evolution convex  $\xrightarrow{\text{incr. neon content}}$  concave CQ shape
  - Radiation asymmetries asym. ↓ & S5/S9 asym. ↑ with neon ↑
  - Radiated energy fraction ( $f_{\text{rad}}$ )
  - Is there an „optimal“ shatter head for all purposes?

## ❖ Shatter head setup for the 2025 experimental campaigns



# Outline

❖ What is SPI supposed to deliver?      heat loads: max. radiation      forces: tailor CQ rate      runaway electrons (REs): max. assimilation (density increase)

## ❖ What is the specific goal of the ASDEX Upgrade SPI system?

Highly flexible system (angle and velocity) ➡ unique for characterizing fragment size & velocity effects!

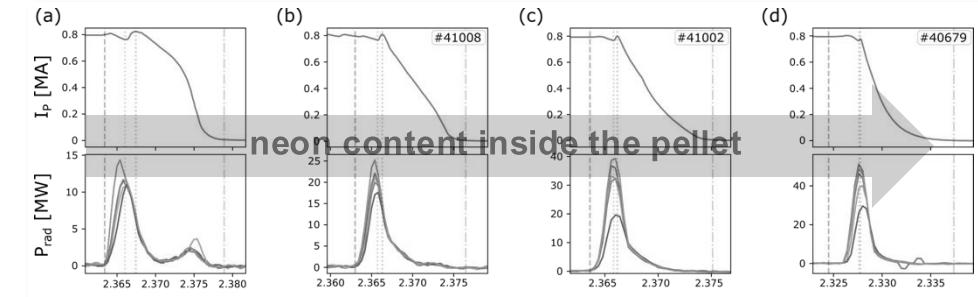
## ❖ What did we learn from the AUG SPI project so far?

- Commissioning (Lab) phase The Parks model does not seem to fit our observed fragment distributions well

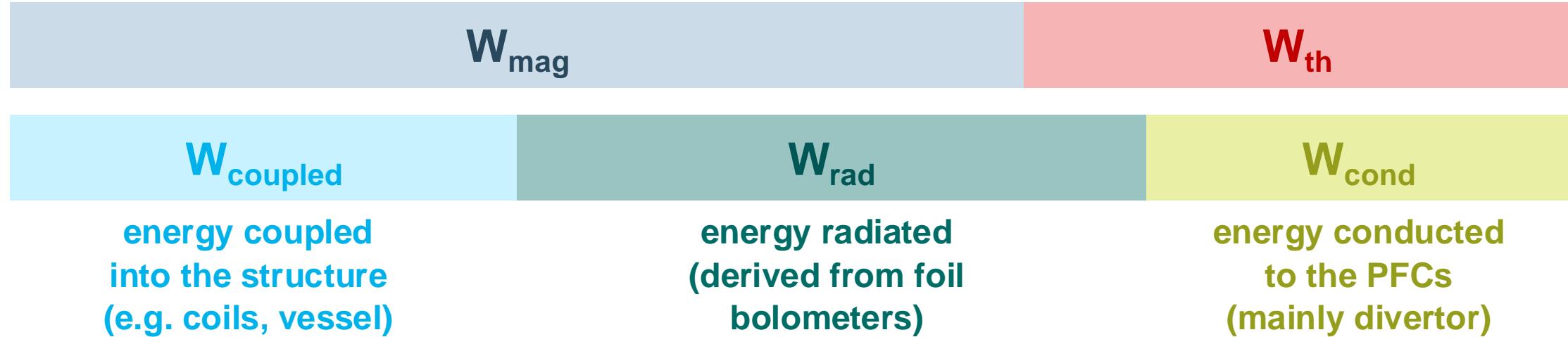
### ▪ AUG SPI experiments in 2022 & AUG SPI modelling

- Disruption evolution convex  $\xrightarrow{\text{incr. neon content}}$  concave CQ shape
- Radiation asymmetries asym.  $\downarrow$  & S5/S9 asym.  $\uparrow$  with neon  $\uparrow$
- Radiated energy fraction ( $f_{\text{rad}}$ )
- Is there an „optimal“ shatter head for all purposes?

## ❖ Shatter head setup for the 2025 experimental campaigns



# Radiated energy fraction ( $f_{\text{rad}}$ )



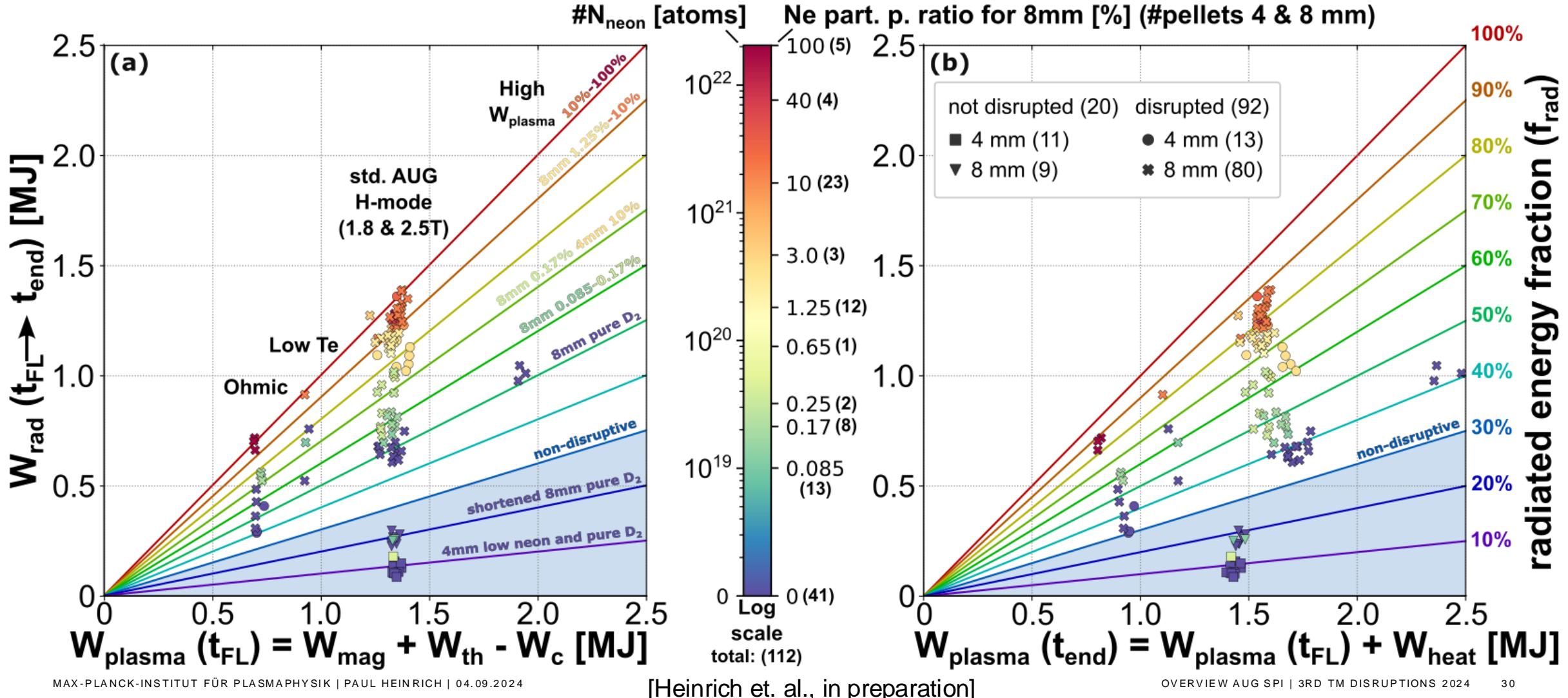
$$W_{\text{mag}} + W_{\text{th}} + W_{\text{ext. heat.}} = W_{\text{rad}} + W_{\text{coupled}} + W_{\text{cond}} + W_{\text{RE}}$$

$$f_{\text{rad}} = \frac{W_{\text{rad}}}{W_{\text{mag}} + W_{\text{th}} + W_{\text{heat.}} - W_c} = \frac{W_{\text{rad}}}{W_{\text{rad}} + W_{\text{cond}}}$$

[Lehnen et. al., [Nucl. Fusion 53 \(2013\) 093007](#)]

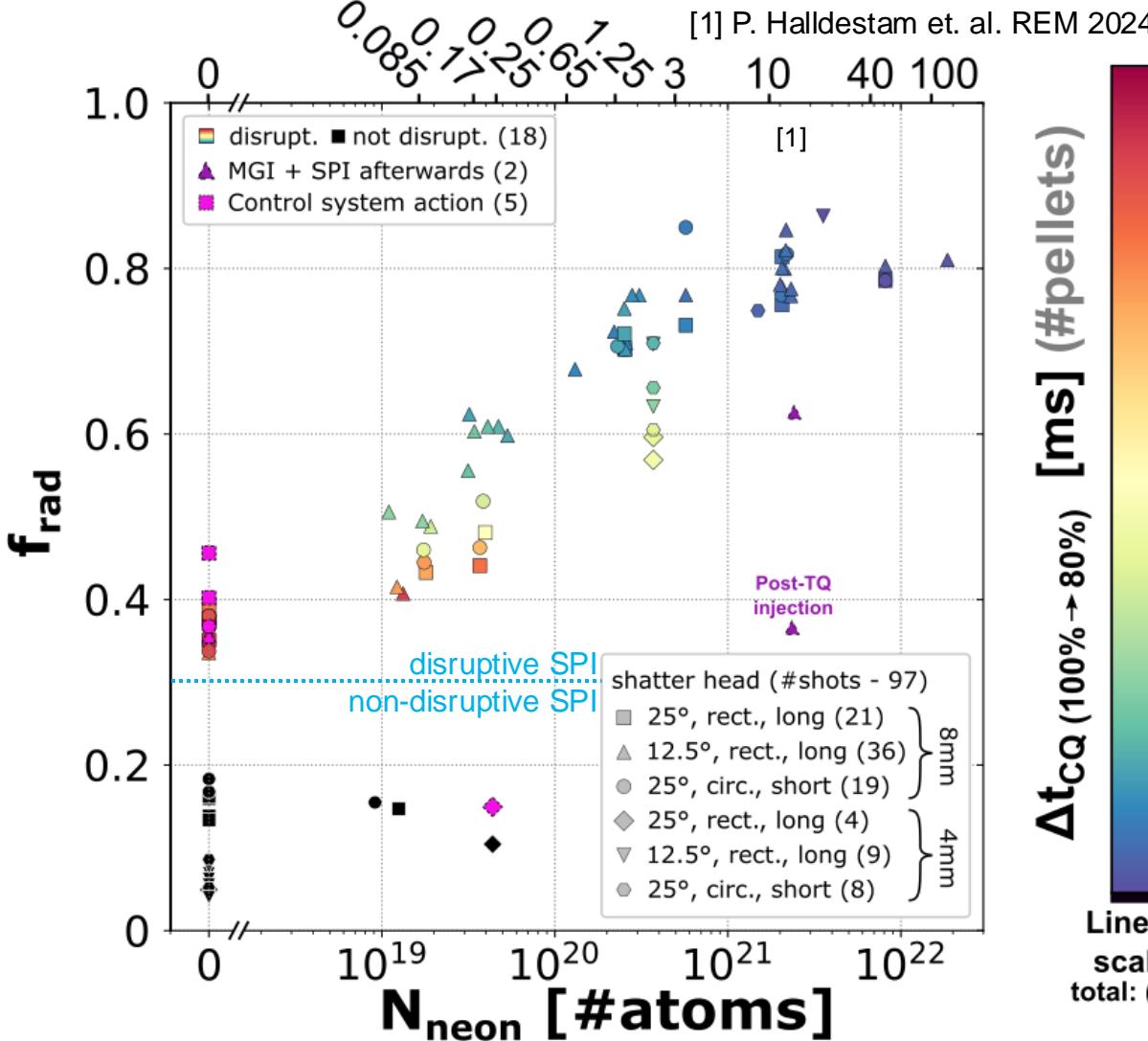
# Radiated energy fraction ( $f_{\text{rad}}$ )

$$f_{\text{rad}} = \frac{W_{\text{rad}}}{W_{\text{plasma}}} = \frac{W_{\text{rad}}}{W_{\text{mag}} + W_{\text{th}} + W_{\text{heat}} - W_c} = \frac{W_{\text{rad}}}{W_{\text{rad}} + W_{\text{cond}}}$$

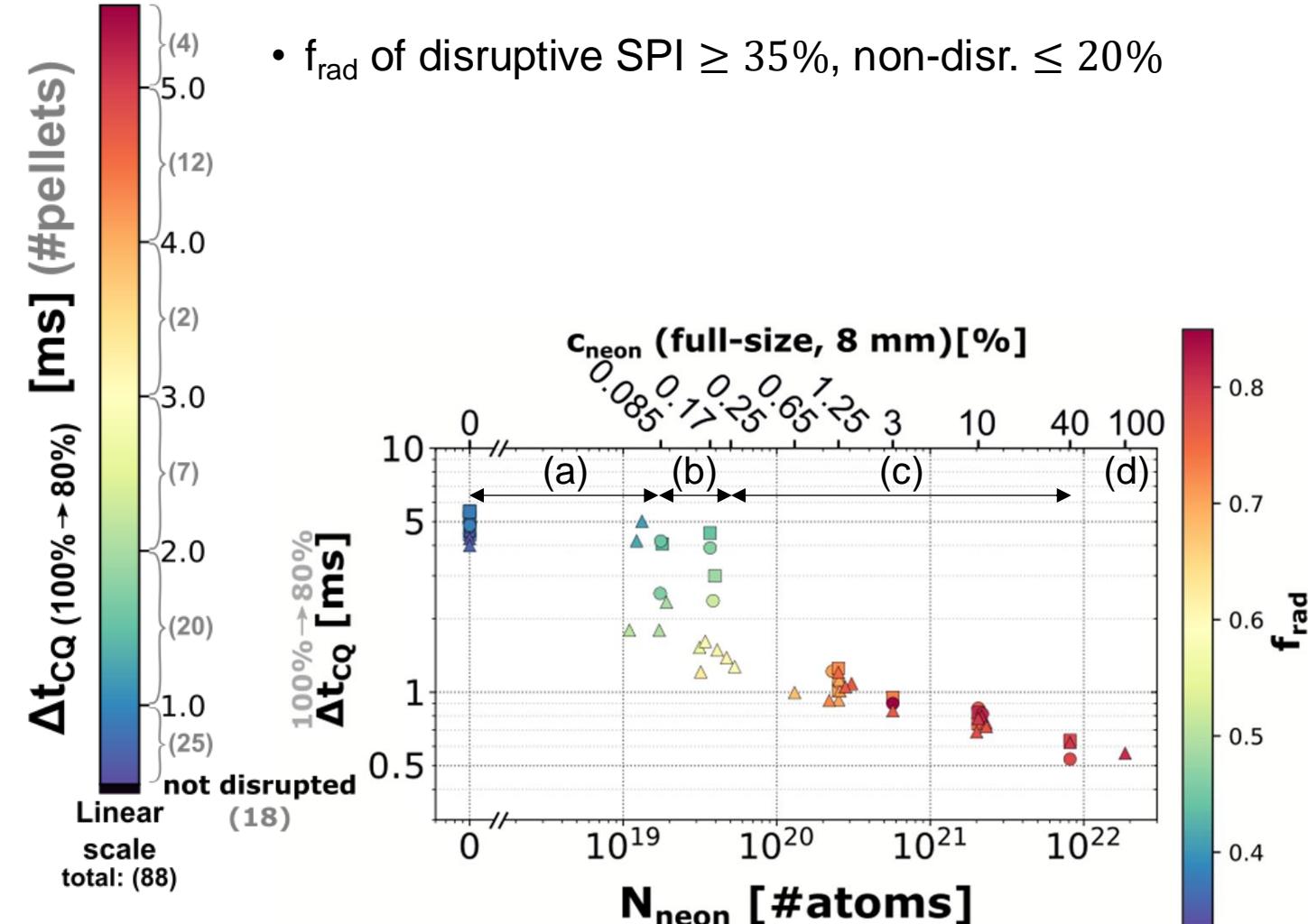


# Radiated energy fraction ( $f_{\text{rad}}$ )

## $c_{\text{neon}}$ for full-size, 8 mm [%]

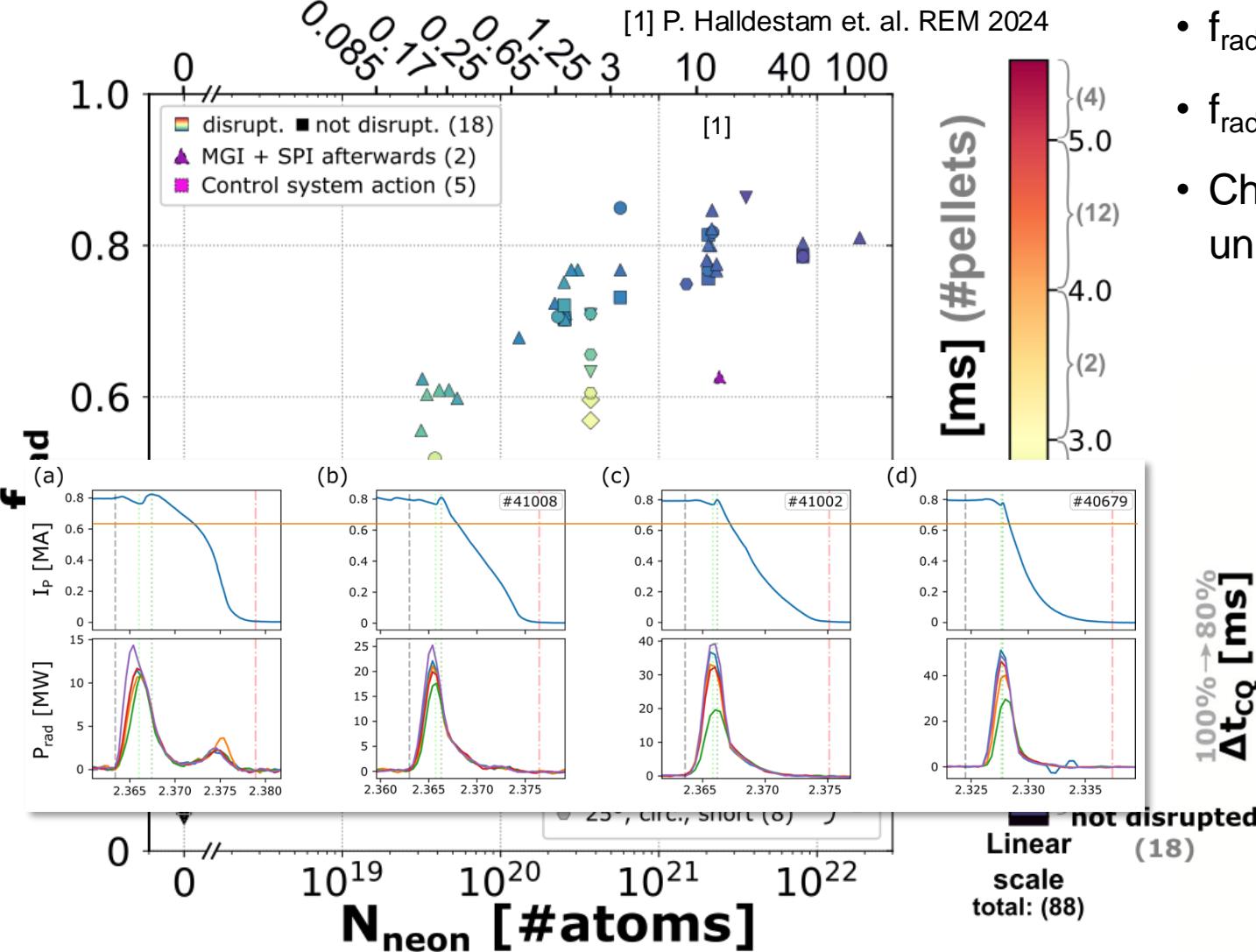


- $f_{\text{rad}}$  dominated by the impact of the neon amount
- $f_{\text{rad}}$  of disruptive SPI  $\geq 35\%$ , non-disr.  $\leq 20\%$

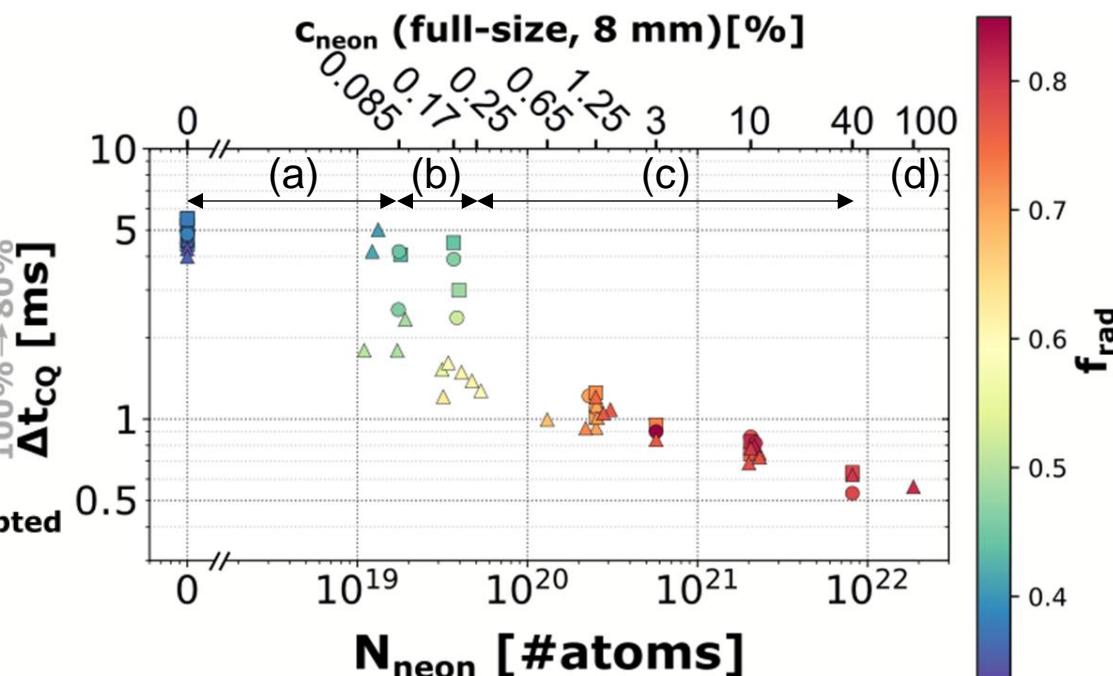


# Radiated energy fraction ( $f_{\text{rad}}$ )

## $c_{\text{neon}}$ for full-size, 8 mm [%]

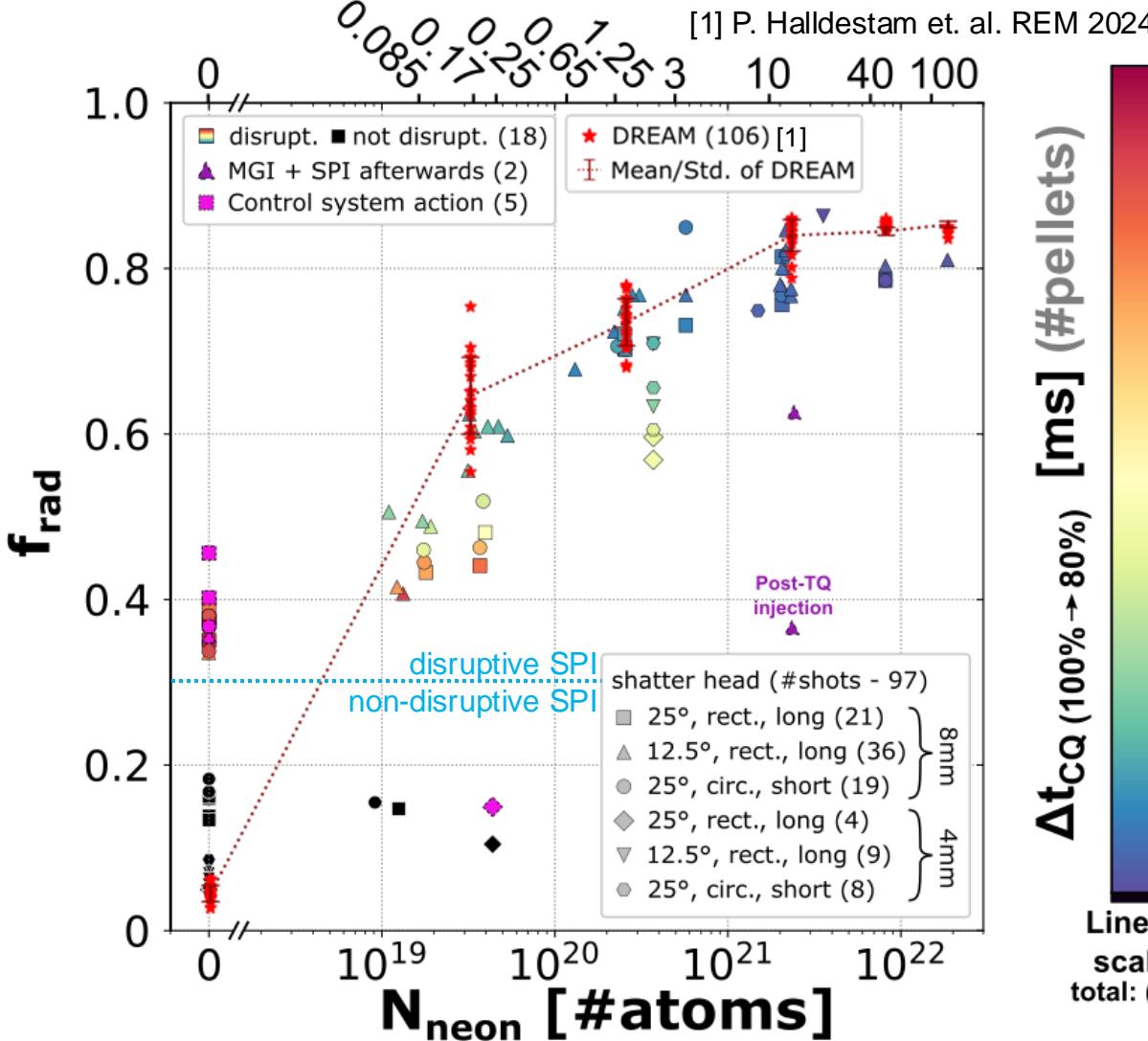


- $f_{\text{rad}}$  dominated by the impact of the neon amount
- $f_{\text{rad}}$  of disruptive SPI  $\geq 35\%$ , non-disr.  $\leq 20\%$
- Change in CQ-shape reflected in discontinuity of unscaled  $\Delta t_{CQ}^{100\% \rightarrow 80\%}$

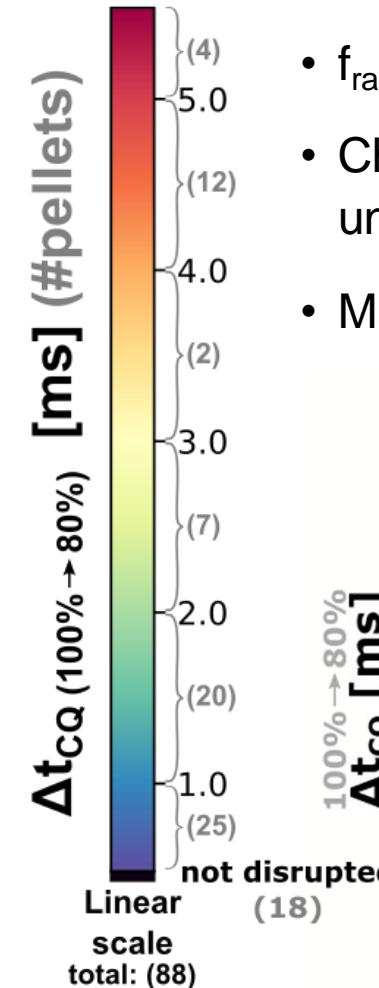


# Radiated energy fraction ( $f_{\text{rad}}$ )

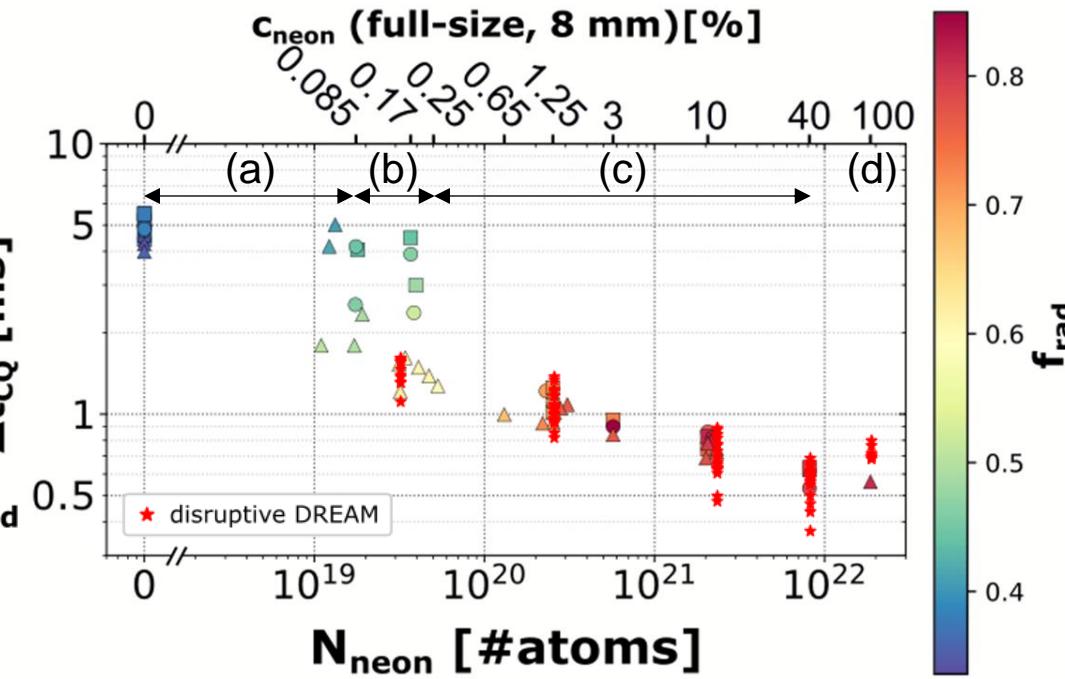
## $c_{\text{neon}}$ for full-size, 8 mm [%]



- $f_{\text{rad}}$  dominated by the impact of the neon amount
- $f_{\text{rad}}$  of disruptive SPI  $\geq 35\%$ , non-disr.  $\leq 20\%$
- Change in CQ-shape reflected in discontinuity of unscaled  $\Delta t_{\text{CQ}}^{100\% \rightarrow 80\%}$
- Matches DREAM simulations well! (VDEs not simulated)

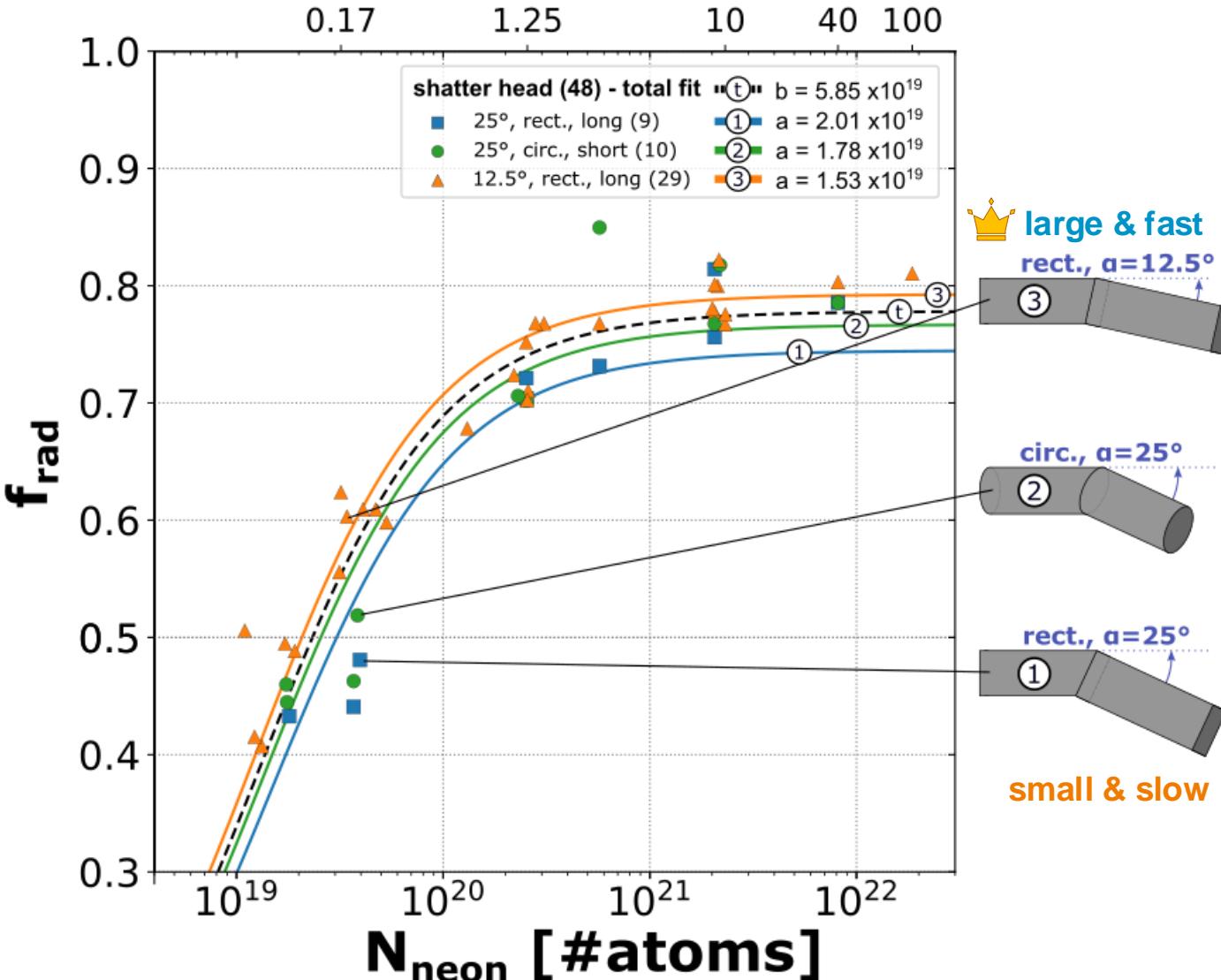


[Heinrich et. al., in preparation]



# „Optimal“ shatter head geometry

**C<sub>neon</sub> [%]**



$$\text{Fit function* } y = \left( 1 + \frac{a \cdot \left( 1 + \left( \frac{b}{N_{\text{injected neon}}} \right) \right)}{b} \right)^{-1}$$

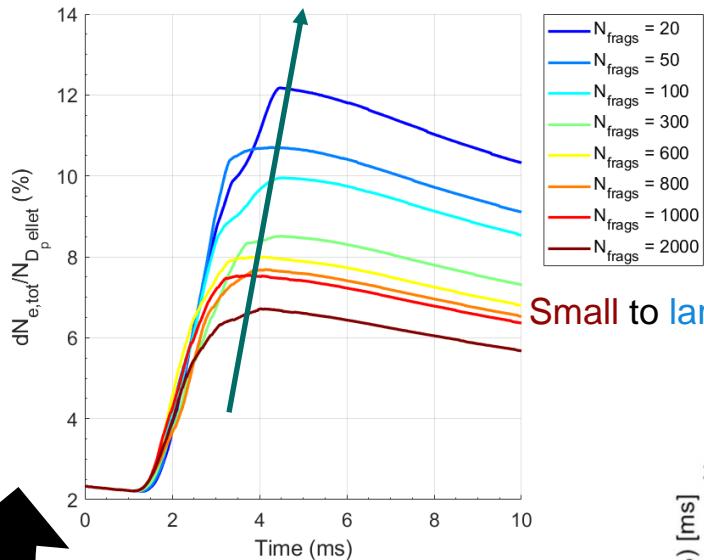
Overall, the **12.5° rectangular** shatter head shows the highest values of  $f_{\text{rad}}$

\*  $f_{\text{rad}} = \frac{P_{\text{rad}}}{P_{\text{rad}} + P_{\text{thFW}}} = \frac{1}{1 + x}$   
 with thermal heat fluxes onto the PFCs  $P_{\text{thFW}} \propto n_e \cdot T_e^{3/2}$ ,  
 $P_{\text{rad}} \propto n_e \cdot n_{\text{imp}} \cdot L_{\text{rad}}(T_e)$ ,  
 $x \propto \frac{T_e^{3/2}}{L_{\text{rad}}(T_e)} \cdot \frac{1}{n_{\text{imp}}} = G_{\text{rad}}(T_e) \cdot \frac{1}{n_{\text{imp}}}$ , and  
 $n_{\text{imp}} \propto N_{\text{assimilated neon}} = b / (1 + b / N_{\text{injected neon}})$   
 $\rightarrow f_{\text{rad}} = \frac{1}{1 + \frac{B \cdot G_{\text{rad}}(T_e)}{N_{\text{assimilated neon}}}} = \frac{1}{1 + \frac{a(1+(b/N_{\text{injected neon}}))}{b}}$

# „Optimal“ fragment size

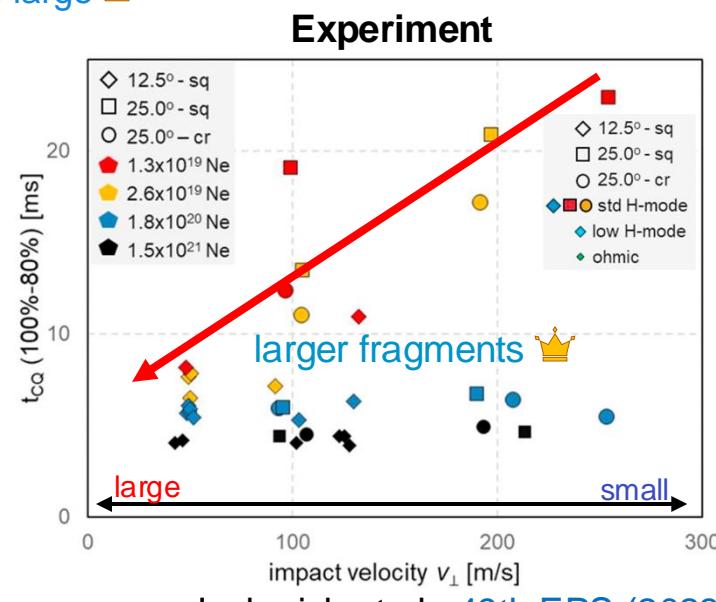
- Low neon doping ( $< 10^{21}$ ):

Pure D<sub>2</sub> INDEX: Number of fragments (fragment size) scan

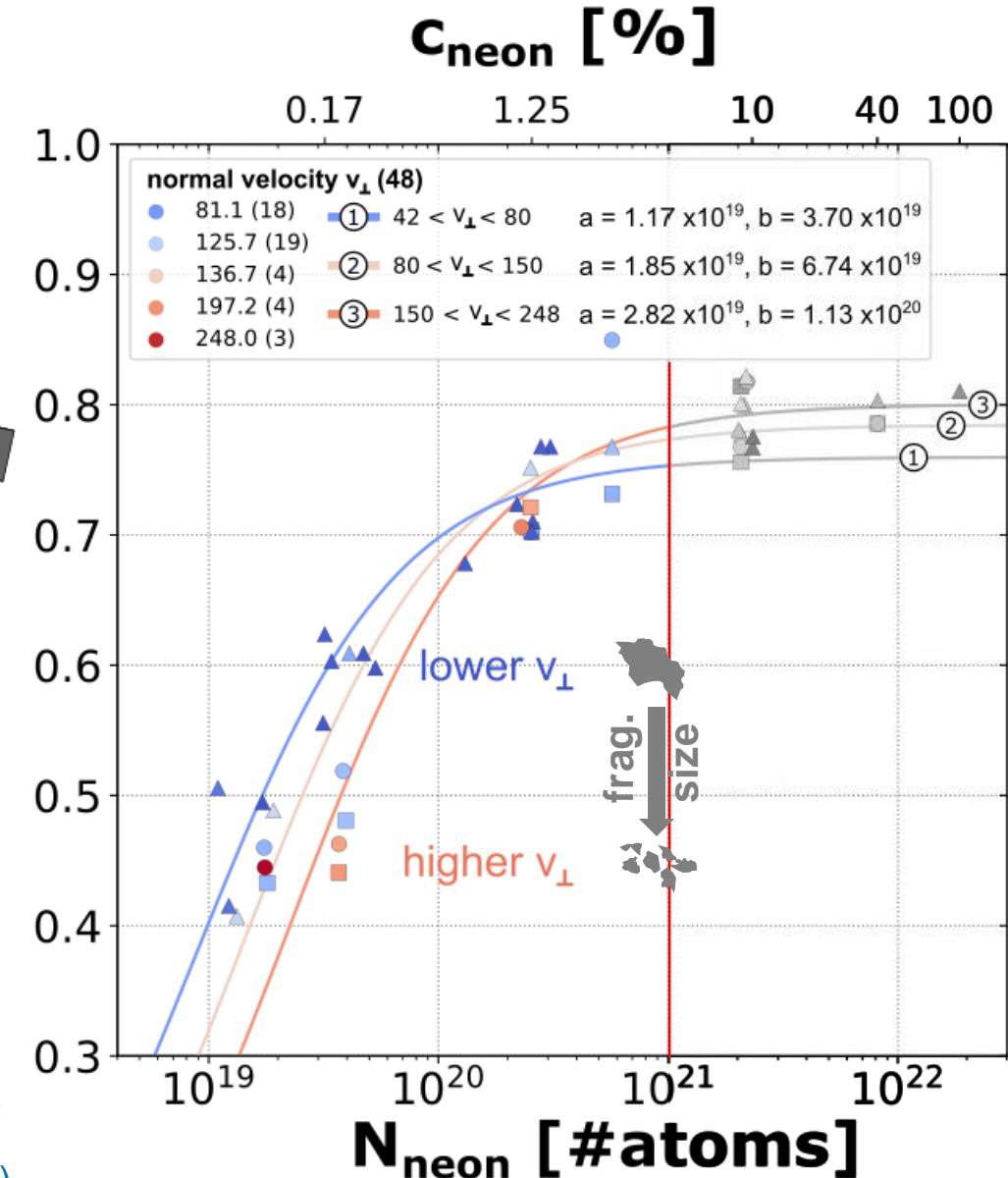


A. Patel, [M.Sc. thesis \(2023\)](#)

material assimilation

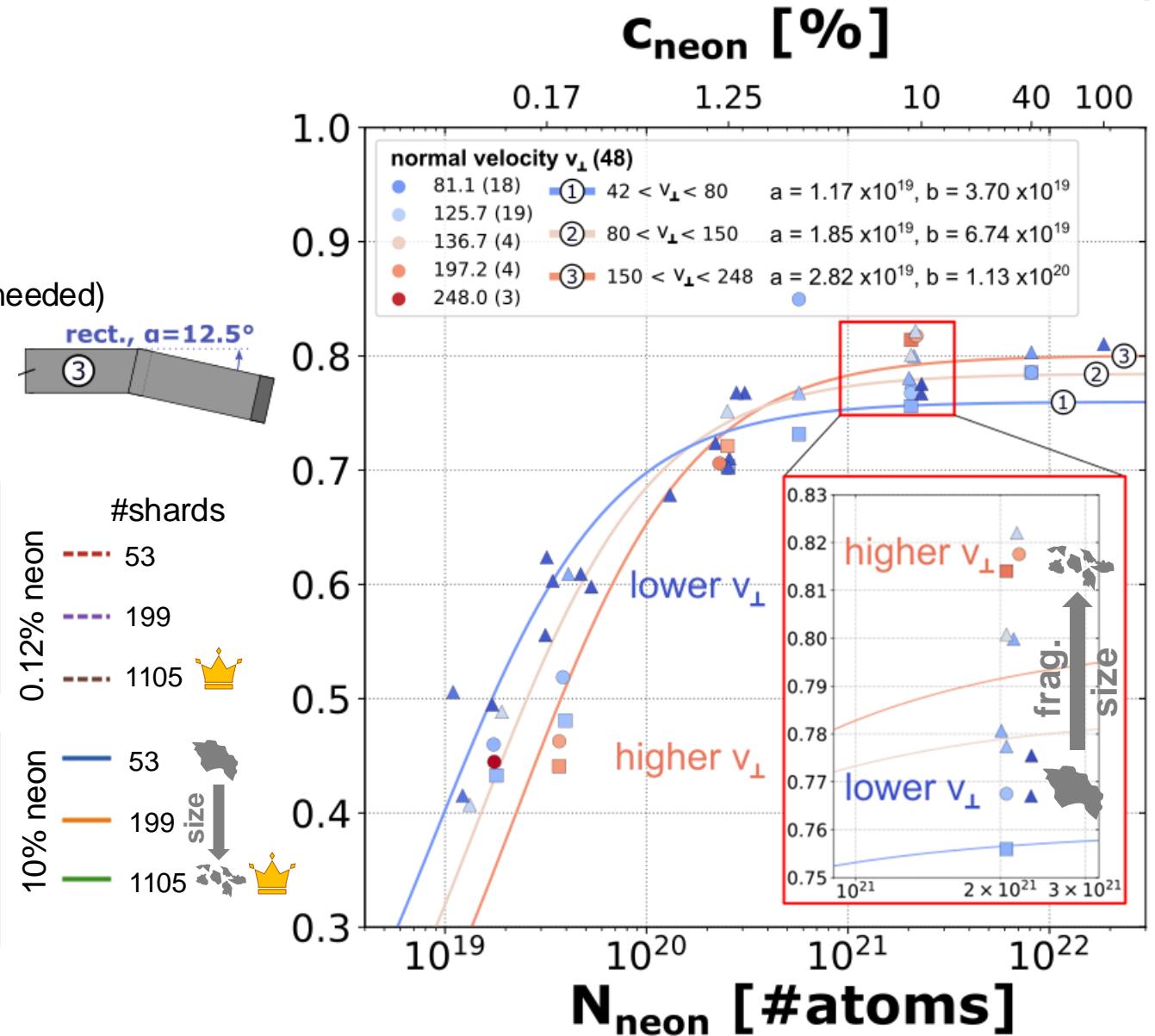
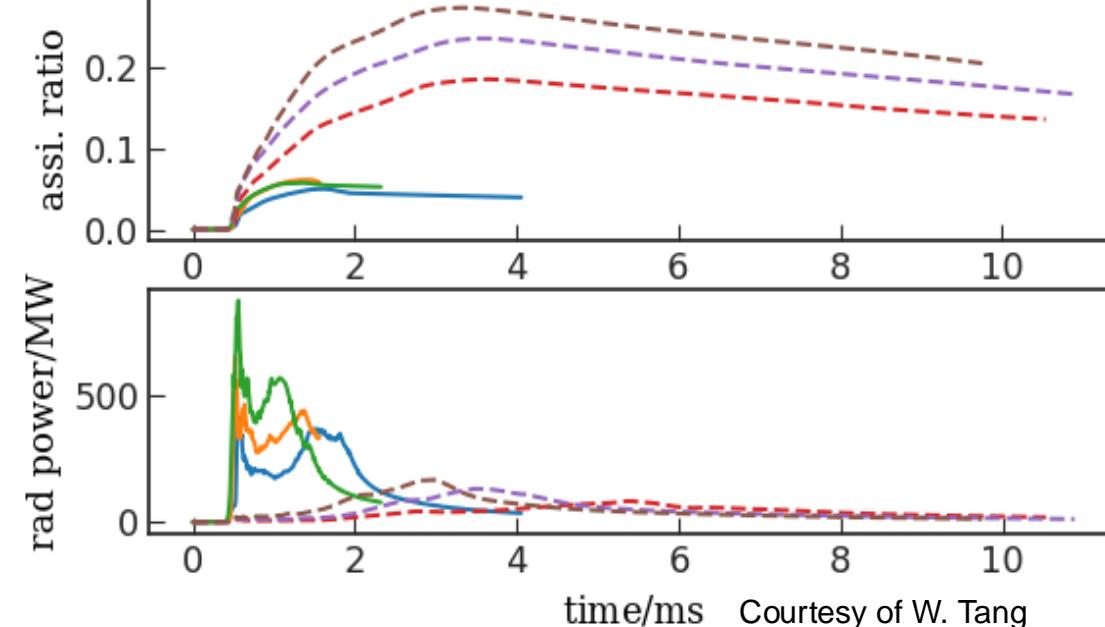


Jachmich et al., [49th EPS \(2023\)](#)



# „Optimal“ fragment size

- Low neon doping ( $< 10^{21}$ ):  
large (lower  $v_{\perp}$ ) fragments (shallow angle)
- High neon (8 mm, 10% or  $2 \times 10^{21}$ ):  
First hints: small (larger  $v_{\perp}$ ) fragments (further studies needed)
- JOREK: small fragments for all neon ranges



# Which is now the „optimal“ fragment size?

	pure D <sub>2</sub>	low neon	high neon
goal	D <sub>2</sub> assimilation for suppression of REs	plasmoid drift suppr. for high D <sub>2</sub> assim.	max. radiation for load mitigation
frad	Exp. 		
assimilation	D <sub>2</sub> assimilation [2]	Ne assimilation [2]	Ne assimilation [3]
INDEX	[1]	unclear [1]	[1]
JOREK		[3]	[3]

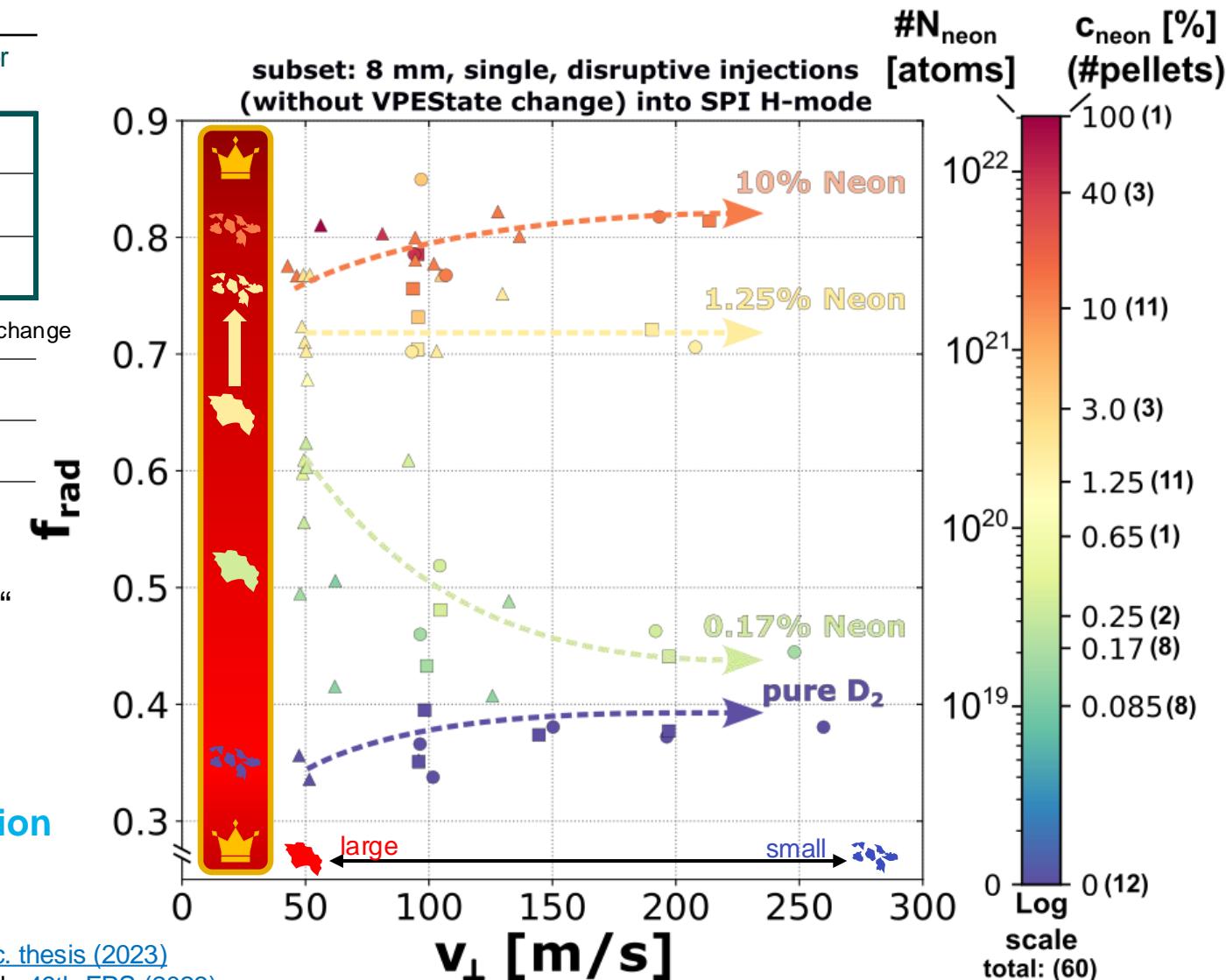
D<sub>2</sub> assimilation

Ne assimilation

Ne assimilation

- Depending on the goal (max. frad or D<sub>2</sub> assimilation), smaller or larger fragments may be considered „optimal“
- Small fragments seem beneficial for **maximising frad** (high neon)
- Large fragments seem better for **pure D<sub>2</sub> SPI assimilation**
- Mismatch in neon assimilation for **neon doped** pellets in experiment () and JOREK ()

[1] A. Patel, [M.Sc. thesis \(2023\)](#)  
[2] Jachmich et al., [49th EPS \(2023\)](#)  
[3] Courtesy of W. Tang



# Outline

❖ What is SPI supposed to deliver?      heat loads: max. radiation      forces: tailor CQ rate      runaway electrons (REs): max. assimilation (density increase)

## ❖ What is the specific goal of the ASDEX Upgrade SPI system?

Highly flexible system (angle and velocity) ➡ unique for characterizing fragment size & velocity effects!

## ❖ What did we learn from the AUG SPI project so far?

- Commissioning (Lab) phase The Parks model does not seem to fit our observed fragment distributions well

### ▪ AUG SPI experiments in 2022 & AUG SPI modelling

- Disruption evolution convex  $\xrightarrow{\text{incr. neon content}}$  concave CQ shape
- Radiation asymmetries asym.  $\downarrow$  & S5/S9 asym.  $\uparrow$  with neon  $\uparrow$

- Radiated energy fraction ( $f_{\text{rad}}$ ) strong function of neon content, 2<sup>nd</sup> order effect of geometry: 12.5° rect. 
- Is there an „optimal“ shatter head for all purposes? optimal fragment size depends on **neon %** ➡ SPI-goal

## ❖ Shatter head setup for the 2025 experimental campaigns



# Outline

- ❖ What is SPI supposed to deliver? **heat loads:** max. radiation **forces:** tailor CQ rate **runaway electrons (REs):** max. assimilation (density increase)

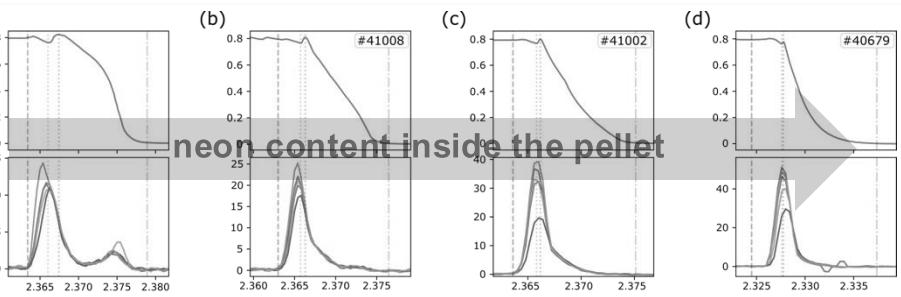
## ❖ What is the specific goal of the ASDEX Upgrade SPI system?

Highly flexible system (angle and velocity) ➡ unique for characterizing fragment size & velocity effects!

## ❖ What did we learn from the AUG SPI project so far?

- **Commissioning (Lab) phase** The Parks model does not seem to fit our observed fragment distributions well
- **AUG SPI experiments in 2022 & AUG SPI modelling**
  - Disruption evolution convex  $\xrightarrow{\text{incr. neon content}}$  concave CQ shape
  - Radiation asymmetries asym.  $\downarrow$  & S5/S9 asym.  $\uparrow$  with neon  $\uparrow$
  - Radiated energy fraction ( $f_{\text{rad}}$ ) strong function of neon content, 2<sup>nd</sup> order effect of geometry: 12.5° rect. 
  - Is there an „optimal“ shatter head for all purposes? optimal fragment size depends on neon % ➡ SPI-goal

## ❖ Shatter head setup for the 2025 experimental campaigns



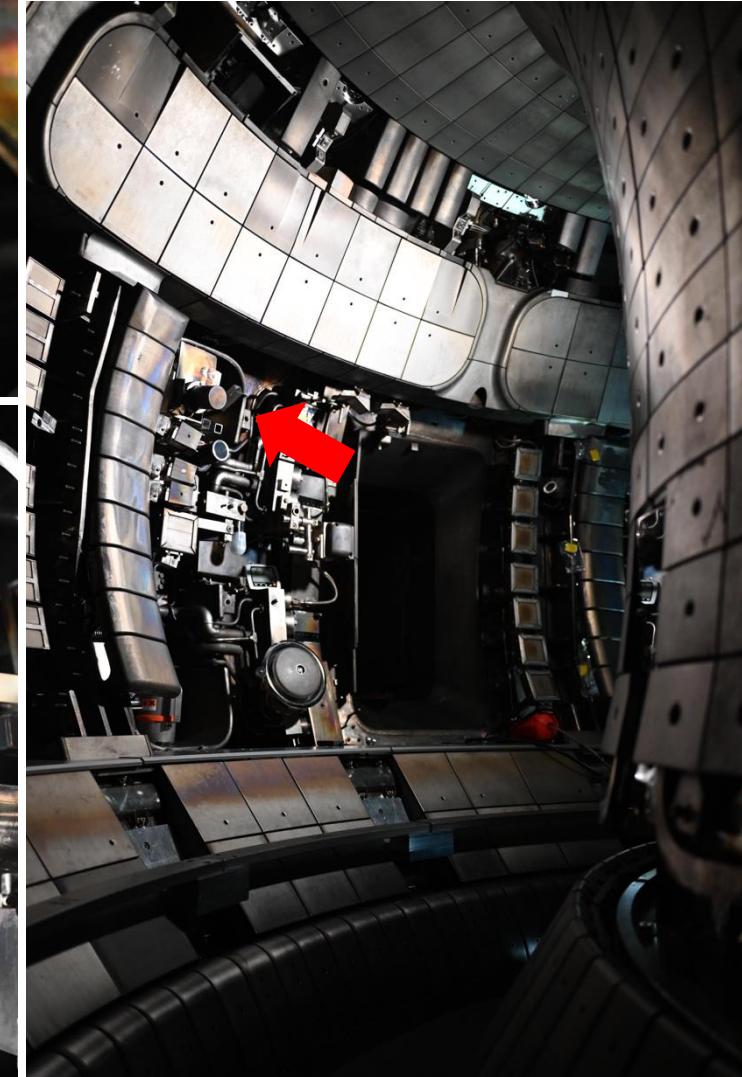
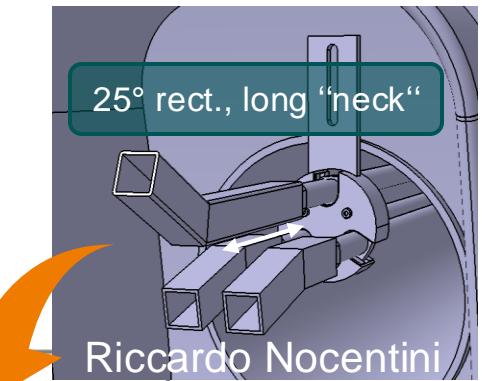
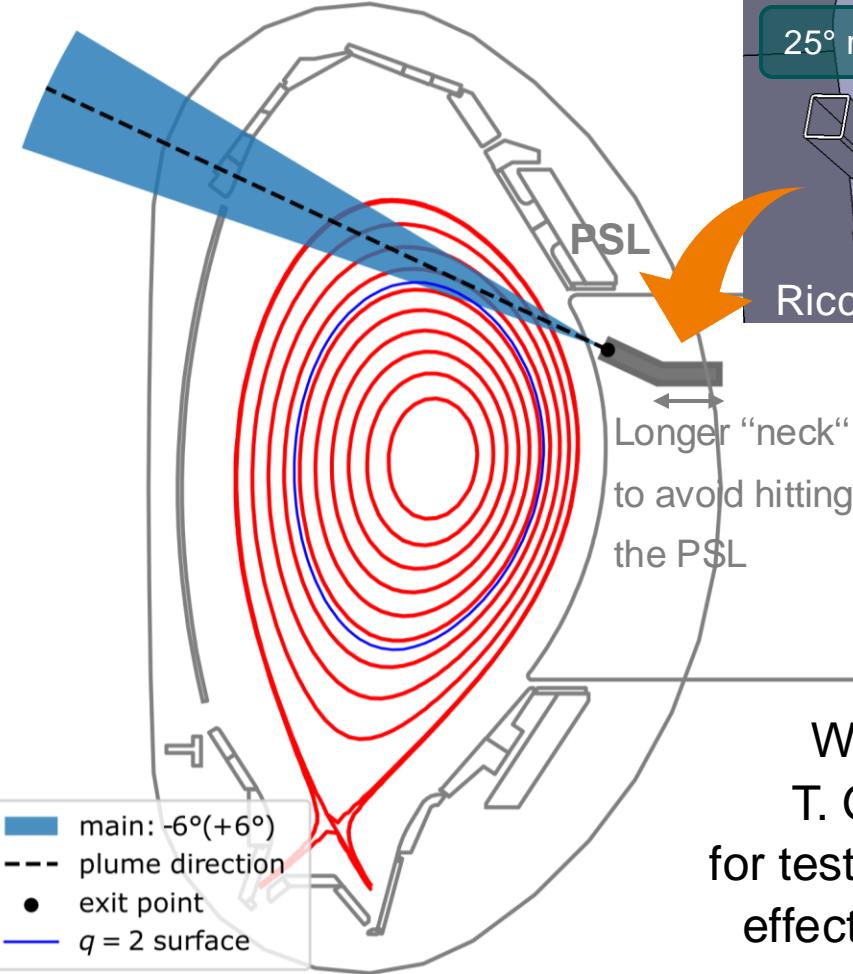
0% ~0.17% ~1.25% 10%



# Shatter head setup for the 2025 experiments

What if we miss q=2 ?

(P: head pointing upwards)



We'd like to thank  
T. Gebhart (ORNL)  
for testing fragment impact  
effects on the PSL tiles!

Peter Halldestam

# Outline

❖ What is SPI supposed to deliver? **heat loads:** max. radiation **forces:** tailor CQ rate **runaway electrons (REs):** max. assimilation (density increase)

## ❖ What is the specific goal of the ASDEX Upgrade SPI system?

Highly flexible system (angle and velocity) ➡ unique for characterizing fragment size & velocity effects!

## ❖ What did we learn from the AUG SPI project so far?

- **Commissioning (Lab) phase** The Parks model does **not** seem to fit **our** observed fragment distributions well
- **AUG SPI experiments in 2022 & AUG SPI modelling**
  - Disruption evolution convex  $\xrightarrow{\text{incr. neon content}}$  concave CQ shape
  - Radiation asymmetries asym. ↓ & S5/S9 asym. ↑ with **neon** ↑
  - Radiated energy fraction ( $f_{\text{rad}}$ ) **strong** function of neon content, 2<sup>nd</sup> order effect of geometry: 12.5° rect. 
  - Is there an „optimal“ shatter head for all purposes? **optimal** fragment size depends on **neon %** ➡ SPI-goal

## ❖ Shatter head setup for the 2025 experimental campaigns

Upside-down shatter head to study the SPI performance in case of missing the  $q = 2$  rational surface



SPI animation

