

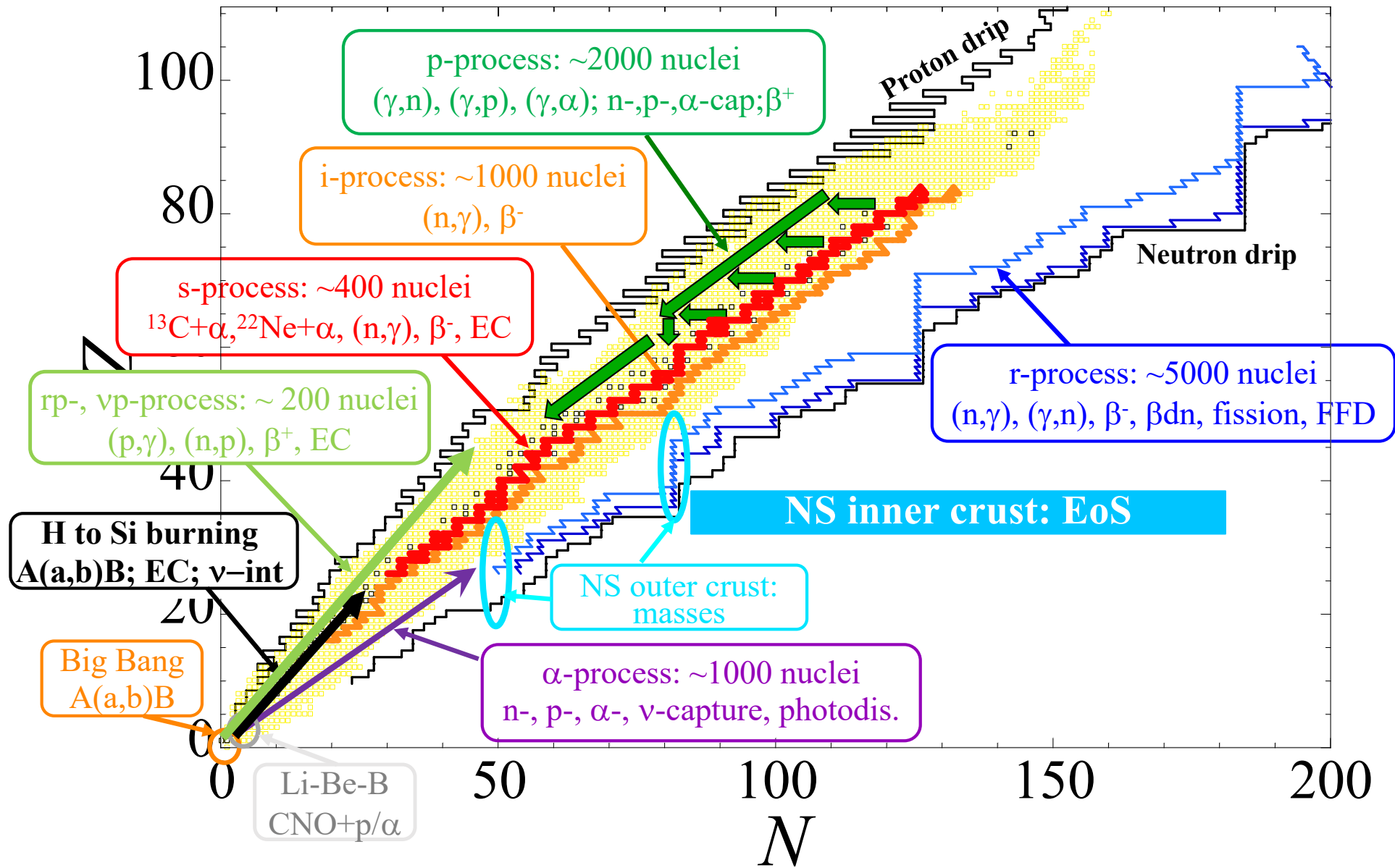
# **Nuclear reactions relevant to nuclear astrophysics, status and perspectives**

S. Goriely

In collaboration with

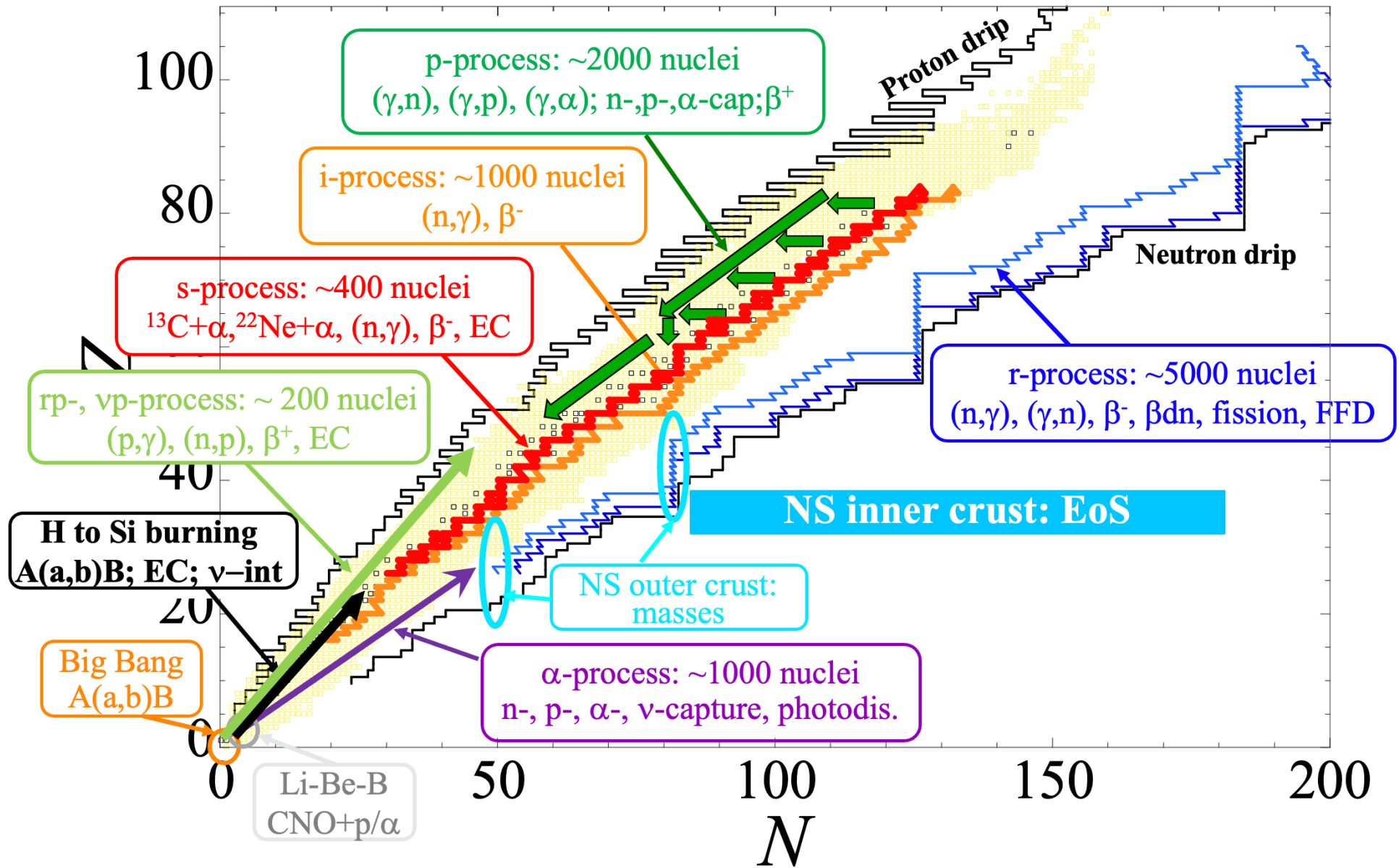
- W. Ryssens, G. Grams, L. Batail, S. Martinet (ULB)
- S. Hilaire, S. Péru (CEA/DAM/DIF)
- A. Koning (IAEA)

# Nuclear Astrophysics: a field with high NP demands



Relatively limited amount of direct experimental data → Theory needs to fill the gaps

# Nuclear Astrophysics: a field with high NP demands



**What are the most relevant nuclear inputs / reactions ? (cf A. Ratkiewicz)**  
**How do they affect astrophysics observables ?**

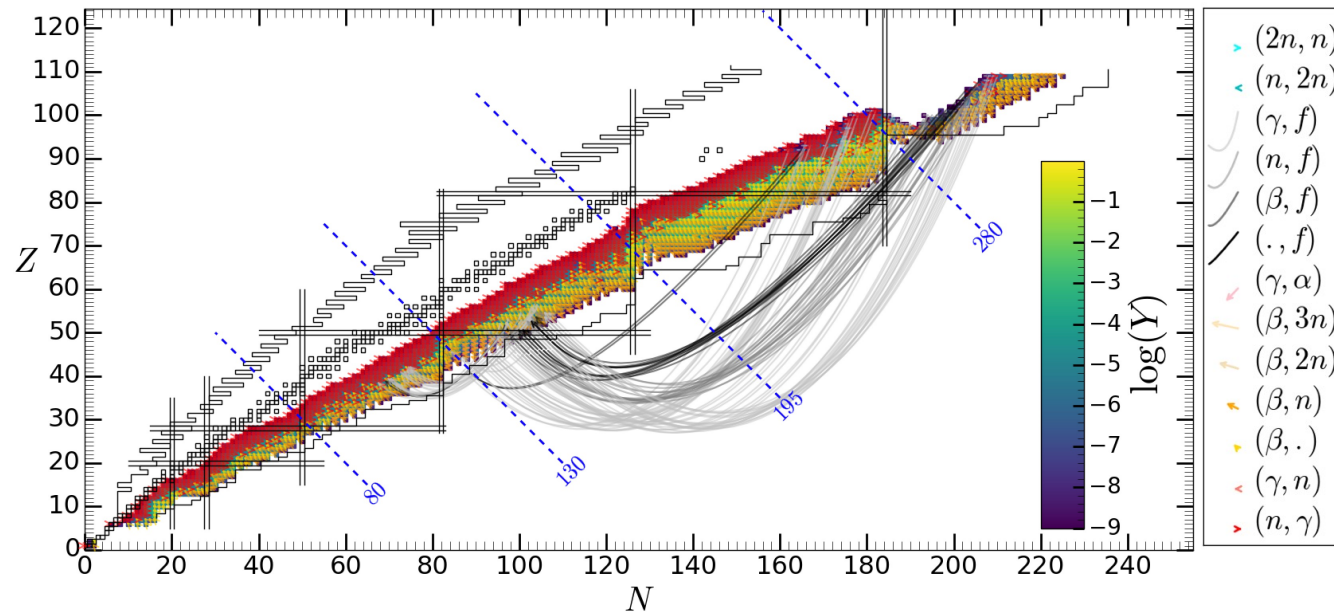
# Nuclear physics input to the $r$ -process nucleosynthesis

## $(n,\gamma) - (\gamma,n) - \beta$ competition & Fission

- $(n,\gamma)$  and  $(\gamma,n)$  rates – Masses ( $S_n$ )
- $\beta$ -decay rates
- Fission (nif, sf,  $\beta$ df) rates
- Fission Fragments Distributions

Still many open questions

some 5000 nuclei with  $Z \leq 110$  on the n-rich side – essentially no exp. data

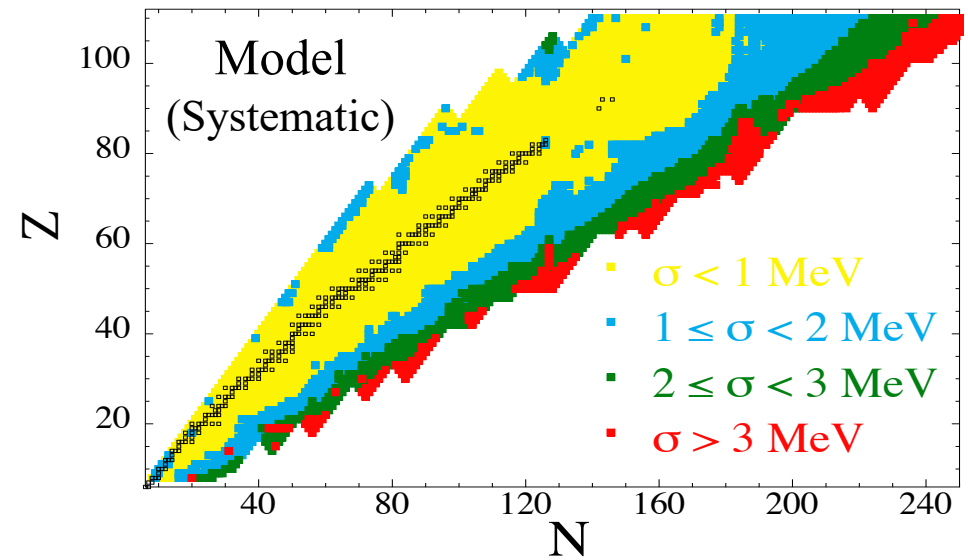
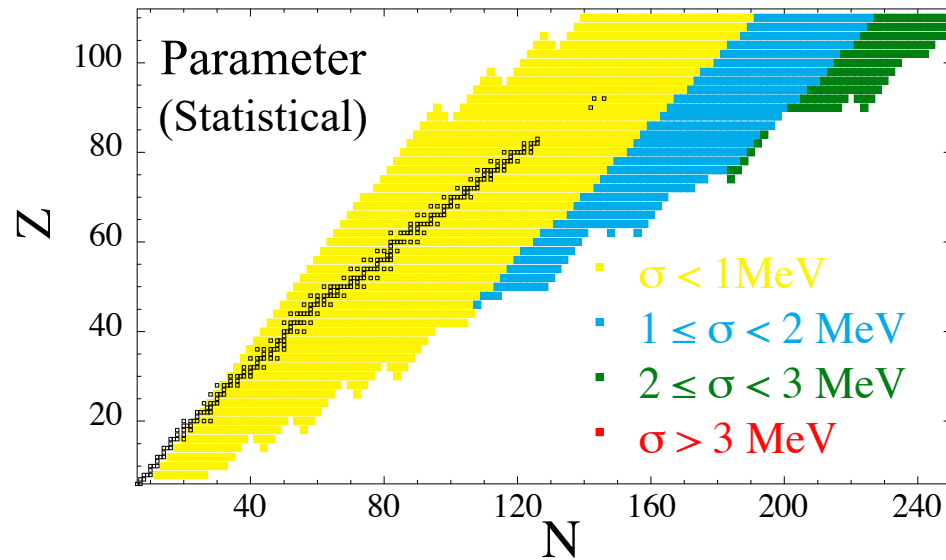


“Realistic” astrophysical model only available for Neutron Star Mergers

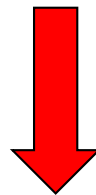
# Nuclear uncertainties

Some Progress in considering “theoretical uncertainties” in NA

Two types of uncertainties affecting nuclear inputs (e.g Masses)

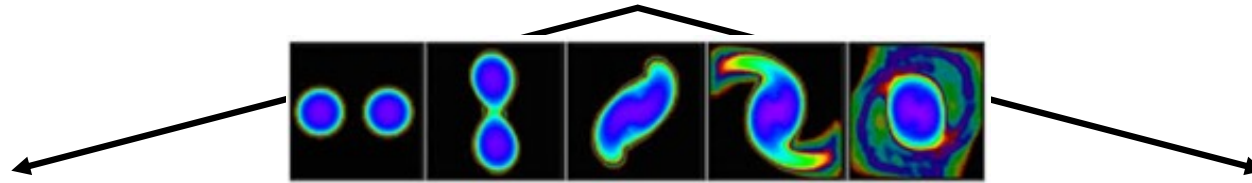


Model or parameter variations must be constrained by experimental data  
e.g. mass models with  $\sigma_{\text{rms}} < 0.8 \text{ MeV}$  or  $(n,\gamma)$  models with  $f_{\text{rms}} \leq 2$



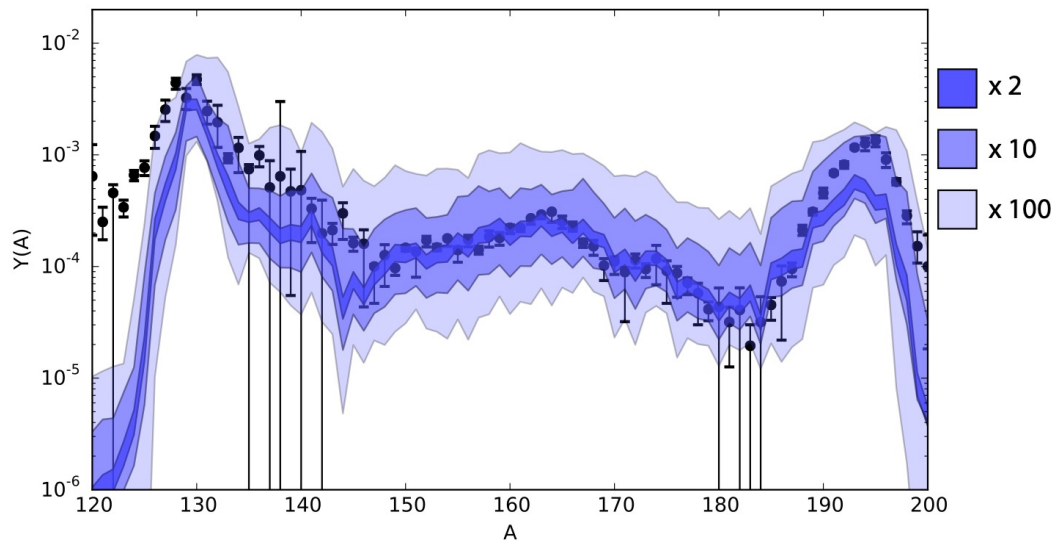
But what about their impact on astrophysical observables ?

# How to propagate such NP uncertainties into astrophysics simulations ??



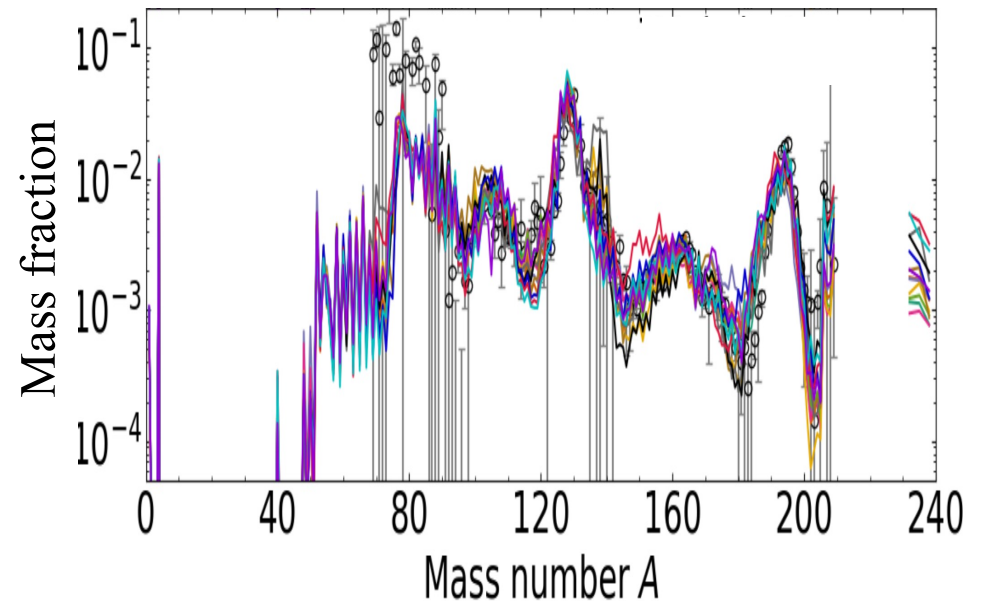
## Uncorrelated MC approach

Mumpower et al. (2016); Nikas et al. (2020)



## Model-correlated approach

Sprouse et al. (2020); Kullmann et al. (2022)



- Rates within an arbitrary factor of 2, 10, 100
- Neglect correlations between uncertainties
- Overestimates impact – Often unphysical

- Coherent model-correlated uncertainties
- Only parameter or model uncertainties
- Overestimates impact if not exp-constrained

**In all cases, propagation must be applied to a large representative sample of trajectories**

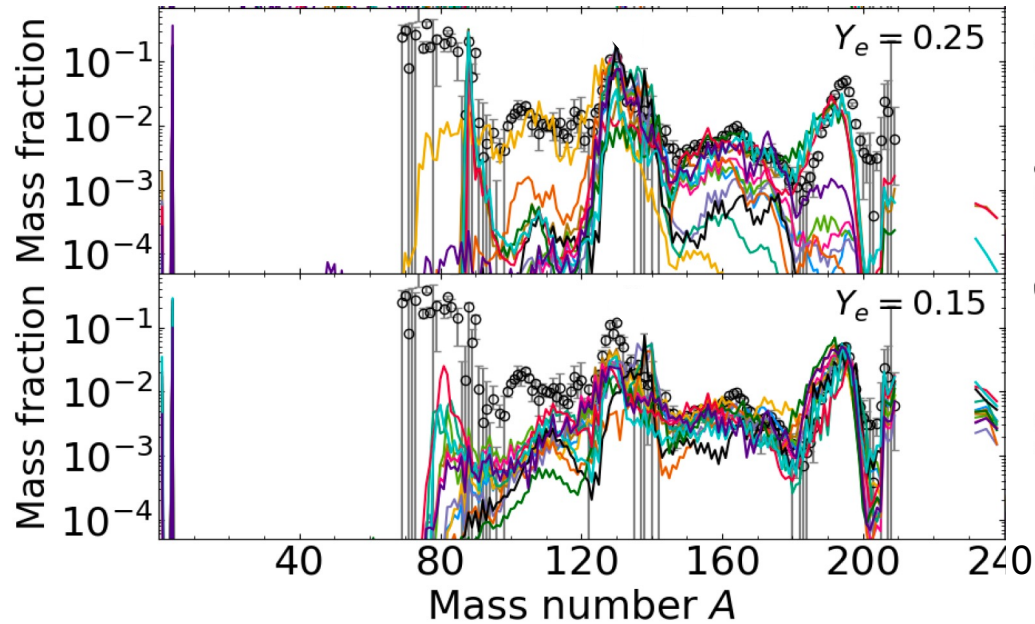
# Impact of nuclear *model* uncertainties on the composition of NSM ejecta

15 different “acceptable” sets of nuclear inputs (masses,  $\beta$ -decay, n-capture, fission)

Kullmann et al. (2022)

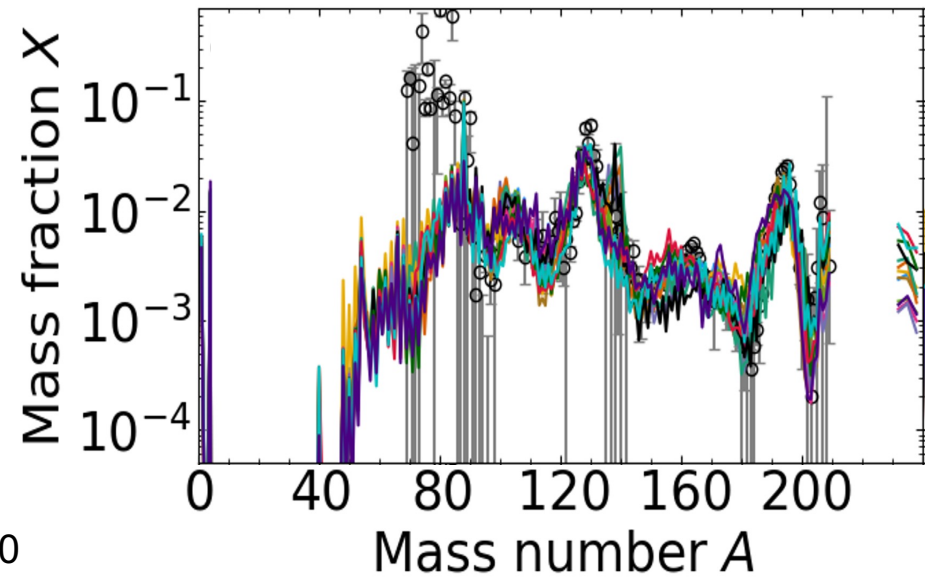
Prompt dynamical ejecta: SFHo 135-135

Single trajectory



Global & Local discrepancies

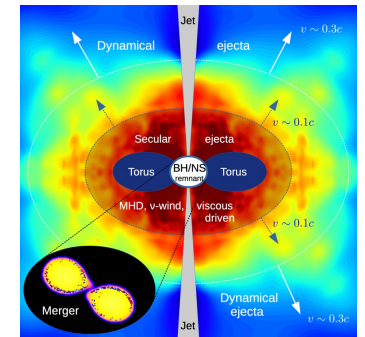
Multiple trajectories



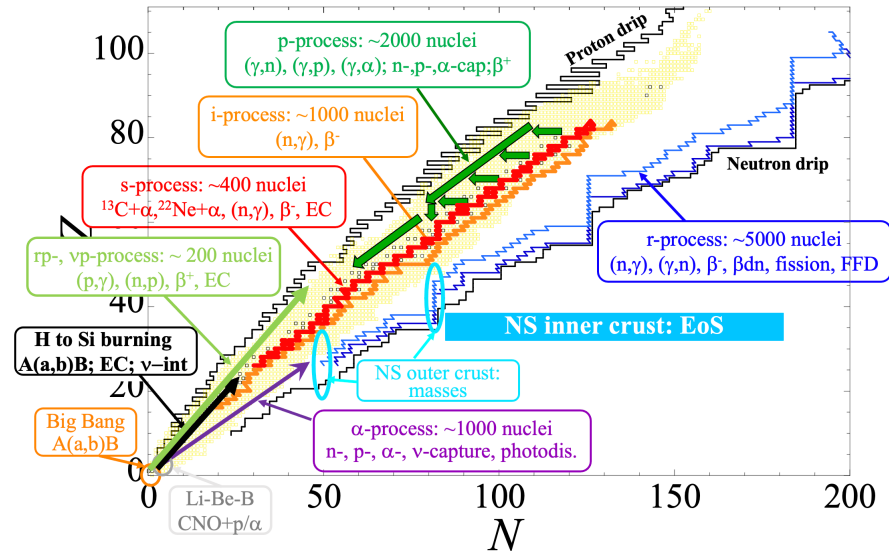
Local (correlated) discrepancies

Astrophysical models evolve and may still not be robust  
(what is “important” today may not be next year)

→ Remain extremely critical about propagation of nuclear uncertainties into astrophysical models



# Nuclear Astrophysics: a field with high NP demands



## Still huge Nuclear Physics needs for ...

- Dedicated experimental (and theoretical) work on key reaction  
in particular:  $^{12}\text{C} + \alpha$ ,  $^{12}\text{C} + ^{12}\text{C}$ ,  $^{22}\text{Ne} + \alpha$ ,  $^{17}\text{O} + \alpha$ , ... (not all are “crucial”)
- A regularly-updated library of cross sections / rates  
in particular:  $n$ -,  $p$ -,  $\alpha$ -captures,  $\beta^\pm$ /EC/ $\alpha$  decay
- A regularly-updated library of evaluated input parameters  
in particular:  $M$ ,  $R_c$ ,  $\beta_2$ ,  $J^\pi$ ,  $B_f$ ,  $D_0$ ,  $S_0$ ,  $\langle \Gamma_\gamma \rangle$ , PSF, NLD, OMP, ...
- Experimental / theoretical insights of physical properties that could have a significant impact on xs predictions (esp. extrapolations):  
for example:  $n$  skin, shell effects, PSF upbend/PR,  $\alpha$ -OMP, IV  $n$ -OMP, ...

Large astrophysics uncertainties (“realistic” 3D vs parametrized unconfirmed sites)

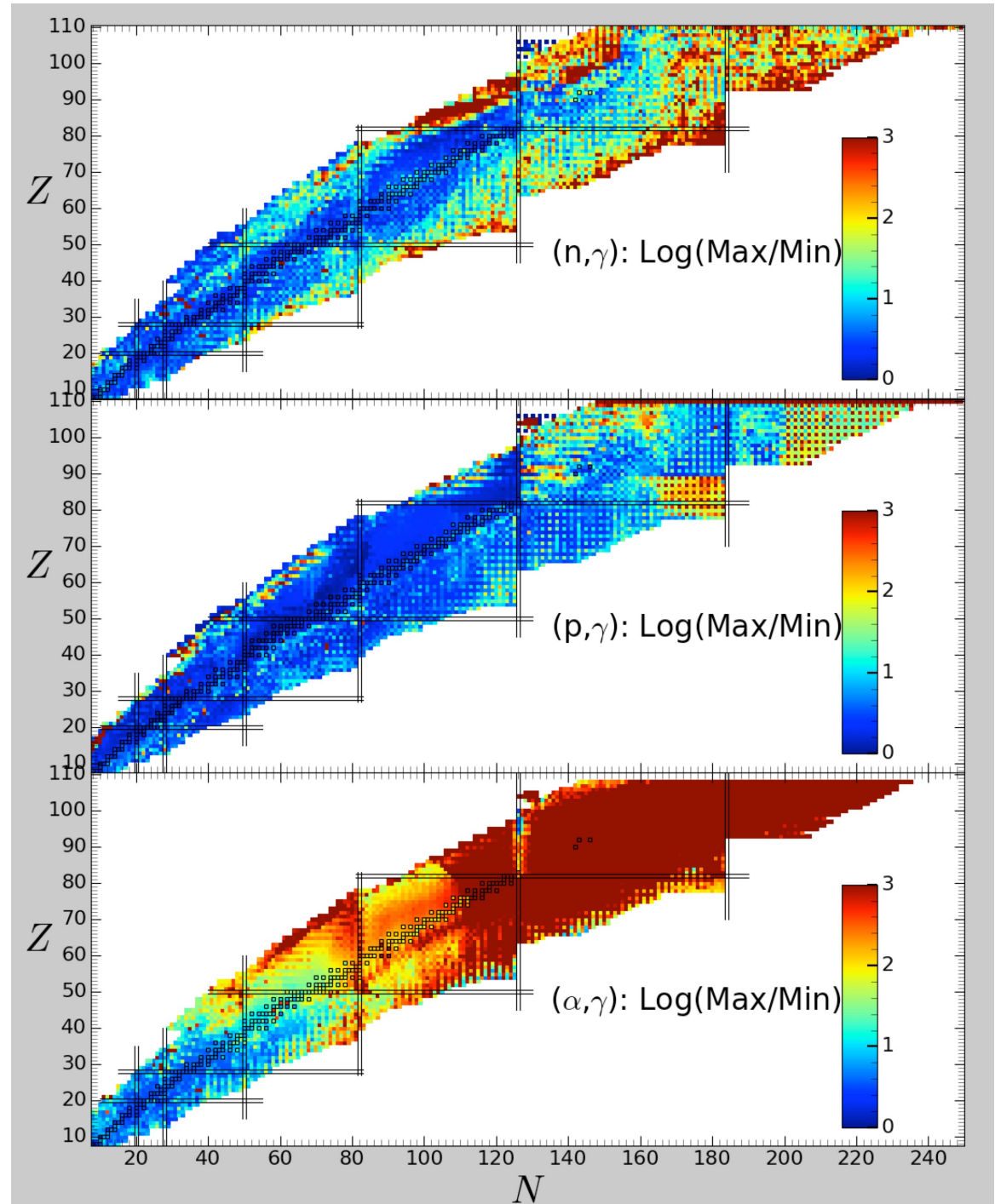
→ the role of NP is to provide the most reliable exp/th data for astrophysics



# Model uncertainties in the HF astrophysical rates

at  $T=2 \cdot 10^9\text{K}$  due to “decent” models of

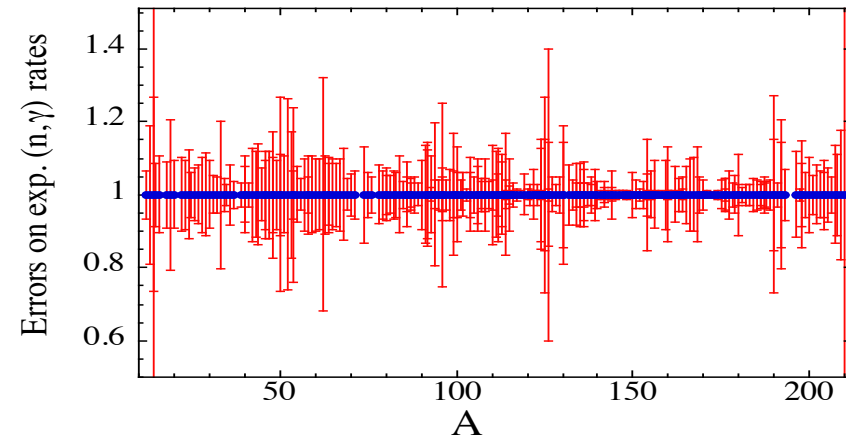
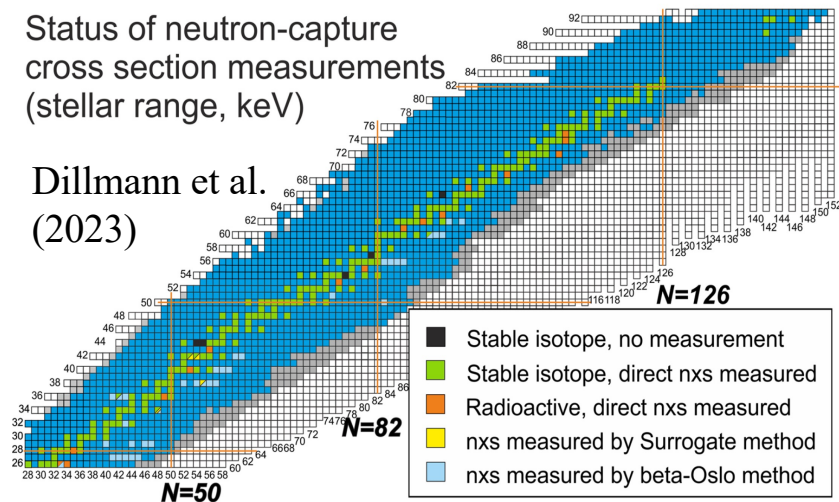
- Masses, deformations
- Nuclear Level Densities
- Photon Strength Functions
- Optical Potentials



# Experimental information on (n, $\gamma$ ) cross sections

Status of neutron-capture cross section measurements (stellar range, keV)

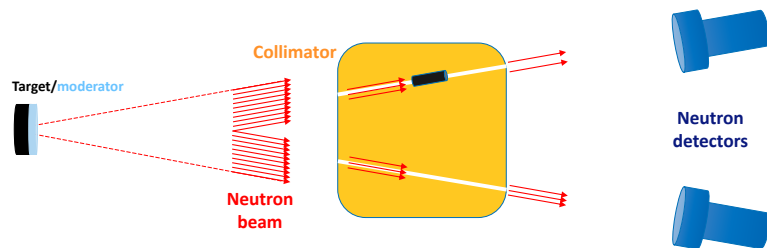
Dillmann et al. (2023)



MACS available for some  $\sim 270$  nuclei (s-process)

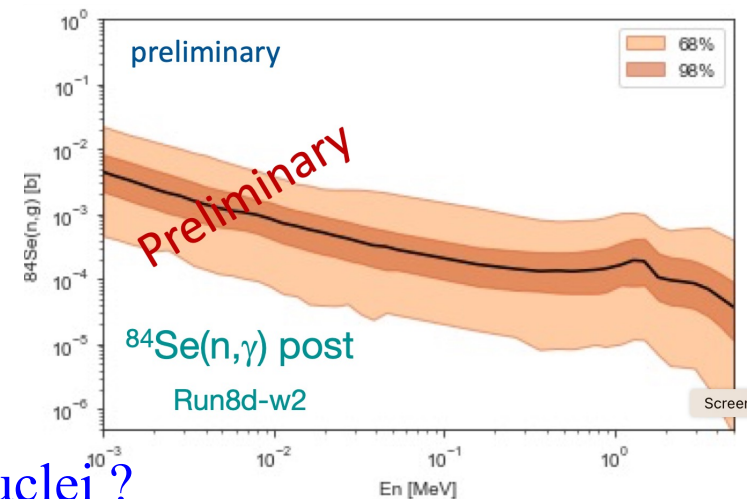
New experimental techniques to derive (n, $\gamma$ ) cross sections for unstable nuclei

New instrument DICER at LANSCE to constrain n-capture on radionuclides (cf Stamatopoulos)



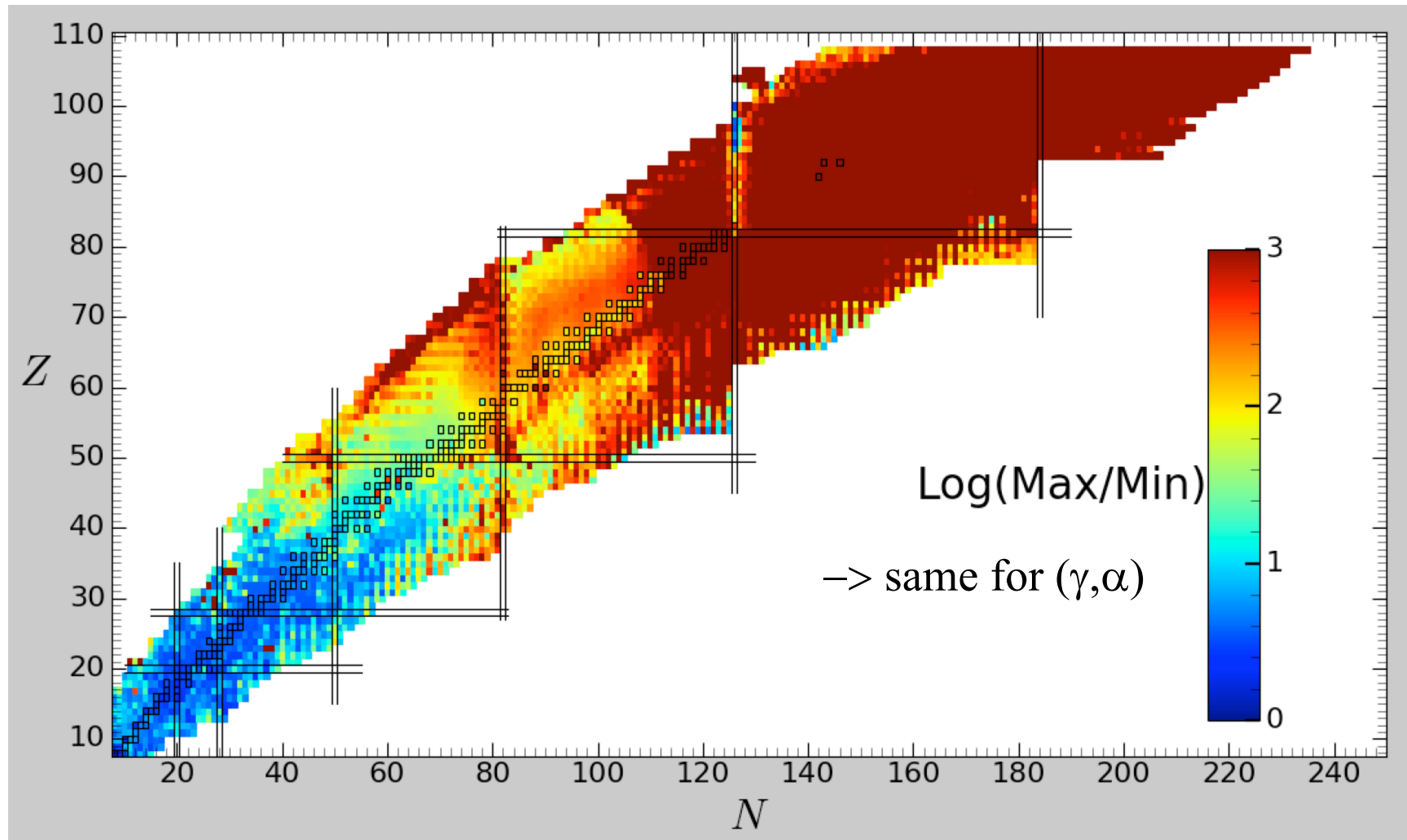
- Do we control (model-dep) uncertainties ?
  - Can we learn about PSF, NLD, DC for n-rich nuclei ?
- (May not be of direct astro relevance but well indirect !)

$\beta$ -Oslo or Surrogate (d,p) method



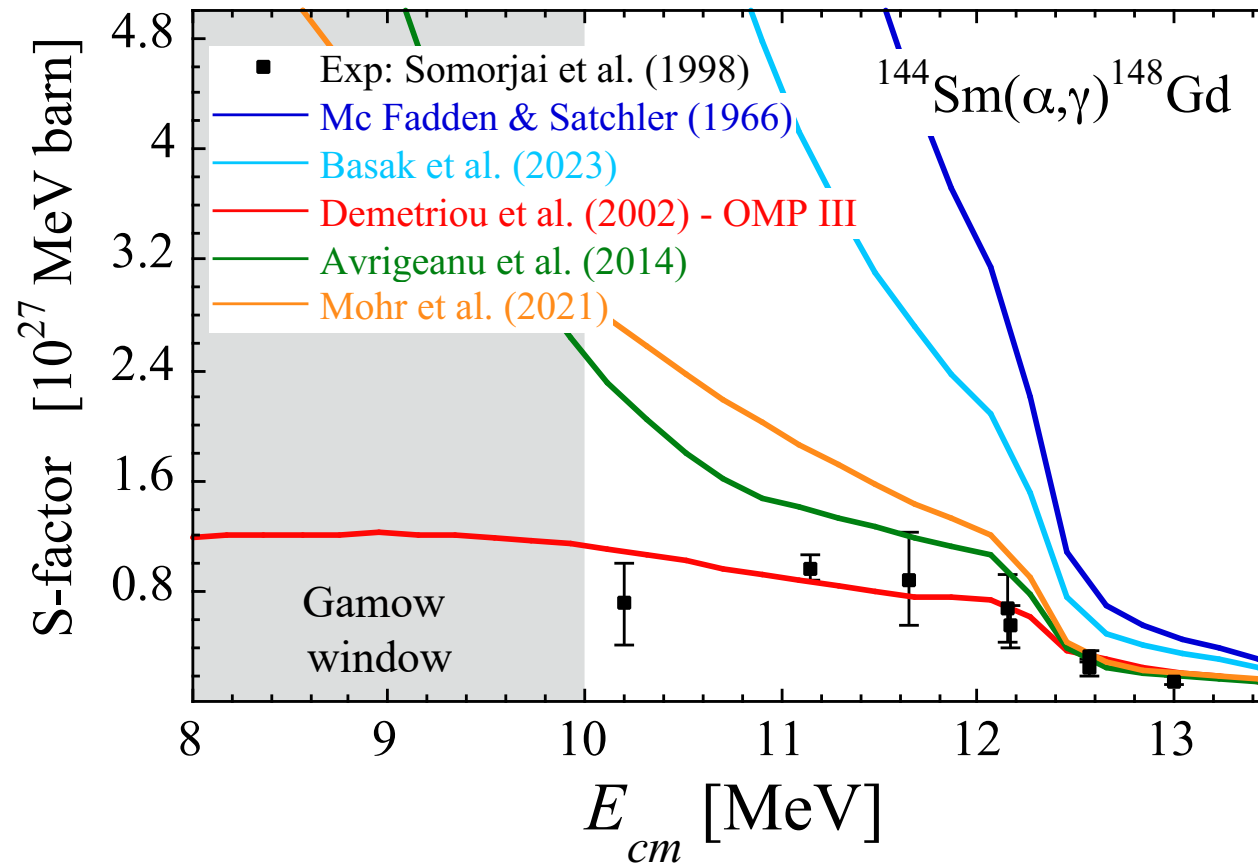
# Uncertainties in the $(\alpha, \gamma)$ rates predictions at $T=2 \cdot 10^9 \text{K}$

Uncertainties associated with masses,  $\alpha$ -OMP, NLD, PSF



# Uncertainties in the $(\alpha,\gamma)$ rates predictions

Uncertainties associated with  $\alpha$ -OMP: The stringent test of  $^{144}\text{Sm}(\alpha,\gamma)^{148}\text{Gd}$

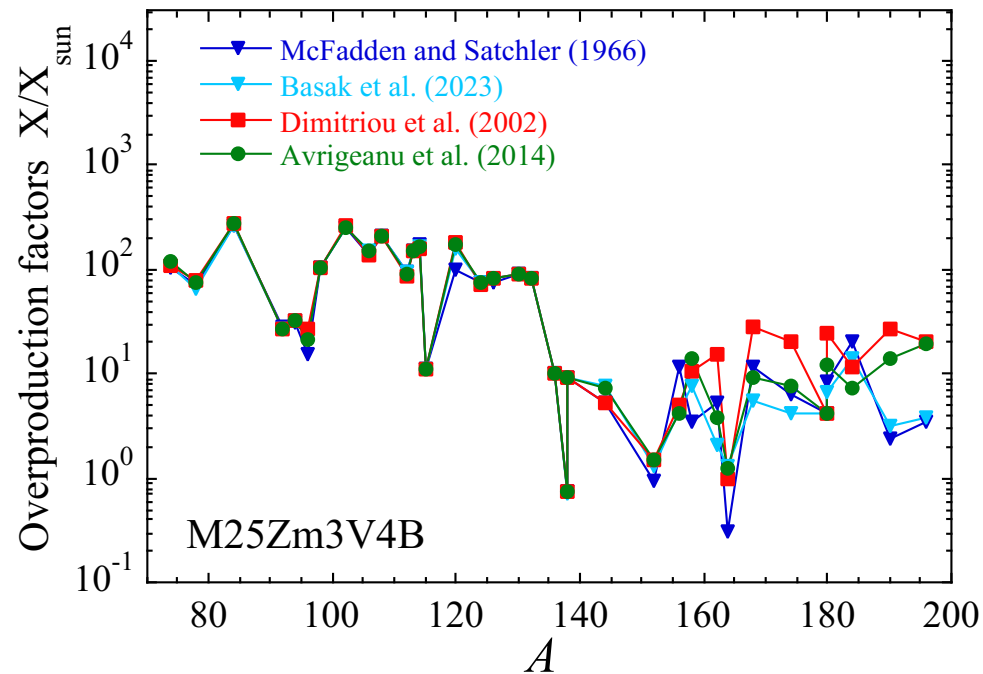


# Sensitivity of the p-process enrichment to the $\alpha$ -nucleus optical potential

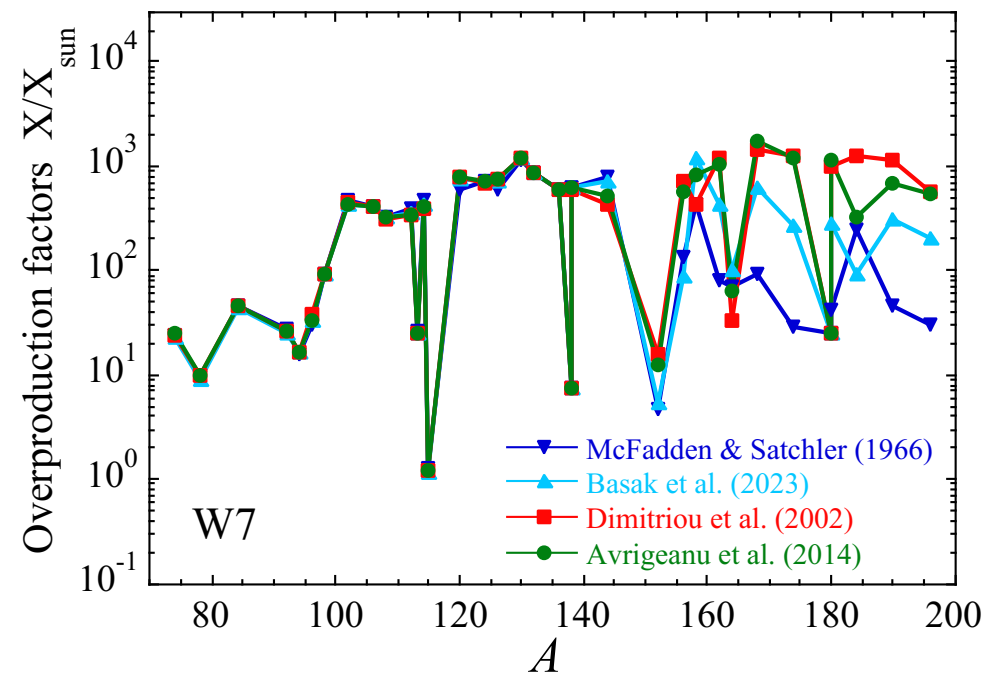
Sensitivity of the heavy p-nuclei production to the  $\alpha$ -OMP mode uncertainties

(No estimate of the parameter uncertainties available yet)

Supernova type-II

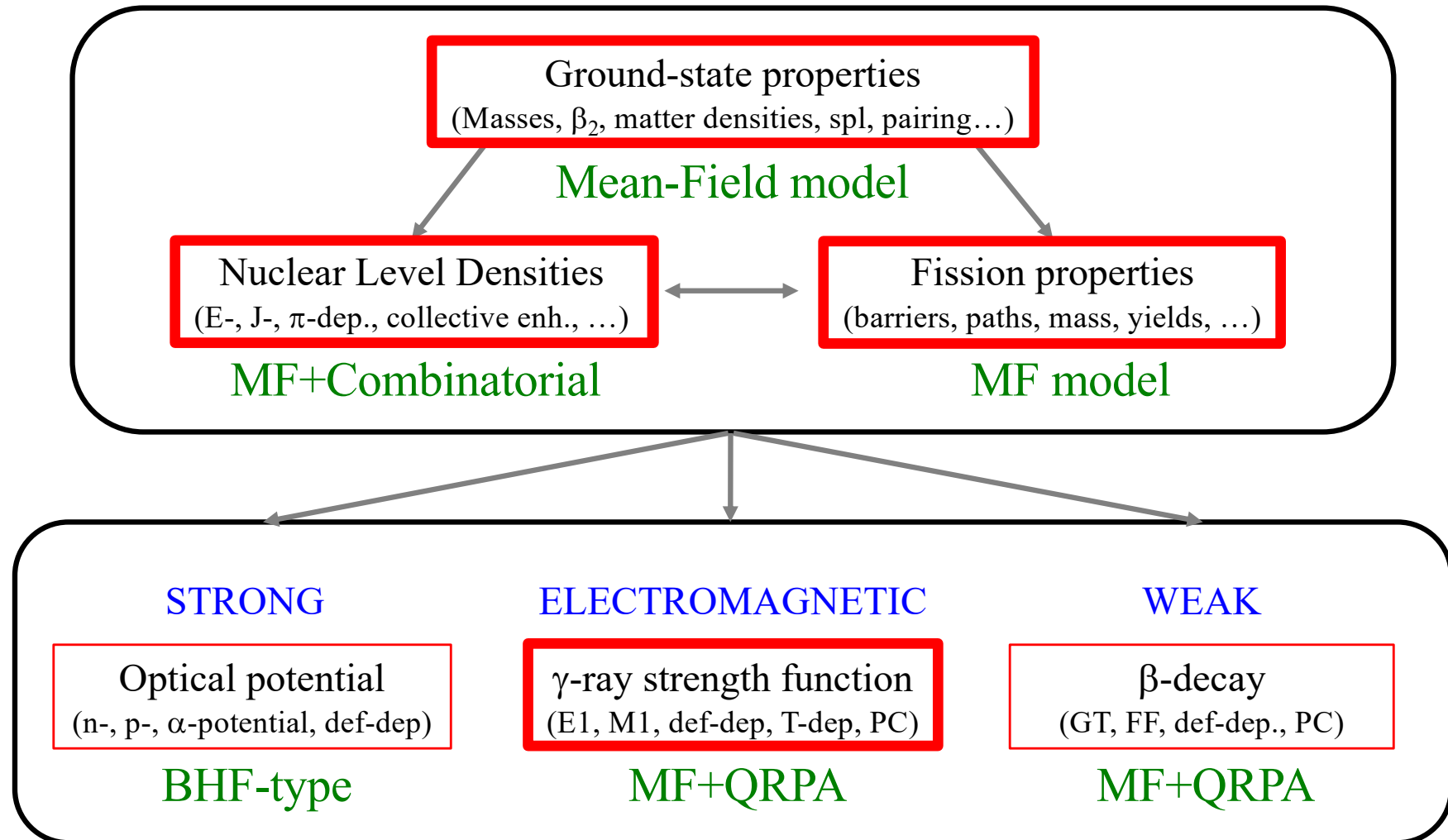


Supernova type-Ia



- Need for  $(\alpha, \gamma)$ ,  $(n, \alpha)$ , .... on heavy targets far below the Coulomb barrier
- Need for improved dispersive optical potential
- Need for global analysis of all available data

# Nuclear inputs to nuclear reaction & decay calculations



“Microscopic” approach is a necessary but not a sufficient condition !  
Large-scale “(semi-)microscopic” models must be competitive in reproducing exp. data !

# Mean Field mass models

Mass models do not only provide masses, but all GS structure properties !

$$E = E_{MF} - E_{corr}$$

$E_{MF}$ : HFB or HF-BCS (or HB) main Mean-Field contribution

$E_{corr}$ : Beyond-Mean-Field corrections to restore broken symmetries, include configuration mixing, ...

Skyrme-HFB

rms  $\sim$  0.5-0.8MeV

Gogny-HFB

rms  $\sim$  0.8MeV

Relativistic MF

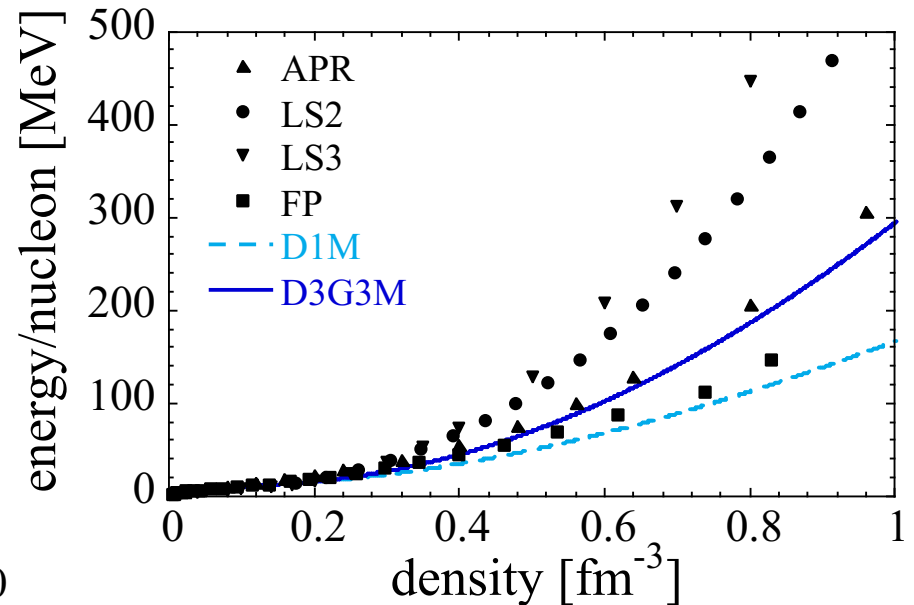
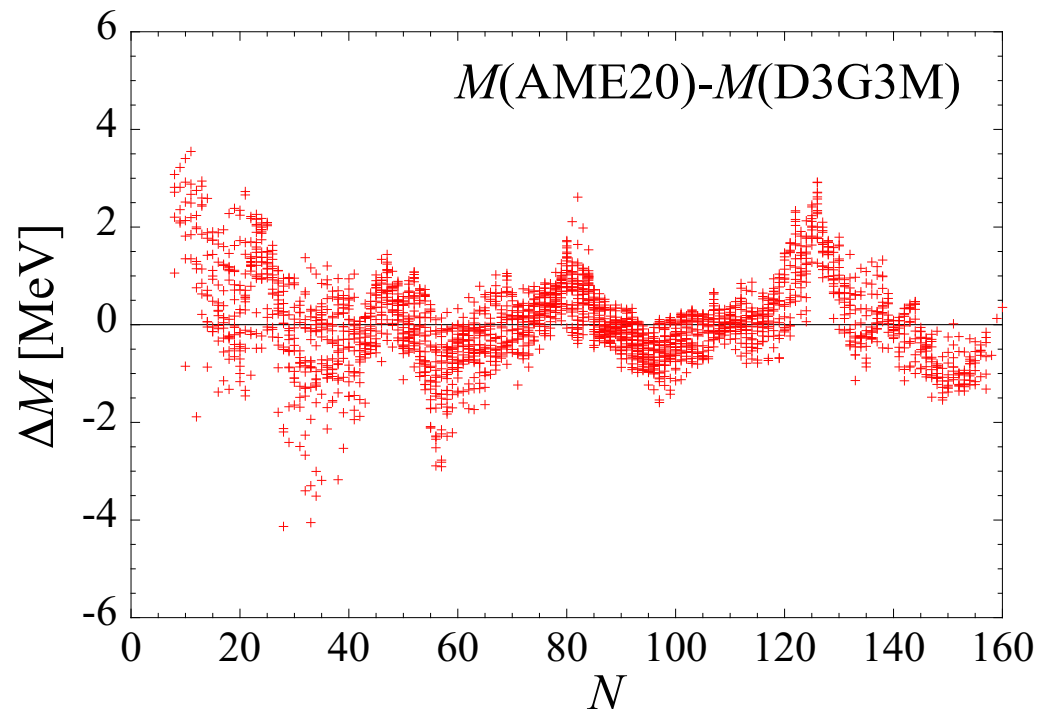
rms  $>$  1.1MeV

For astrophysical applications, only mass models with  $\sigma_{rms} \lesssim 0.8$  MeV should be considered (even if “microscopic”)

# New HFB nuclear mass models

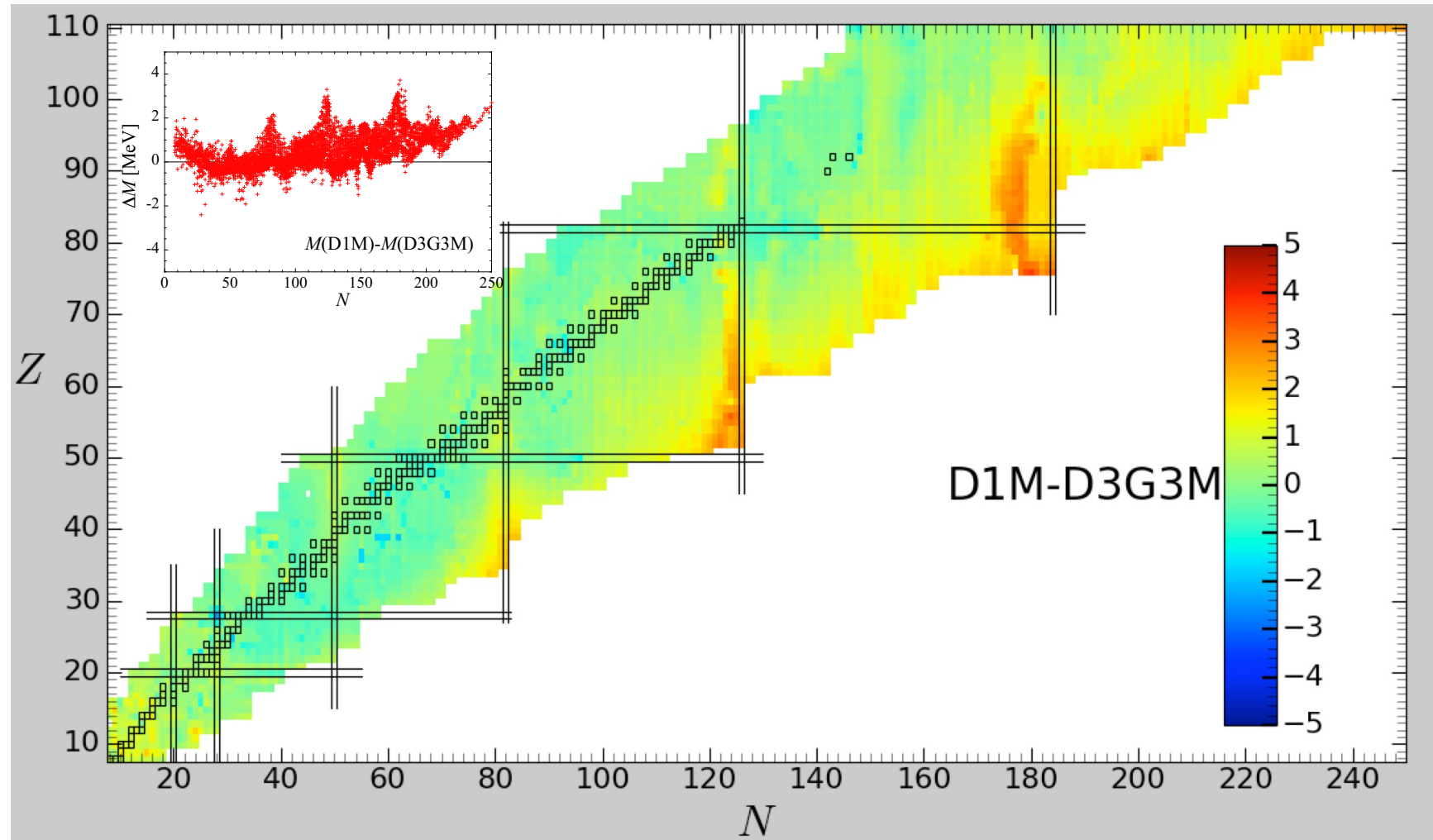
## New Gogny-HFB mass model: D3G3M

- Gogny interaction with 3 Gaussian terms
- Stiffer EoS than D1M (NS  $M_{\max} \sim 2.14M_{\odot}$ )
- Accurate masses:  $\sigma(2457M) = 0.87\text{MeV}$





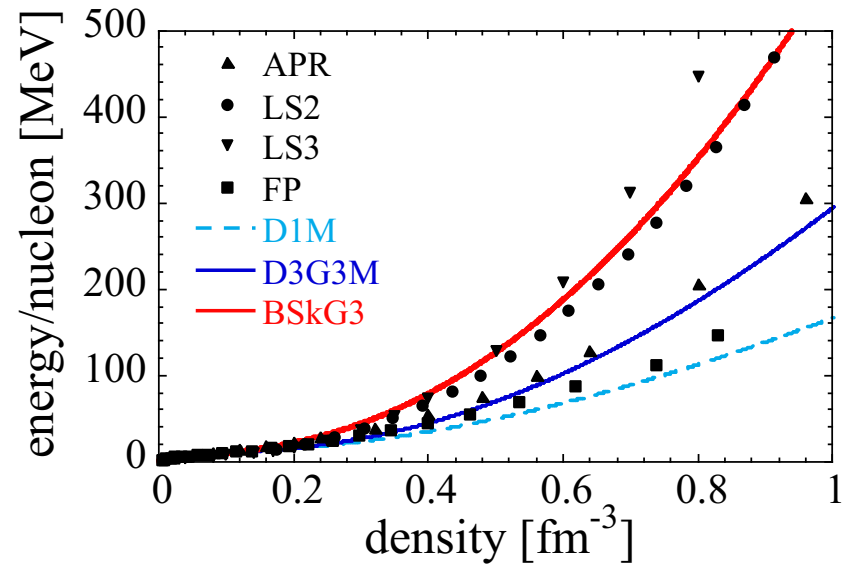
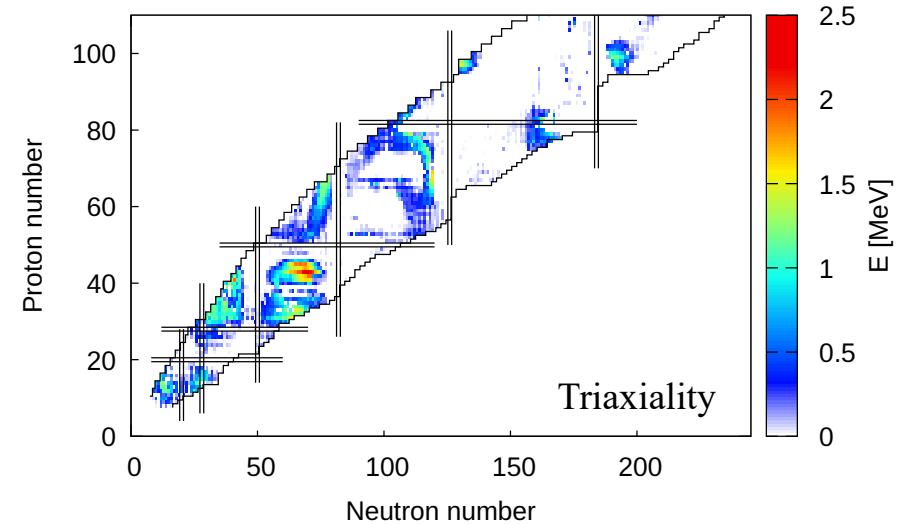
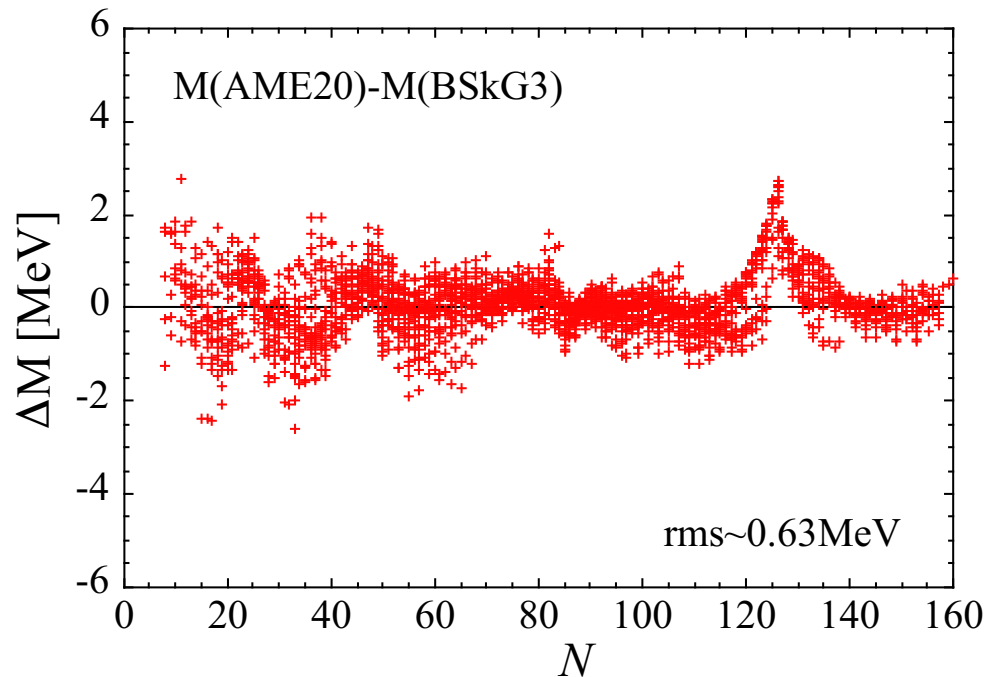
# Differences in the mass predictions between D1M and D3G3M



# New HFB nuclear mass models

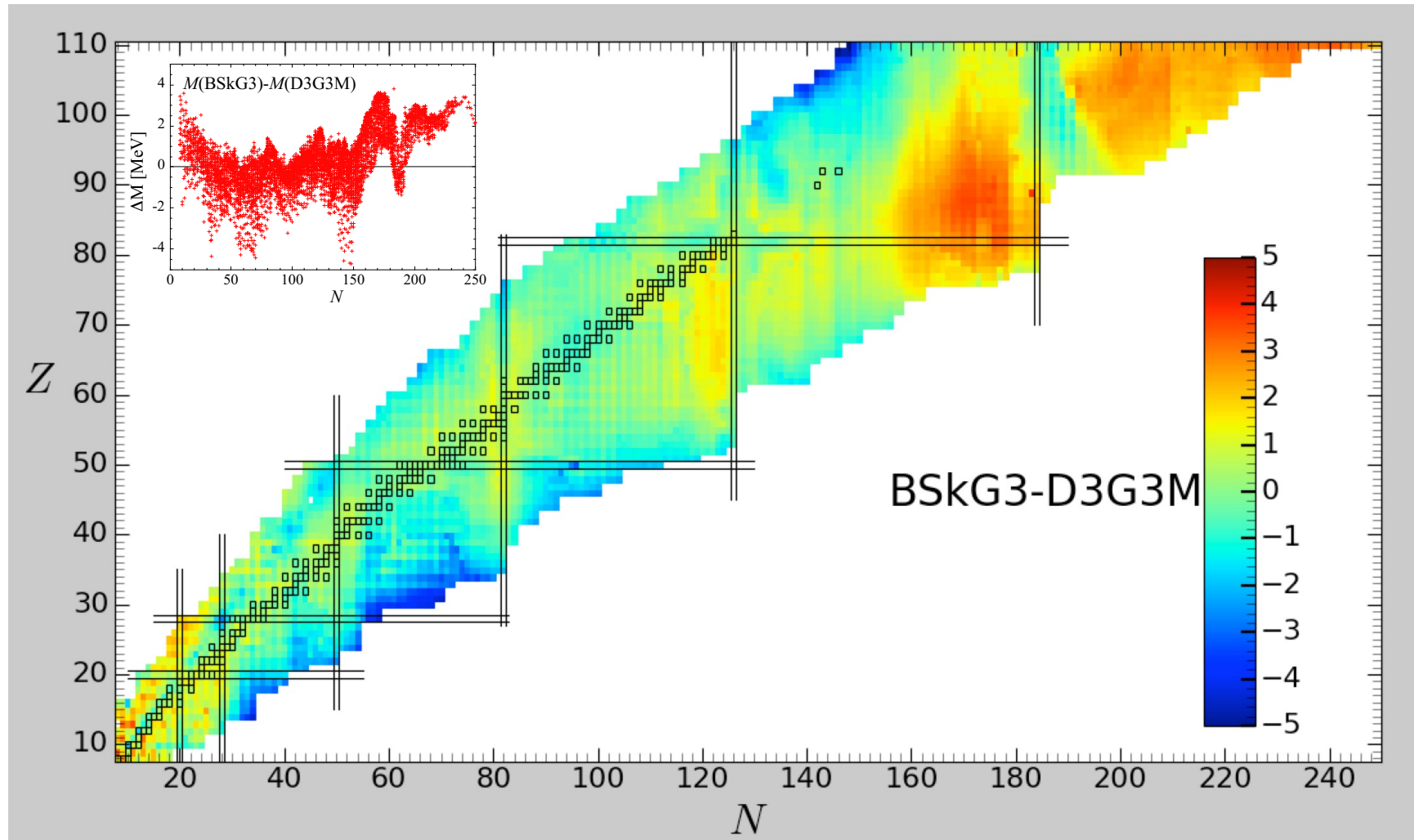
## New Skyrme-HFB mass model: BSkG3

- Triaxiality, time-reversal symmetry breaking & octupole GS deformation
- Microscopic pairing from “realistic” calculations
- Stiff EoS (NS  $M_{\max} \sim 2.26M_{\odot}$ )
- Accurate masses:  $\sigma(2457M) = 0.63\text{MeV}$

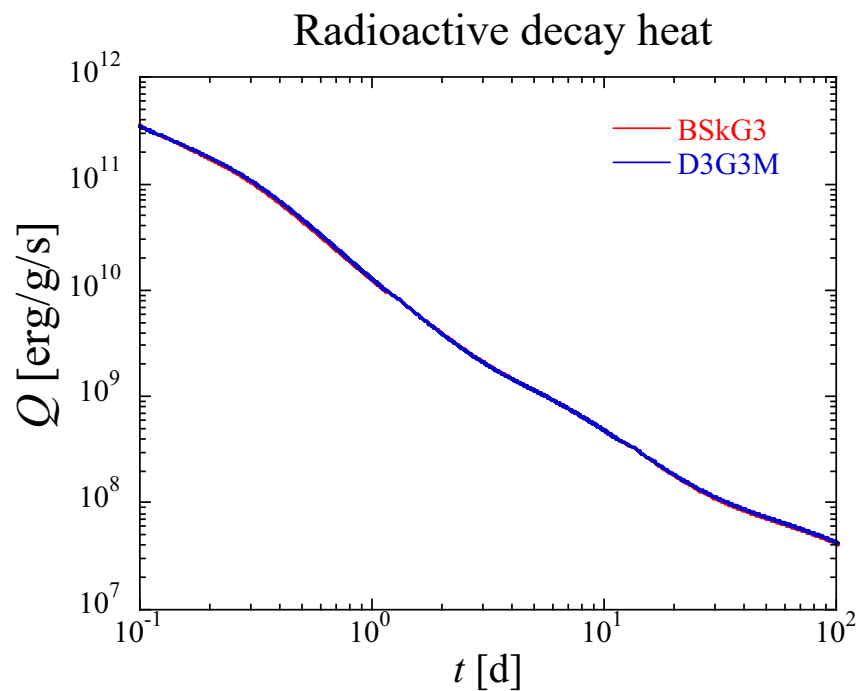
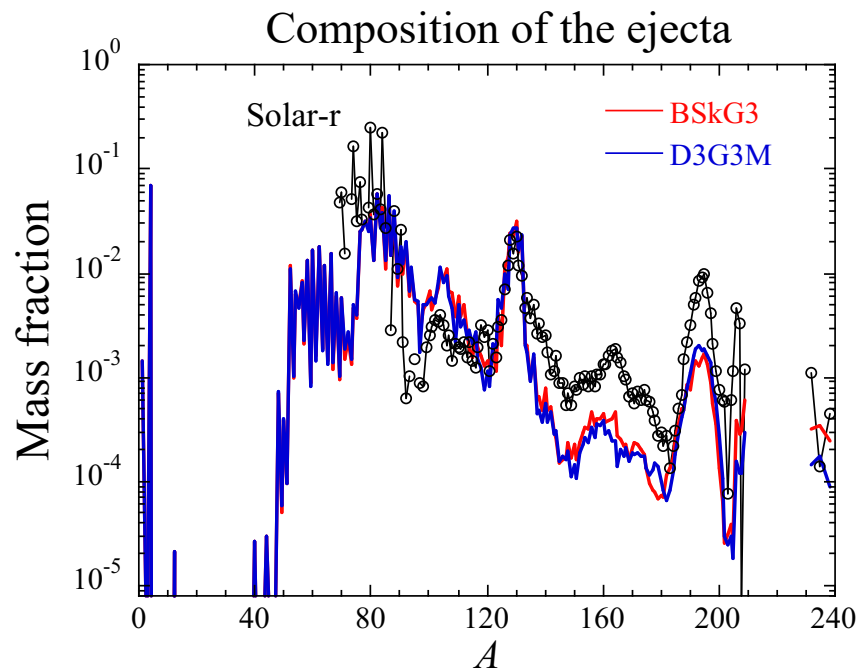


Grams, Ryssens et al. (EPJA 59, 270, 2023)

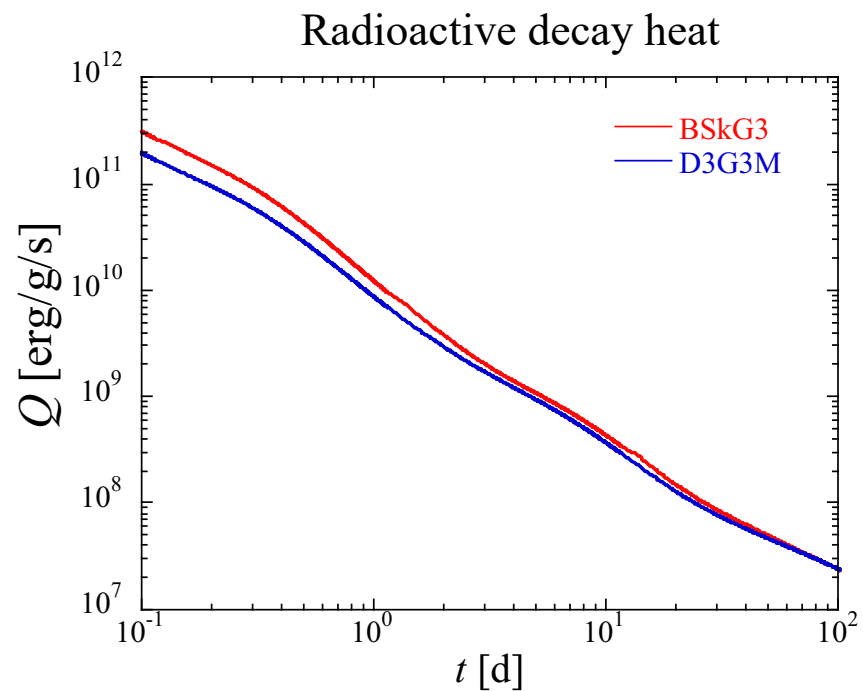
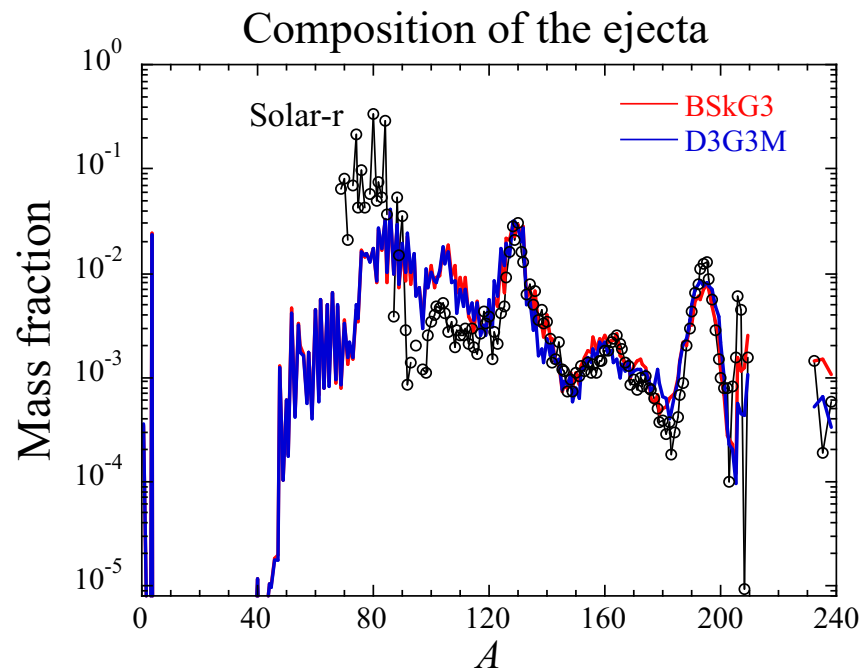
# Differences in the mass predictions between BSkG3 and D3G3M



### Delayed collapse: 1.38 – 1.38 $M_{\odot}$



### Prompt collapse: 1.20 – 1.60 $M_{\odot}$

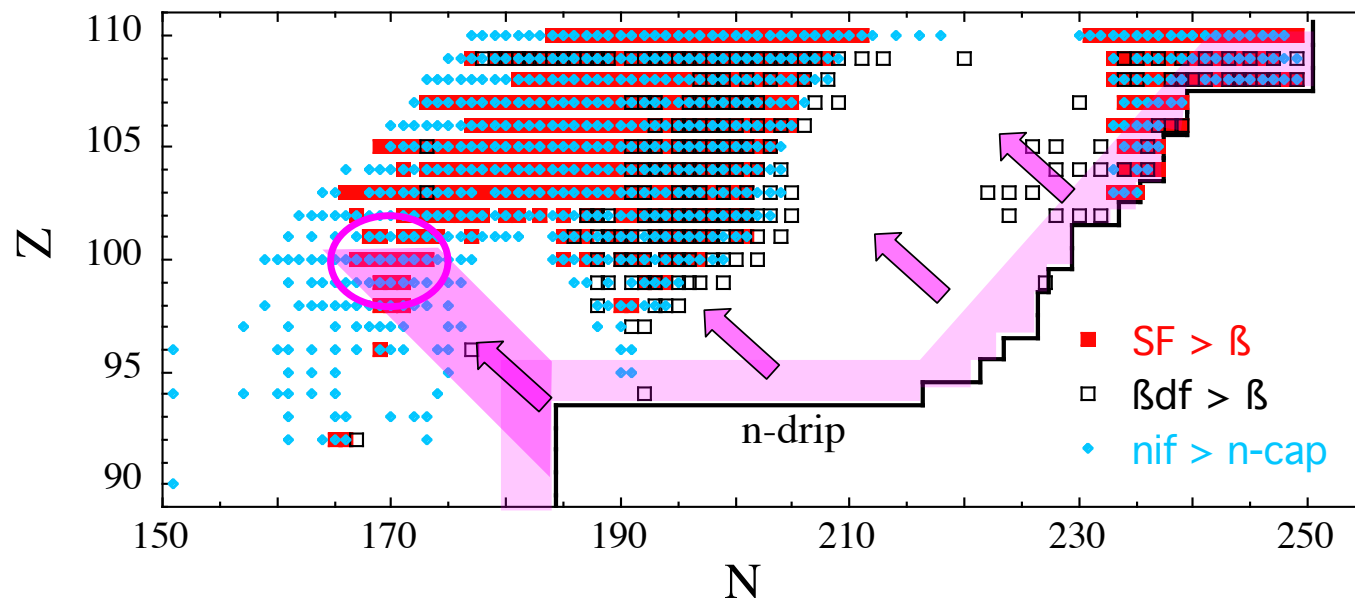


# Fission properties for r-process nucleosynthesis

Fission processes (spontaneous,  $\beta$ -delayed, neutron-induced) and fission fragment distribution of relevance for estimating the

- termination region of the r-process (recycling, heating, prod of SH)
- production of Pb-peak elements
- production of radiocosmochronometers (U, Th)
- production of light species ( $A \sim 110-160$ ) by fission recycling

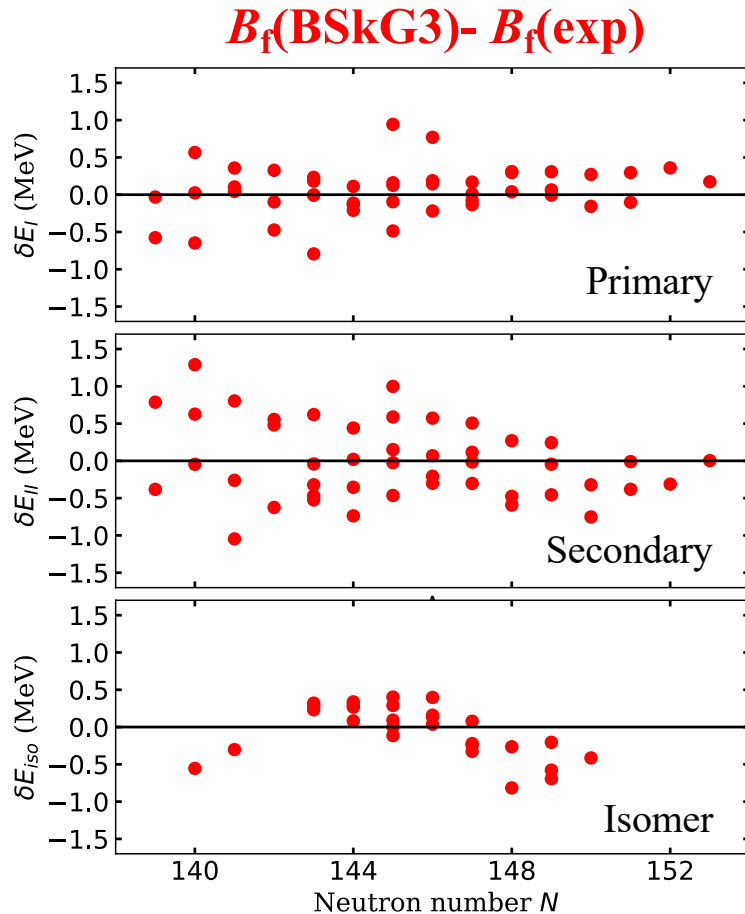
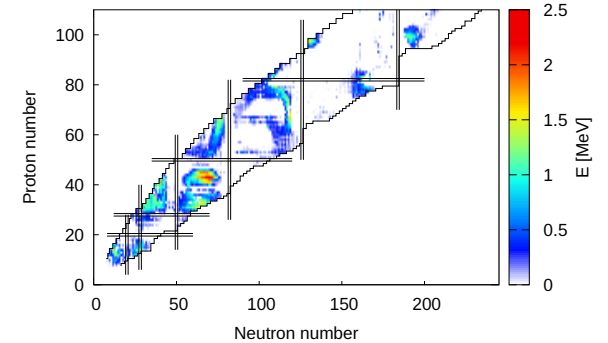
Detailed calculation of fission probabilities (sf, nif,  $\beta$ df) for about 2000 nuclei



# Fission properties

## New BSkG3 predictions

Accurate fission barriers  $\sigma(45B_f)=0.33\text{MeV}$   
including triaxial & octupole deformations  
**simultaneously**



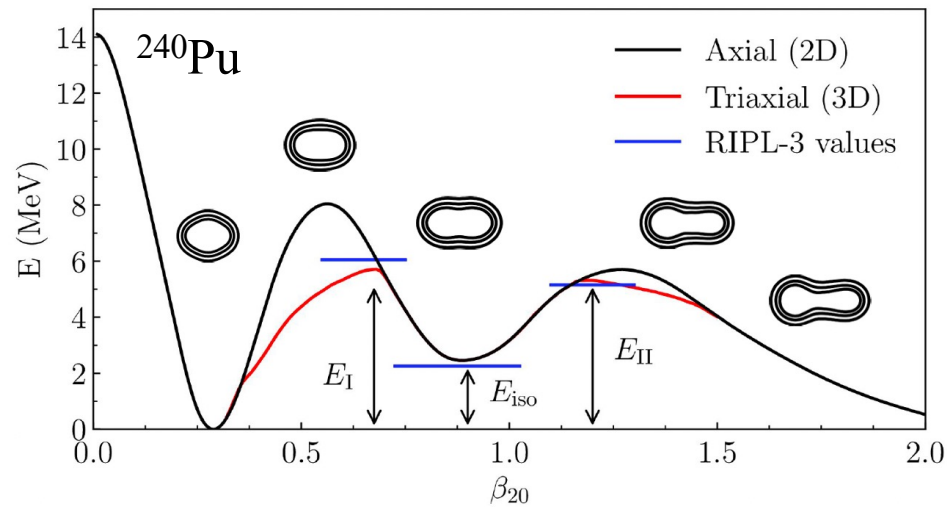
	BSkG3
$\sigma(M)$ [MeV]	0.63
$\sigma(E_I)$ [MeV]	<b>0.33</b>
$\sigma(E_{II})$ [MeV]	0.51
$\sigma(E_{iso})$ [MeV]	0.36

Including odd- $A$  & odd-odd

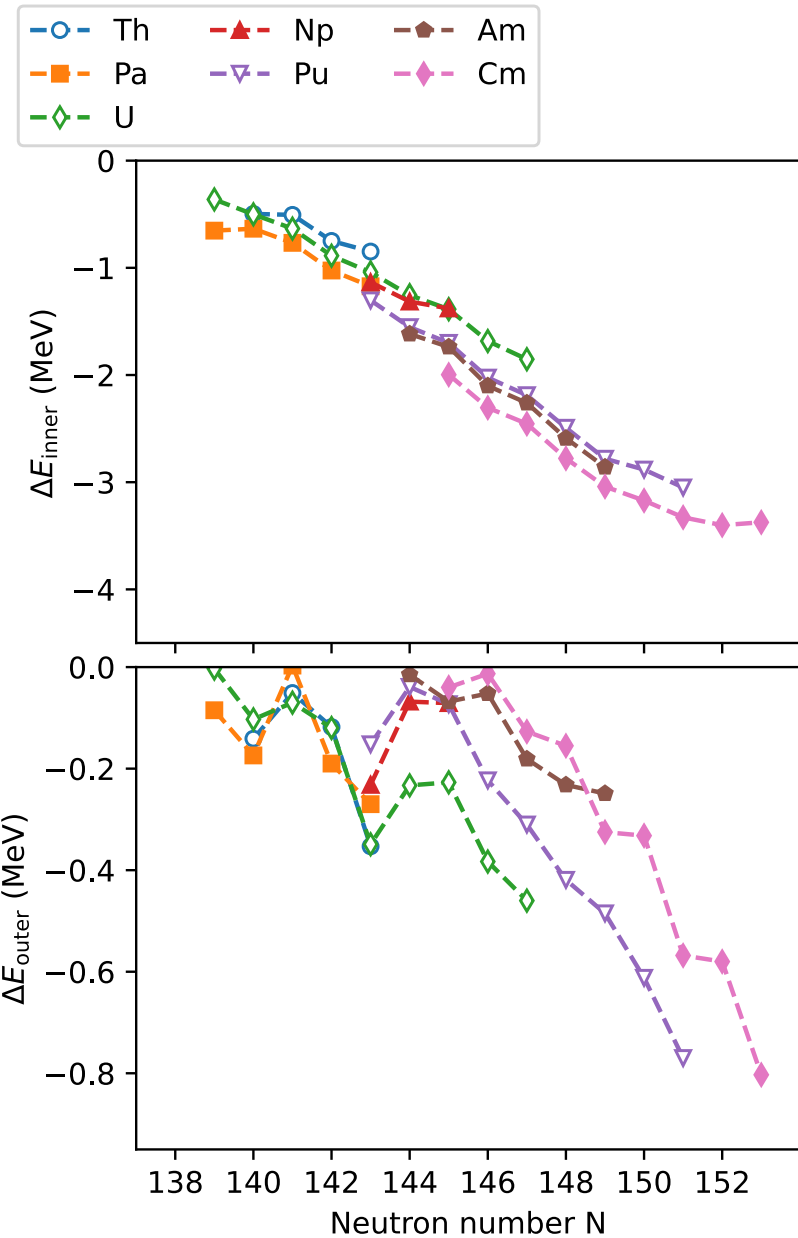
# BSkG3 fission paths

## Effects of triaxiality on *both*

- Triaxial inner barrier

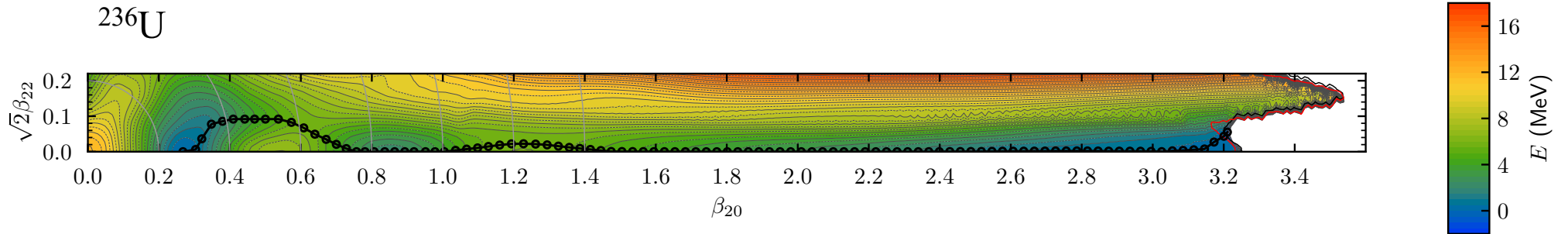


- Triaxial- and octupole-deformed outer barrier  
(also for odd- $A$  and odd-odd nuclei)

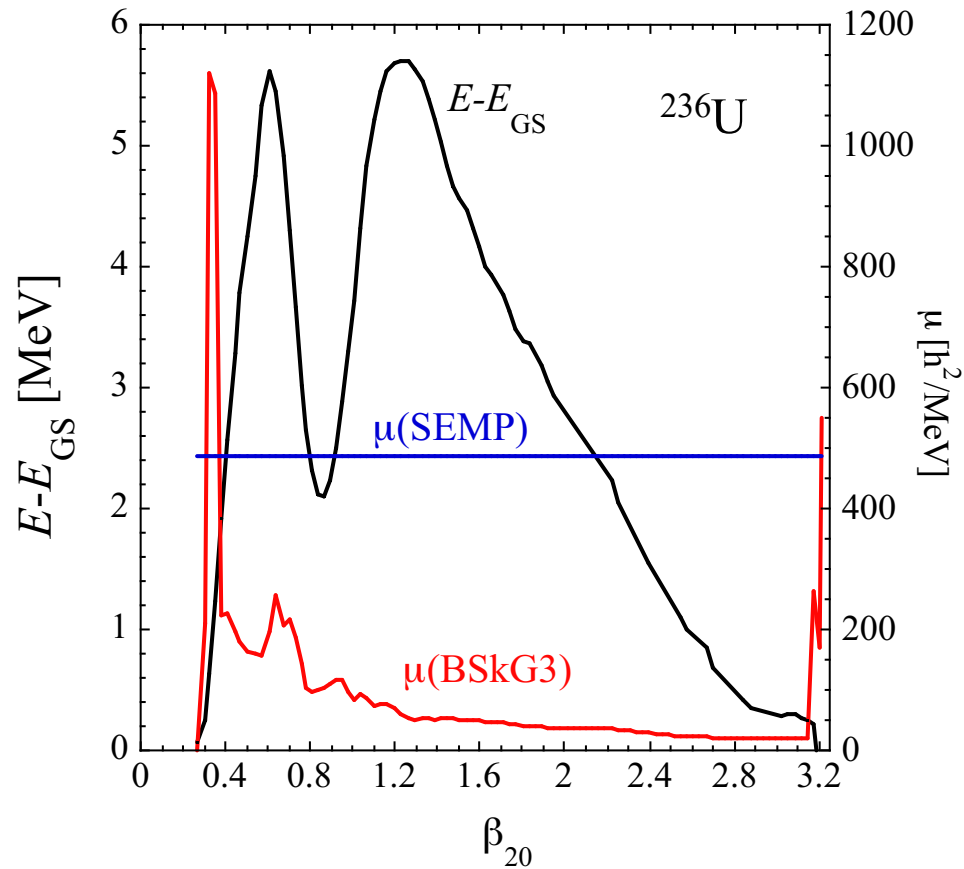


To be extended to 2000 nuclei for r-process simulations ...

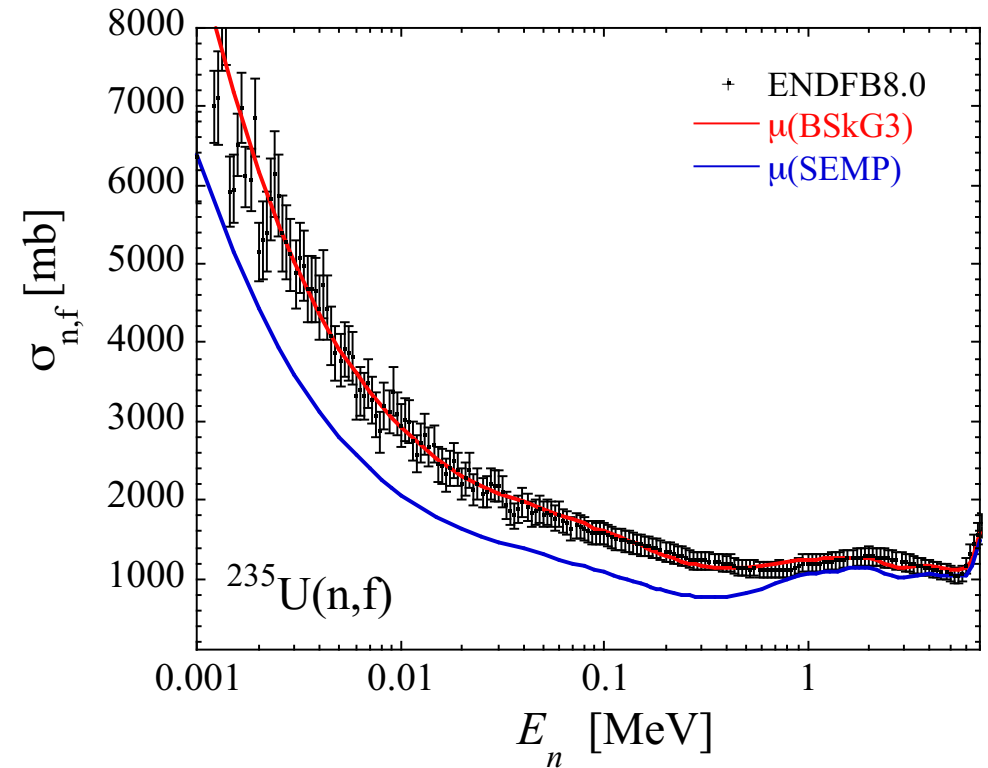
# BSkG3 estimate of inertial masses and the least action path



Least action path ( $\beta_{20}, \beta_{22}, \beta_{30}, \beta_{32}$ )



Impact of  $\mu$  on (n,f) cross section

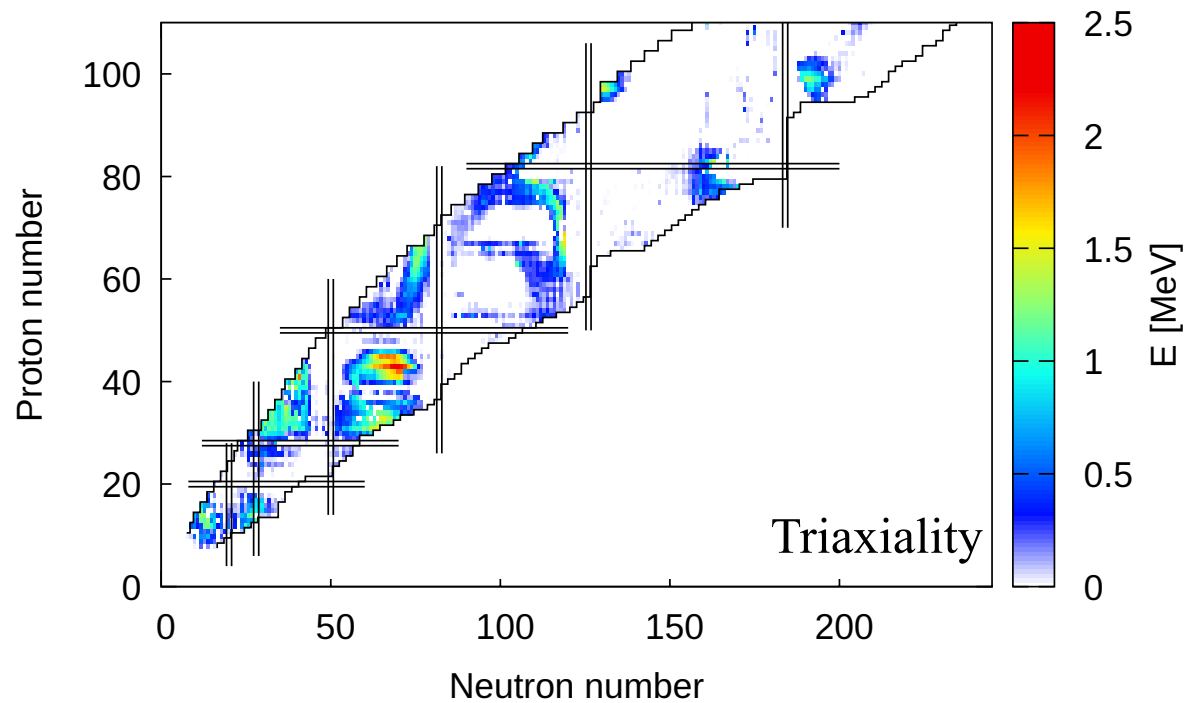


Normalisation of BSkG3 fission  $B_f$  ( $\sim 2\%$ ) & NLD for  $^{236}\text{U}$



# Nuclear Level densities

New combinatorial predictions with BSkG3 properties

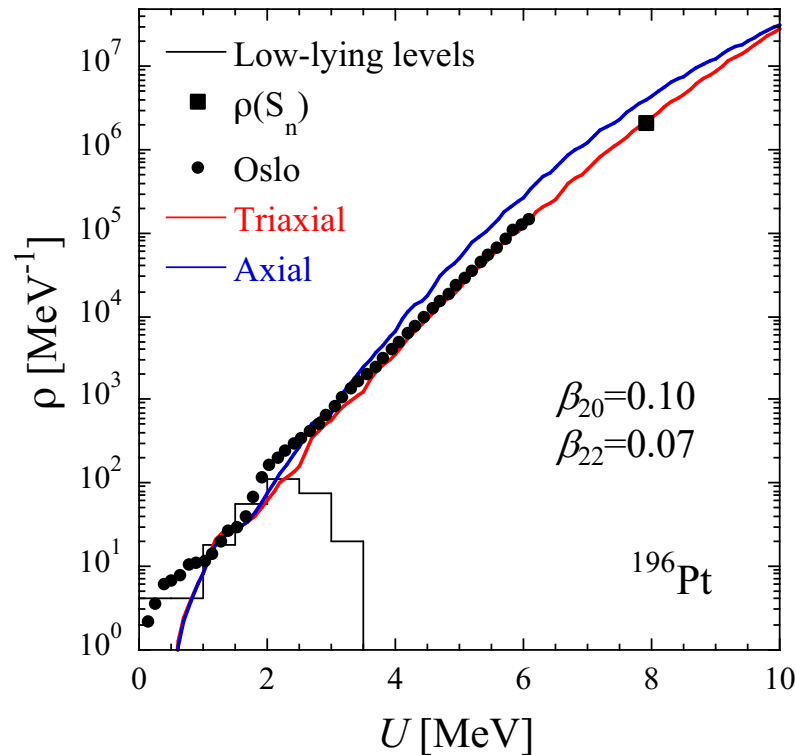


Cf S. Hilaire's talk

# NLD within the triaxial HFB(BSkG3)+Combinatorial model

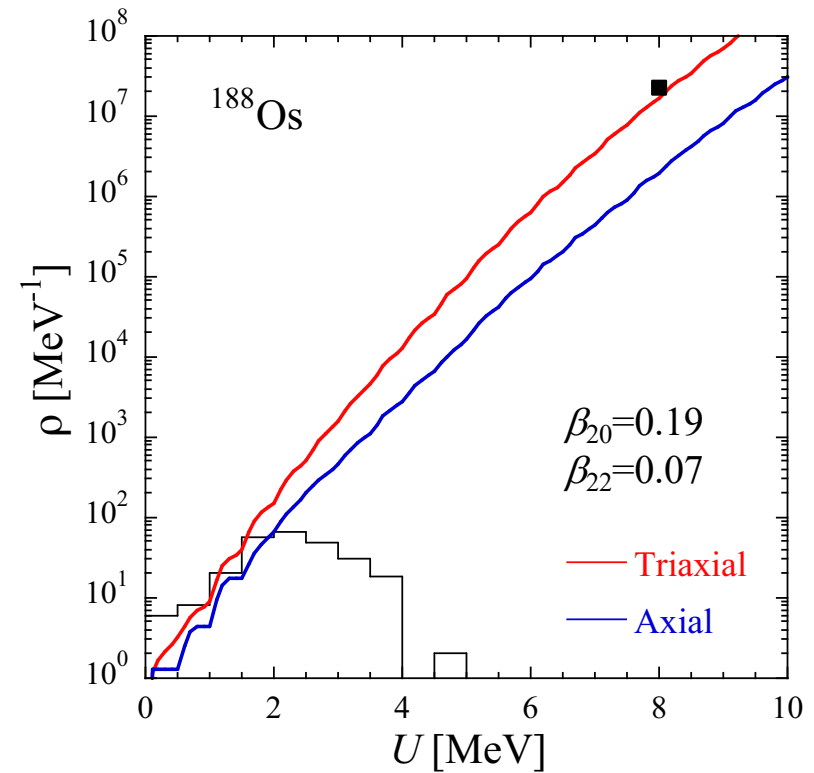
Main impact of the triaxiality on the NLD:

- Lower intrinsic NLD
- Additional collective enhancement



For modest deformation and MoI

→ Lower total NLD



For large deformation and MoI

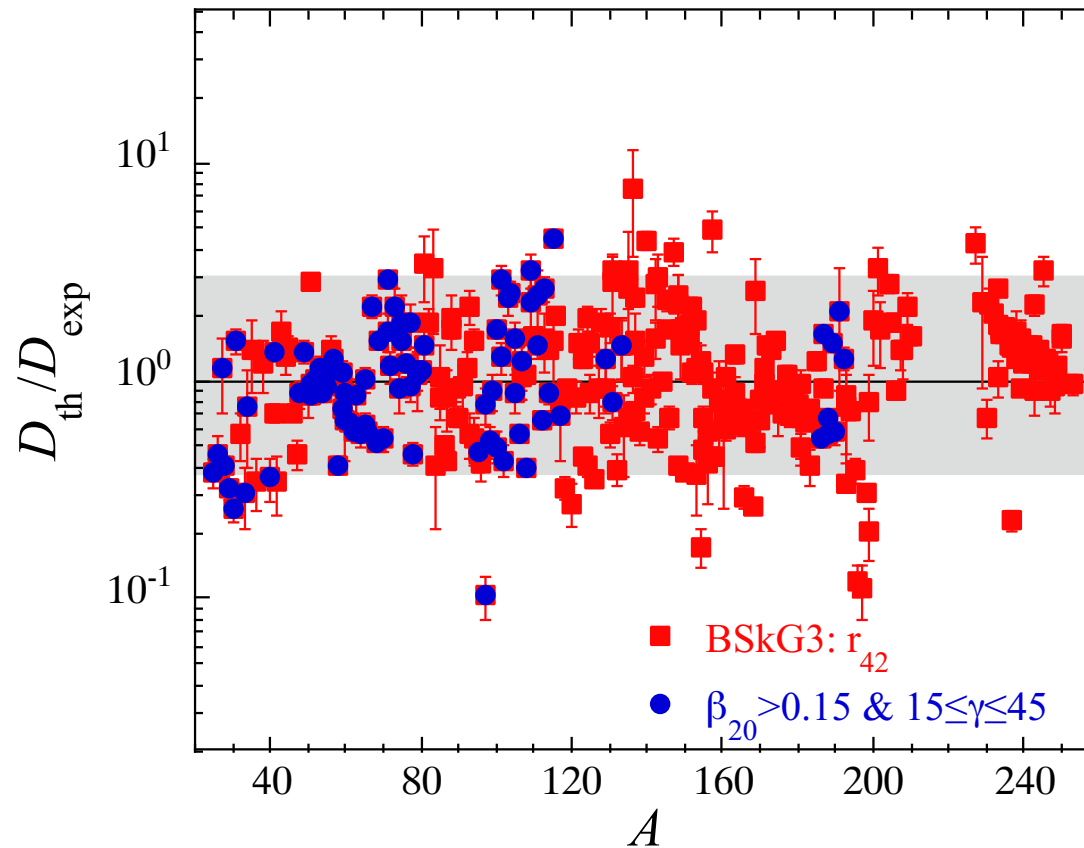
→ Larger total NLD

**In both cases: modification of the  $U$ -dependence**

# New NLD calculations allowing for triaxial deformations

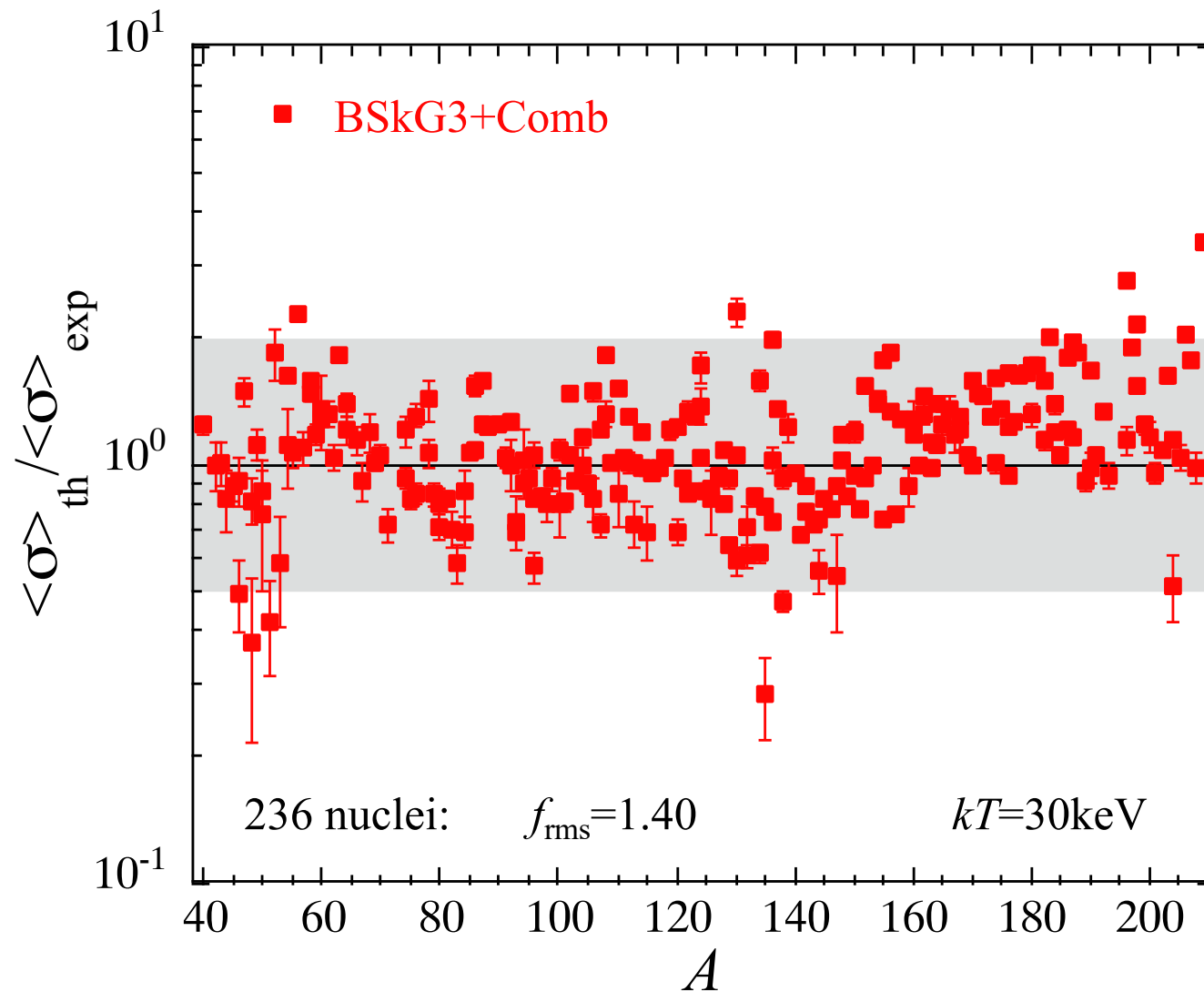
BSkG3 interaction (MOCCa code: Grams, Ryssens et al. 2023):  $\sigma(M) \sim 0.63$  MeV

299 nuclei:  $f_{\text{rms}} = 1.74$        $f_{\text{rms}} = \exp \left[ \frac{1}{N_e} \sum_{i=1}^{N_e} \ln^2 \frac{D_{\text{th}}^i}{D_{\text{exp}}^i} \right]^{1/2}$



$D_0 = s\text{-wave neutron resonance spacing} : D_0 = \frac{1}{\rho(S_n, J_0 + 1/2, \pi_0) + \rho(S_n, J_0 - 1/2, \pi_0)}$

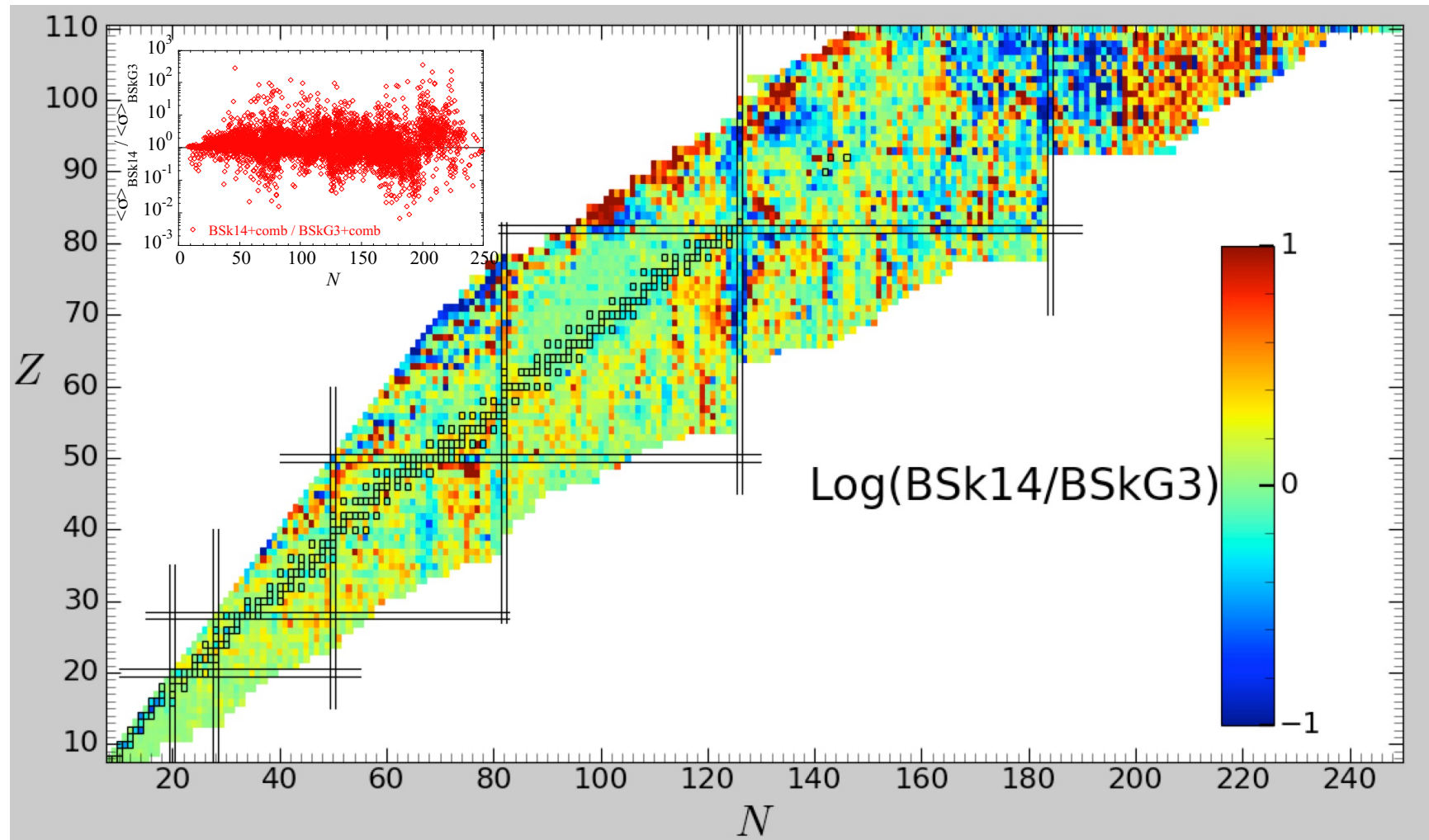
# Application of the BSkG3+combinatorial NLD to cross section calculation



Energy-, spin- and parity-dependent NLD tables ready for use for 7677 nuclei ( $8 \leq Z \leq 110$ ) including renormalisation coefficients ( $\alpha, \delta$ ) on experimental  $D_{\text{exp}}$  & LLL (when available)

# Impact of the BSkG3+combinatorial NLD on HF (n, $\gamma$ ) reaction rates ( $T_9=1$ )

Comparison of BSk14+comb (2008) vs BSkG3+comb (2024) impact on  $N_a \langle \sigma v \rangle$



Energy-, spin- and parity-dependent NLD tables ready for use for 7677 nuclei ( $8 \leq Z \leq 110$ ) including renormalisation coefficients ( $\alpha, \delta$ ) on experimental  $D_{\text{exp}}$  & LLL (when available)

# QRPA + Boson Expansion Method: a conceptually new approach

Hilaire et al. PLB 843, 137989 (2023)

- **Deformed QRPA calculation** collective levels (**Bosons**) for various given multipolarities and parities:  $K^\pi$  up to  $9^{+/-}$  (Gogny D1M+G) with a energy cutoff of 200MeV

- **Boson Expansion** coupling Boson operators to a generalized boson partition function  $Z_{\text{boson}} = \sum_{N_{\text{boson}}} \frac{1}{N_{\text{boson}}!} \left[ \sum_{\lambda\mu} a_{\lambda\mu}^{-1} t^\mu p_\lambda \right]^{N_{\text{boson}}}$

- **Construction of spin- and parity independent NLD**

spherical nuclei:

$$\omega_{\text{tot}}(U, M = J, \pi) - \omega_{\text{tot}}(U, M = J + 1, \pi)$$

well deformed nuclei:

$$= \frac{1}{2} \left[ \sum_{K=-J, K \neq 0}^J \omega_{\text{tot}}(U - E_{\text{rot}}^{J,K}, K, \pi) \right] + \omega_{\text{tot}}(U - E_{\text{rot}}^{J,0}, 0, \pi)$$

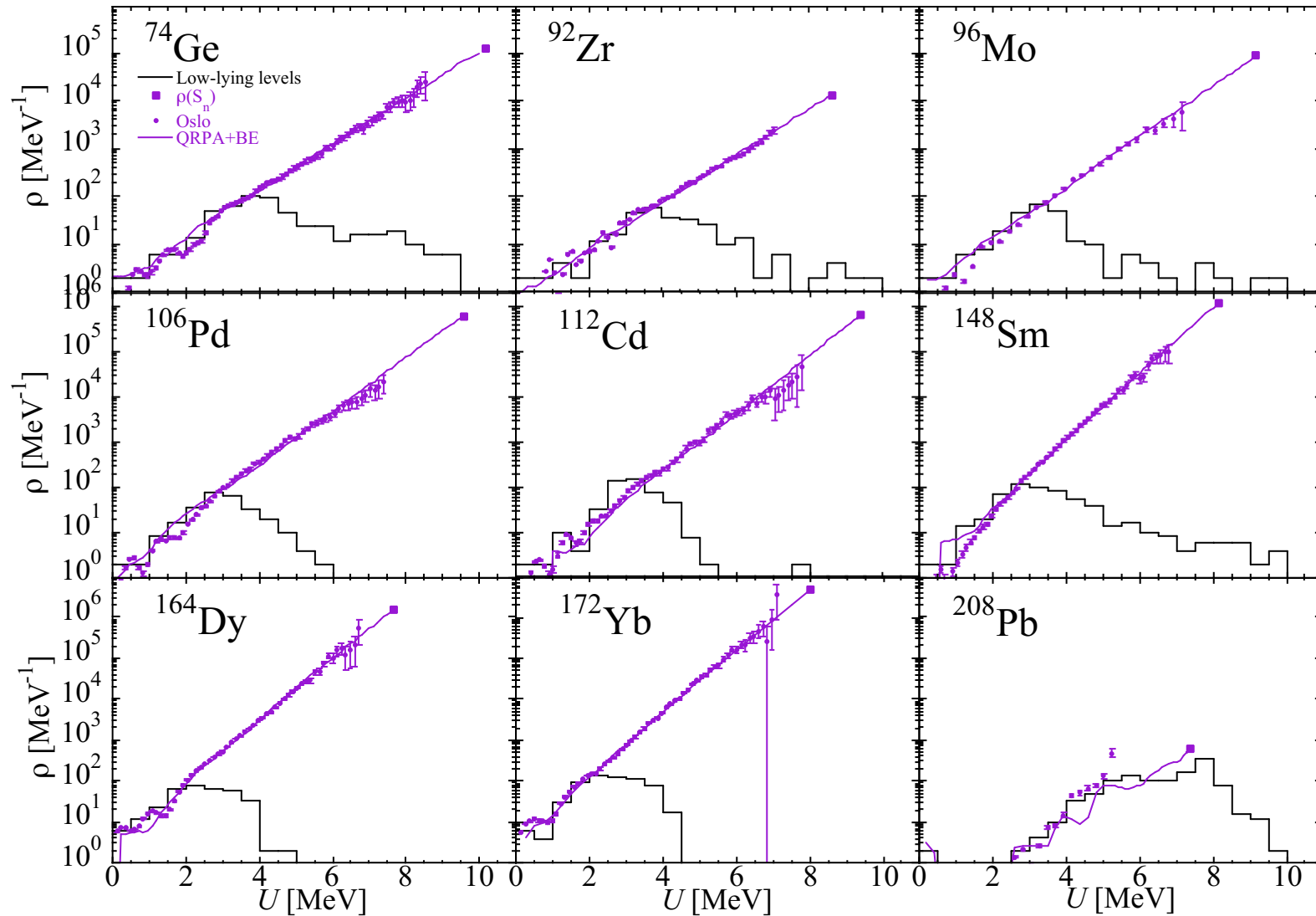
- **Phenomenologic** bridging between spherical and well deformed nuclei

$$\rho(U, J, \pi) = [1 - \mathcal{F}] \rho_s(U, J, \pi) + \mathcal{F} \rho_d(U, J, \pi) \quad \text{where} \quad \mathcal{F} = 1 - \left[ 1 + e^{(r_{42} - 2.90)/0.35} \right]^{-1}$$

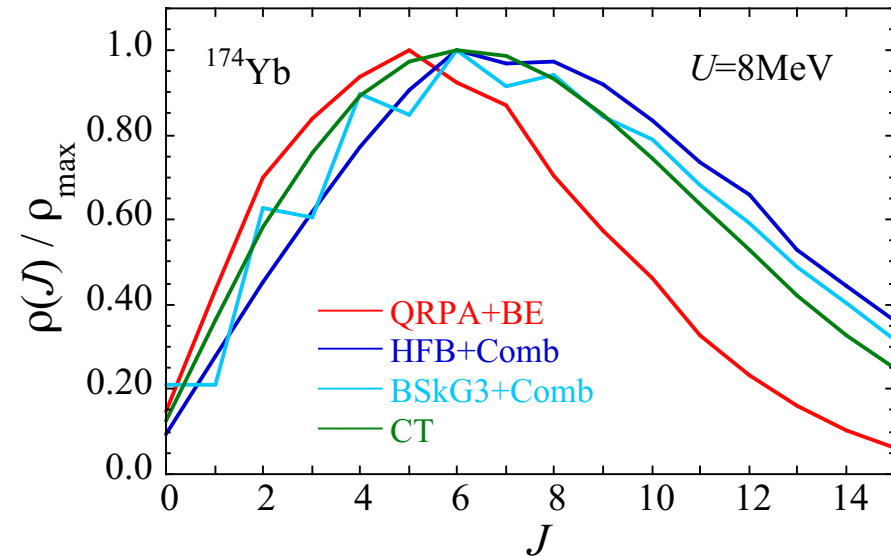
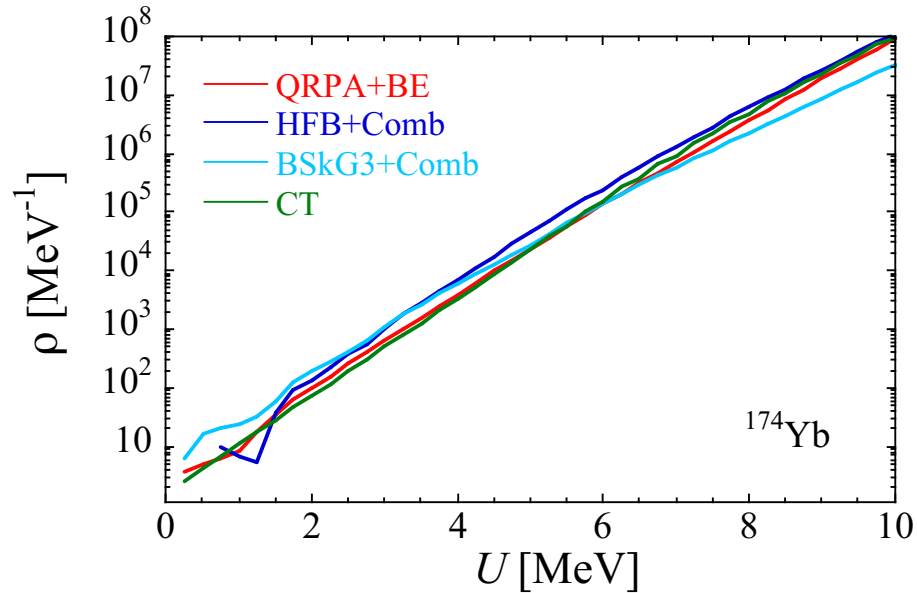
$r_{42} = E(J=4)/E(J=2) = 3.3$  rotator  
2.0 vibrator

# Comparison of the QRPA + BE Method with Oslo data

Normalisation of the QRPA+BE energies on  $D_0$  & Oslo data on theoretical NLDs

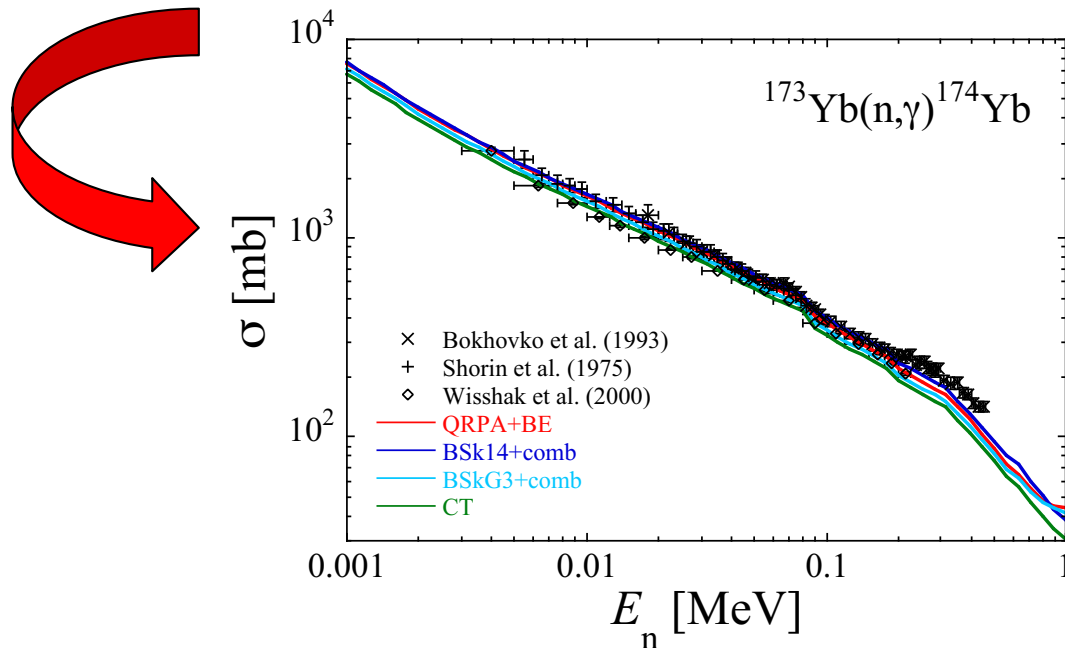


## Application to reaction cross section: $^{173}\text{Yb}(n,\gamma)^{174}\text{Yb}$



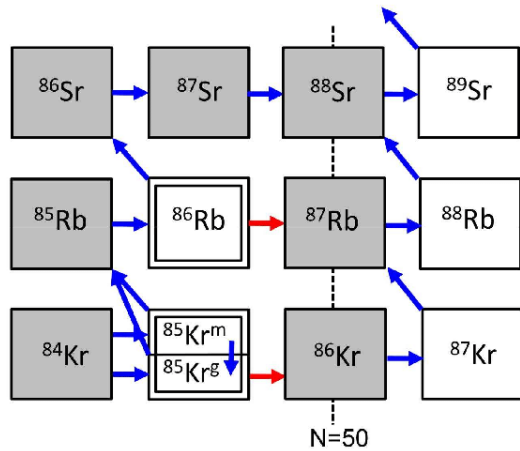
### Narrow spin distribution

Cannarozzo, Pomp et al. (2023)  
“We find that a significant **reduction of the spin width distribution** improves the agreement between calculated and experimentally observed isomeric yield ratios” from de-excitation of fission fragments



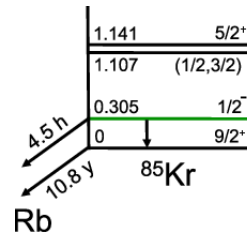


# Application to $^{84}\text{Kr}(n,\gamma)^{85}\text{Kr}$

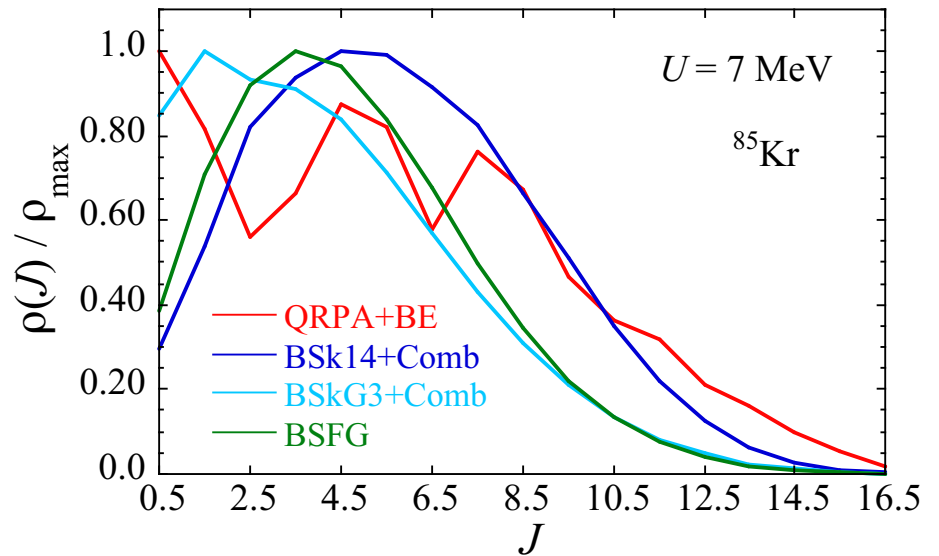
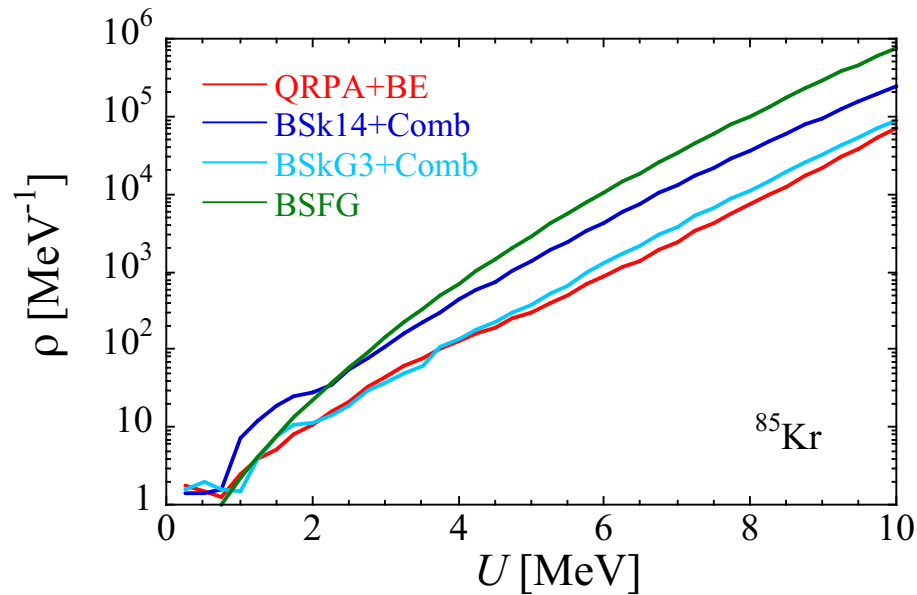
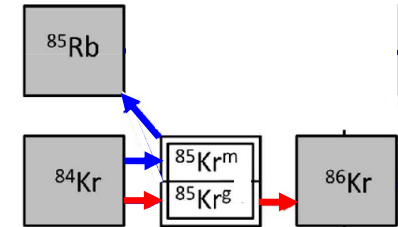


Non-thermalisation of  $^{85}\text{Kr}^m$  in a low- $T$  (s-process) plasma

Branching:

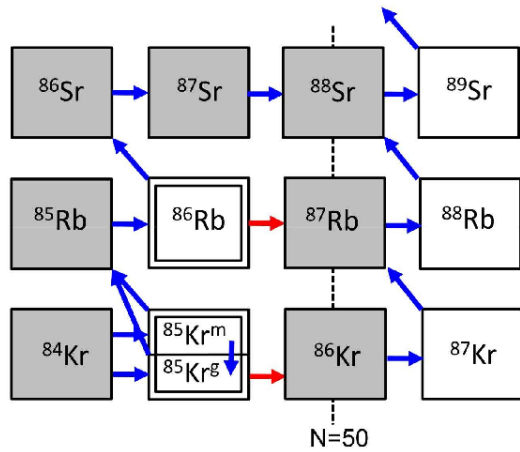


$^{84}\text{Kr}(n,\gamma)^{85}\text{Kr}^m(\beta^-)^{85}\text{Rb}$   
 $^{84}\text{Kr}(n,\gamma)^{85}\text{Kr}^g(n,\gamma)^{86}\text{Kr}$



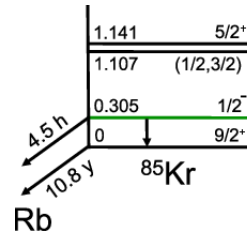
Non-statistical  $J$ -distribution in  $^{85}\text{Kr}$

# Application to $^{84}\text{Kr}(n,\gamma)^{85}\text{Kr}$

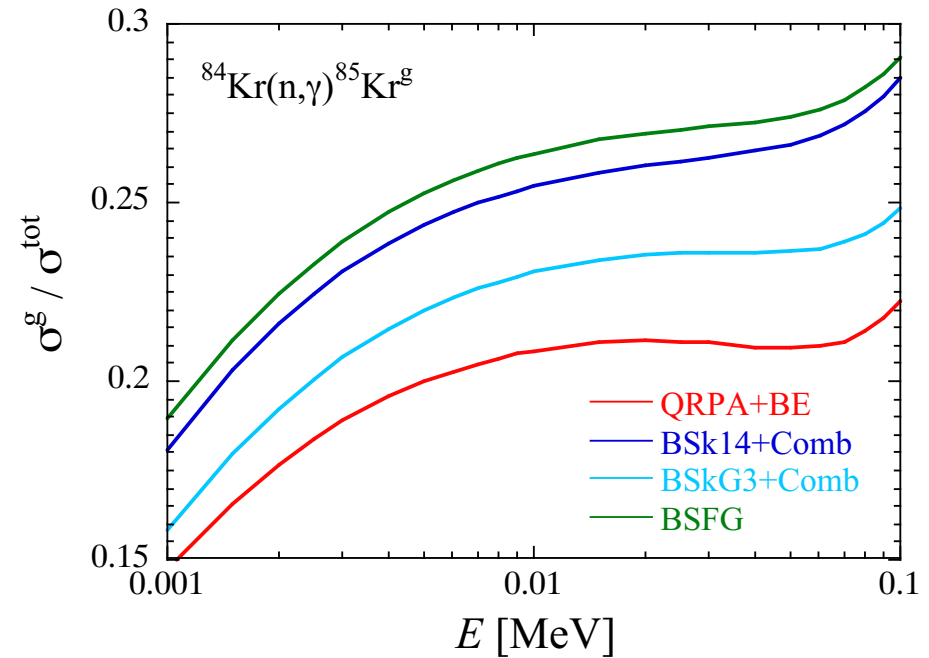
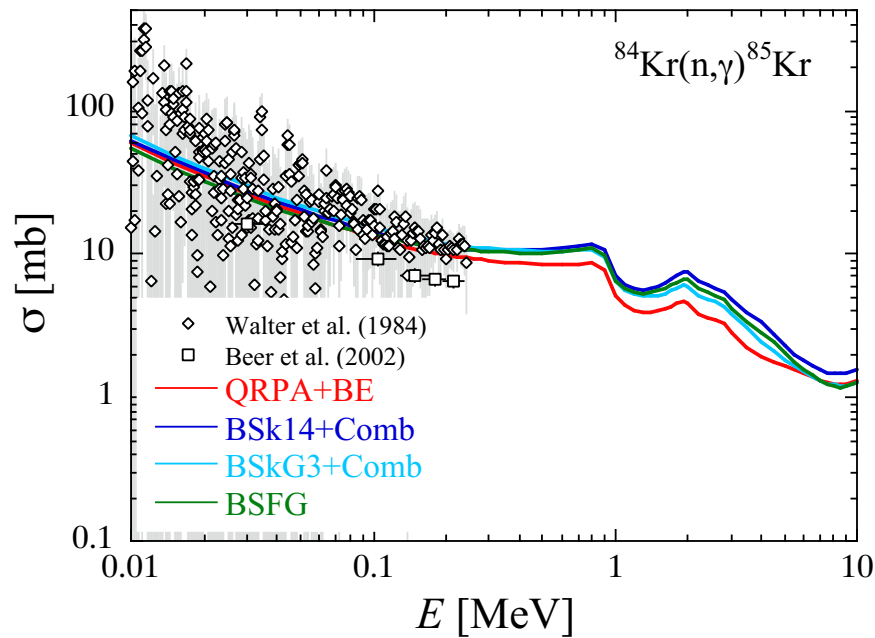
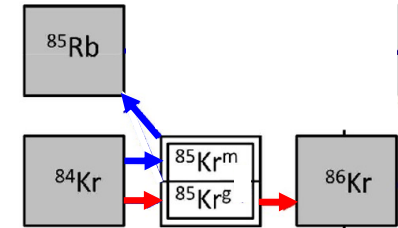


Non-thermalisation of  $^{85}\text{Kr}^m$  in a low- $T$  (s-process) plasma

Branching:



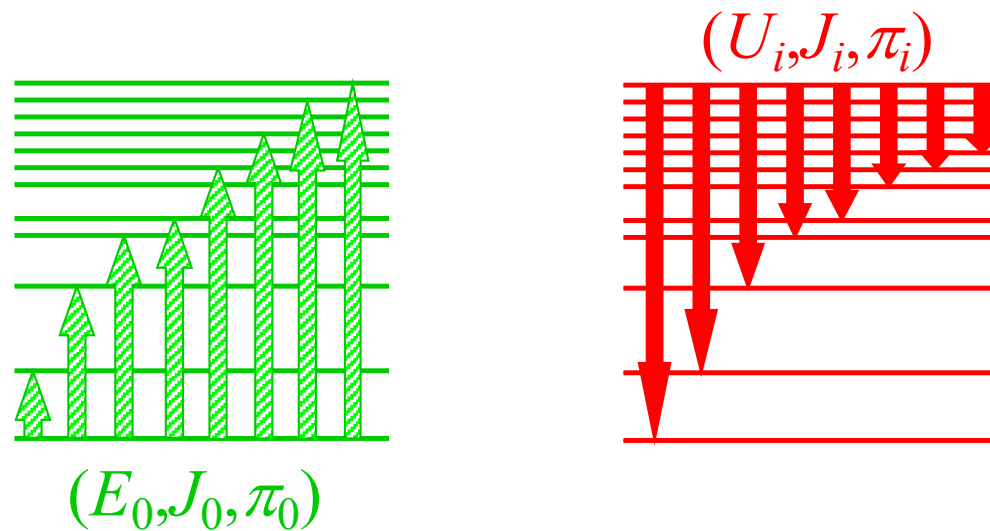
$^{84}\text{Kr}(n,\gamma)^{85}\text{Kr}^m(\beta^-)^{85}\text{Rb}$   
 $^{84}\text{Kr}(n,\gamma)^{85}\text{Kr}^g(n,\gamma)^{86}\text{Kr}$



NLD renormalised on low-lying levels and  $D_0$

# Photon Strength Function

New D1M+QRPA calculations of the **de-excitation** PSF

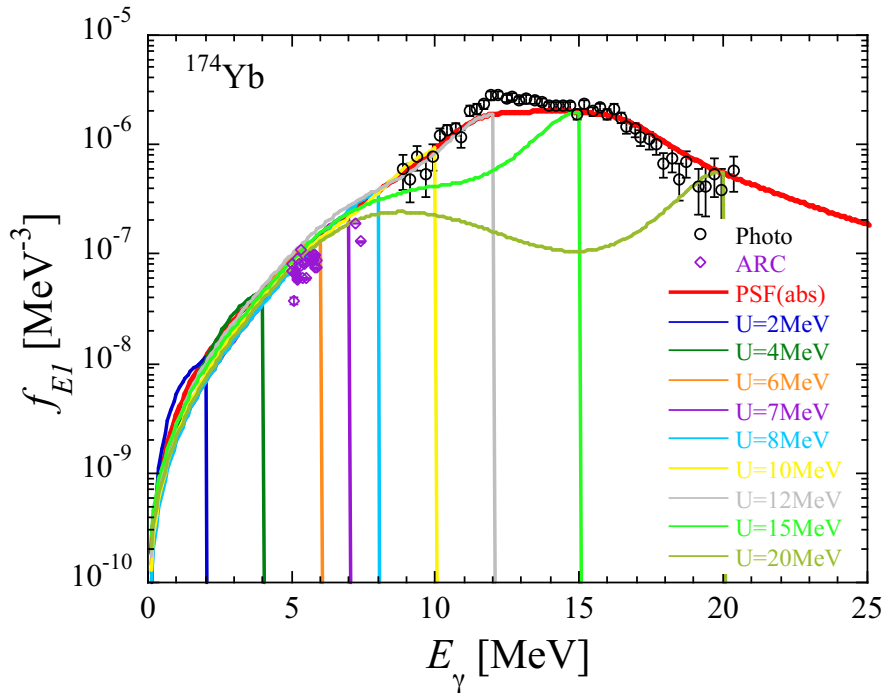
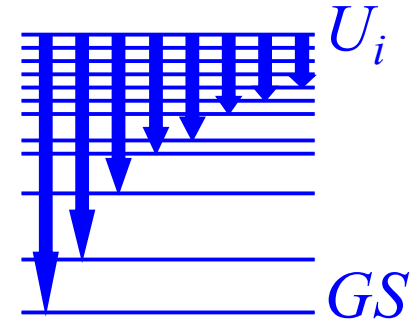


cf Péru's talk

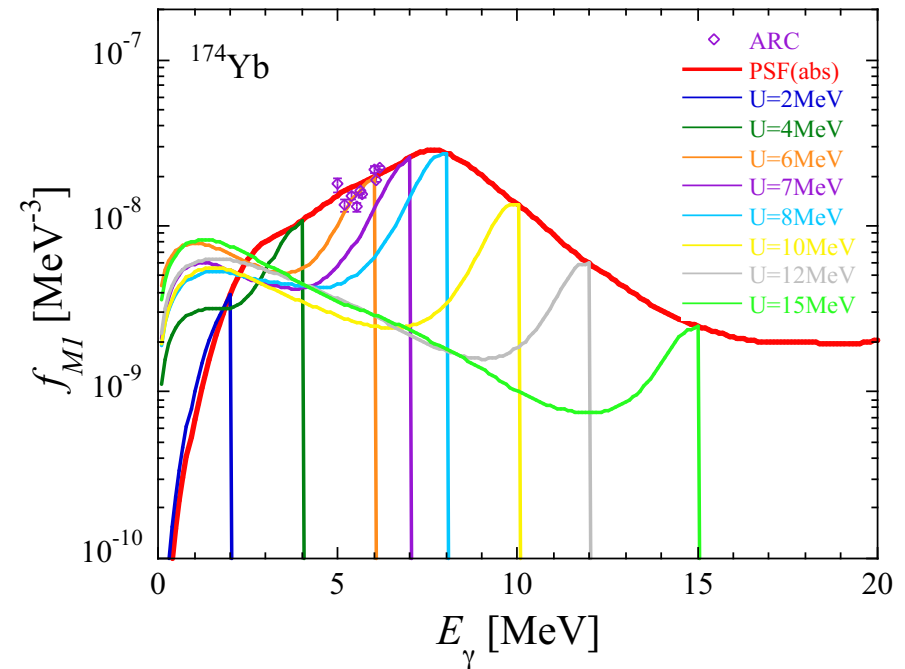
## QRPA de-excitation PSF at an initial energy $U_i$

$$f_{E1}(E) = \int_{-\infty}^{+\infty} L(E, \omega) S_{E1}(\omega) d\omega \quad \text{with}$$

$$L(E, \omega) = \frac{1}{\pi \Gamma} \frac{\Gamma^2 E^2}{\left[ E^2 - (\omega - \Delta)^2 \right]^2 + \Gamma^2 E^2}$$



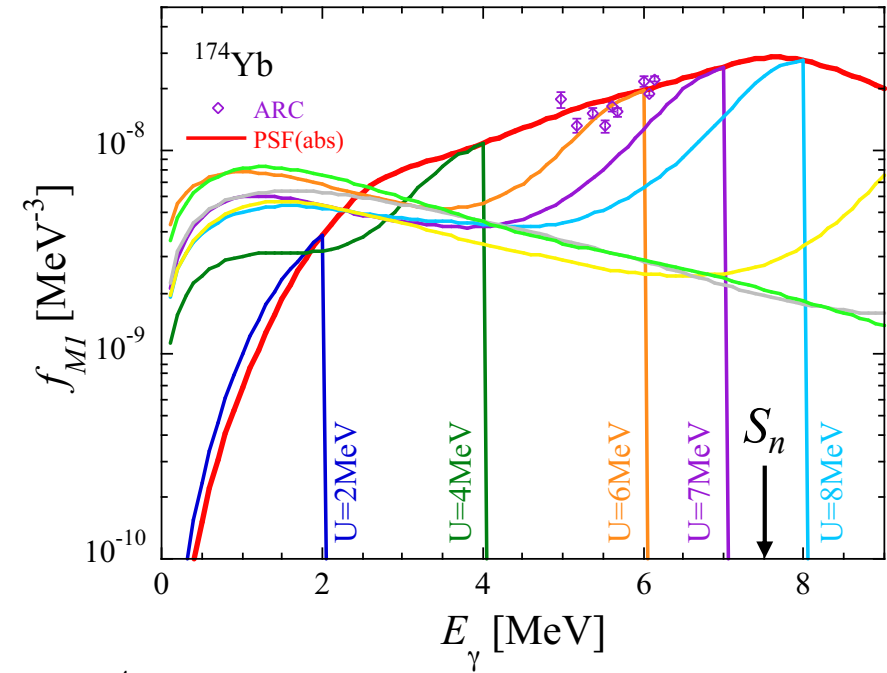
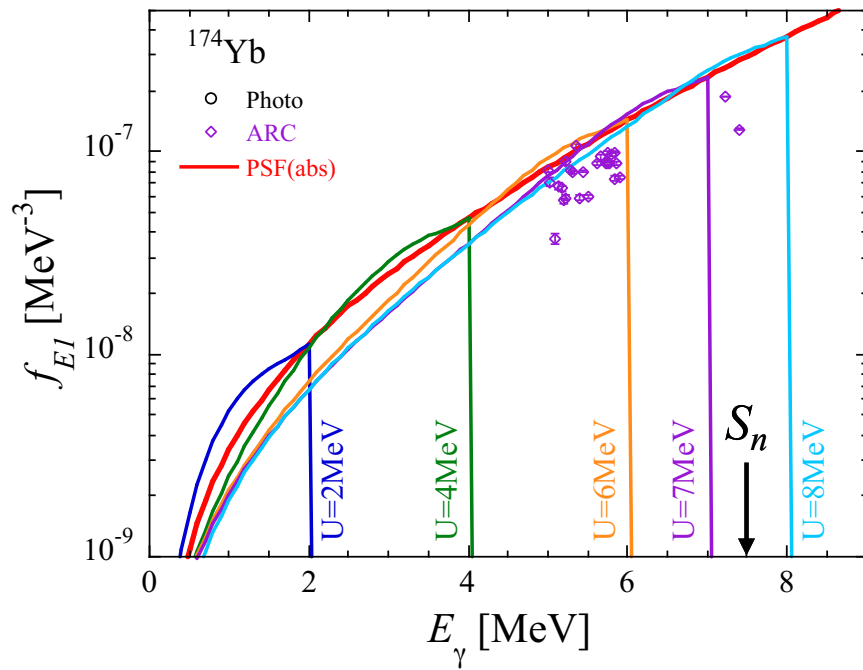
Negligible low-energy  
E1 enhancement



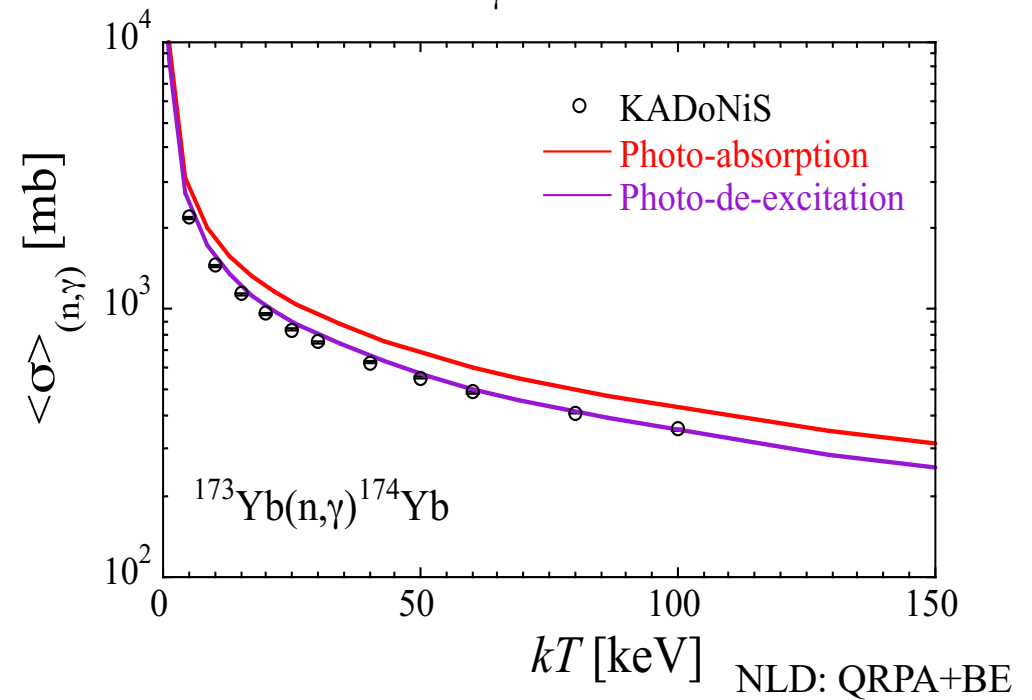
Significant low-energy M1  
enhancement

→ impact on low- $S_n$  n-capture

# Impact of the de-excitation PSF on radiative n-capture cross sections



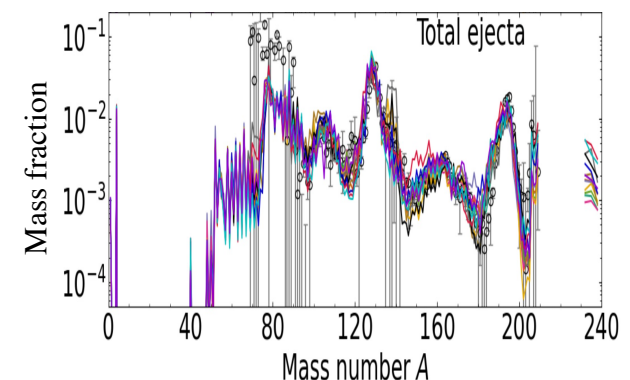
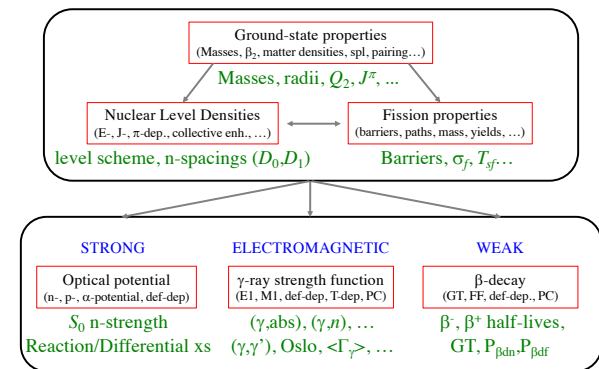
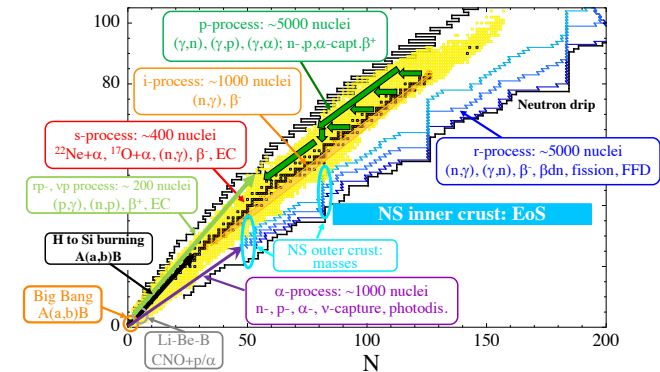
Decrease of the  $(n,\gamma)$  cross section when including the **de-excitation PSF** with respect to the **photo-absorption PSF**



# Conclusion: Progress in Nuclear Astrophysics

Despite impressive progress for the last years, Nuclear Astrophysics still requires

- **Dedicated experimental work** on
  - key reactions ( $^{12}\text{C}+\alpha$ ,  $^{12}\text{C}+^{12}\text{C}$ ,  $^{22}\text{Ne}+\alpha$ , ...)
  - reactions  $(n,\gamma)$ ,  $(p,\gamma)$ ,  $(\alpha,\gamma)$ ,  $(n,f)$ , ... for stable and unstable targets (RIB, Oslo, surro)
  - key properties ( $M$ ,  $R_c$ , NLD, PSF, OMP, ...) for stable as well as unstable nuclei
- **Dedicated theoretical work** based on as “microscopic” as possible models for experimentally unavailable nuclei (mean-field, shell model, ab-initio)
- **Detailed account of uncertainties** that need to be properly propagated into astrophysical observables.
- **Remain critical about impact** of NP on astrophysics observables



**THANK YOU  
FOR  
YOUR ATTENTION**