

# Spectrum modelling overview

Patrick Huber

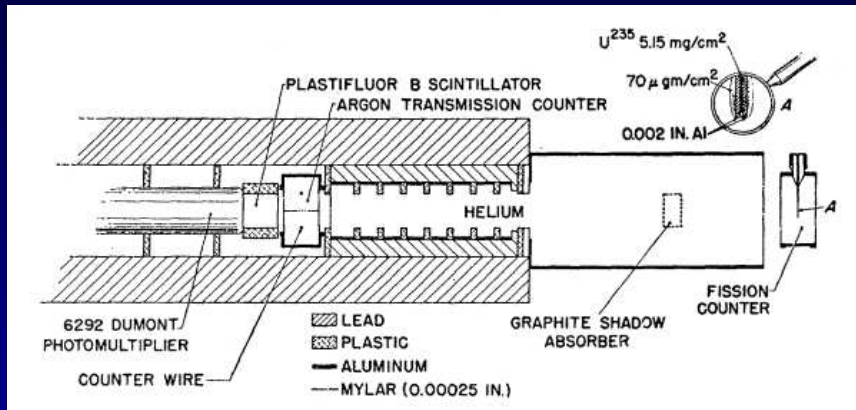
Center for Neutrino Physics – Virginia Tech

2nd IAEA Technical Meeting on Nuclear Data Needs  
for Antineutrino Spectra Applications  
January 16–20, 2023, Vienna, Austria

# Not exactly a new problem

## Free Antineutrino Absorption Cross Section. II. Expected Cross Section from Measurements of Fission Fragment Electron Spectrum\*

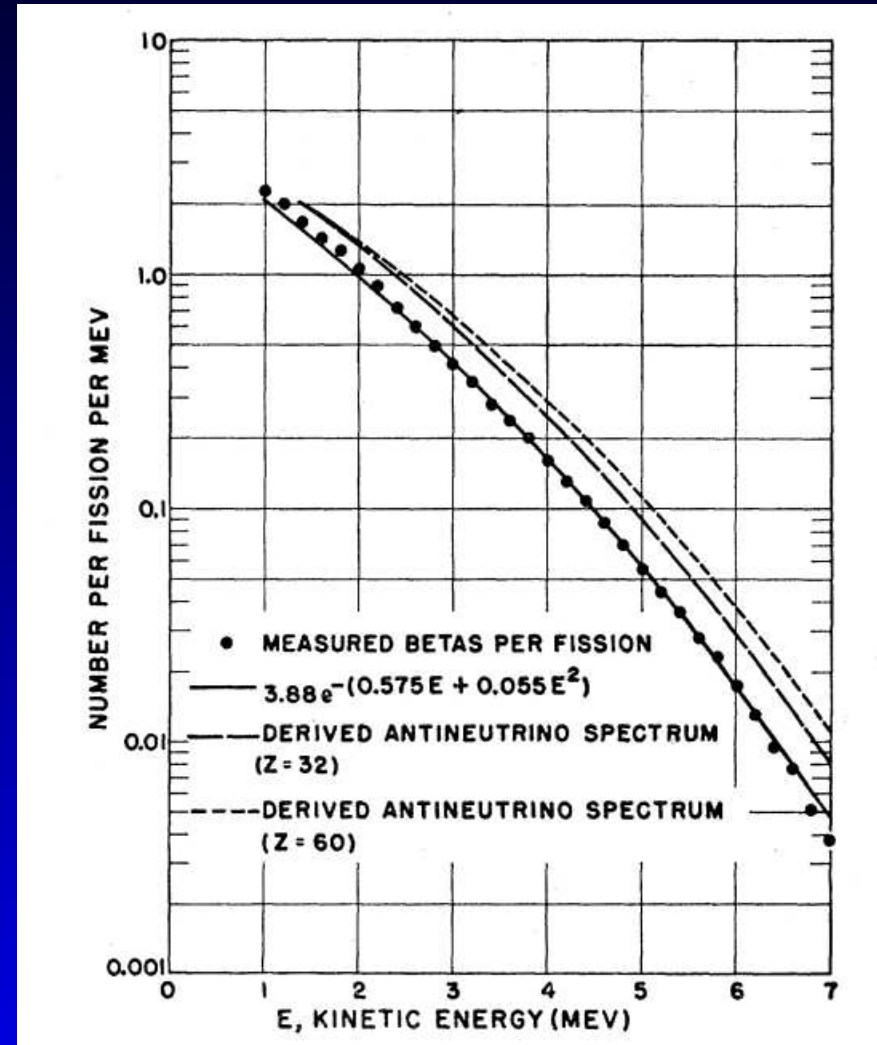
R. E. CARTER, F. REINES, J. J. WAGNER, AND M. E. WYMAN†  
 Los Alamos Scientific Laboratory, University of California, Los Alamos, New Mexico  
 (Received September 8, 1958)



Neutron beam guide (outside of reactor)

Direct fission rate measurement using a in situ fission chamber.

Coincidence between proportional counter and scintillator to reject  $\gamma$ s

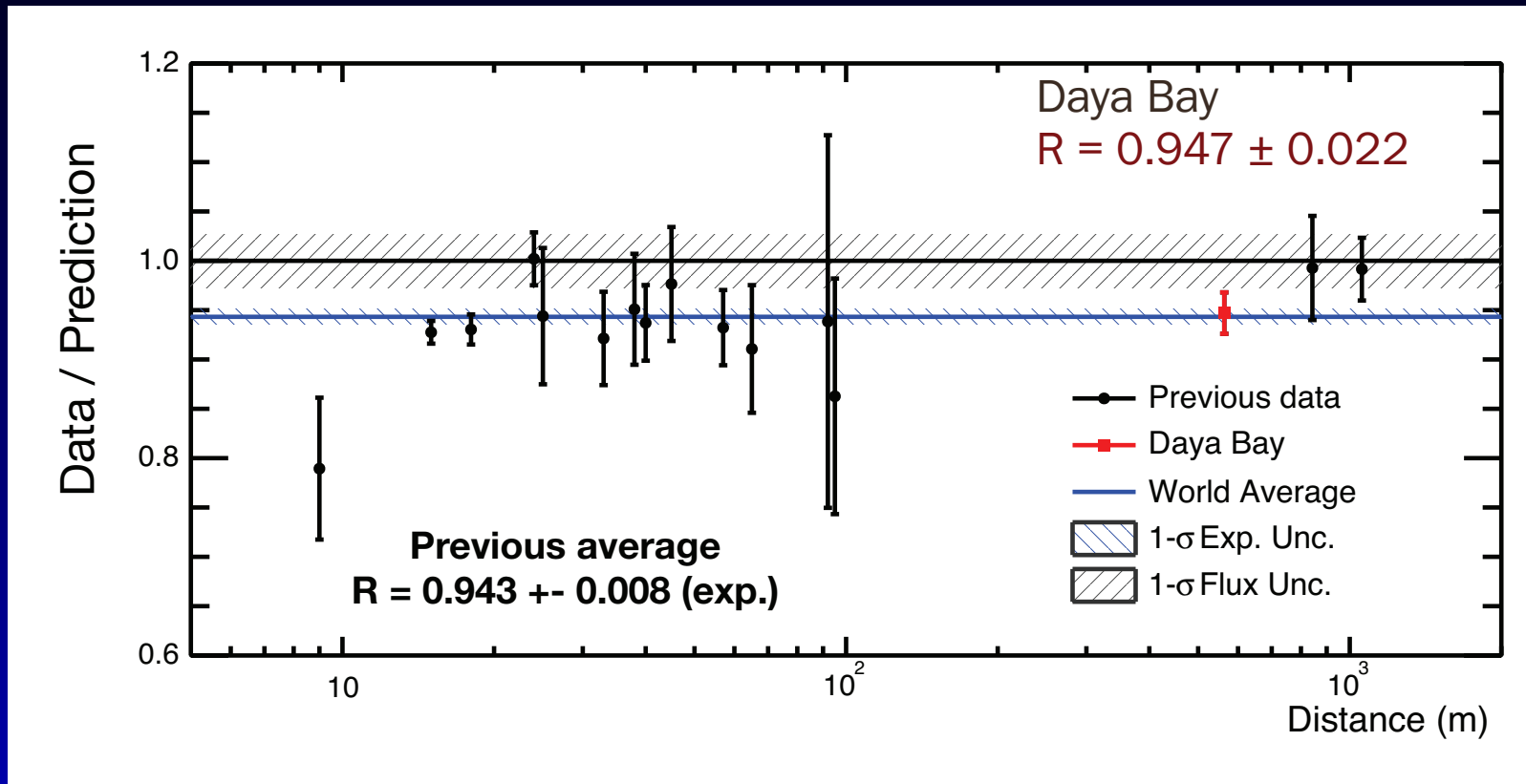


# Caveats

- The rate anomaly and spectrum anomaly will be discussed separately
- The authors for nearly everything I show are here, so I may re-direct questions to the actual experts

# The Rate Anomaly

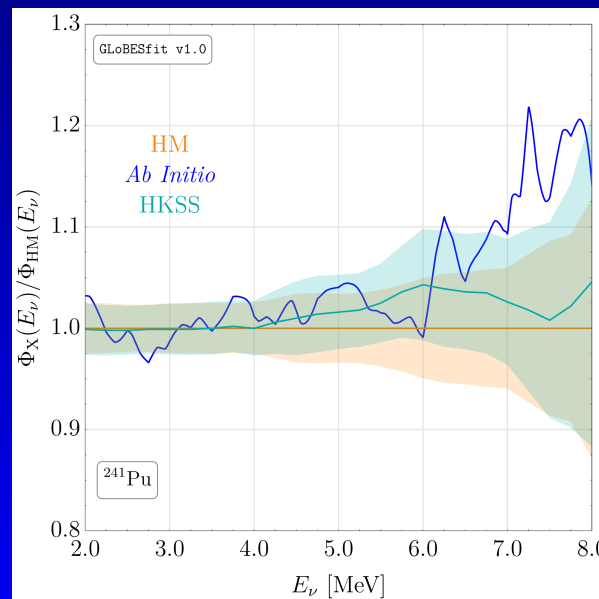
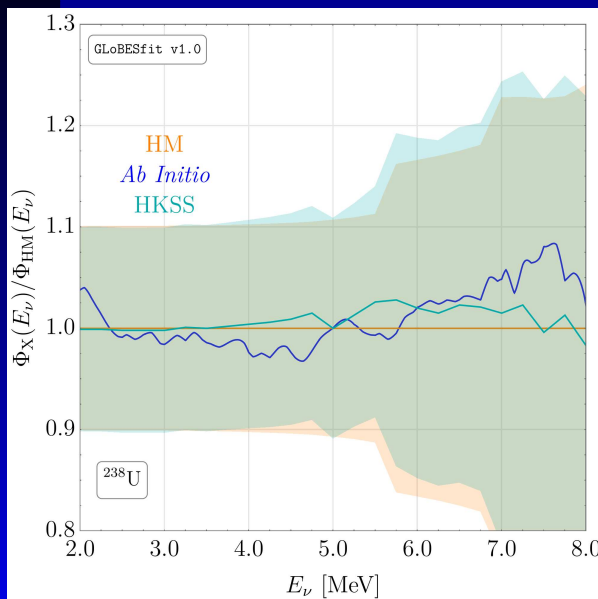
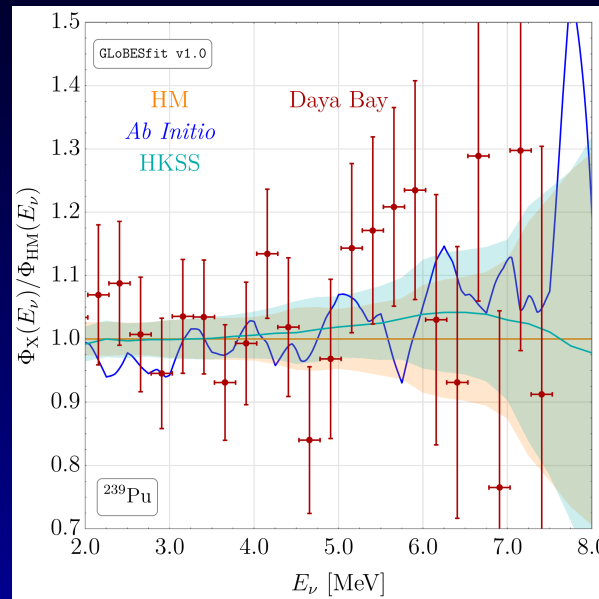
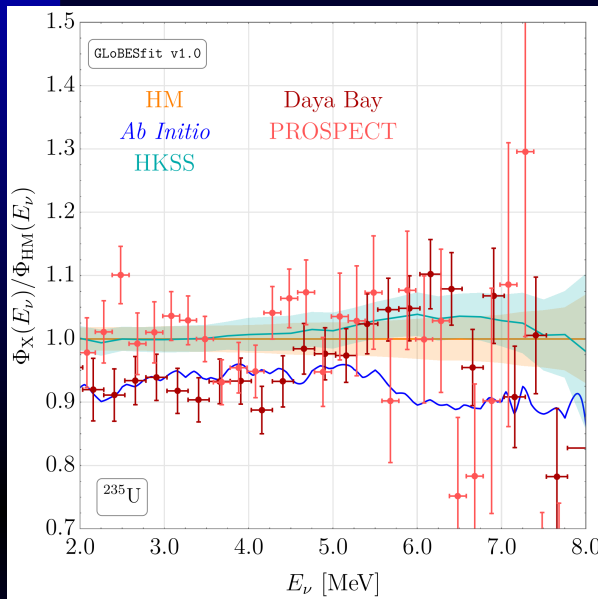
# The reactor anomaly



Daya Bay, 2014

Mueller *et al.*, 2011, 2012 – where have all the neutrinos gone?

# Status quo early 2021



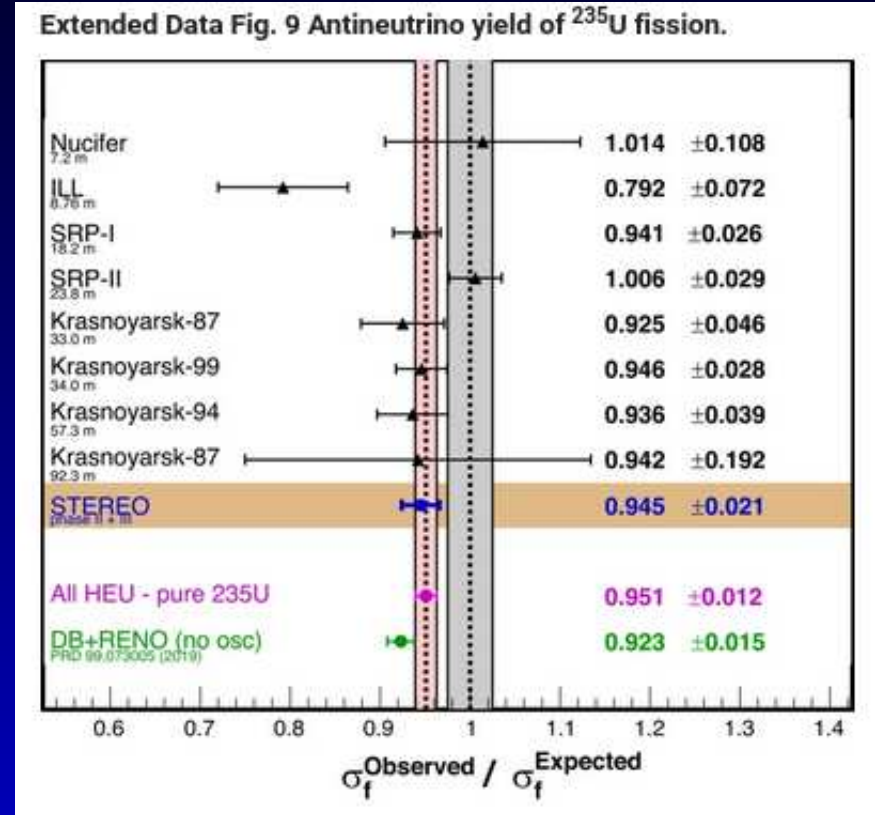
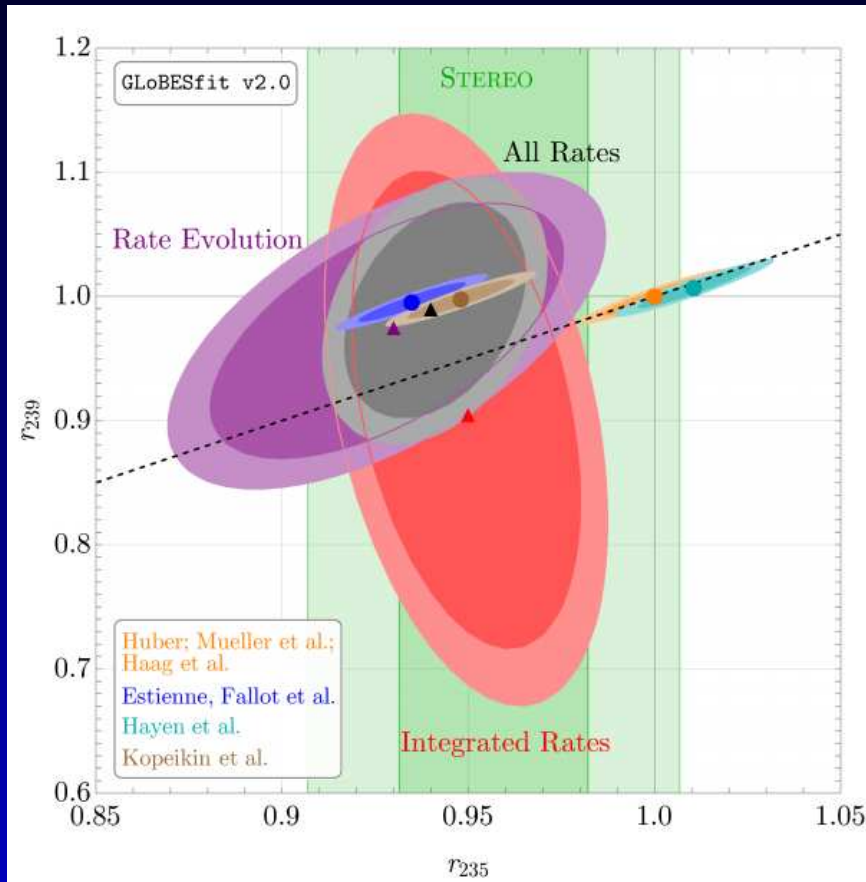
3 different flux models, data from 2 different experiments

Except for U235:  
+ the models agree within error bars  
+ the models agree with neutrino data

U235 has smallest error bars, not surprising that discrepancies show up first.

Berryman, PH, 2020

# Fuel evolution

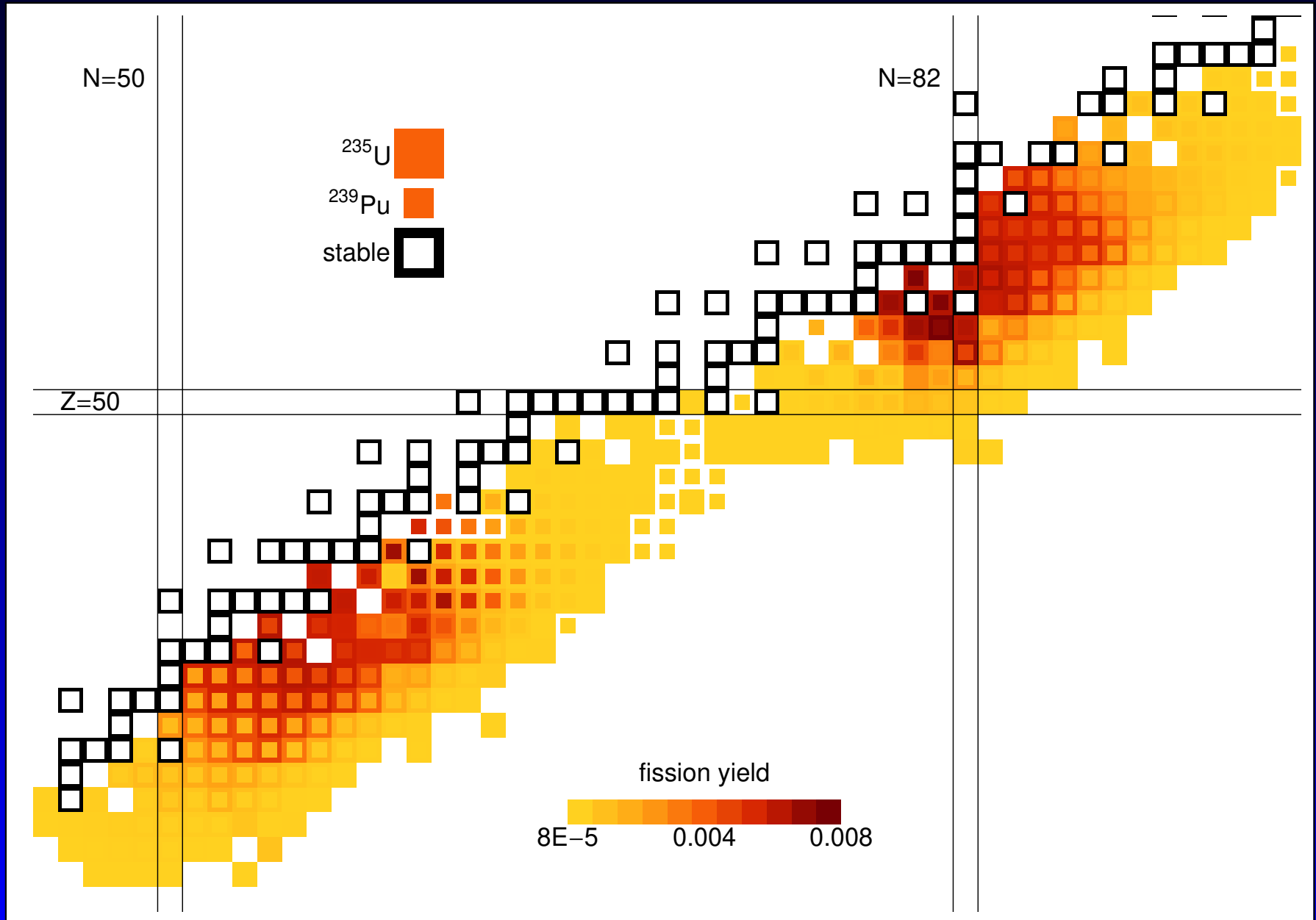


Berryman, PH, 2020, 2022

STEREO, 2023

U235 seems to “own” all of the deficit.

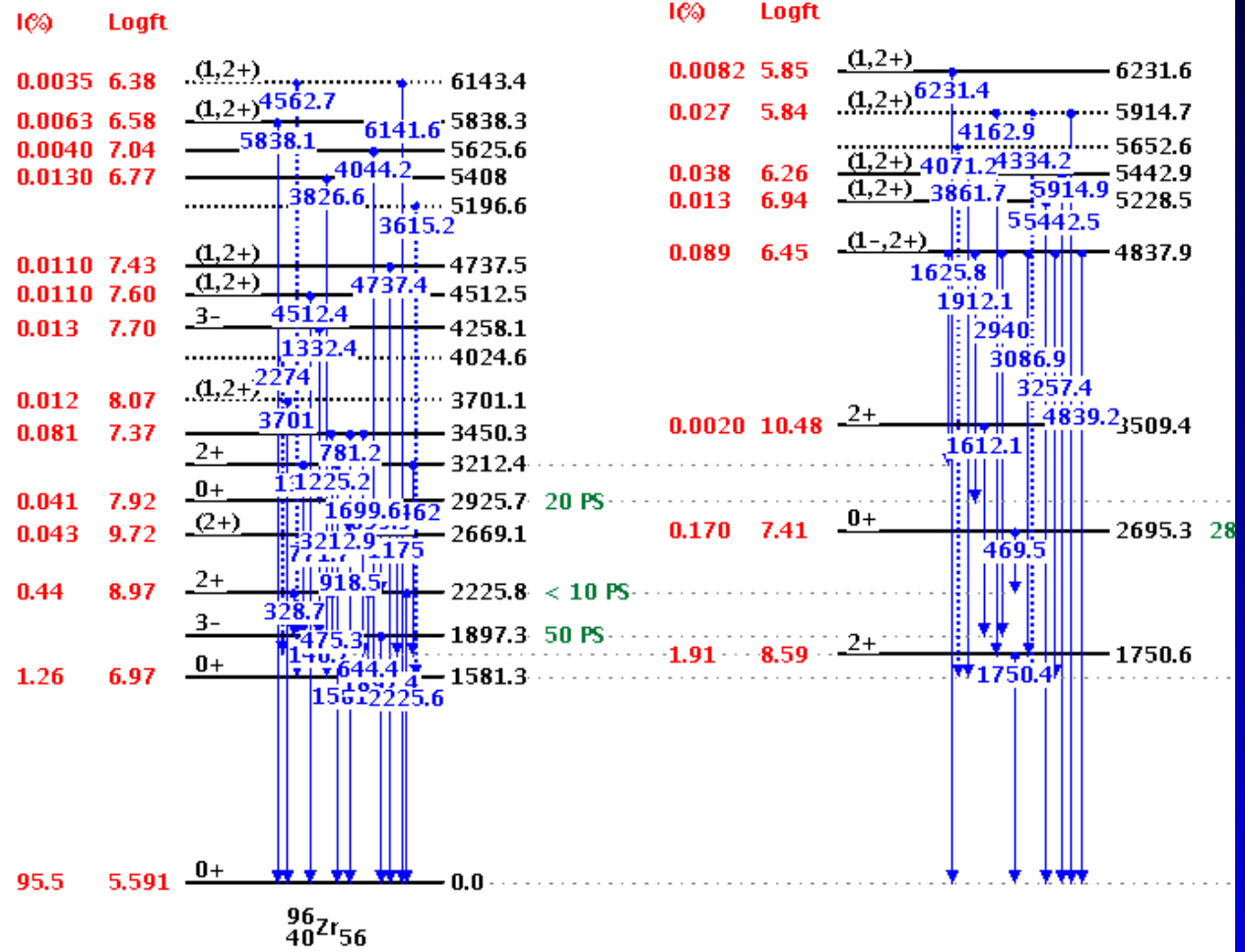
# Why is this so complicated?



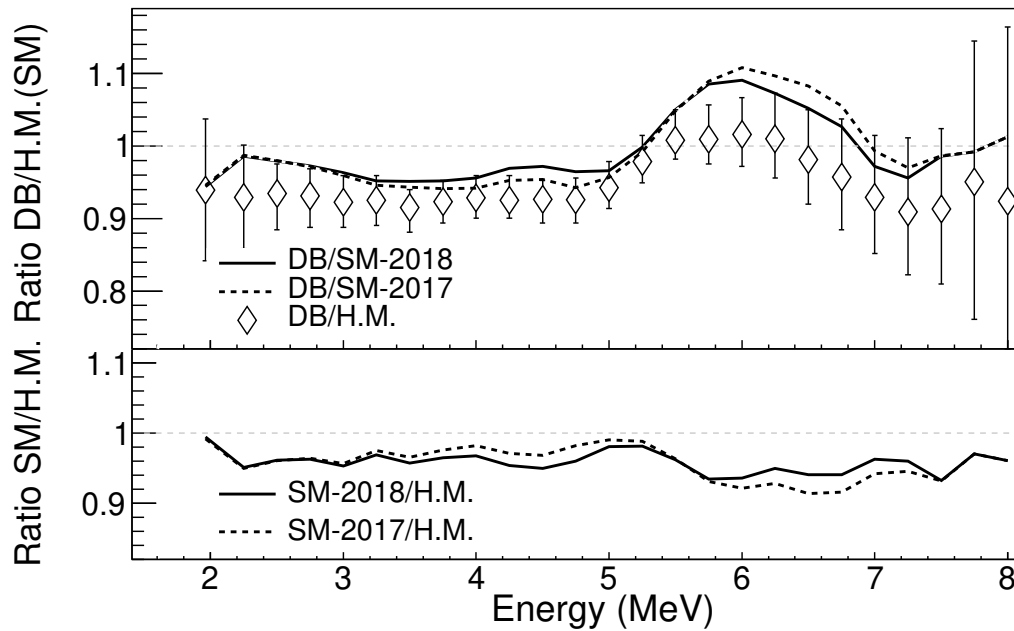


# $\beta$ -branches

$0^-$  ———  $0.0$  5.34 S 5  
 $^{96}_{39}\text{Y}_{57}$   
 $Q(\text{gs}) = 7096 \text{ keV } 23$   
 $\beta^- : 100\%$



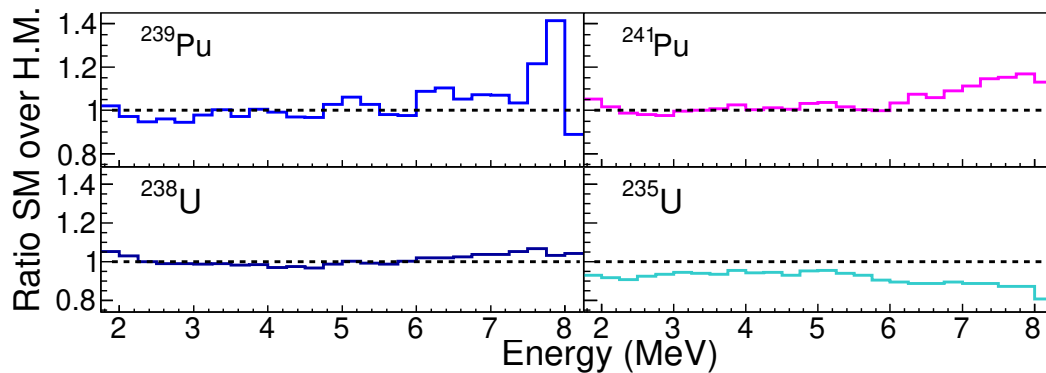
# Summation method – EF



Take fission yields from database.

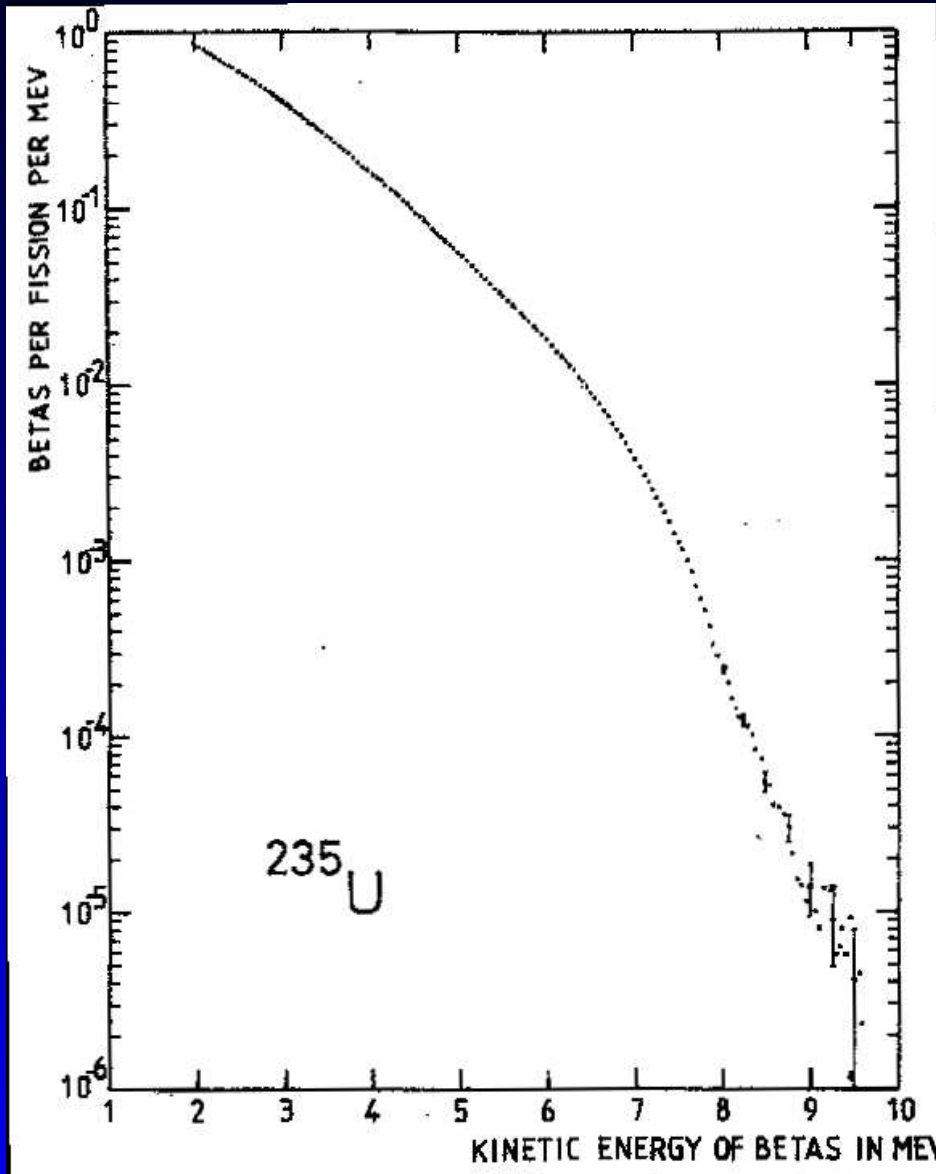
Take beta decay information from database.

For the most crucial isotopes use  $\beta$ -feeding functions from total absorption  $\gamma$  spectroscopy.



Estienne *et al.*, 2019

# Conversion method – HM



$^{235}\text{U}$  foil inside the High Flux Reactor at ILL

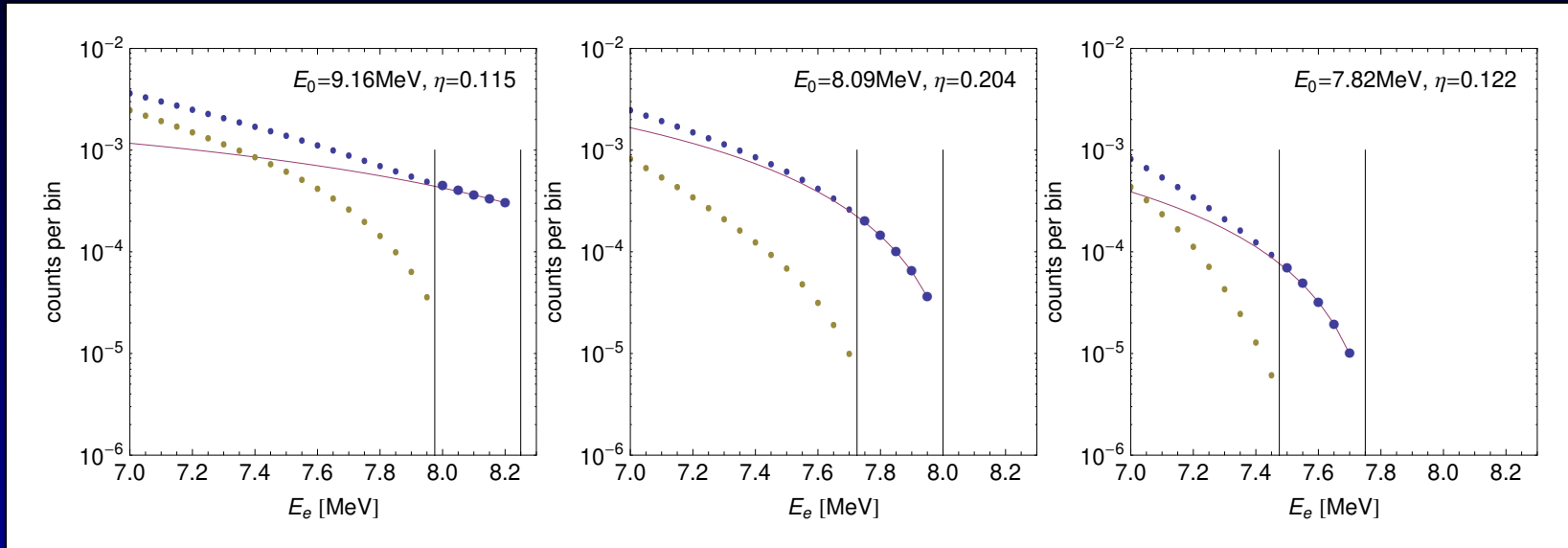
Electron spectroscopy with a magnetic spectrometer

Same method used for  $^{239}\text{Pu}$  and  $^{241}\text{Pu}$

Mueller *et al.*, 2011; PH, 2011

Schreckenbach, *et al.* 1985.

# Virtual branches



1 – fit an allowed  $\beta$ -spectrum with free normalization  $\eta$  and endpoint energy  $E_0$  the last  $s$  data points

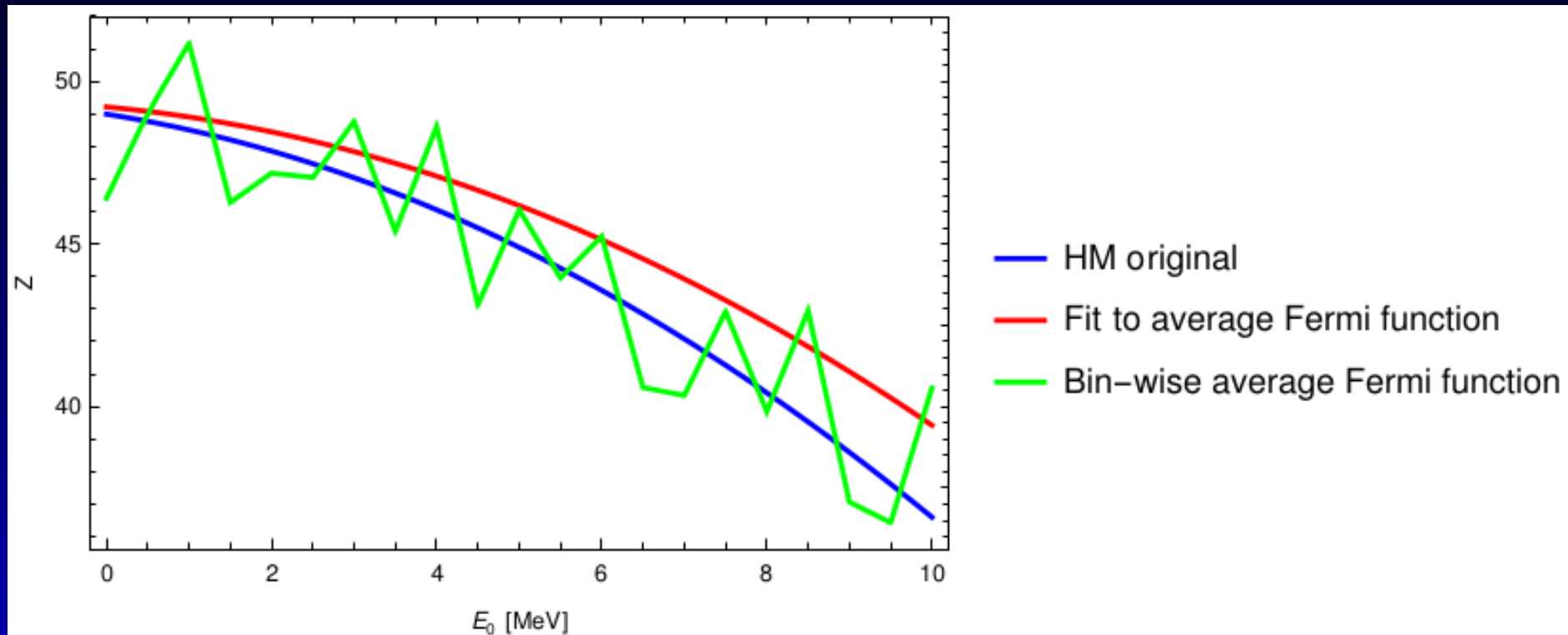
2 – delete the last  $s$  data points

3 – subtract the fitted spectrum from the data

4 – goto 1

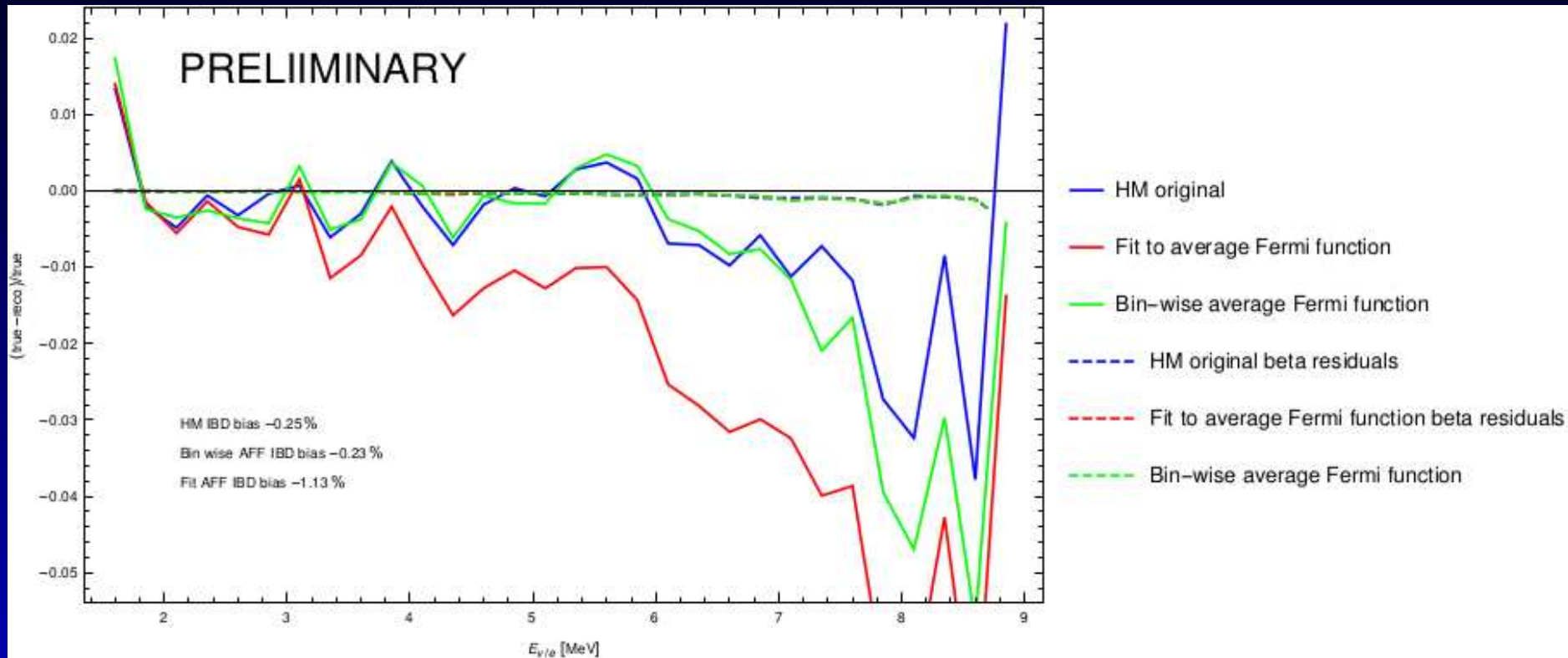
Invert each virtual branch using energy conservation into a neutrino spectrum and add them all.

# $Z_{\text{eff}}$ – how to parametrize



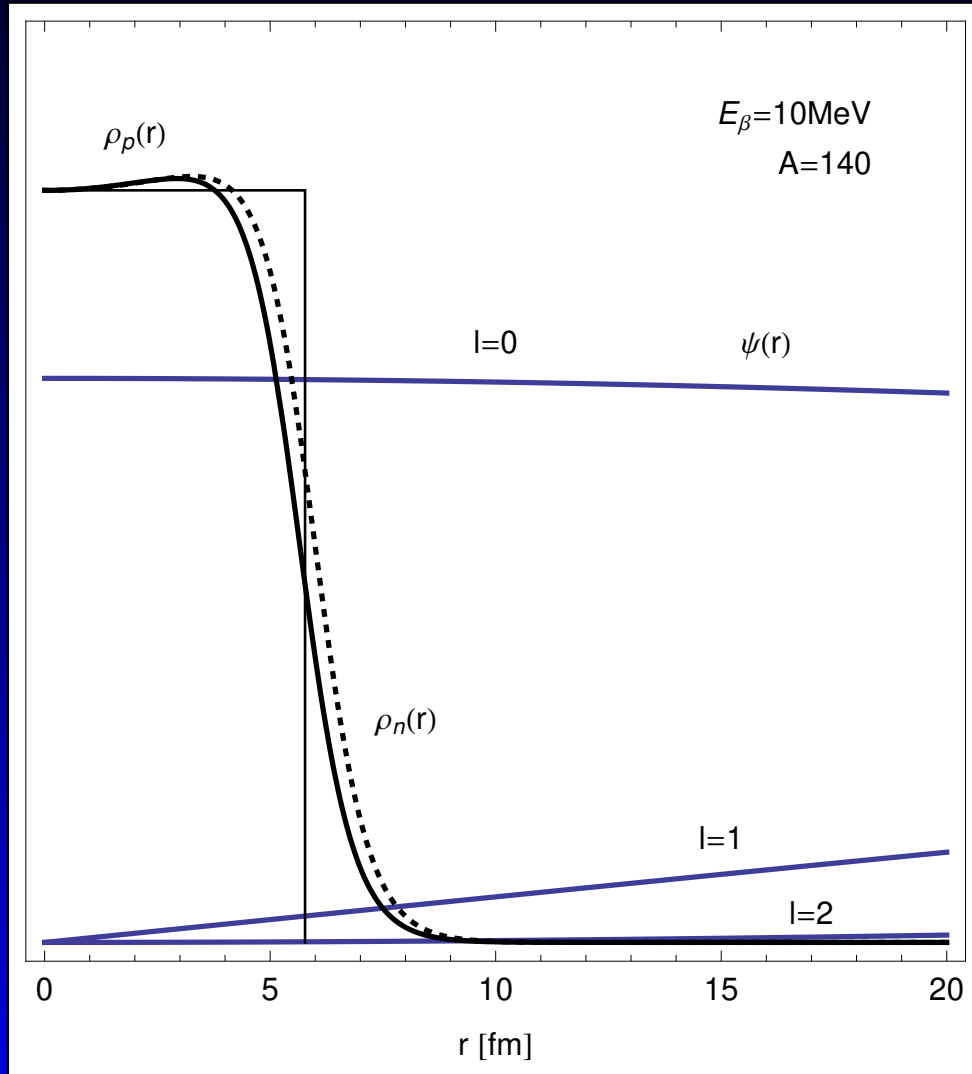
*Hayes et al.* point out that the details of how the parameterization for  $Z_{\text{eff}}$  is done could bias the neutrino spectrum.

# Zeff – comparison



Using  $\nu$  and beta spectrum derived from the same summation calculation allows to test how well the different methods reproduce the  $\nu$  spectrum – fit to average Fermi function leads to large bias.

# Forbidden decays



$e, \bar{\nu}$  final state can form a singlet or triplet spin state  $J=0$  or  $J=1$

Allowed:

s-wave emission ( $l = 0$ )

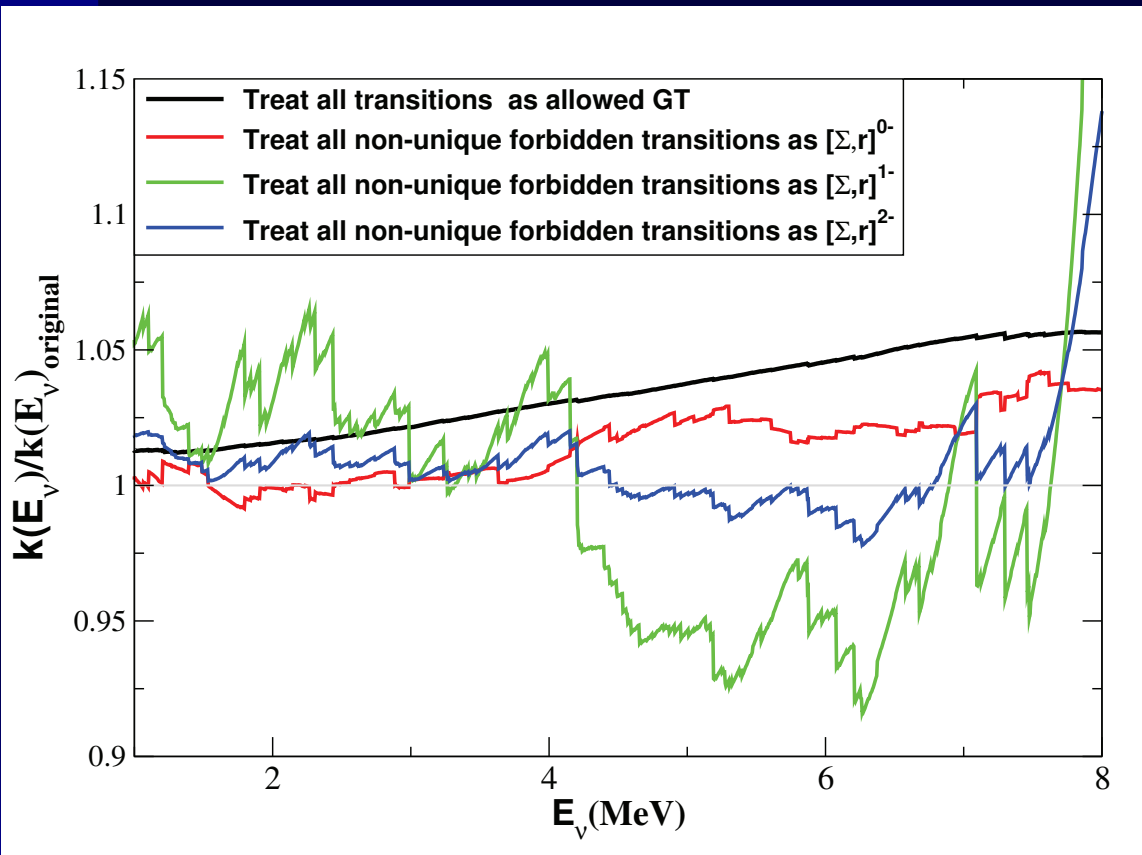
Forbidden:

p-wave emission ( $l = 1$ )

or  $l > 1$

Significant nuclear structure dependence in forbidden decays → **sizable uncertainties?**

# Forbidden decays



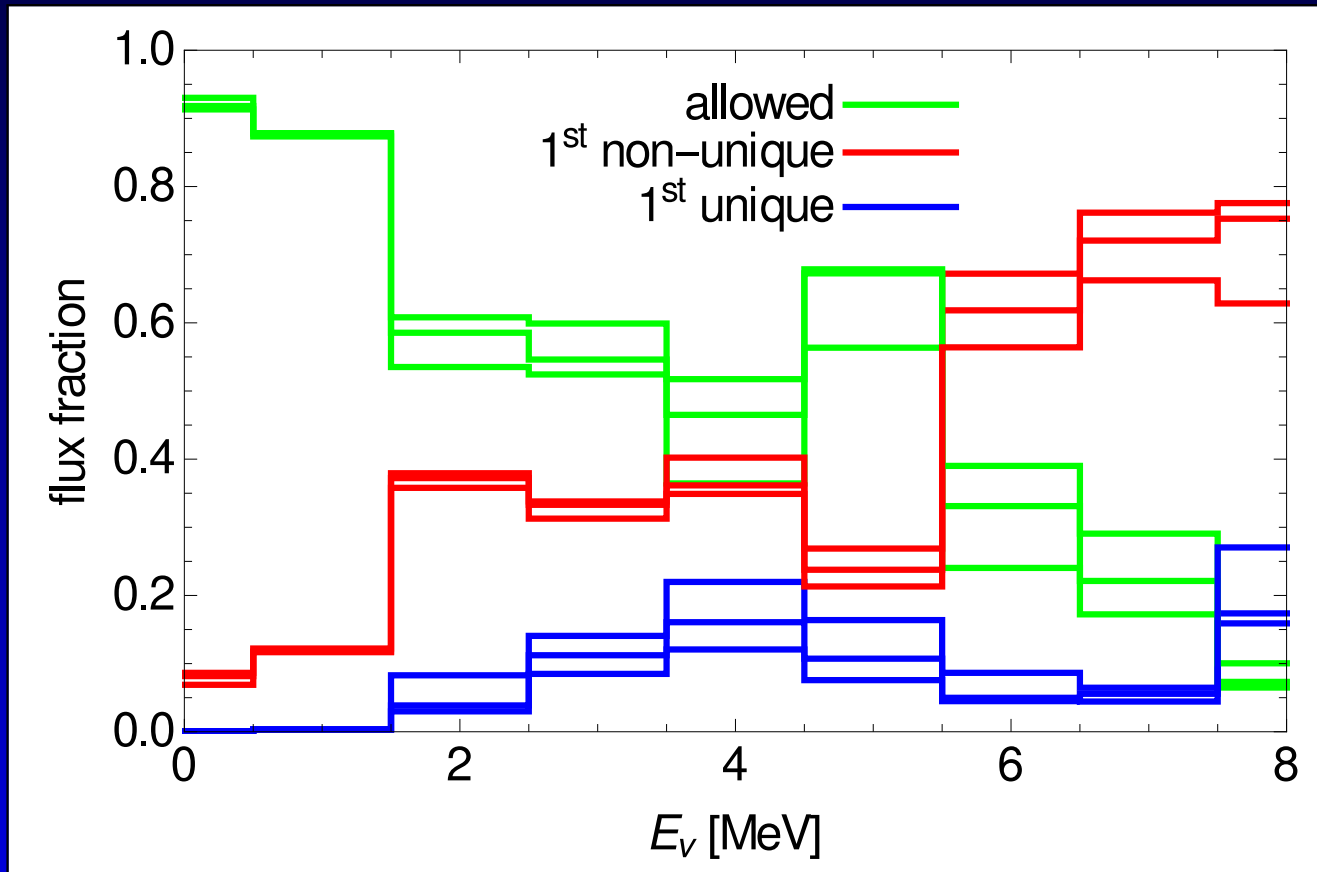
Hayes *et. al*, 2013 point out that in non-unique forbidden decays a unknown mixture of different operators is involved.

Potential source of uncertainty.

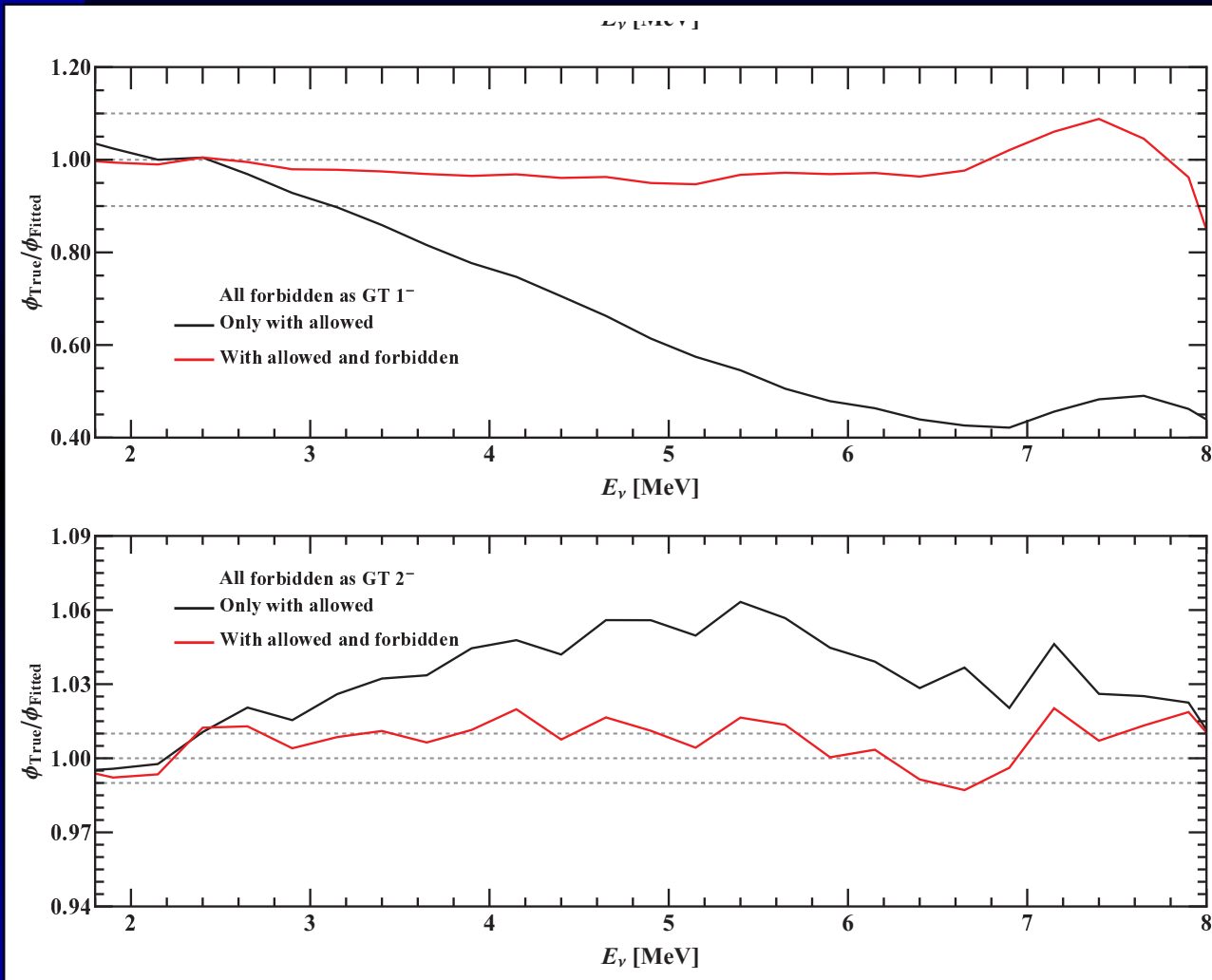


# How many forbidden decays?

Based on JEFF fission yields and using ENSDF spin-parity assignments



# A way forward?



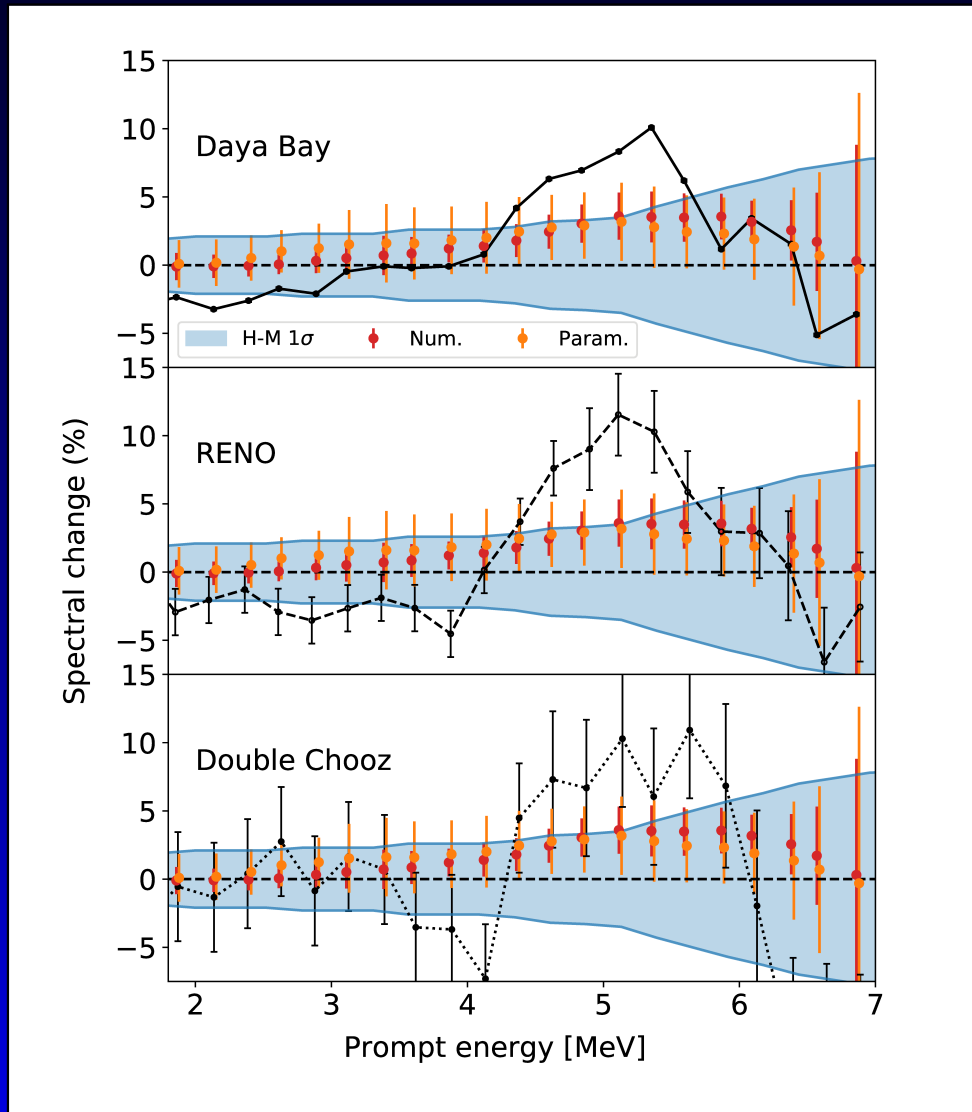
If we knew on a statistical basis the mixture of operators as a function of endpoint energy, errors could be greatly reduced.

NB: based on synthetic spectra.

Li, Zhang, 2019

Maybe computational approaches exist?  
see *e.g.* Hayen *et al.*, 2018

# Shell model – HKSS



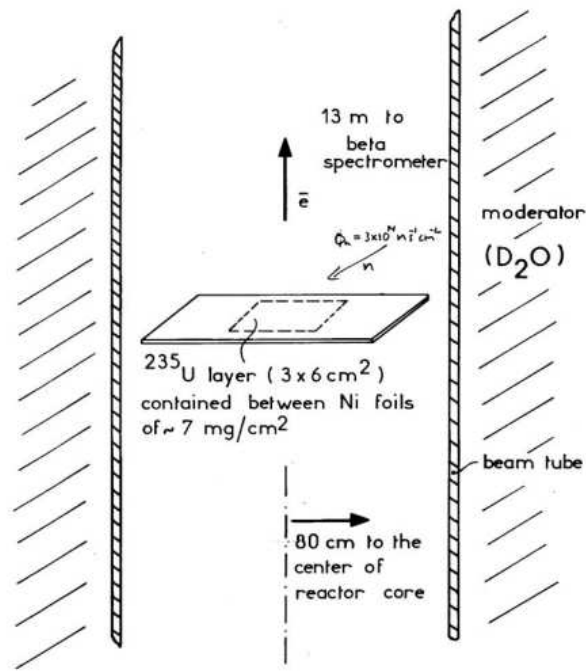
Forbidden decays major source of systematic.

Microscopic shell model calculation of 36 forbidden isotopes, otherwise similar to HM.

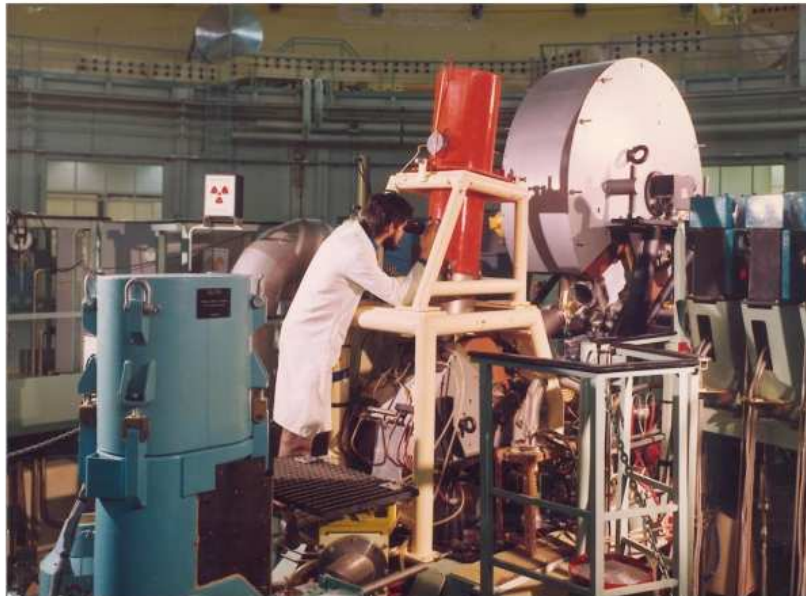
Increases the IBD rate anomaly by 40%, but the uncertainty increases by only 13% relative to HM

Hayen, *et al.* 2019

# Kill BILL?



SCHEMATIC VIEW OF THE TARGET SITE



**Magnetic BILL spectrometer at ILL, 1972-1991**

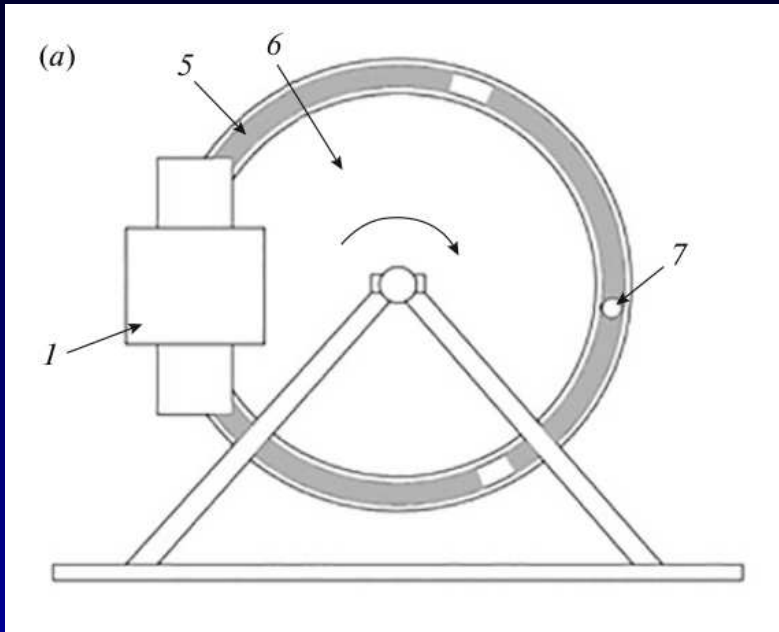
(Electron detector in focal plane: multi chamber proportional counter in transmission, rear mounted scintillator in coincidence)

Neutron flux calibration standards different for U235 and Pu239: 207Pb and 197Au respectively.

Combined with potential differences in neutron spectrum – room for a 5% shift of U235 normalization?

A. Letourneau, A. Onillon, AAP 2018

# 2021 beta measurement

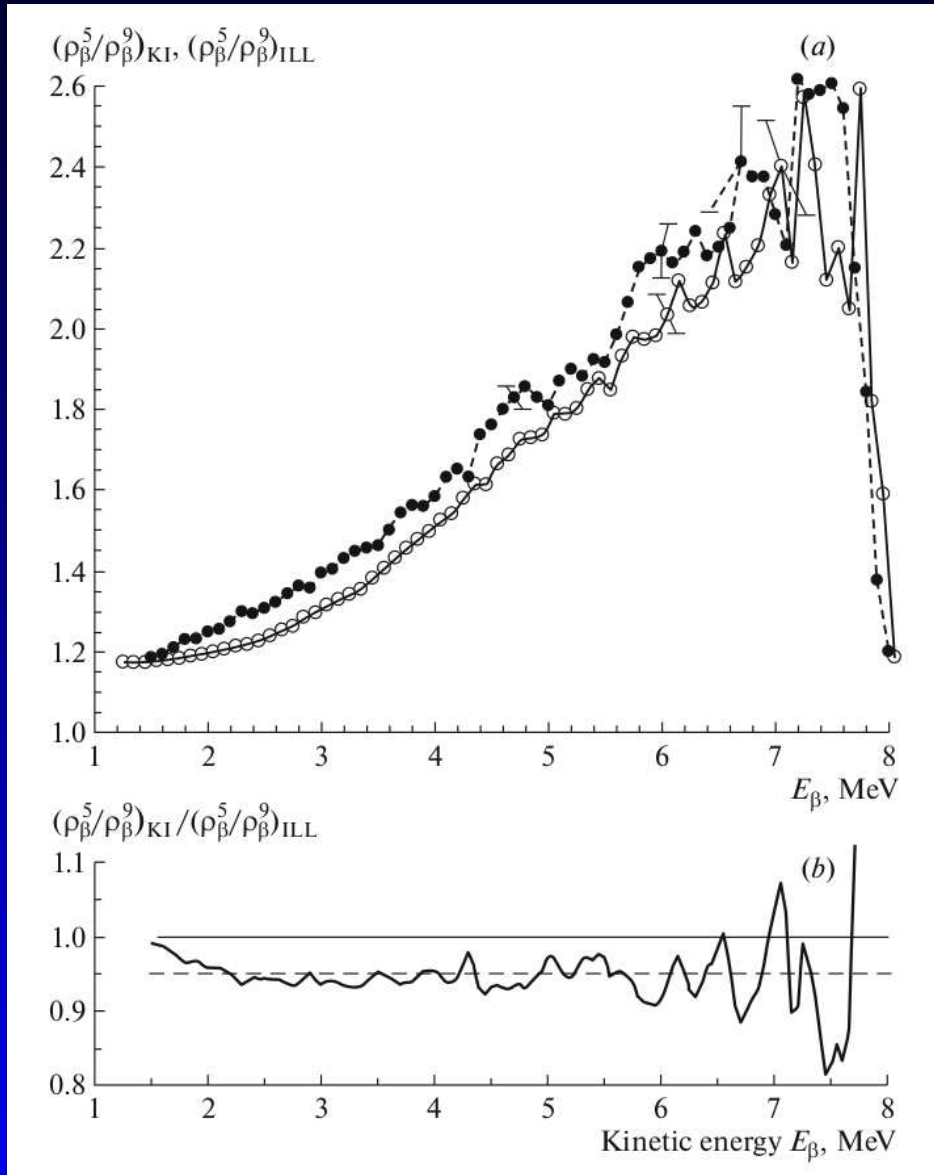


Relative measurement of U235 and Pu239 targets under identical conditions.

Beta detection with stilbene.

This slide and the following are based on [V. Kopeikin, M. Skorokhvatov, O. Titov \(2021\)](#) and [V. Kopeikin, Yu. Panin, A. Sabelnikov \(2020\)](#) and we will refer to this as the Kurchatov Institute (KI) data.

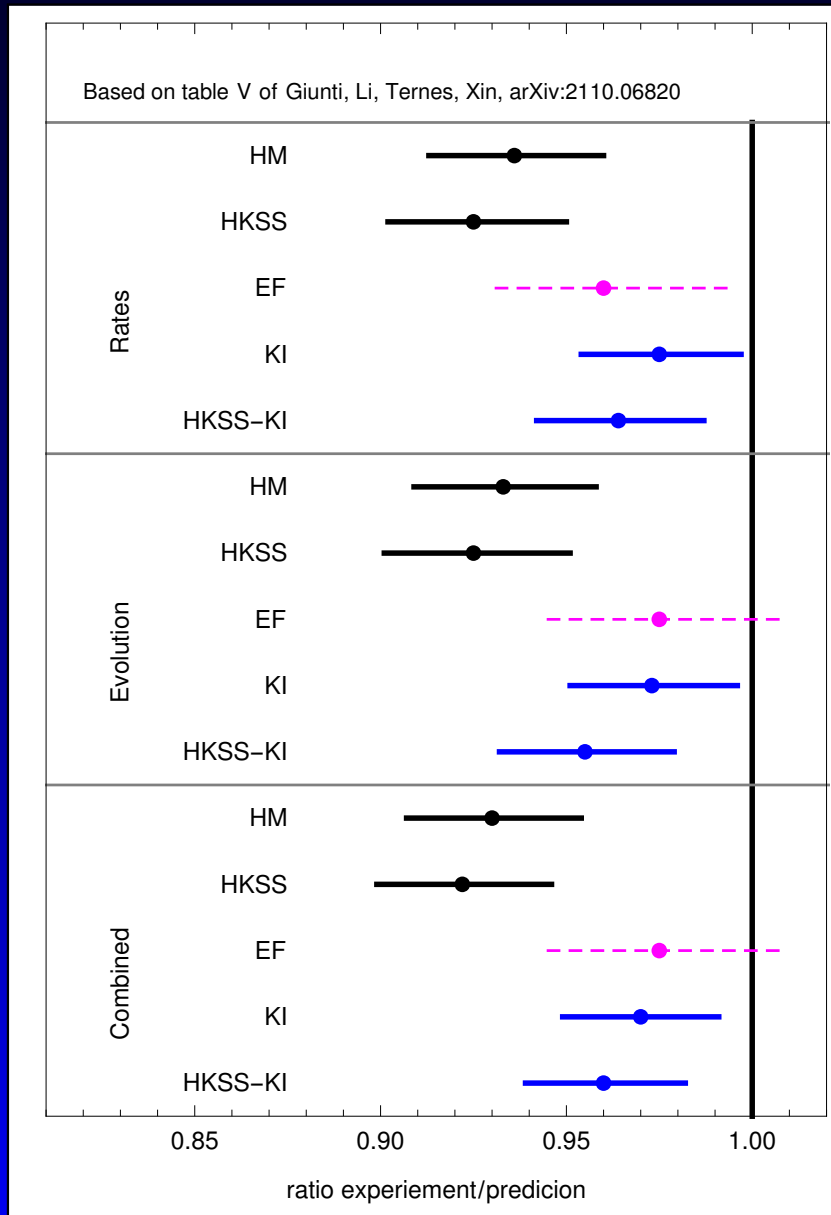
# 2021 beta results



At relevant energies the new measurement is about 5% below the previous one

Systematics is difficult in these measurements, but no obvious issues.

# 2021 beta impact



HM – conversion  
 HKSS – conversion  
 + forbidden decays  
 EF – summation  
 unclear theory error  
 KI – HM + KI data  
 HKSS+KI – HKSS +KI

With the KI correction agreement between summation and conversion improved.

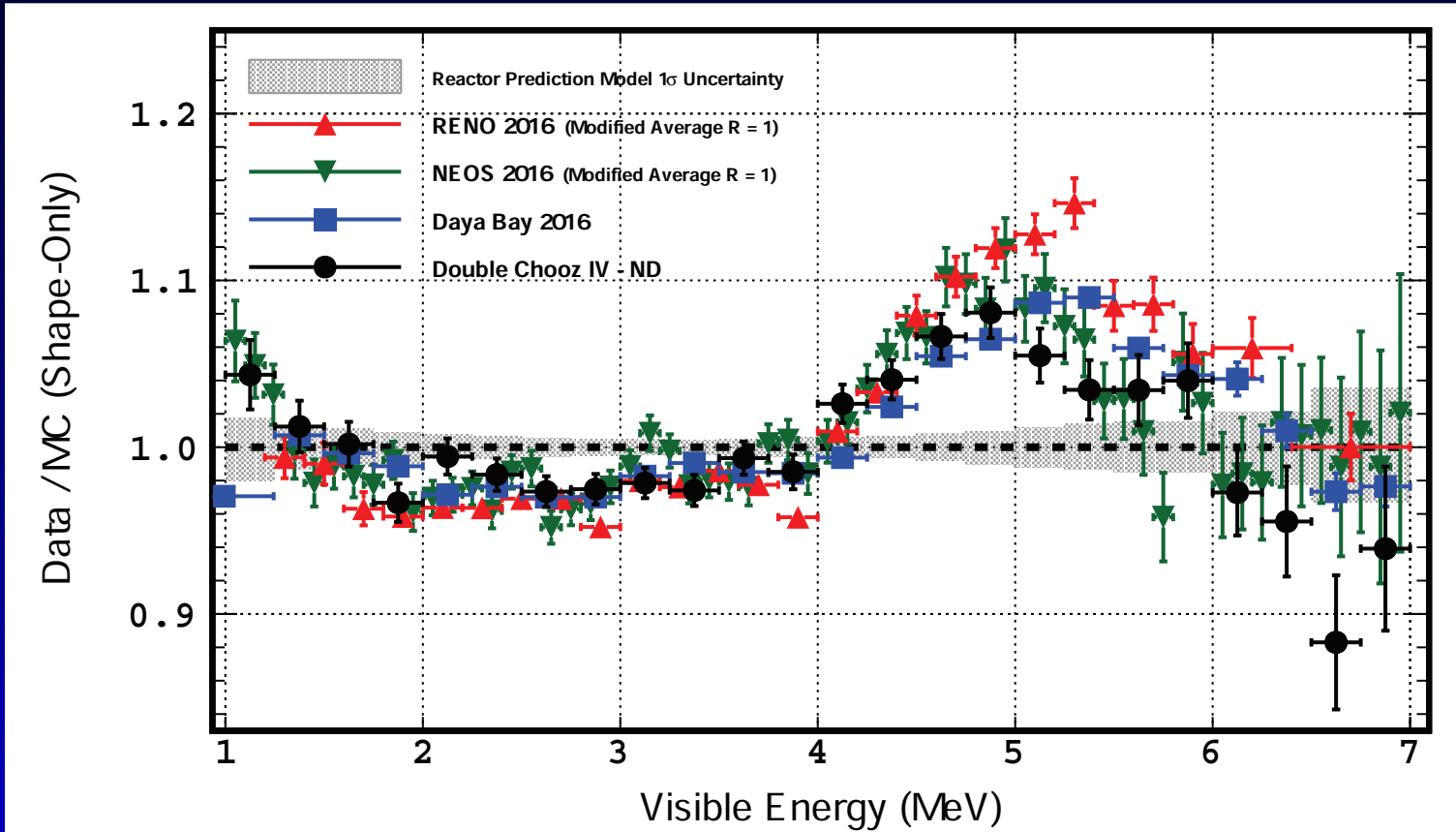
RAA significance reduced to less than  $2\sigma$

# The Spectrum Anomaly

aka the 5 MeV Bump



# The 5 MeV bump



## Double Chooz 2019

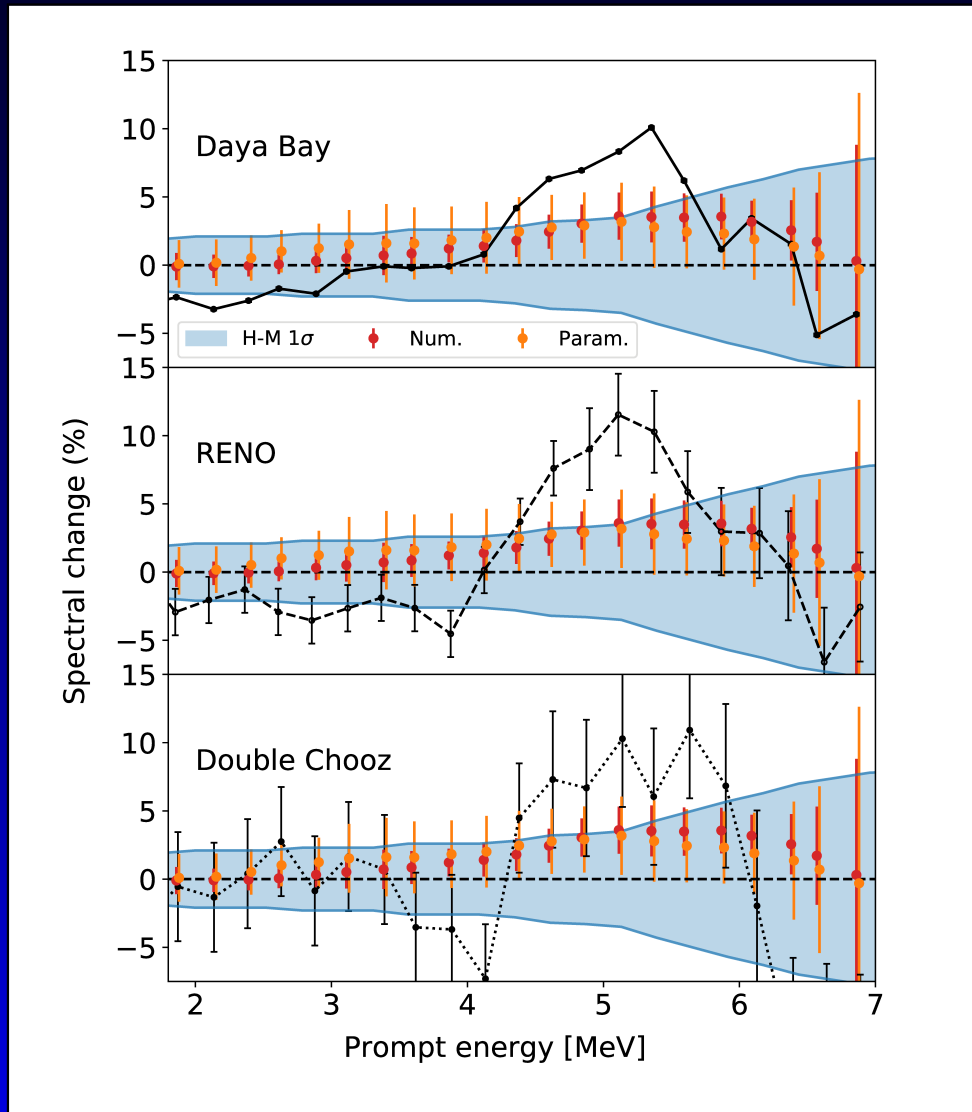
Contains only 0.5% of all neutrino events – not important for sterile neutrinos

Yet, statistically more significant than the RAA!

# Things which are not the cause

- Fission yields Dwyer, Langford, 2014; Hayes, *et al.* 2015; Sonzogni, *et al.* 2016
- Neutron spectrum Hayes, Vogel, 2016; Littlejohn *et al.* 2018
- New Physics Brdar, Berryman, PH, 2018

# Shell model – HKSS



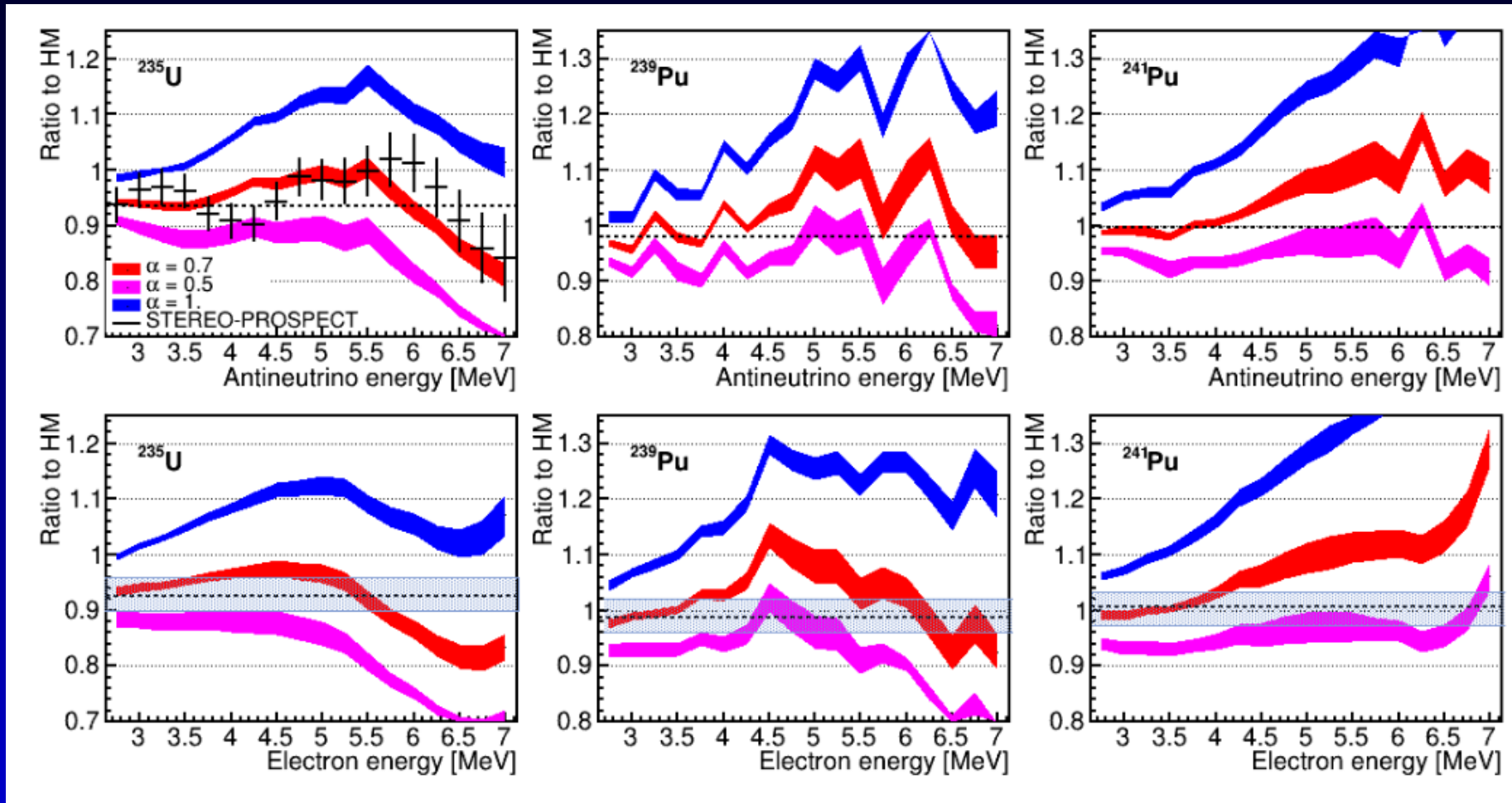
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Hayen, *et al.* 2019

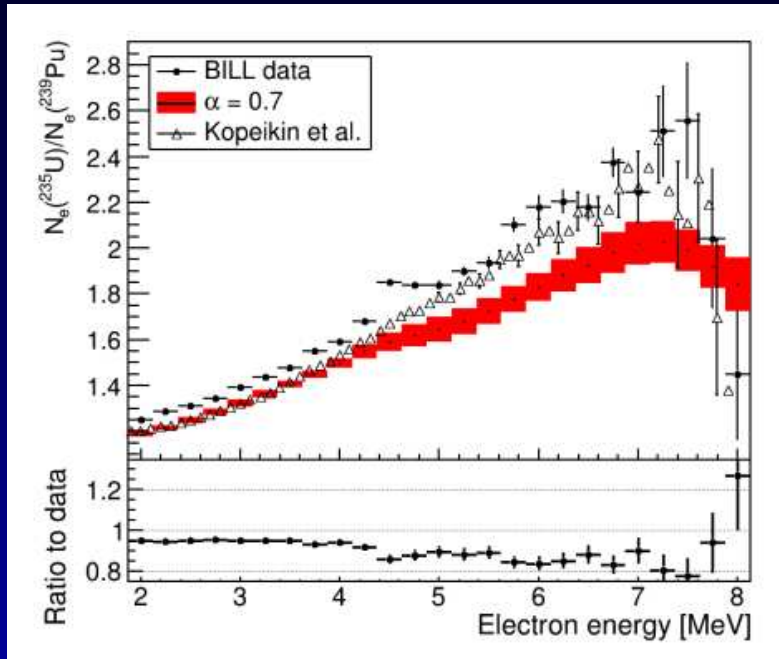
# Beta feeding functions



Letourneau *et al.* 2022

Simple single parameter model for beta feeding functions, parameter determined from TAGS data.

# Beta feeding functions



Letourneau *et al.* 2022

This would require a shape distortion in both the Schreckenbach (magnetic spectrometer) and Kurchatov (scintillator) beta measurements between U235 and Pu239...

# Summary – Rates

- The Kurchatov result for the U235/Pu239 beta ratio seems to bring all neutrino data and all calculations into agreement on the rate
- Subleading corrections may remain from forbidden decay, beta feeding, conversion systematics etc. – comparable to experimental systematics from the reactor and detector

Is there any more work needed?

Who would be the end user for improved predictions (=smaller error bars)?

Or is this essentially solved?

# Summary – Spectrum

- In absolute terms this is a small effect (0.5% of all IBD) only visible due to the fantastic precision of recent neutrino data.
- So far all models which explain the bump also have to discard some other pieces of data.
- In particular, obtaining a sufficiently large bump in neutrinos spoils the agreement with beta data (both ILL and Kurchatov)

JUNO-TAO will provide new very precise neutrino spectrum data – do we need a new beta measurement with a matched level of precision?

Theory uses parameterized phenomenological models – how can we improve that?