

**THE GEORGE  
WASHINGTON  
UNIVERSITY**  
WASHINGTON, DC



# **Adaptive Plasmas for Biomedical Applications**

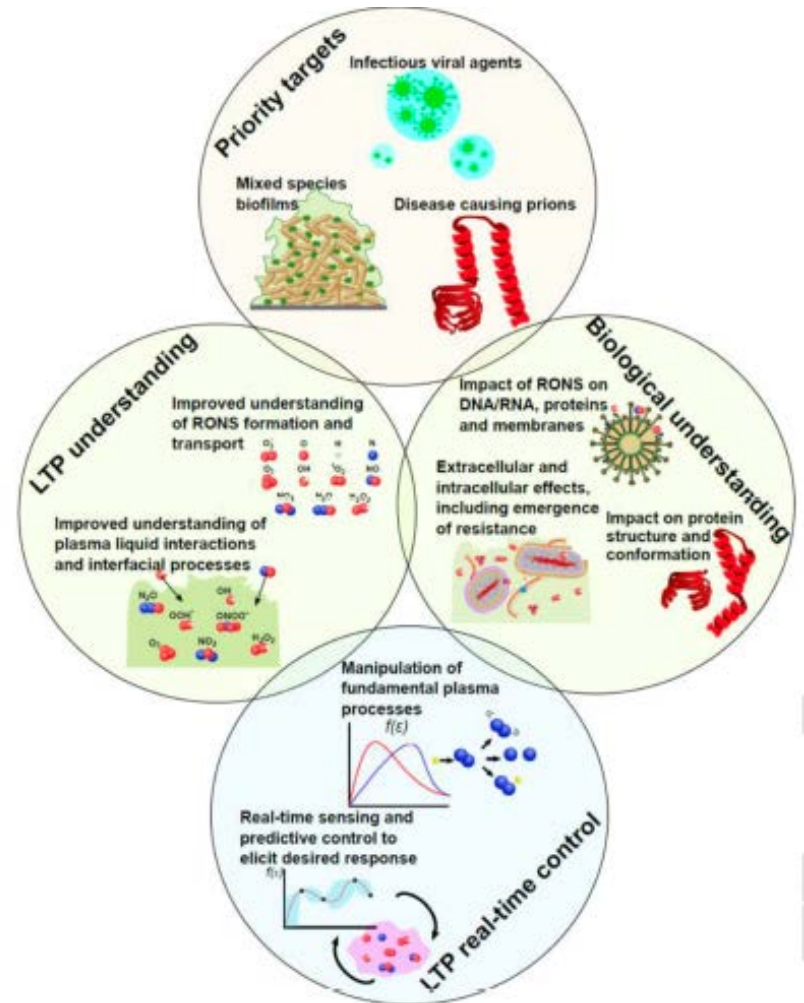
**Michael Keidar**

*The George Washington University*

Technical Meeting on Emerging Applications of Plasma Science and Technology

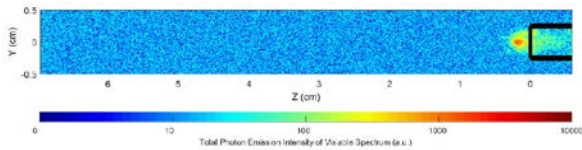
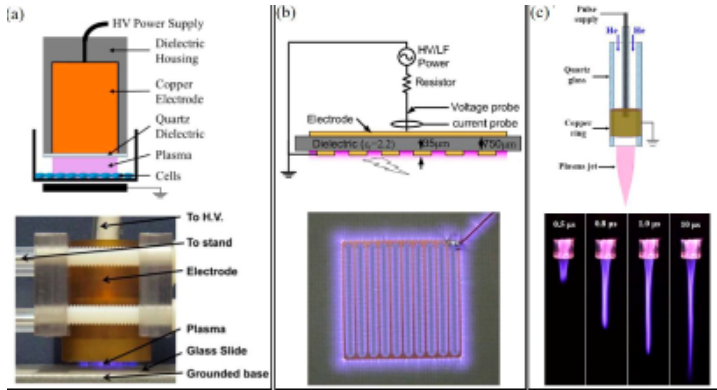
# Biomedical Applications

- Bacteria/virus inactivation
- Blood coagulation
- Wound healing
- Cancer therapy

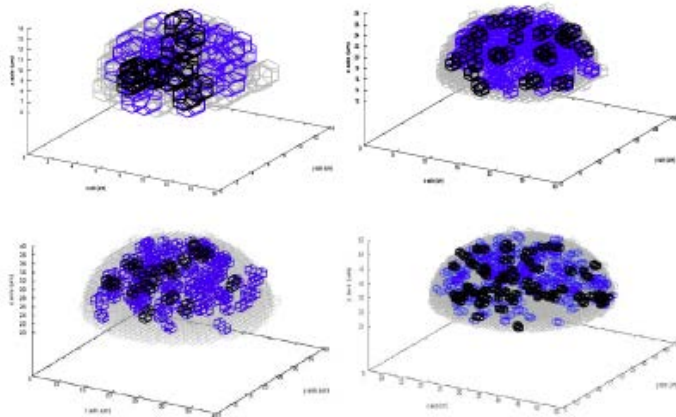


# Summary: Plasmas for Medicine

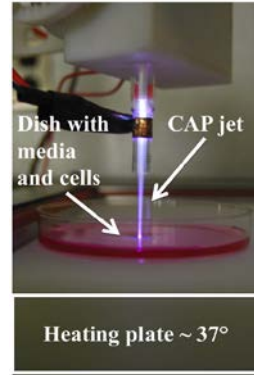
## Cold atmospheric plasmas



## Simulation of plasma interaction with solid tumor



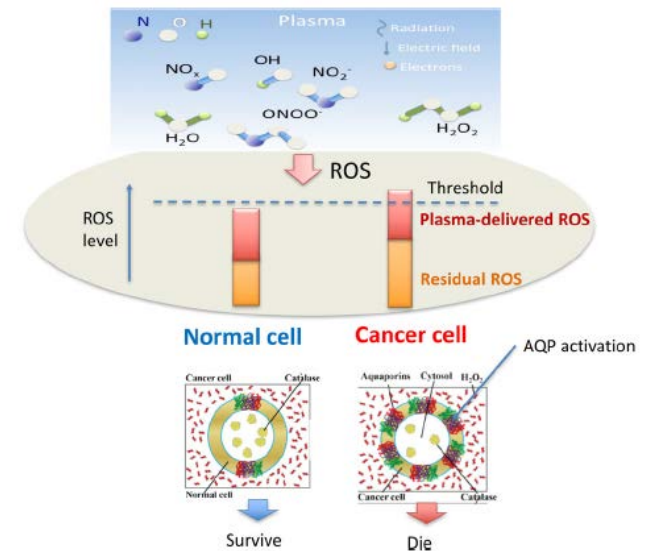
## In vitro



## In vivo



## Mechanism



# Clinical study

**Treatment of surgical margins was performed**

Rush University Medical Center applied USMI/GWU CAP device for treatment for pancreatic cancer, April 2017

20 new cases starting August 2019

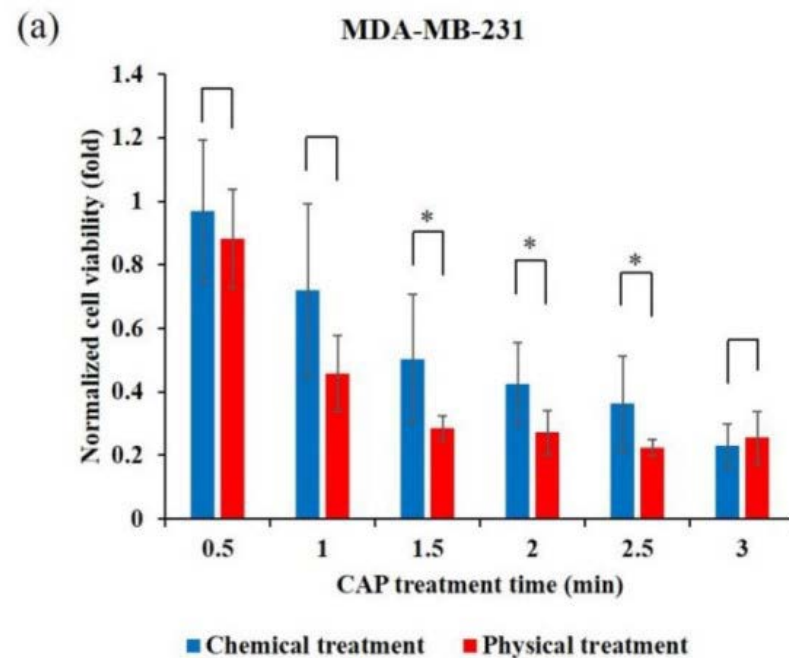
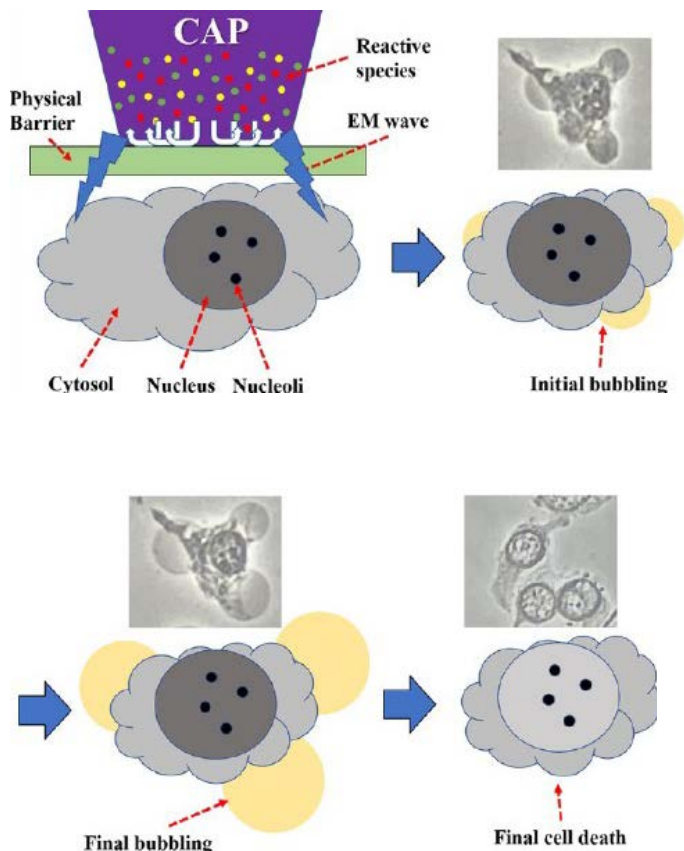
**JCRI-ABTS and USMI  
Successfully Complete Phase 1  
Multi-Center Clinical Trial Using  
Canady Helios™ Cold Plasma for  
the Treatment of Cancer**

April 20, 2021 10:30 AM EST



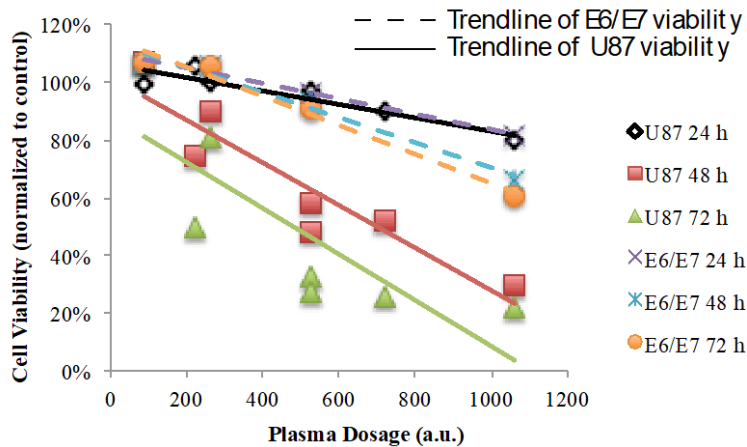


# Research Background: Plasma leads to cell death

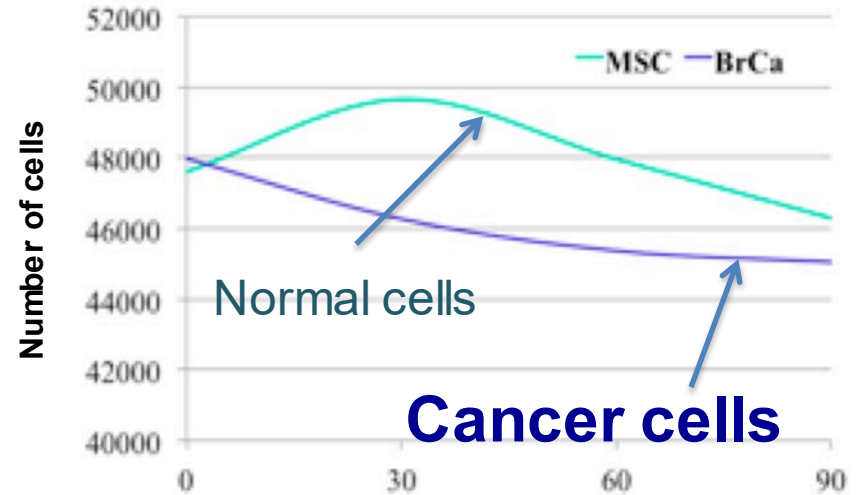


*Journal of Physics D: Applied Physics 2021, 54 (9), 095207*

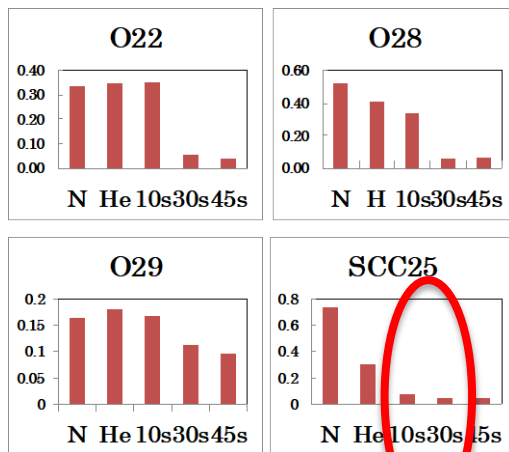
# Research Background: Selectivity



X. Cheng et al., PloS One, 2014

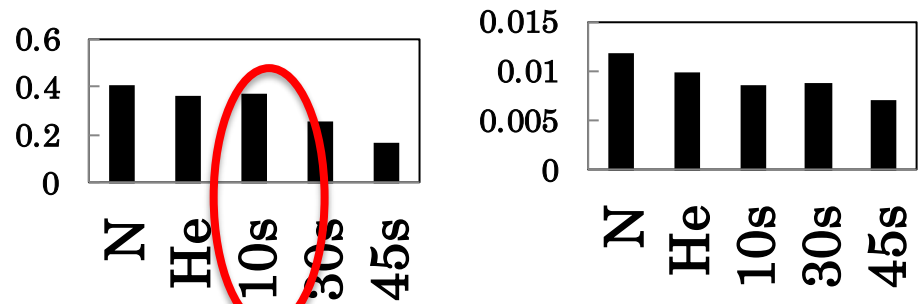


Wang et al, PloS One, 2013



Head and Neck Squamous Cell Carcinoma (HNSCC)

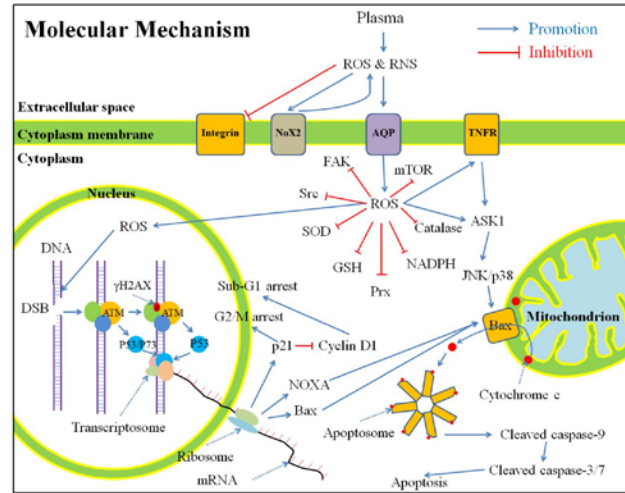
Rafael Guerrero-Preston et al, IJMM 2014



Normal oral cavity epithelium cell lines

# Plasma in biomedical application

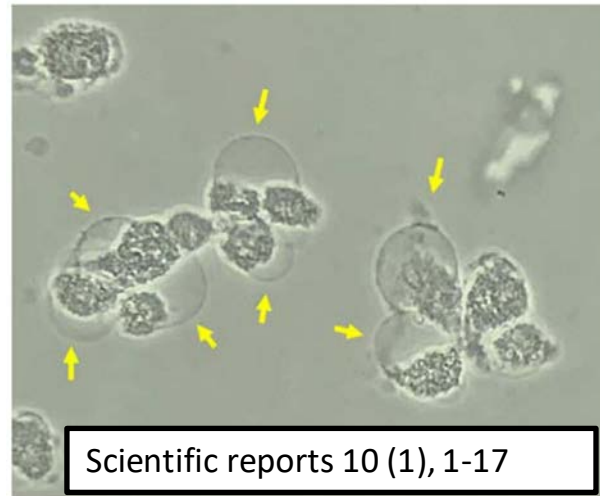
Reactive Oxygen & Nitrogen Species (RONS) leading to apoptosis



chemical

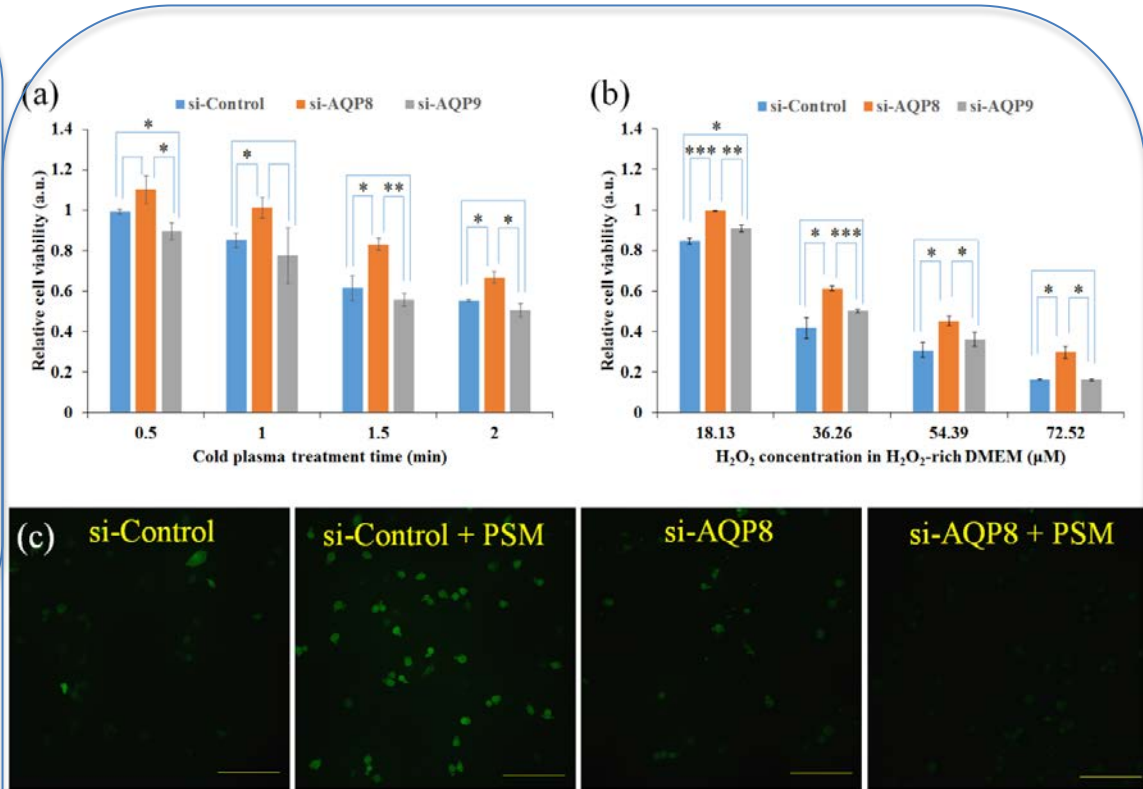
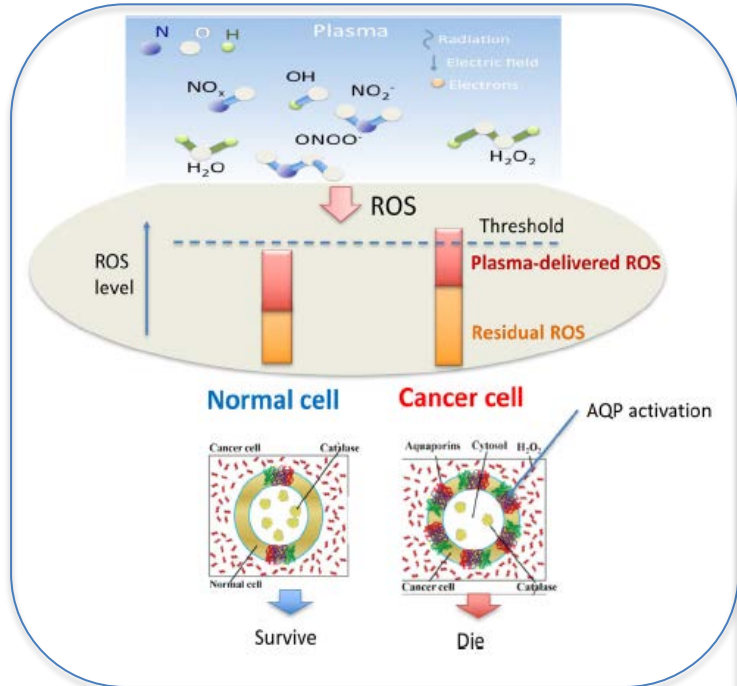
Oncotarget, 2017, Vol. 8, (No. 9), 15977

Physical effect: CAP treatment with cover on Petri dish (no RONS)

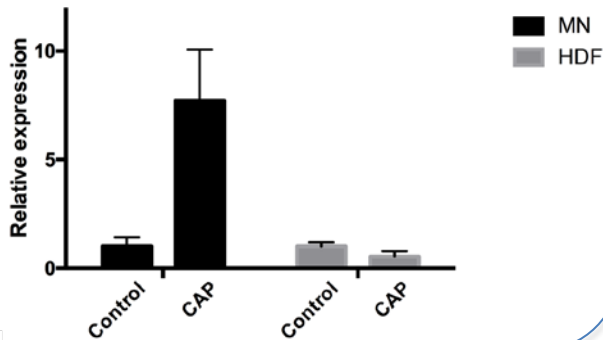


physical

# Mechanism of CAP action. Chemical pathway



## Plasma turn ON AQP



## Knocking down AQP8 and AQP9 weaken the CAP action

Keidar, Phys Plasmas, 2018

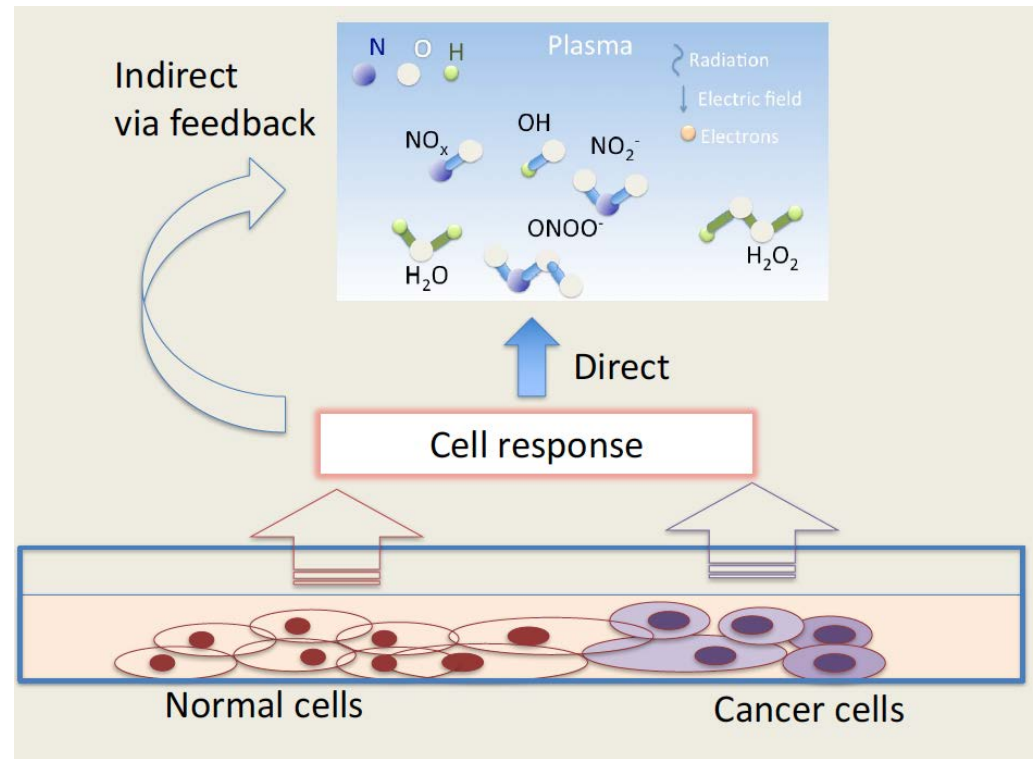
Keidar, Ed, Plasma Cancer Therapy, 2020, Springer

Yan et al., J. Phys D., 2016

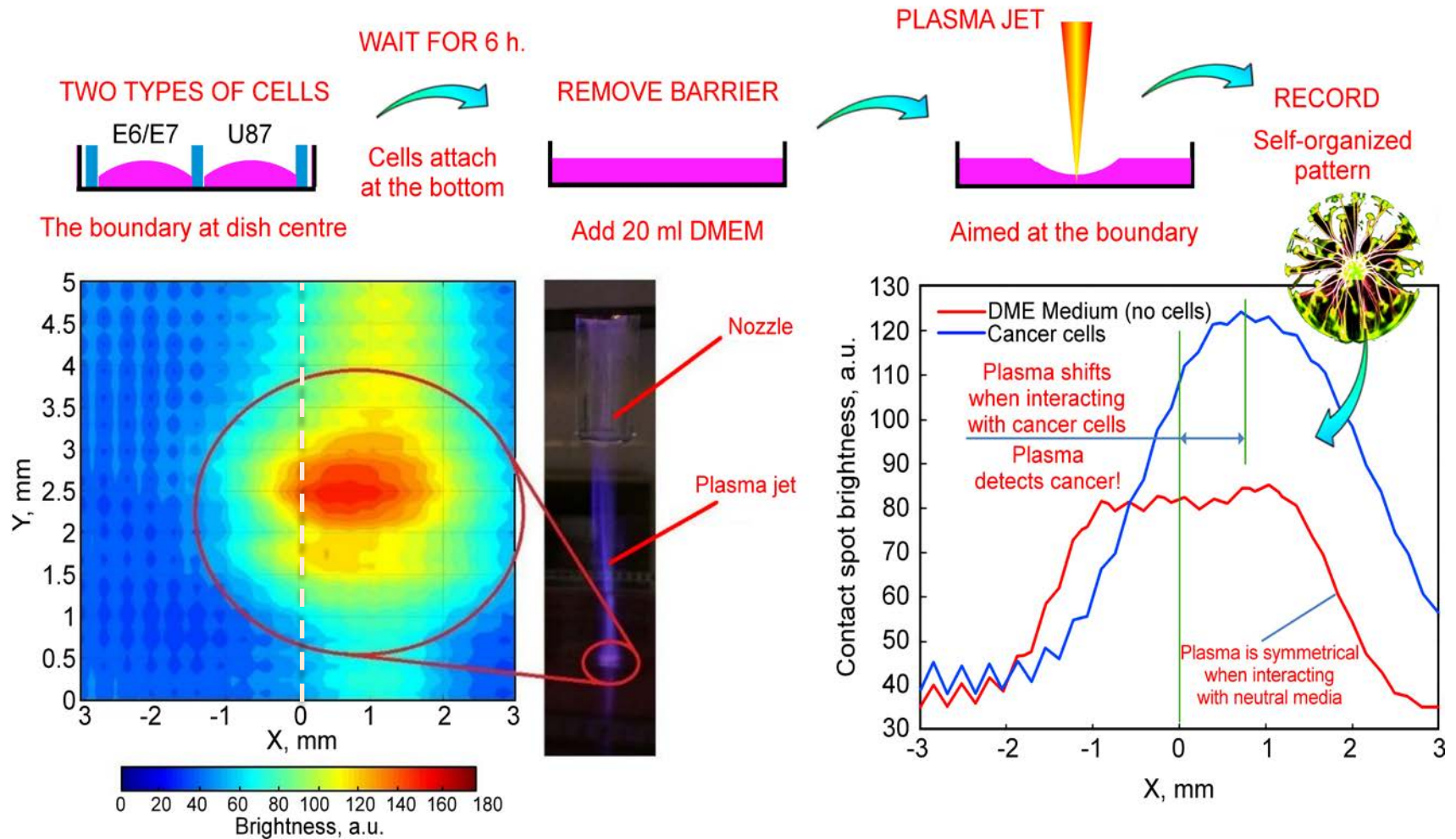


# Adaptive plasma platform for cancer therapy

Uniqueness of plasma is its ability to change its composition and key parameters on demand, dependent on specific requirements of diseased cells

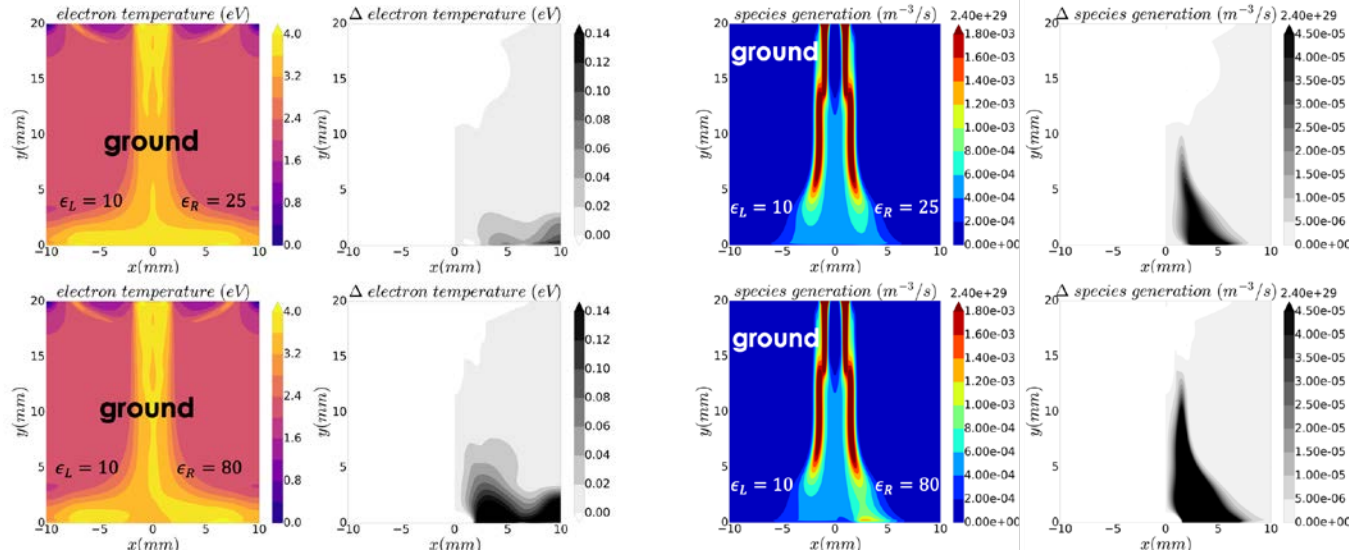


# Self-adaptive ?

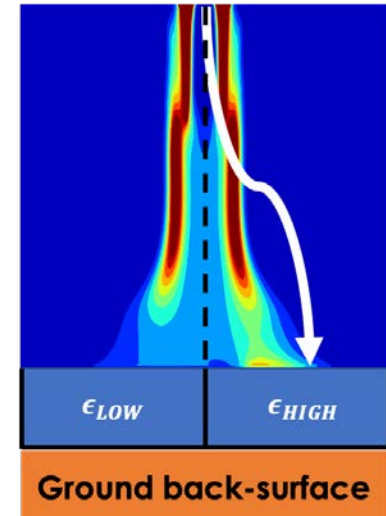
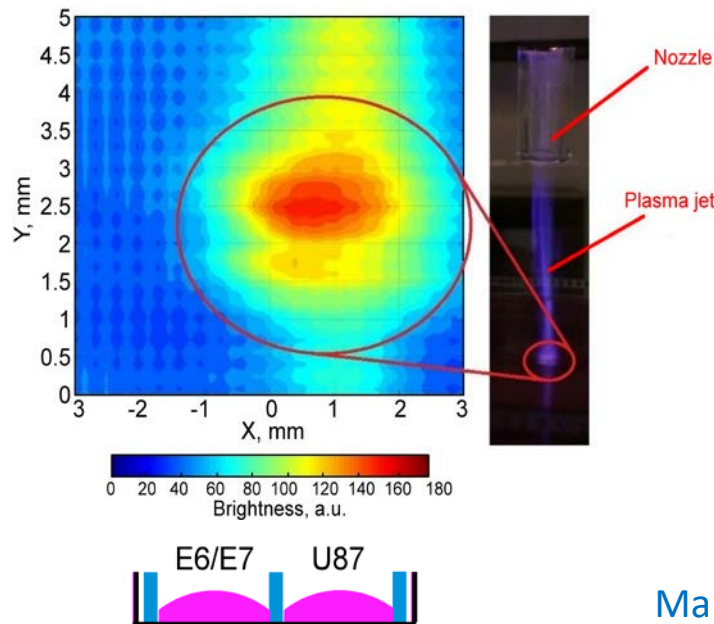
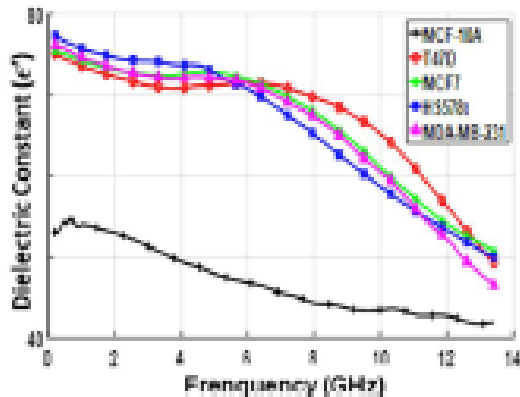


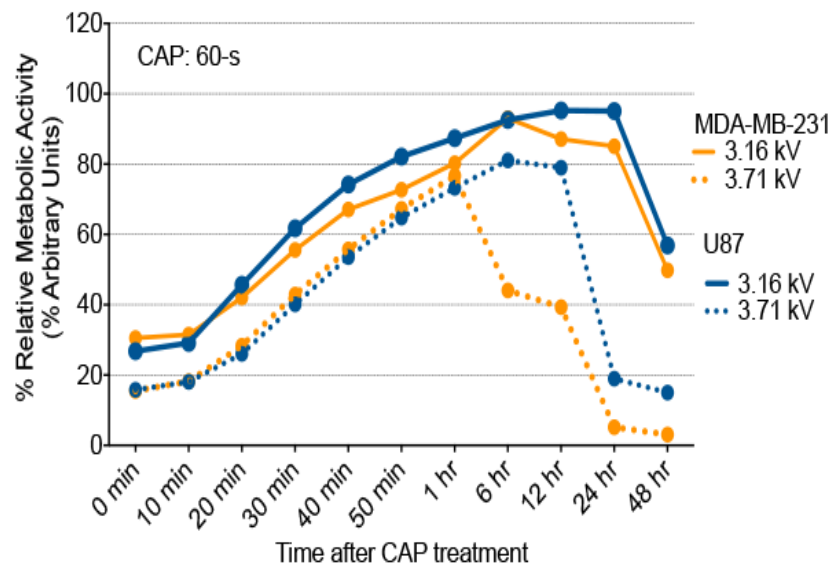
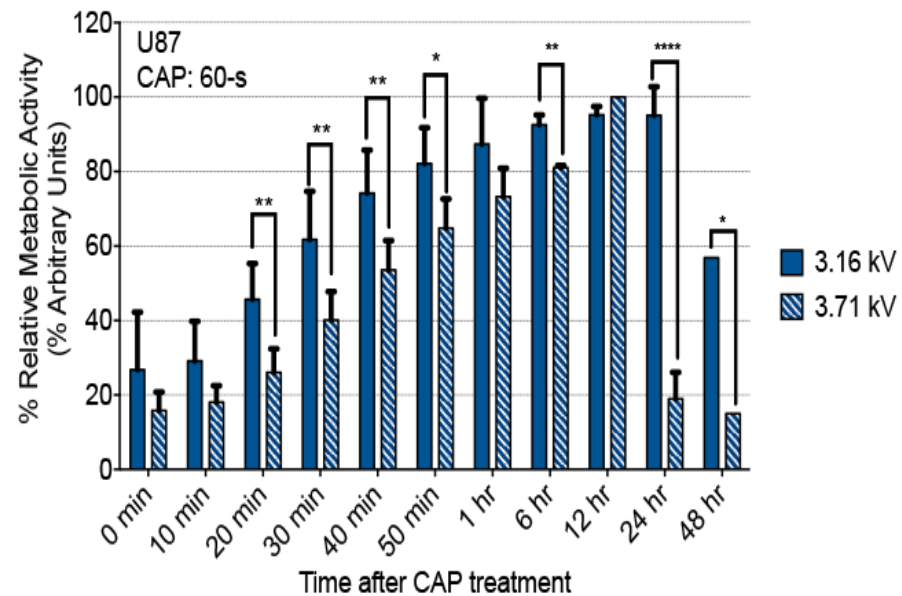
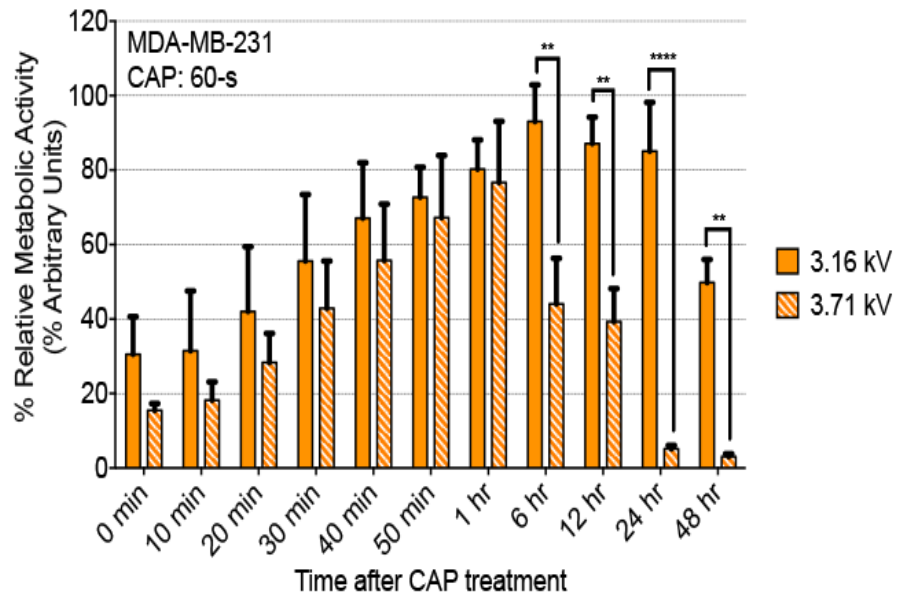
grounded copper plate beneath the dish

# Self-organization simulation



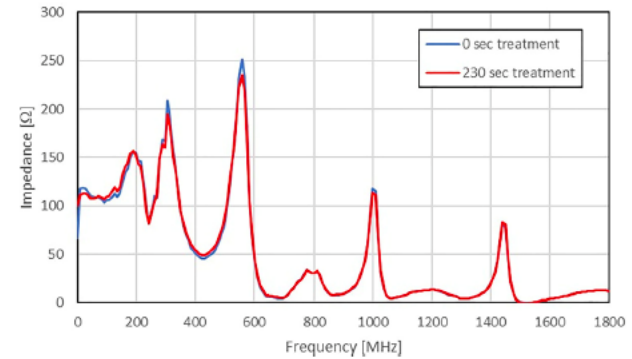
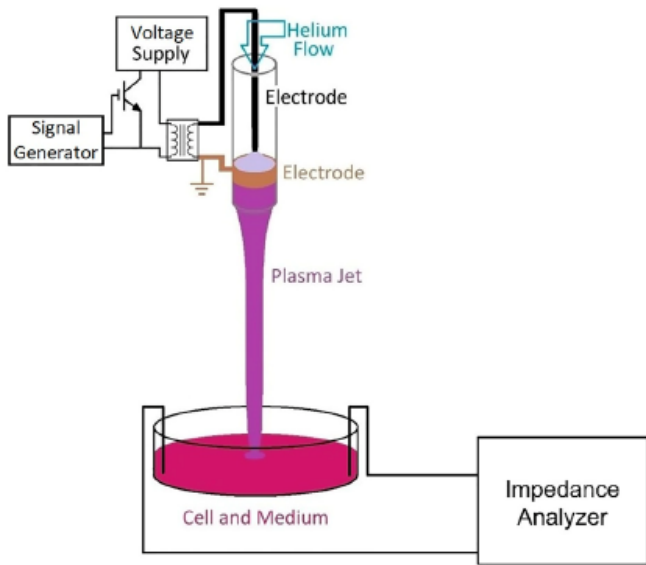
Four breast cancer cell lines exhibit higher dielectric constant when compared to healthy cells



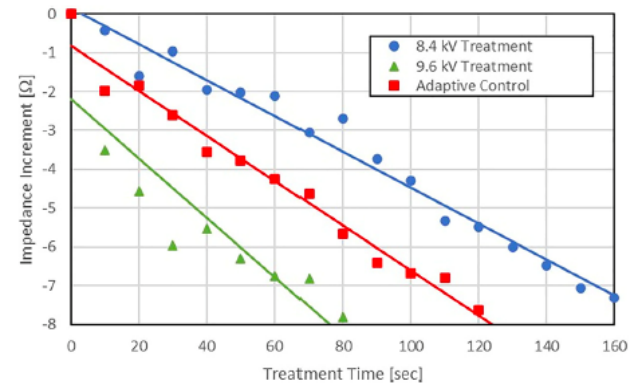




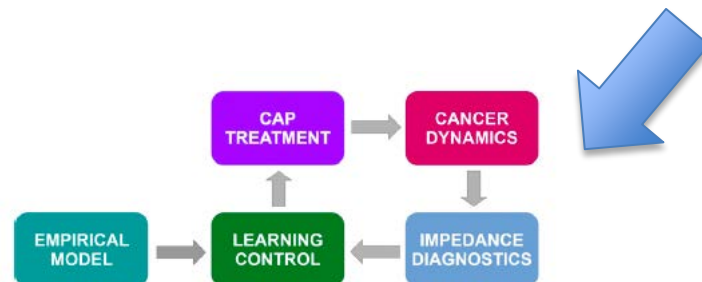
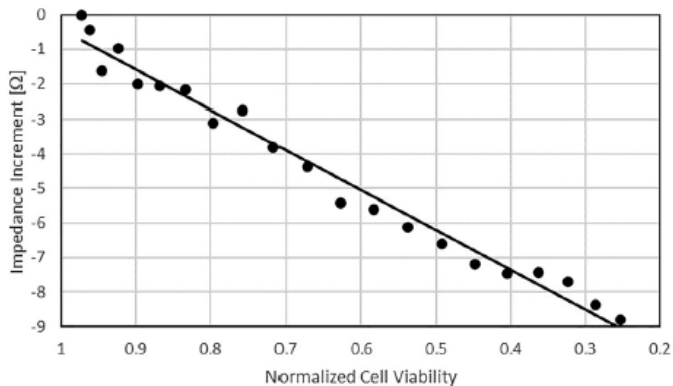
# Example of adaptive treatment via electrical impedance spectroscopy



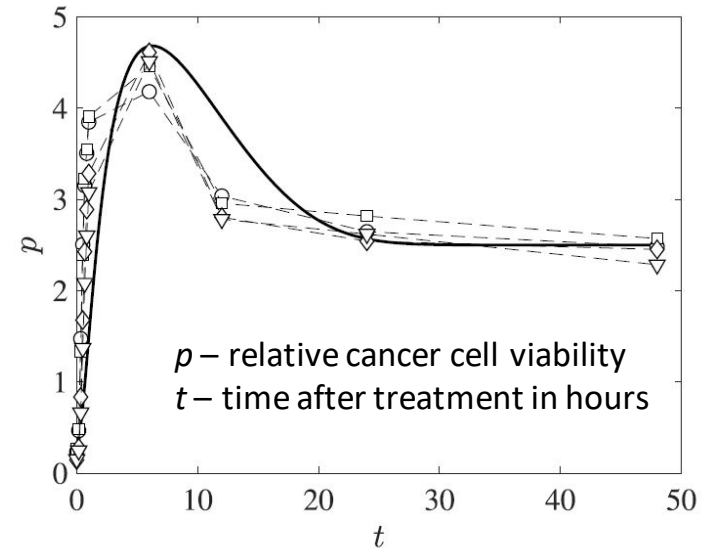
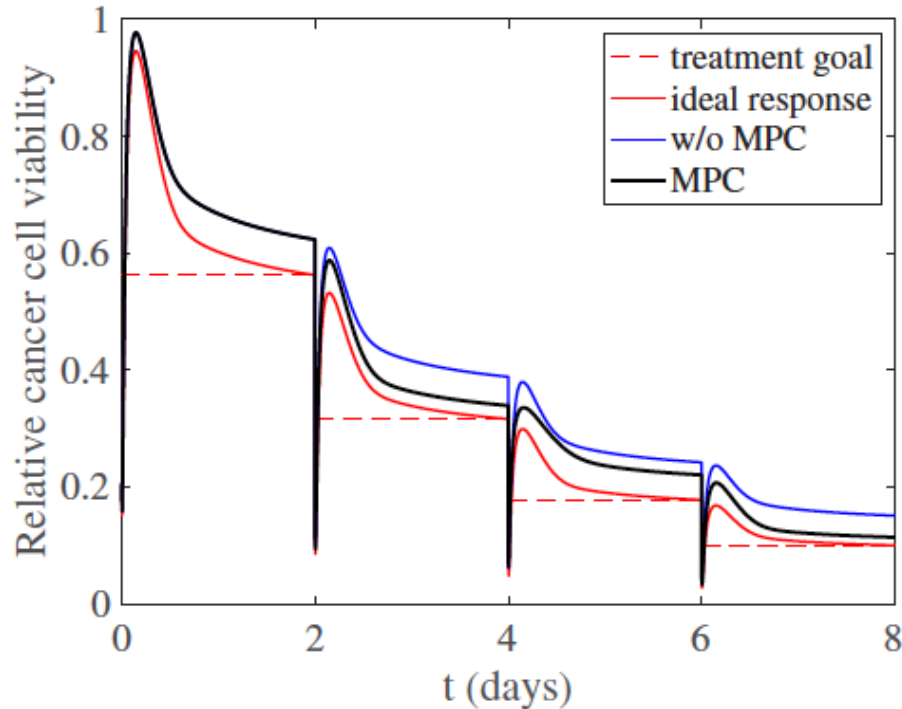
## Demonstration of adaptive control



## EIS –cell viability correlation



# Model predictive control (MPC) limitations



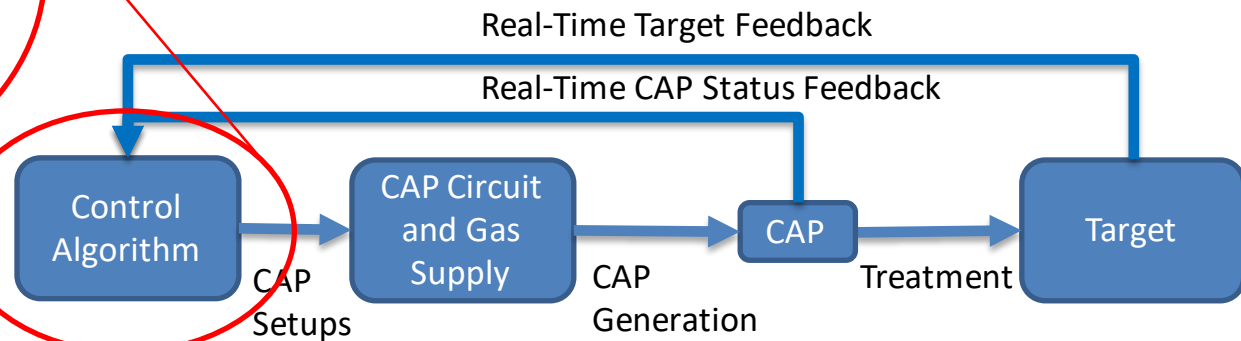
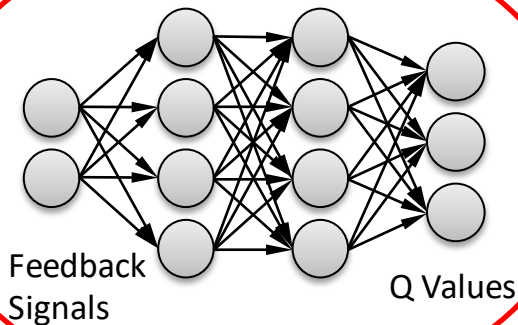
- Due to the complexity of plasma-target system:
  - The control algorithm should consider multiple status variables and CAP setup parameters.
  - MPC may encounter difficulties during the fitting due to the simplicity of the model.

# ANN and Deep Q Learning

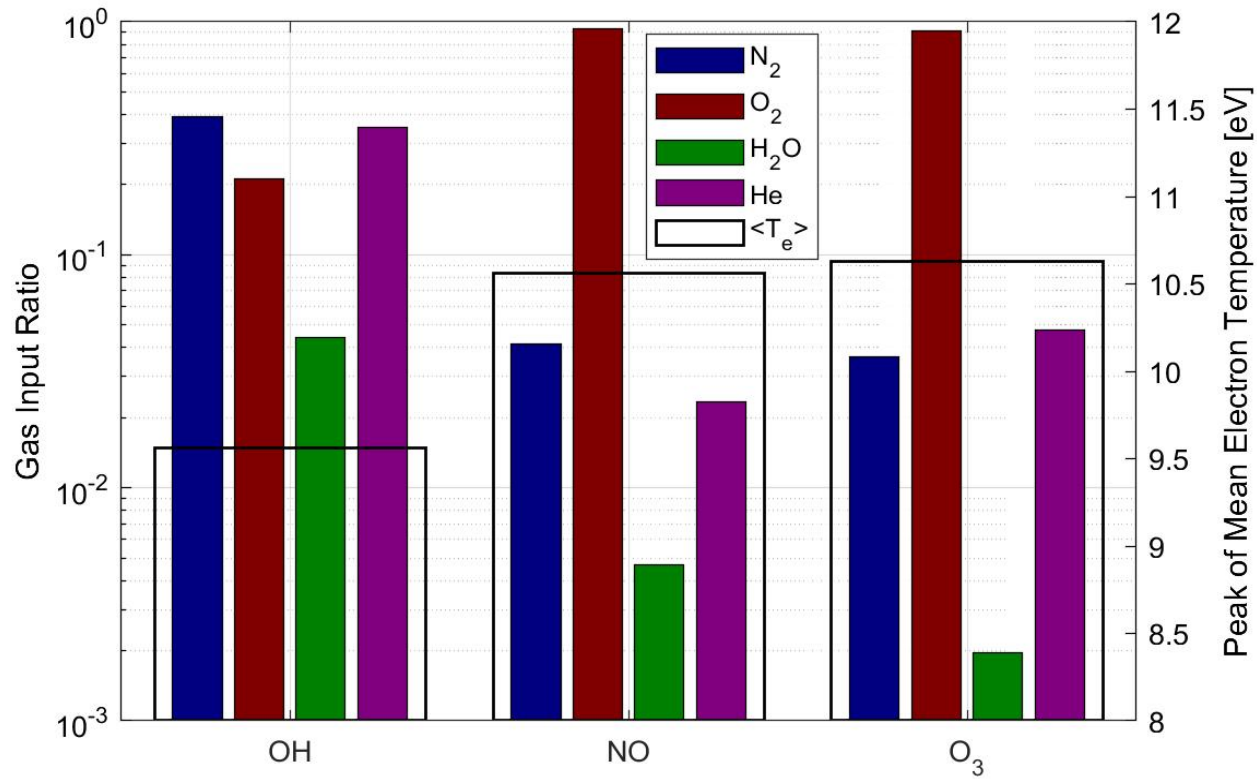
Artificial neural network (ANN) can be considered to replace the mathematical model.

- ANN as a function: computes output vector based on multiple weighted linear combinations of input vector. Thus, it can represent a very complicated function.
- Training ANN: updating weights = curve fitting an MPC model

- Each neuron at the output layer represents an action such as increasing the discharge voltage, decreasing the gas flow rate, etc.
- The action with the highest Q value will be selected to operate



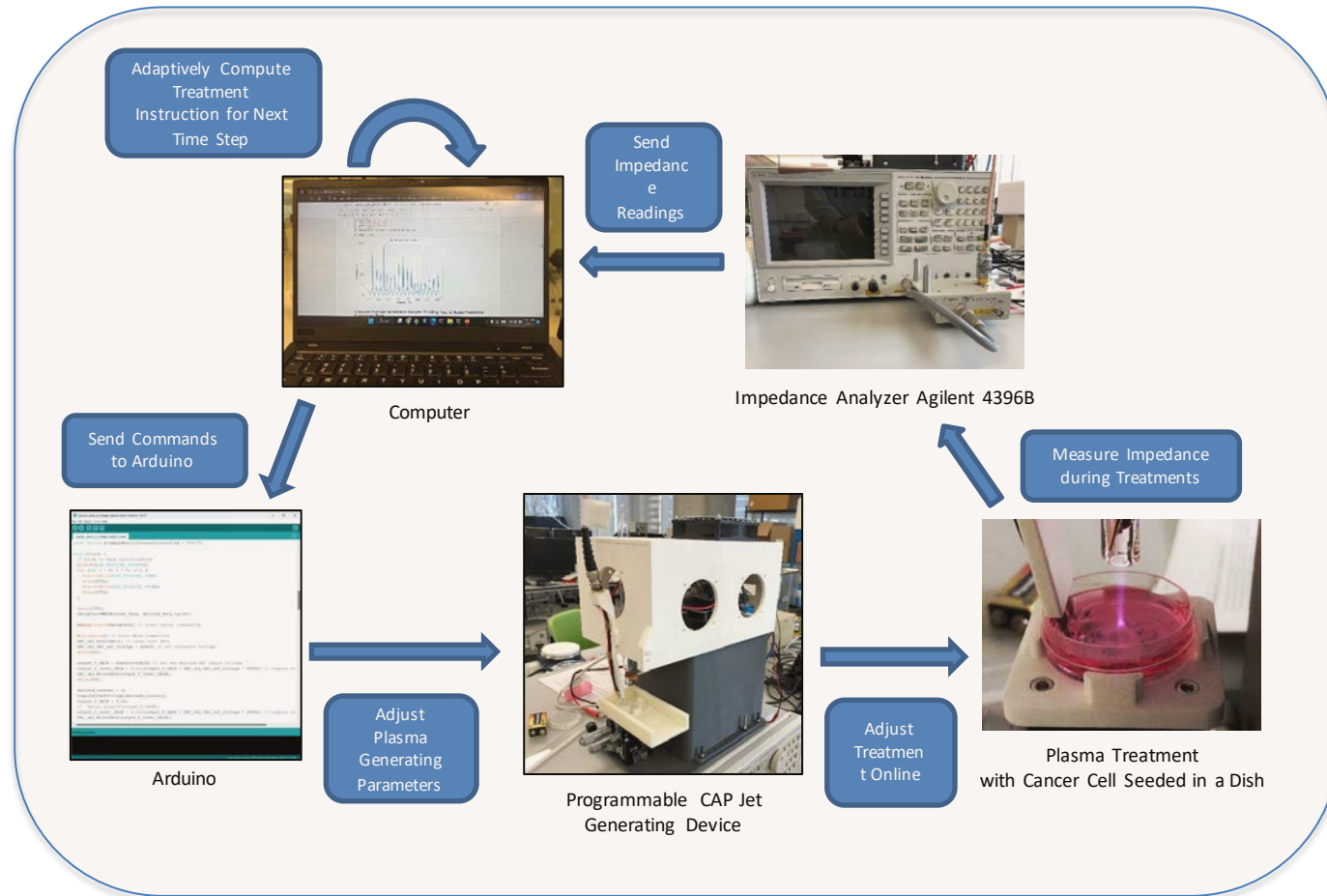
# Plasma composition optimization using ANN



**Cancer therapy**    **Wound healing**    **Decontamination**



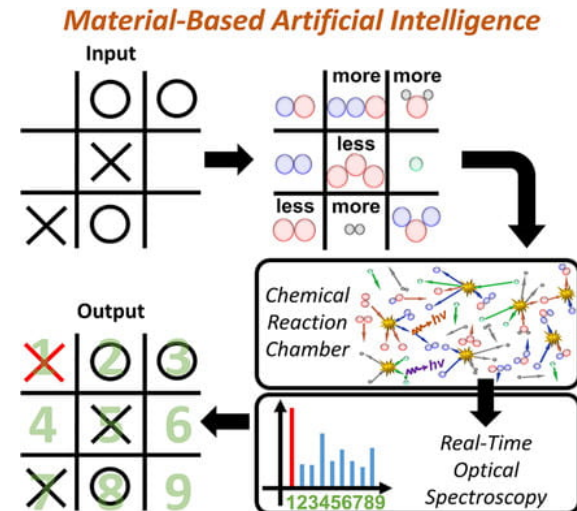
# State of the art: adaptive CAP jet device





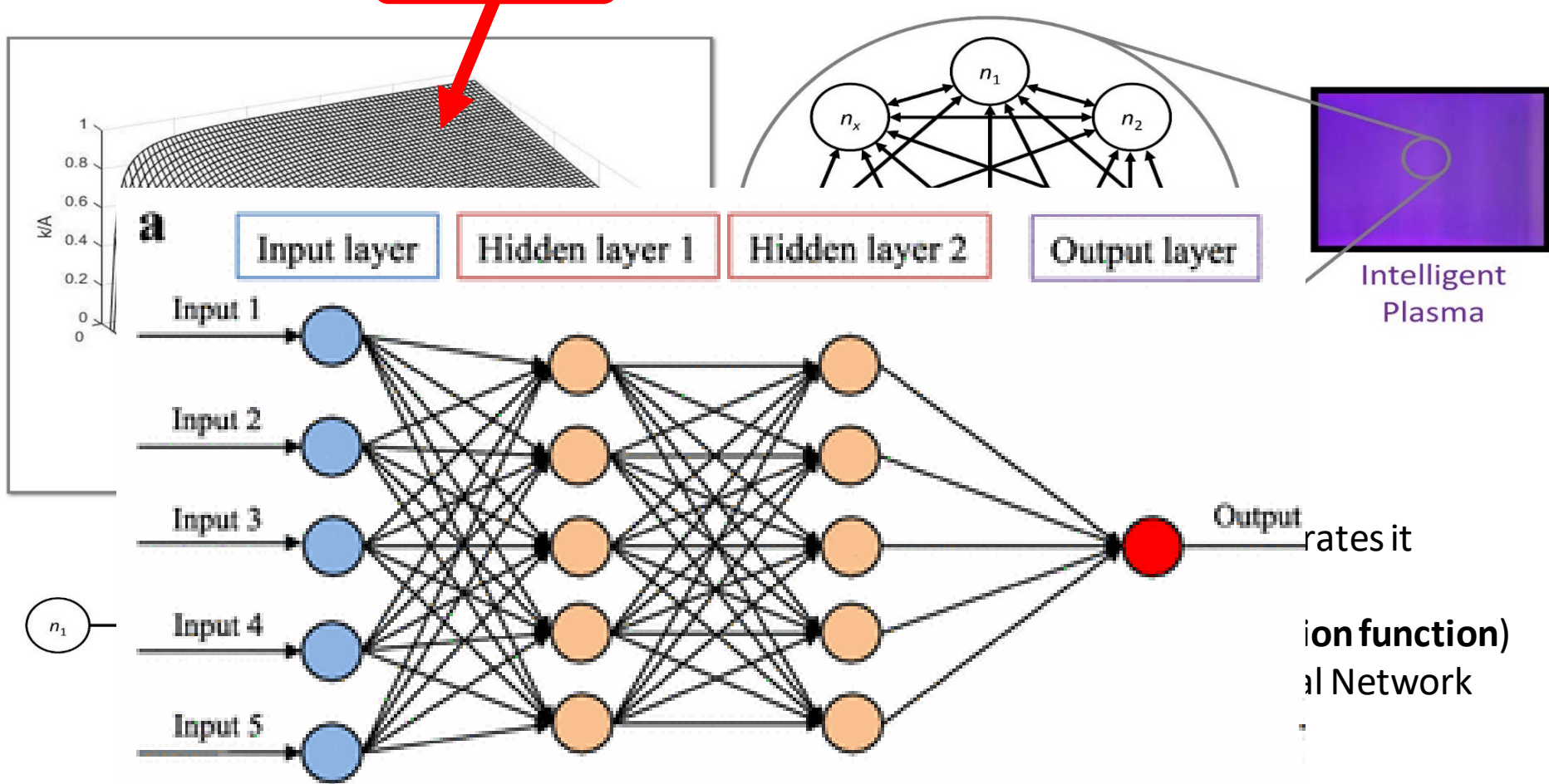
# Intelligent Plasma

- The plasma itself can be a programmable intelligent material
- Train a low-temperature plasma system to play a board game Tic-Tac-Toe



# Chemical Pathway Network (CPN)

$$\Psi_{output} = \Psi_{input} + \mathcal{F}(T, \Psi_{input}) dt$$



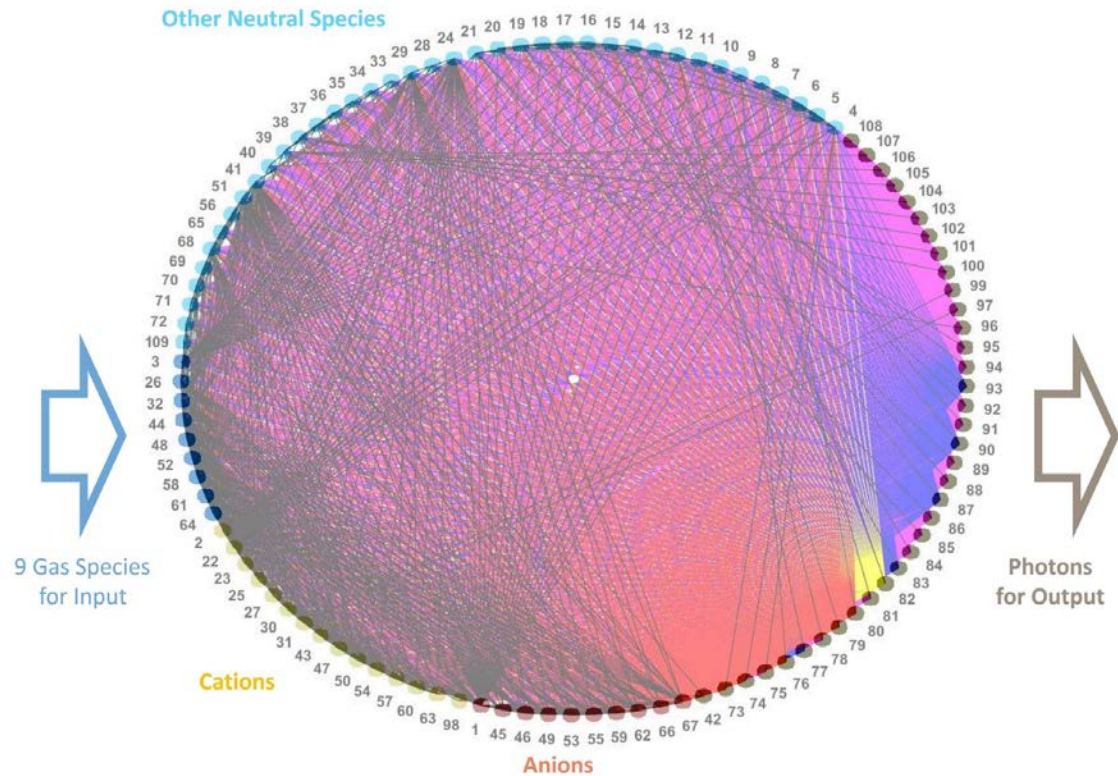
**Similar to Artificial Neural Networks**



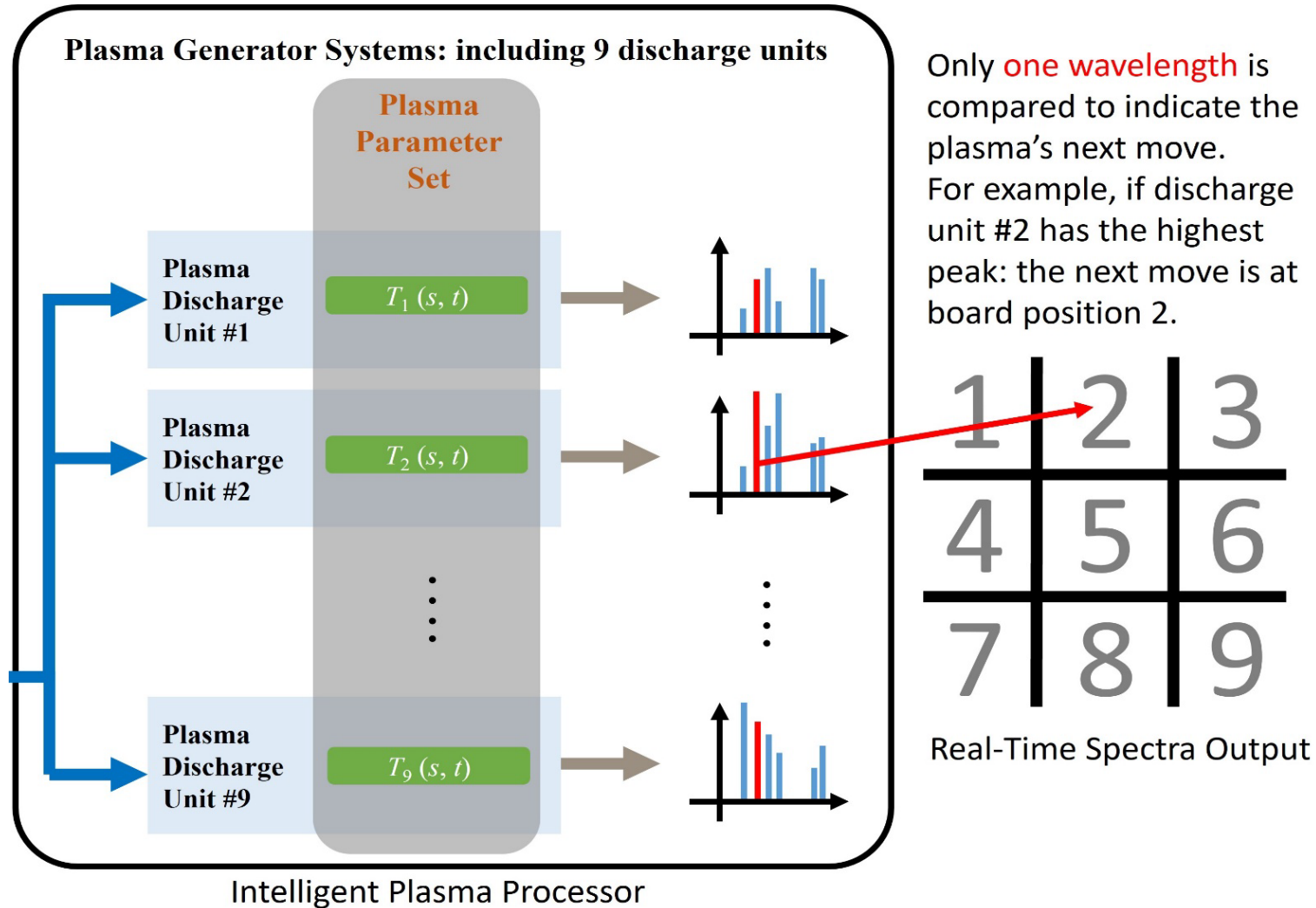
# Main Species in a helium-air plasma

Index	Species	Index	Species	Index	Species	Index	Species
1	e	28	M	55	OH	82	hv(587.56 nm)
2	He <sup>+</sup>	29	N	56	OH(A <sup>2</sup> Σ <sub>v</sub> 0)	83	hv(504.77 nm)
3	He	30	N <sub>2</sub> <sup>+</sup>	57	NO <sup>+</sup>	84	hv(211.37 nm)
4	He(2 <sup>1</sup> P)	31	N <sub>2</sub> <sup>+</sup> (B <sup>2</sup> Σ <sub>u</sub> v0)	58	NO	85	hv(396.47 nm)
5	He(2 <sup>3</sup> P)	32	N <sub>2</sub>	59	NO <sup>-</sup>	86	hv(52.22 nm)
6	He(2 <sup>1</sup> S)	33	N <sub>2</sub> (B <sup>3</sup> Π <sub>g</sub> v0)	60	NO <sub>2</sub> <sup>+</sup>	87	hv(492.19 nm)
7	He(2 <sup>3</sup> S)	34	N <sub>2</sub> (B <sup>3</sup> Π <sub>g</sub> v1)	61	NO <sub>2</sub>	88	hv(190.89 nm)
8	He(3 <sup>3</sup> S)	35	N <sub>2</sub> (B <sup>3</sup> Π <sub>g</sub> v2)	62	NO <sub>2</sub> <sup>-</sup>	89	hv(186.97 nm)
9	He(3 <sup>1</sup> S)	36	N <sub>2</sub> (B <sup>3</sup> Π <sub>g</sub> v3)	63	N <sub>2</sub> O <sup>+</sup>	90	hv(471.32 nm)
10	He(3 <sup>3</sup> P)	37	N <sub>2</sub> (B <sup>3</sup> Π <sub>g</sub> v4)	64	N <sub>2</sub> O	91	hv(211.25 nm)
11	He(3 <sup>1</sup> D)	38	N <sub>2</sub> (C <sup>3</sup> Π <sub>u</sub> v0)	65	HNO <sub>3</sub>	92	hv(318.77 nm)
12	He(3 <sup>1</sup> P)	39	N <sub>2</sub> (C <sup>3</sup> Π <sub>u</sub> v1)	66	N <sub>2</sub> O <sup>-</sup>	93	hv(447.15 nm)
13	He(3 <sup>3</sup> D)	40	N <sub>2</sub> (C <sup>3</sup> Π <sub>u</sub> v2)	67	NO <sub>3</sub> <sup>-</sup>	94	hv(170.02 nm)
14	He(4 <sup>3</sup> S)	41	O	68	NO <sub>3</sub>	95	hv(186.85 nm)
15	He(4 <sup>1</sup> S)	42	hv(777.4 nm)	69	HNO	96	hv(308.9 nm)
16	He(4 <sup>3</sup> P)	43	O <sup>+</sup>	70	HO <sub>2</sub>	97	hv(391.44 nm)
17	He(4 <sup>1</sup> D)	44	O <sub>2</sub>	71	H <sub>2</sub> O <sub>2</sub>	98	N <sub>2</sub> <sup>+</sup> (X <sup>2</sup> Σ <sub>g</sub> v1)
18	He(4 <sup>3</sup> D)	45	O <sup>-</sup>	72	HNO <sub>2</sub>	99	hv(427.81 nm)
19	He(4 <sup>1</sup> F)	46	O <sub>2</sub> <sup>-</sup>	73	hv(1083 nm)	100	hv(337.13 nm)
20	He(4 <sup>3</sup> F)	47	O <sub>2</sub> <sup>+</sup>	74	hv(2058.7 nm)	101	hv(357.69 nm)
21	He(4 <sup>1</sup> P)	48	O <sub>3</sub>	75	hv(58.43 nm)	102	hv(380.49 nm)
22	He <sub>2</sub> <sup>+</sup>	49	O <sub>3</sub> <sup>-</sup>	76	hv(728.14 nm)	103	hv(405.94 nm)
23	H <sup>+</sup>	50	H <sub>2</sub> O <sup>+</sup>	77	hv(501.57 nm)	104	hv(315.93 nm)
24	H	51	OH	78	hv(53.7 nm)	105	hv(353.67 nm)
25	H <sub>2</sub> <sup>+</sup>	52	H <sub>2</sub> O	79	hv(667.82 nm)	106	hv(375.54 nm)
26	H <sub>2</sub>	53	H <sup>-</sup>	80	hv(706.52 nm)	107	hv(399.85 nm)
27	N <sup>+</sup>	54	OH <sup>+</sup>	81	hv(388.86 nm)	108	hv(371.05 nm)
				109	N <sub>2</sub> O <sub>5</sub>		

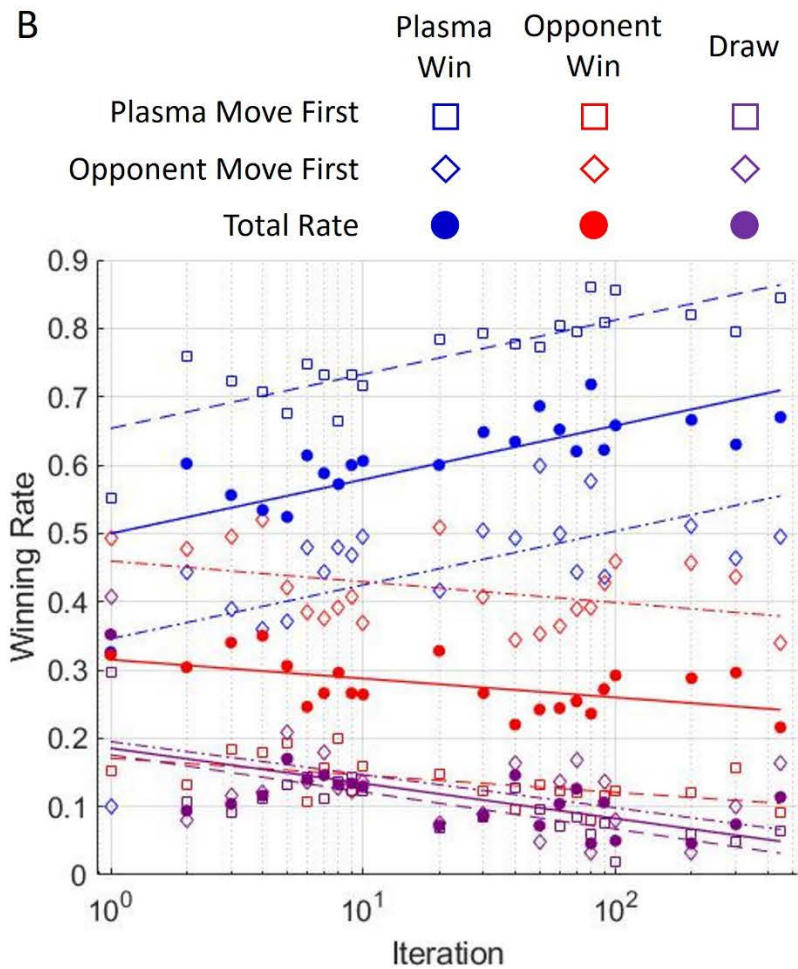
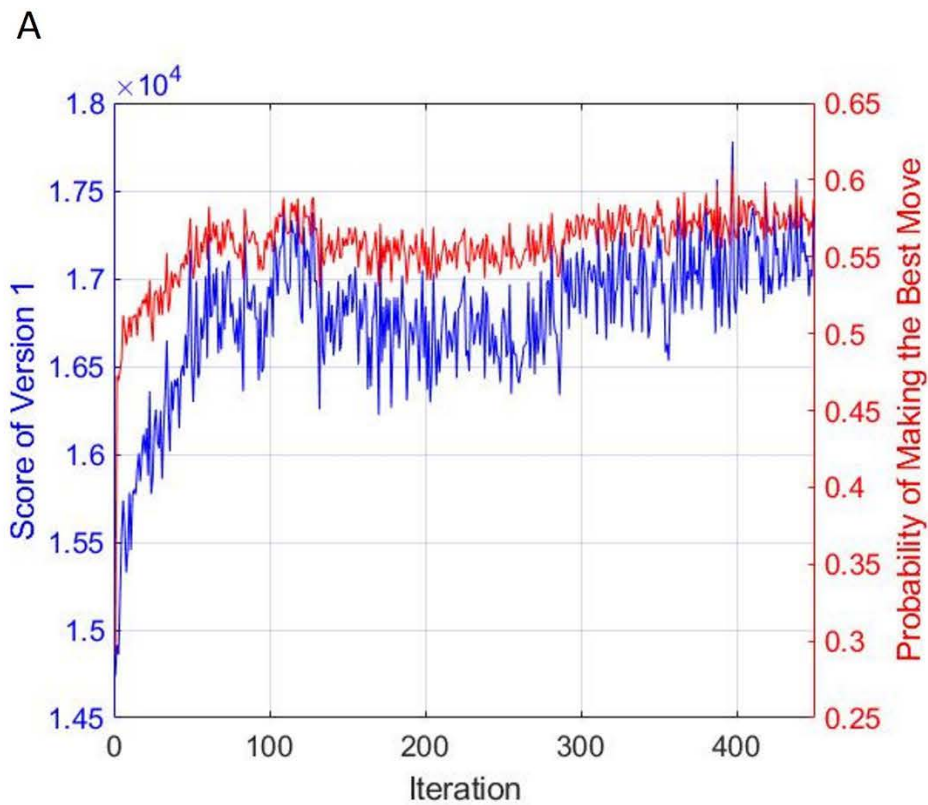
Chemical Pathway Network (CPN) of a helium-air plasma



# Train the plasma to play a boardgame

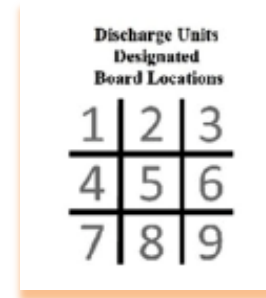
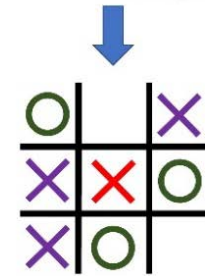
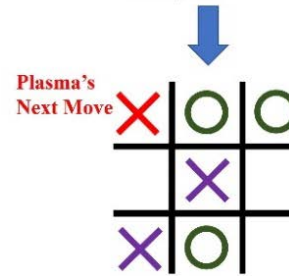
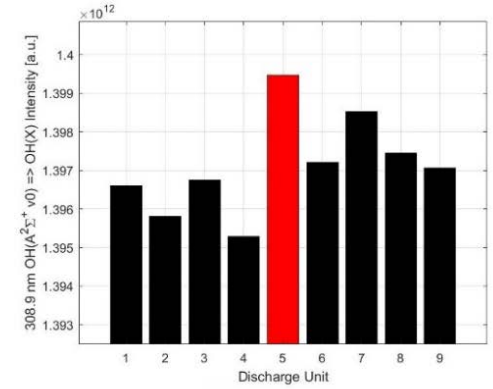
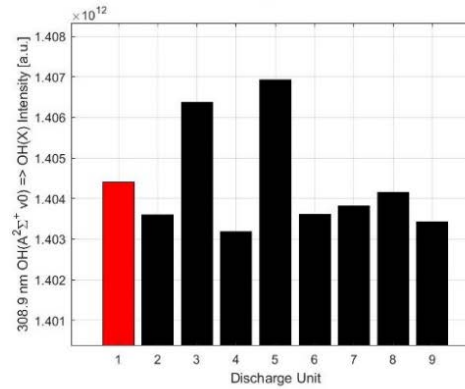
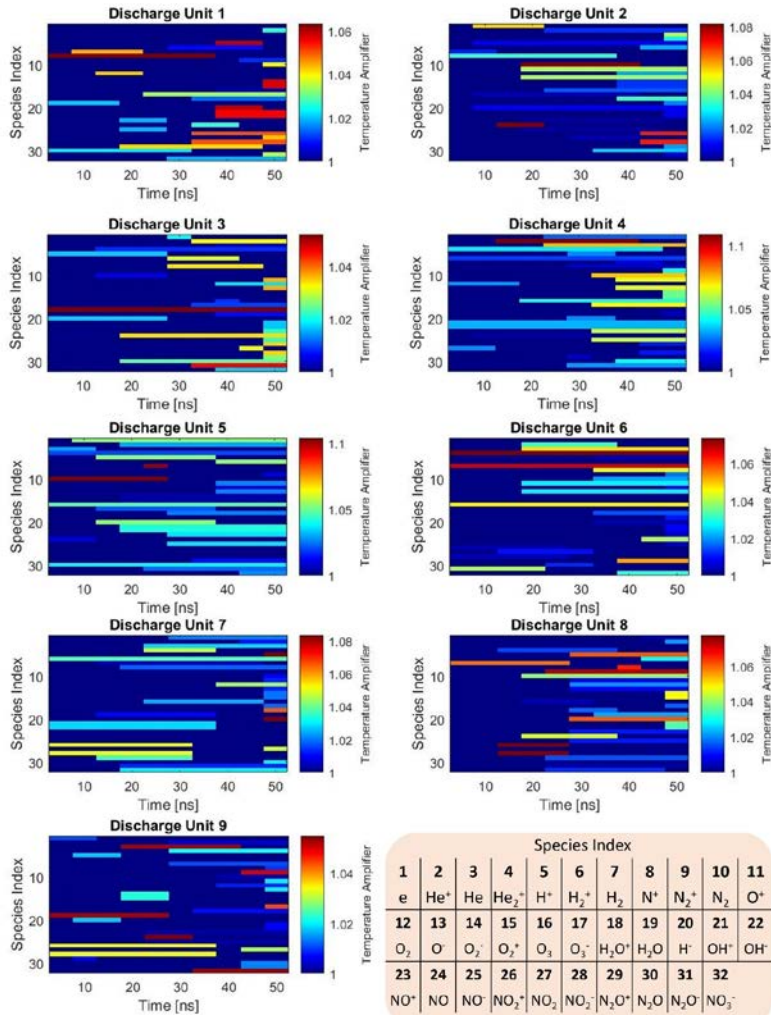


# Training Progress





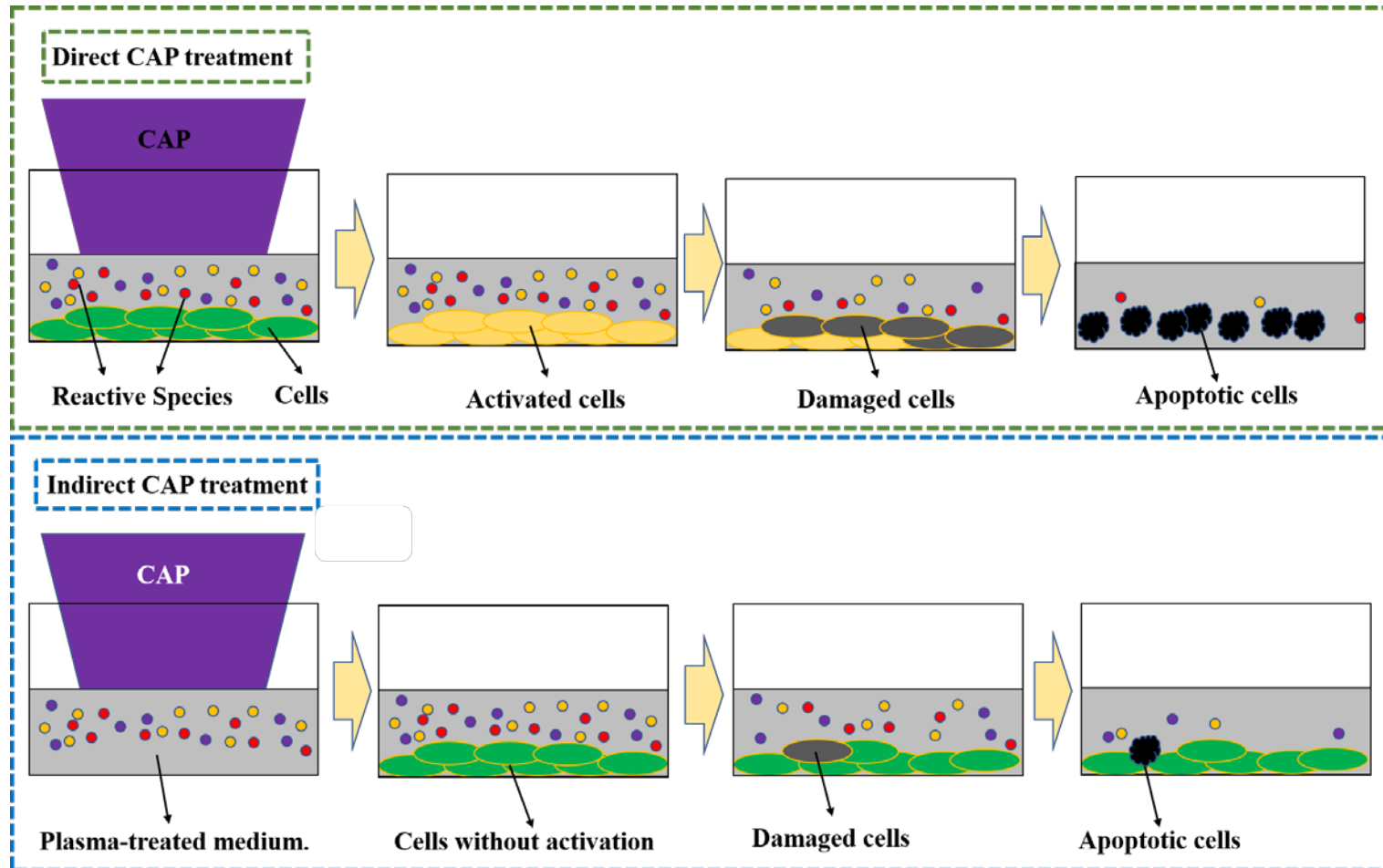
# Examples



**New plasma modality**

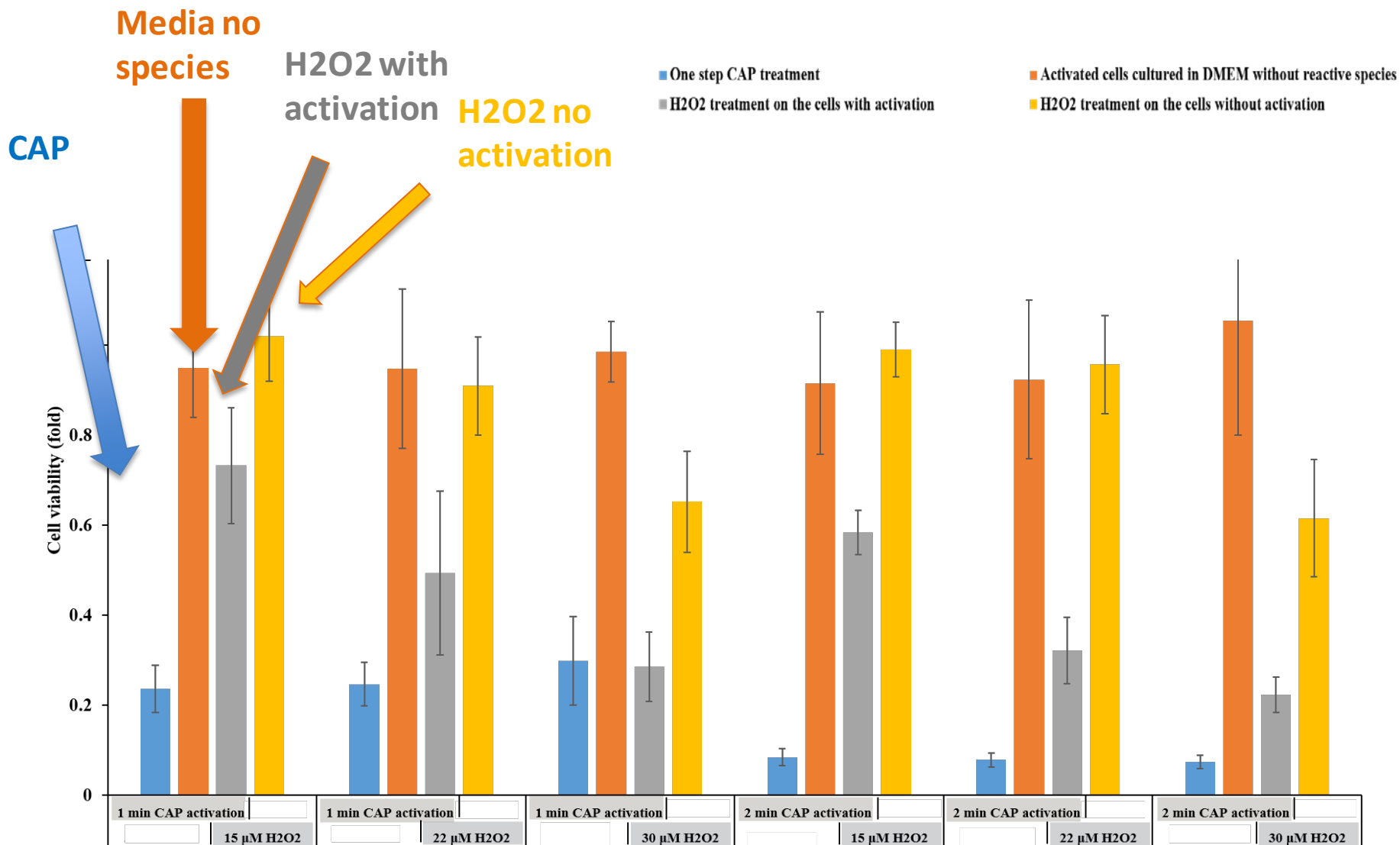
# Treatment via physical barrier.

## The activation state of the CAP-treated cancer cells

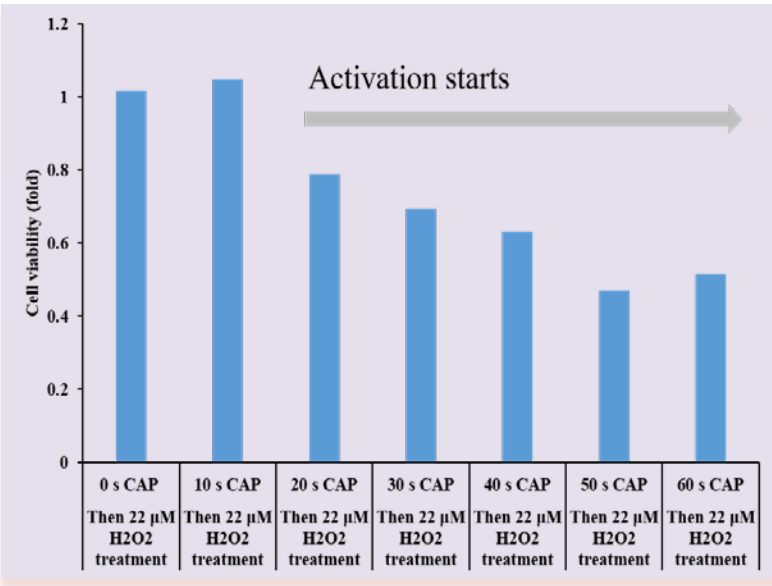




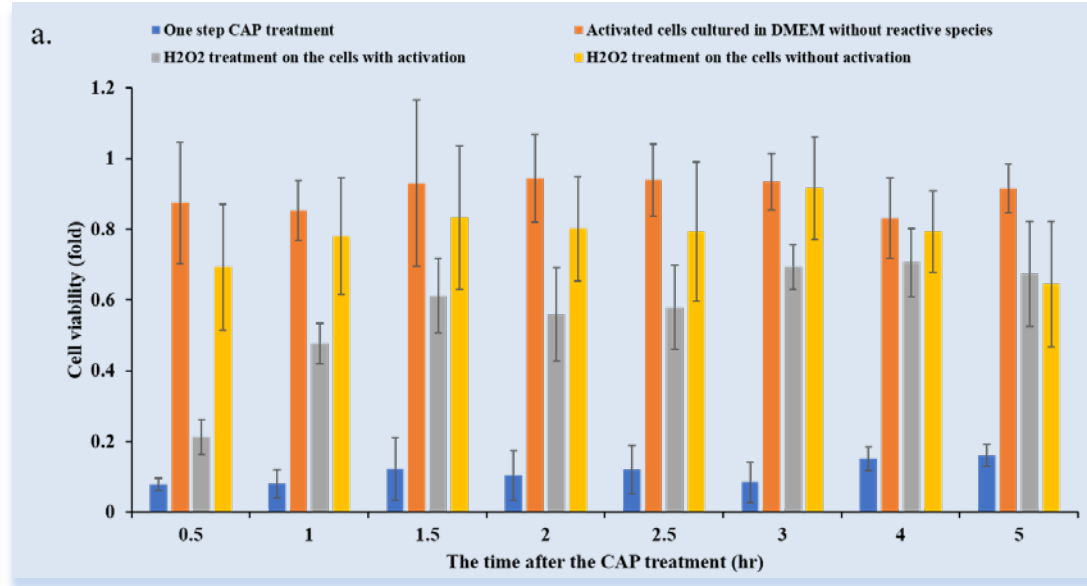
# Activation state of the CAP-treated cells



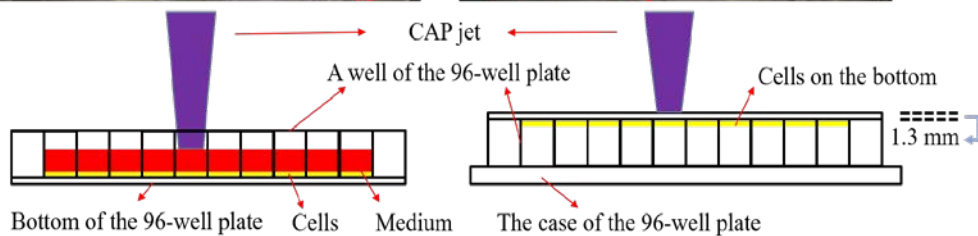
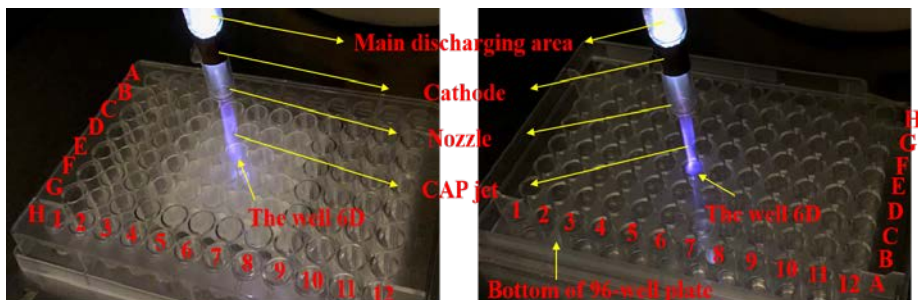
# Sensitization



# The slow de-sensitization

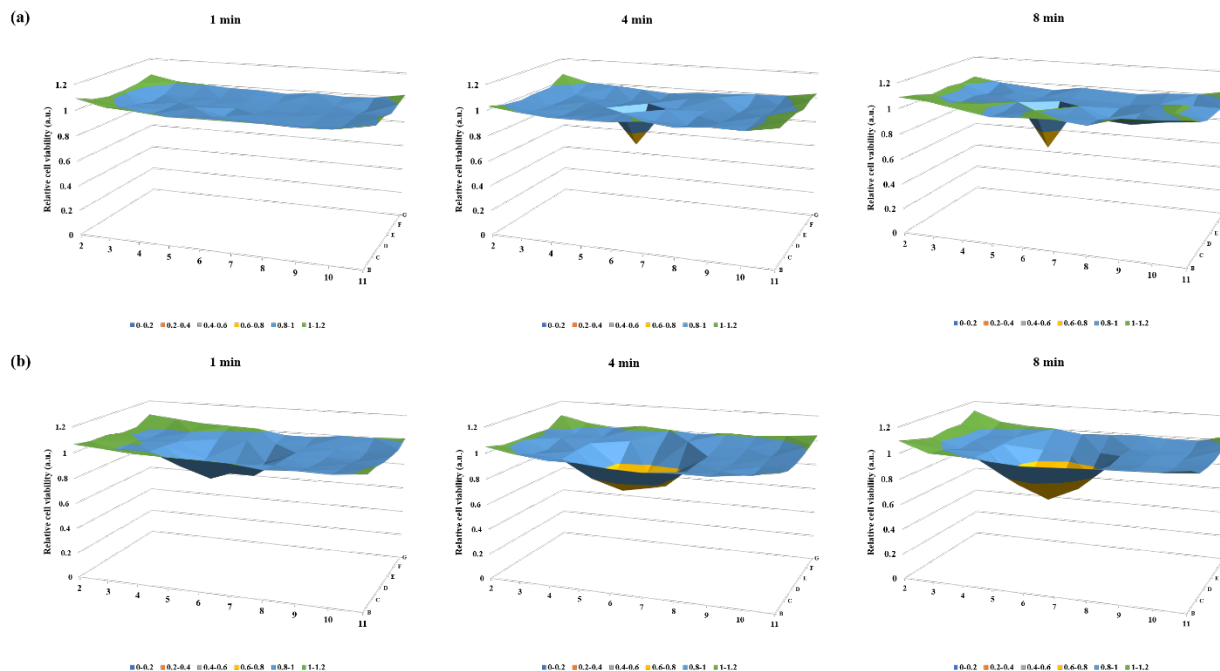


# Physical treatment



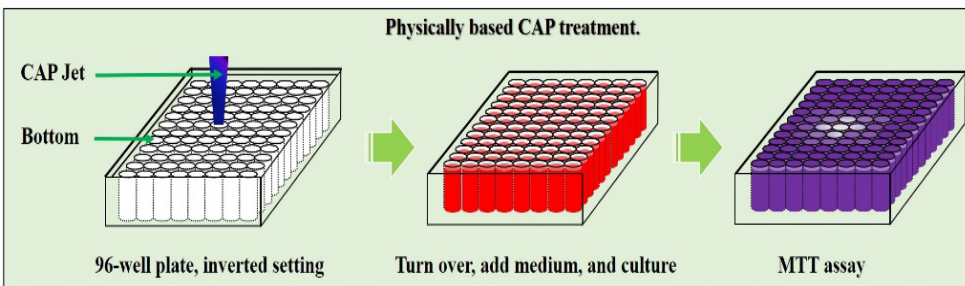
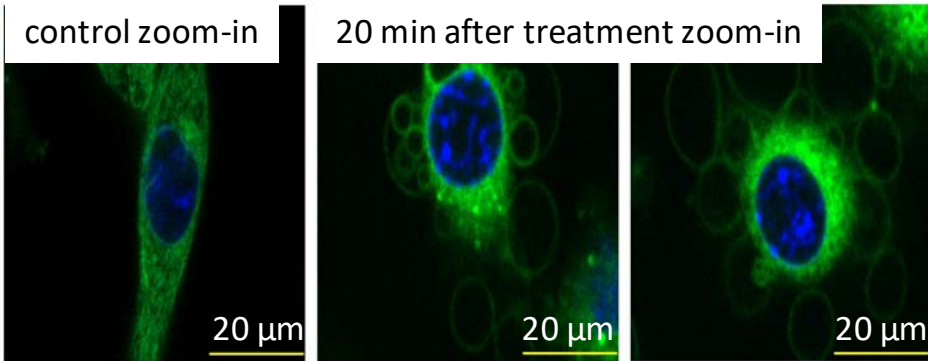
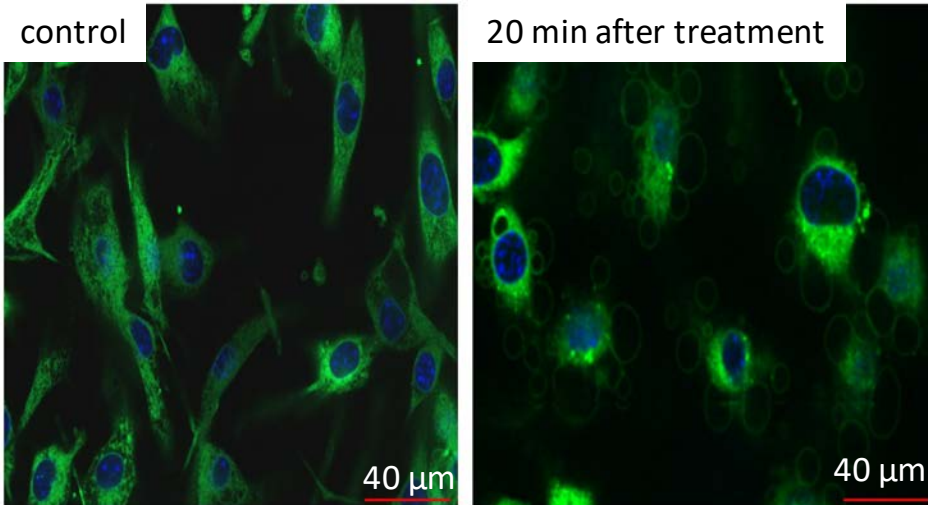
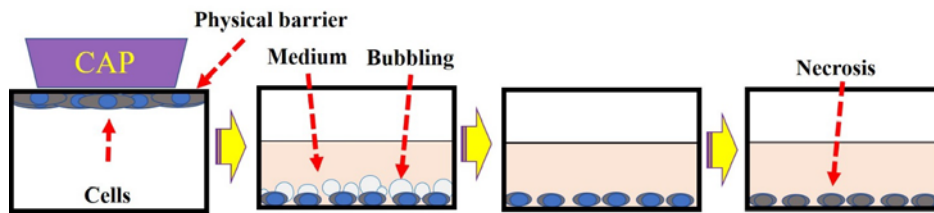
Chemically-based CAP treatment

Physically-based CAP treatment

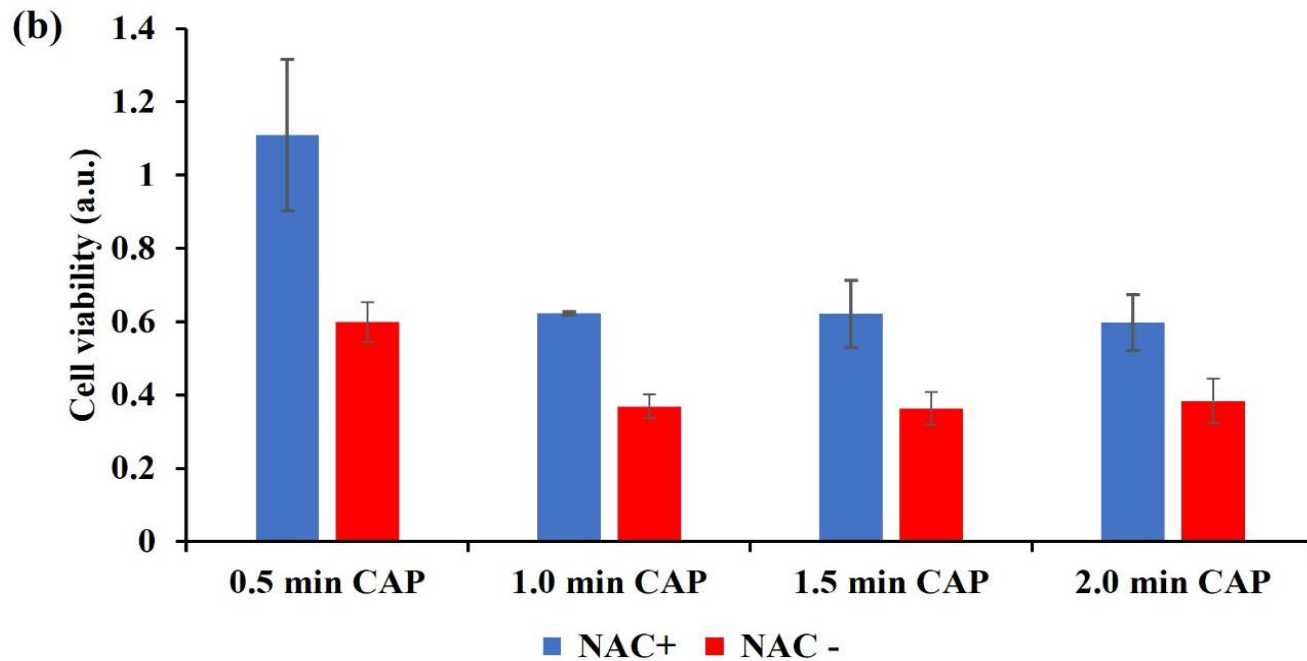
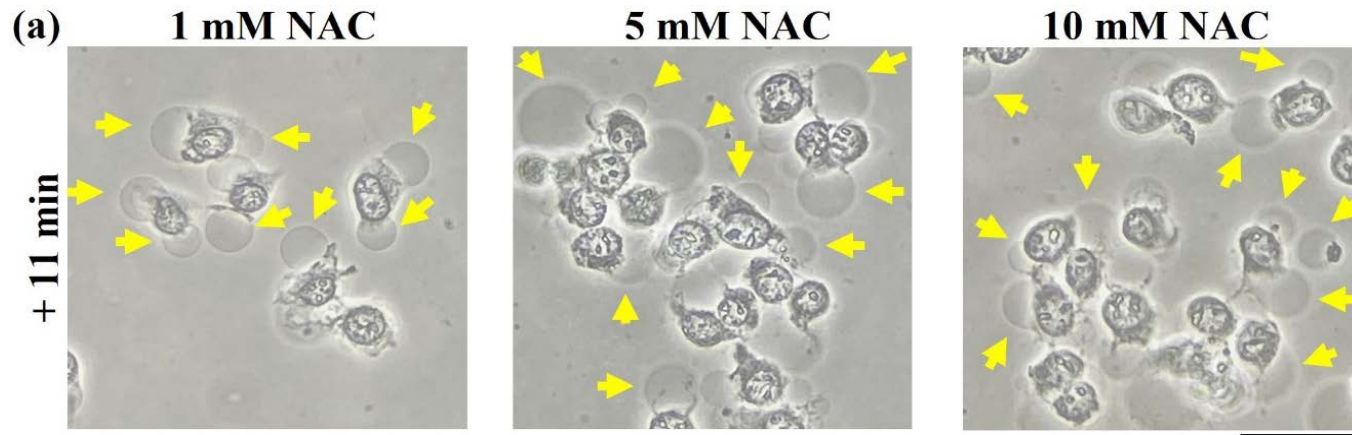


Treatment of diffuse tumors

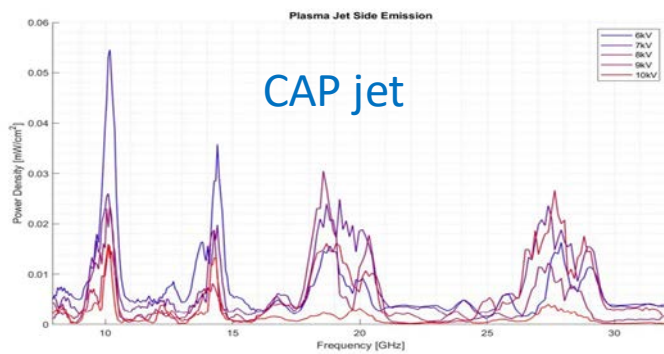
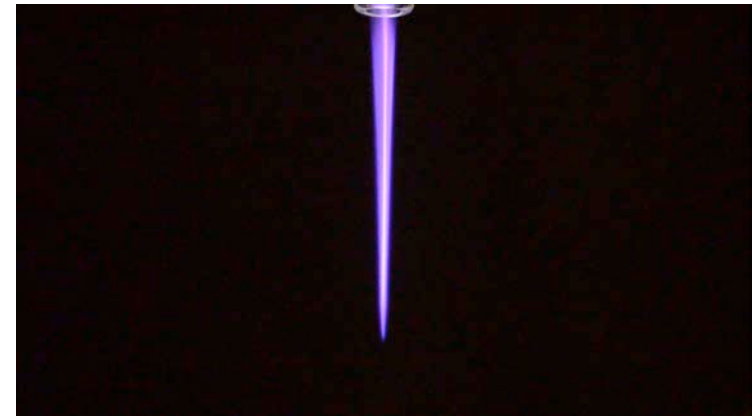
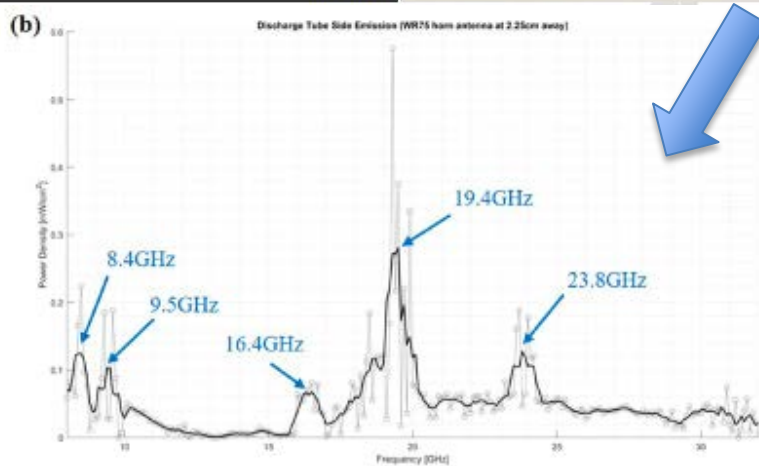
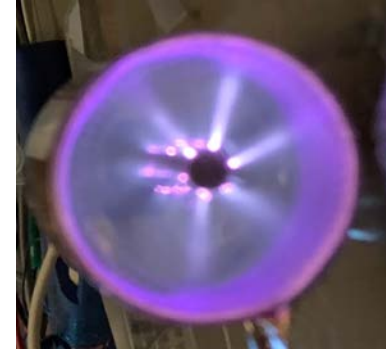
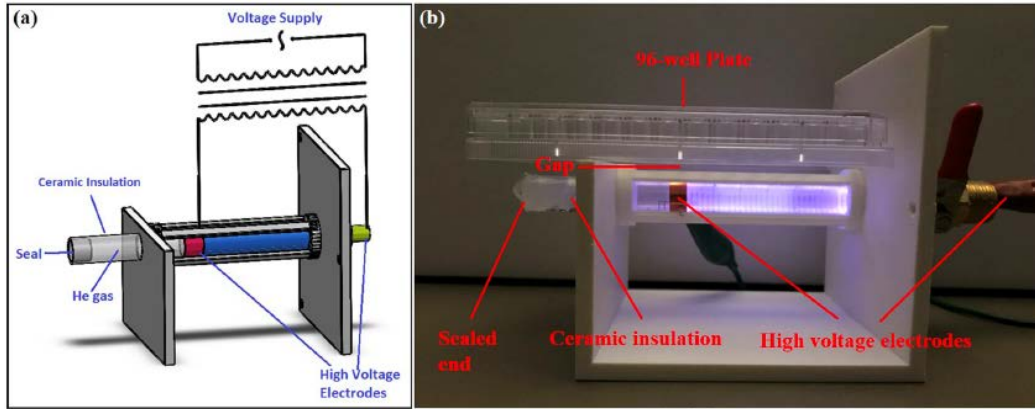
# Physical Effects of CAP



# ROS scavenger cannot inhibit necrosis

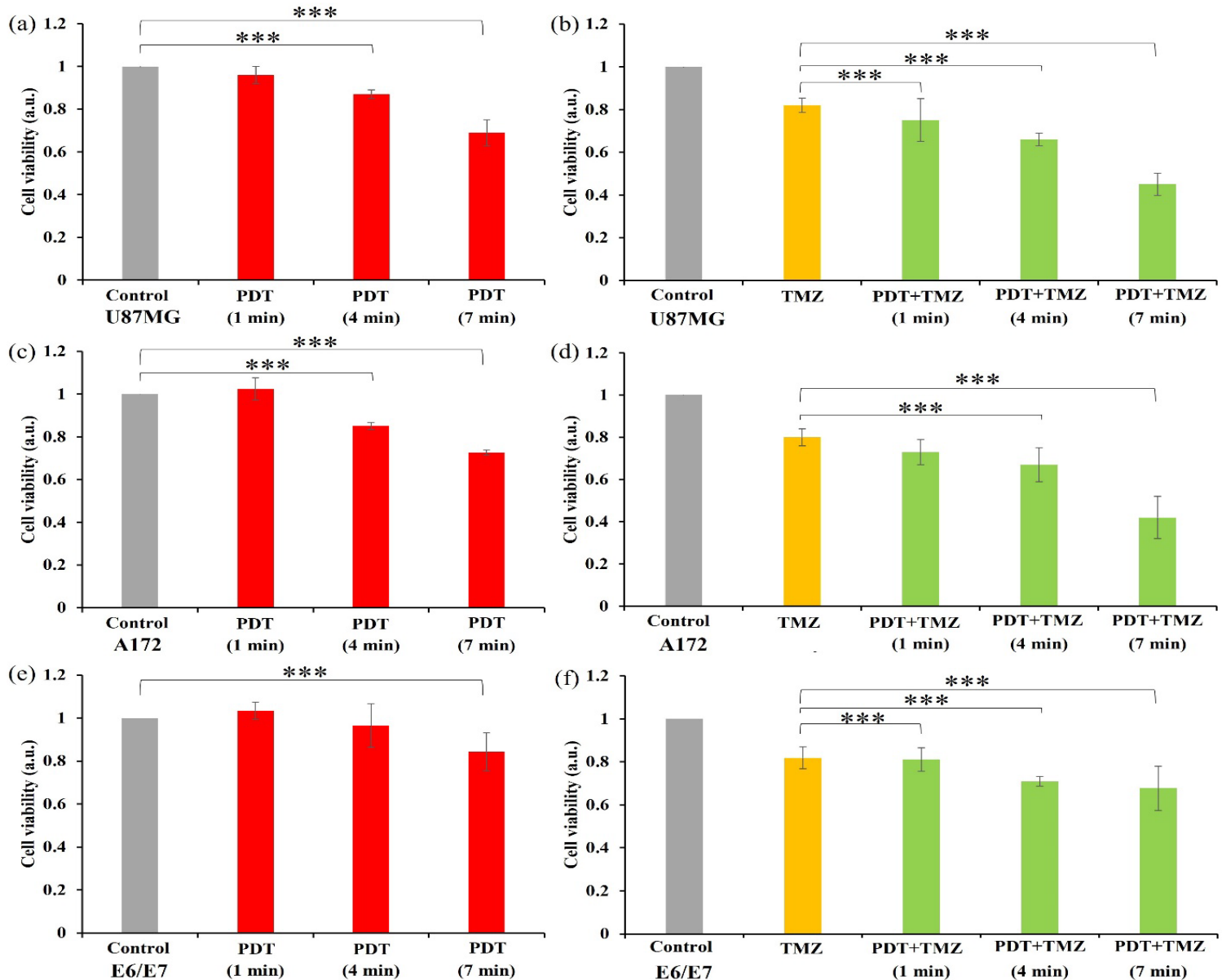


# Discharge Tube

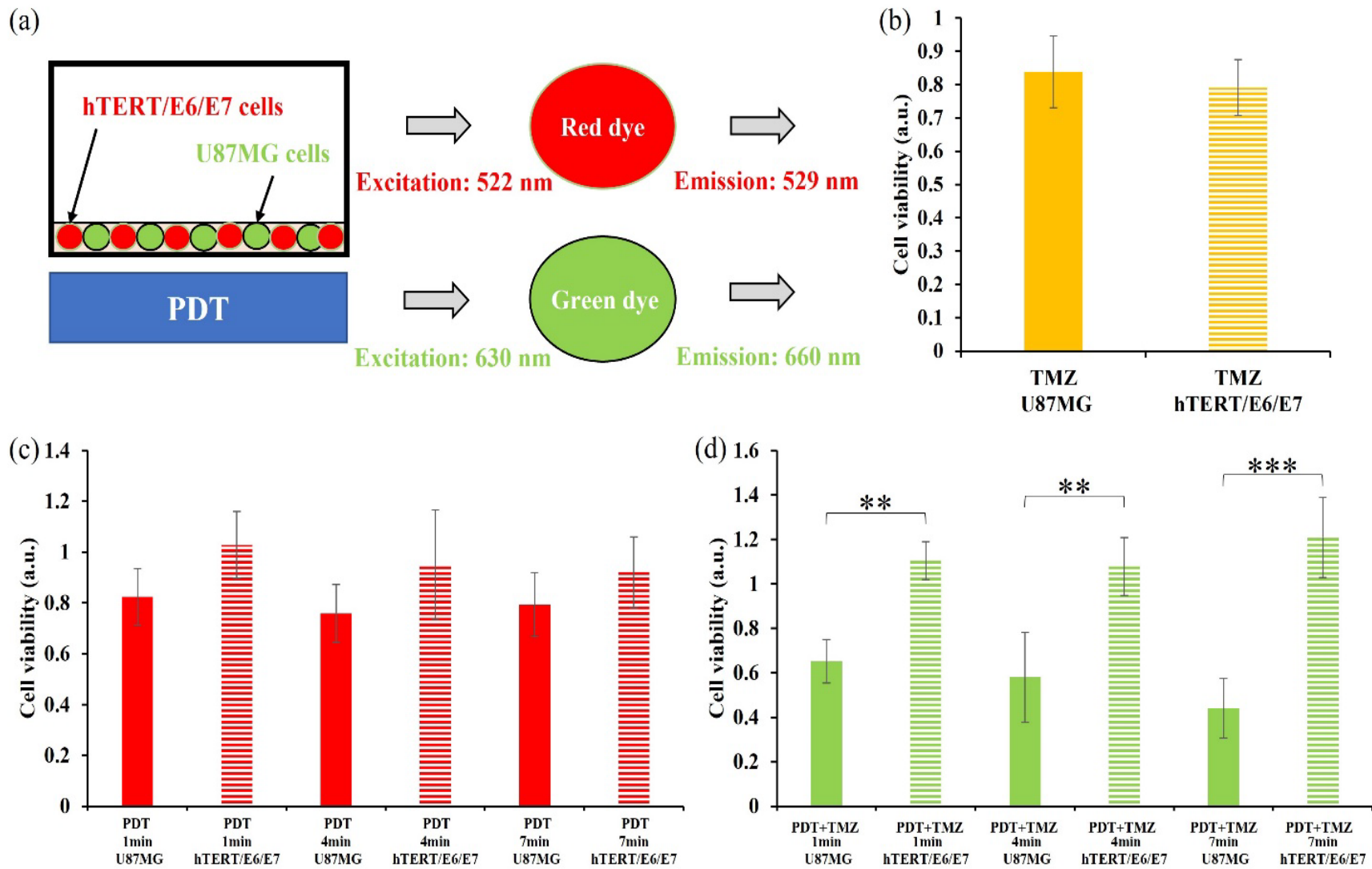




# The cytotoxicity of TMZ on the cancer/normal cells



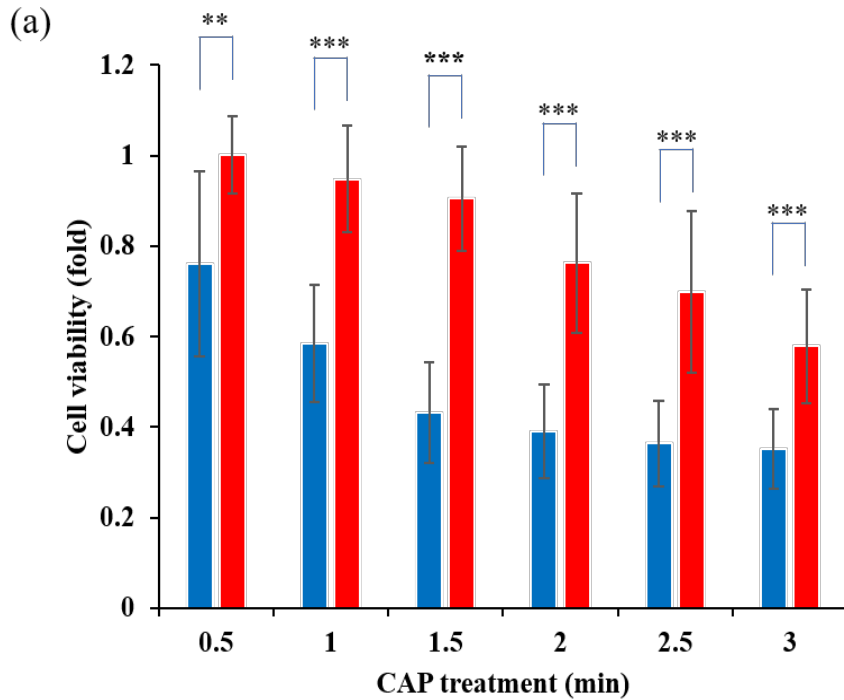




# Chemical vs Physical

Chemical not selective

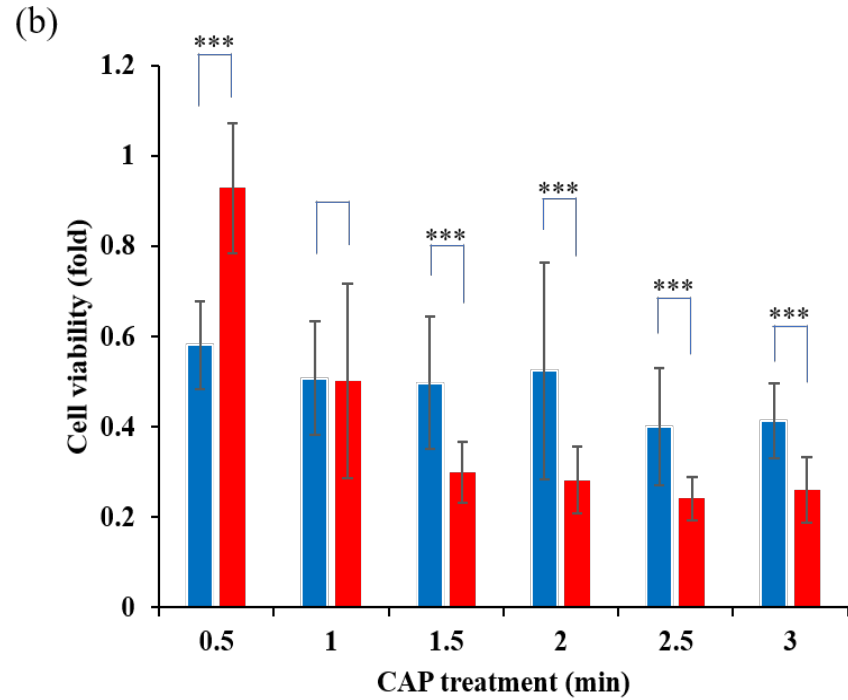
Physical is selective



■ hTERT/E6/E7 ■ U87MG

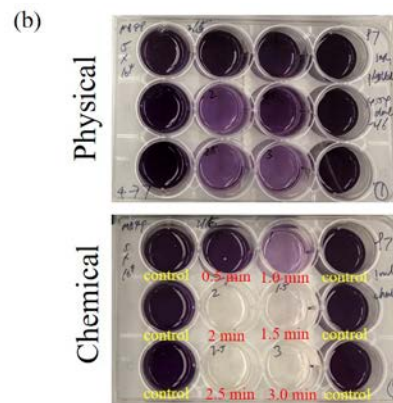
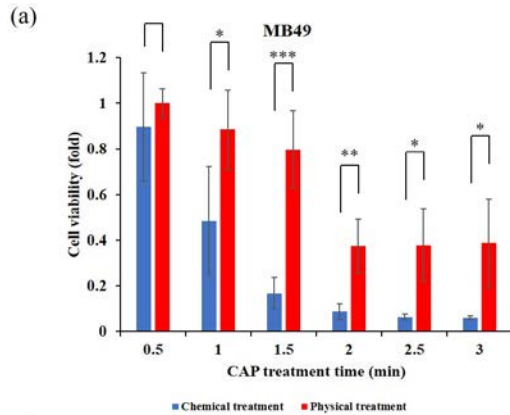
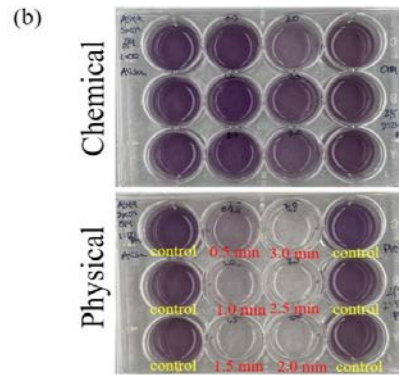
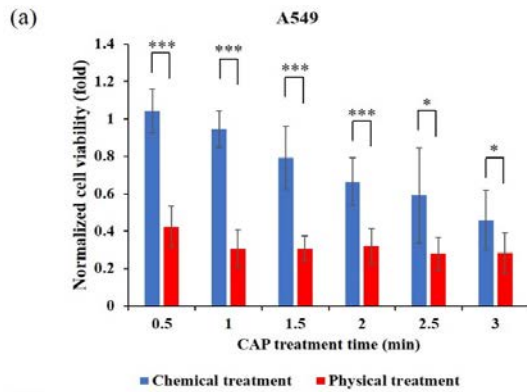
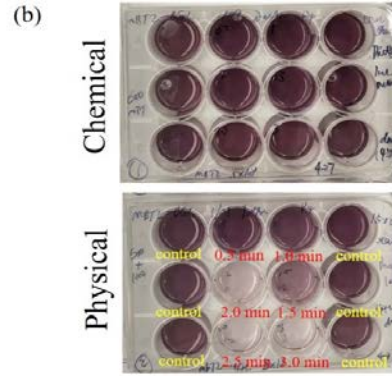
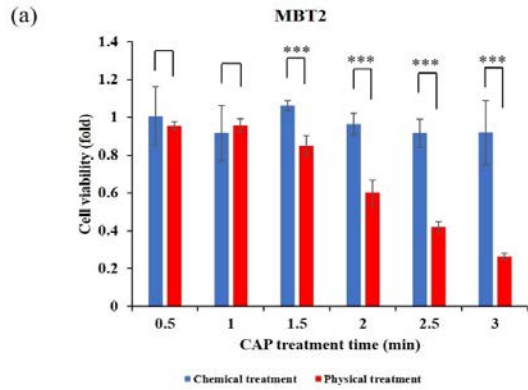
Normal

Cancer cells



■ hTERT/E6/E7 ■ U87MG

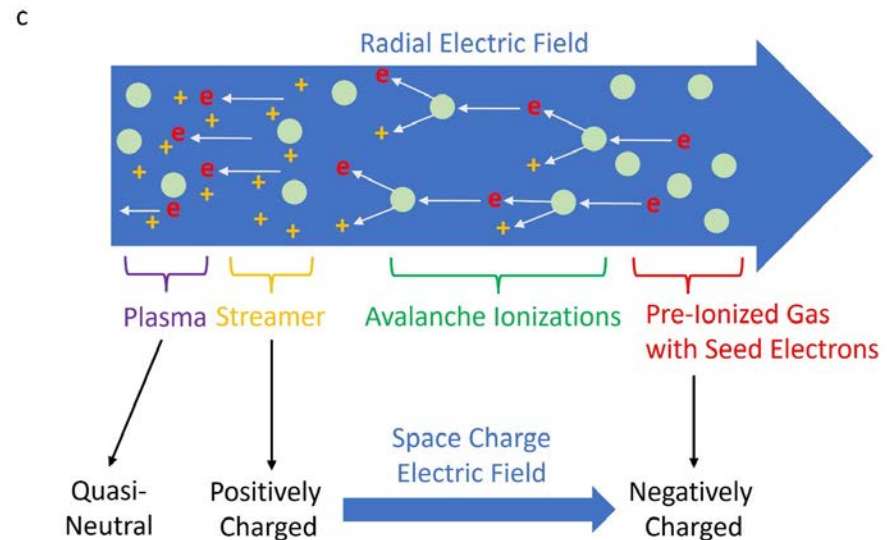
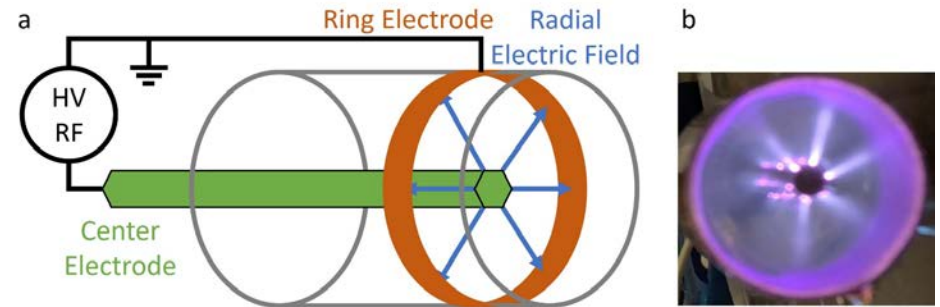
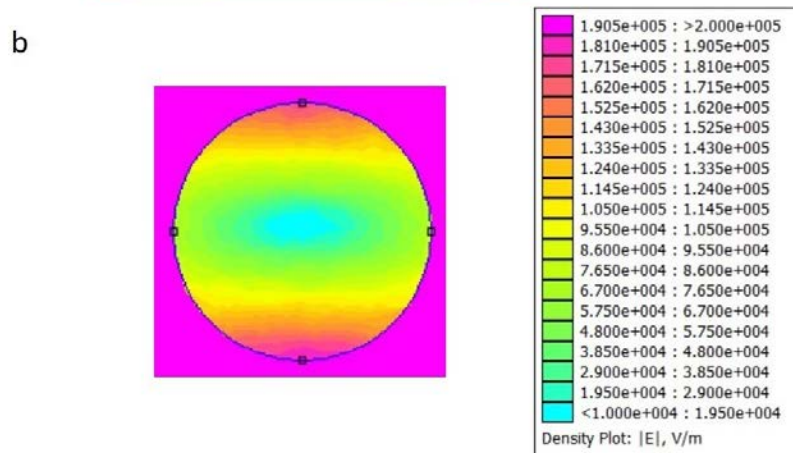
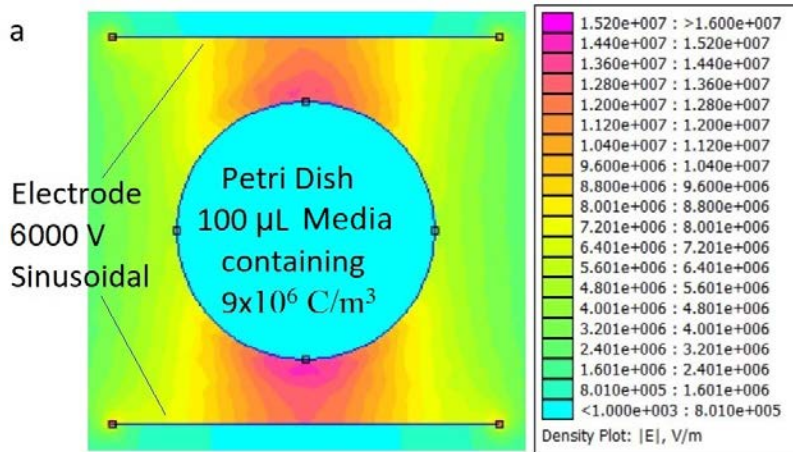
# Chemical vs Physical



# Cell interaction with EM fields

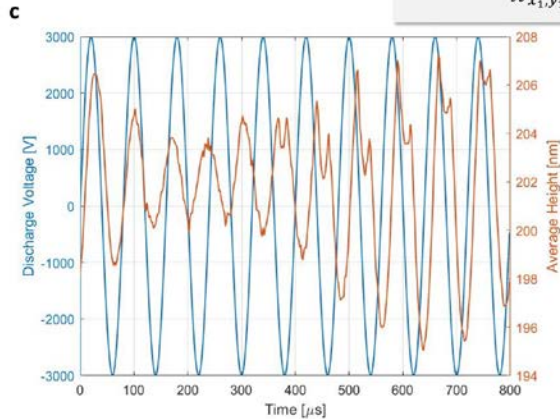
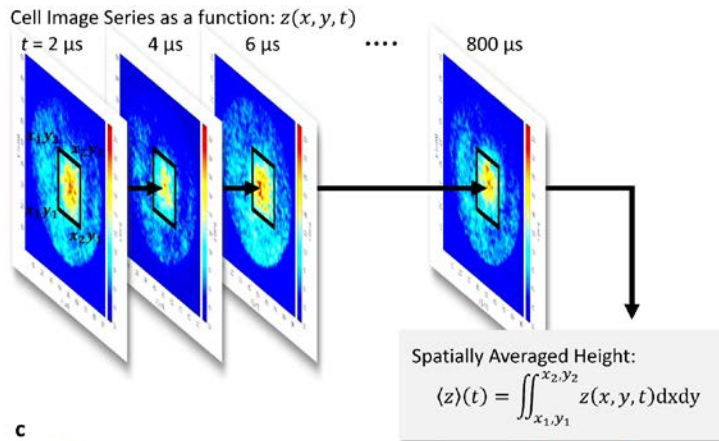
## Methods: Laser Interferometer

### Two External Electric Field Sources

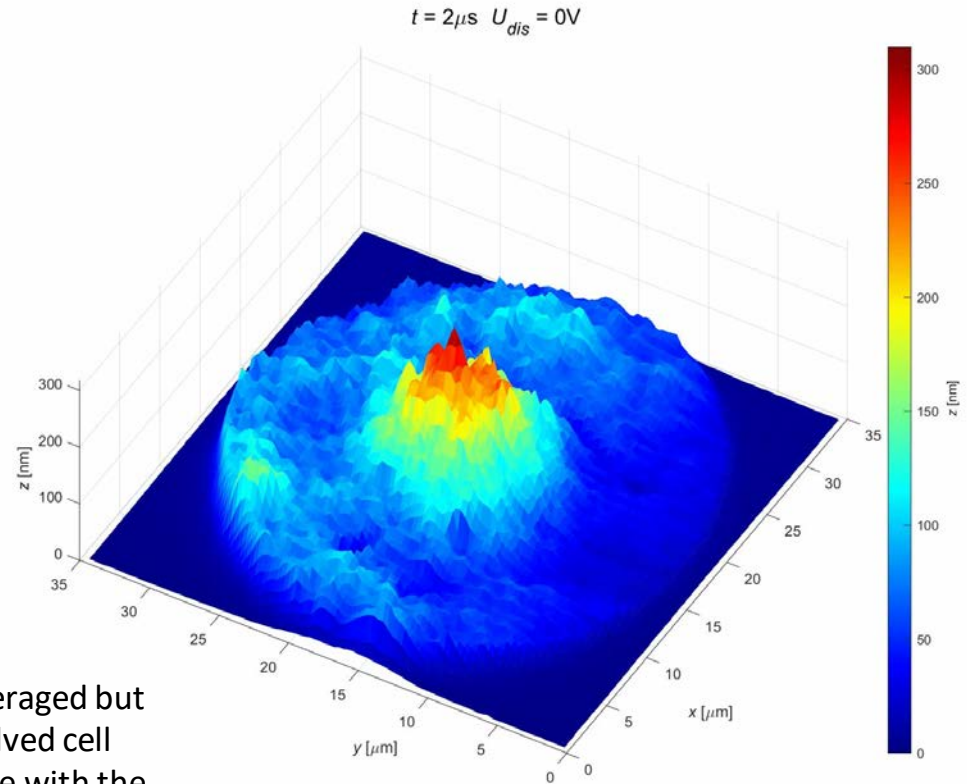


# Cell Images of Oscillations

An example of real-time 3D single-cell imaging of A549 Exposed in the electric field of a pair of plate electrodes (6000 V pk-pk at 12.5 kHz)



The spatially averaged but temporally resolved cell height is in phase with the external electric field.





# The Natural Frequency of Membrane

Wave equation of an arbitrary 2D membrane:

$$D\nabla^4 z + \rho_m \frac{\partial^2 z}{\partial t^2} = 0$$

For specific mode

$$\nabla^2 z_{0\eta} + \Lambda_\eta^2 z_{0\eta} = 0$$

where  $f_\eta = \frac{\Lambda_\eta^2}{2\pi} \sqrt{\frac{D}{\rho_m}}$  and  $D = \frac{E_m d_{th}^2}{12(1-\nu^2)}$

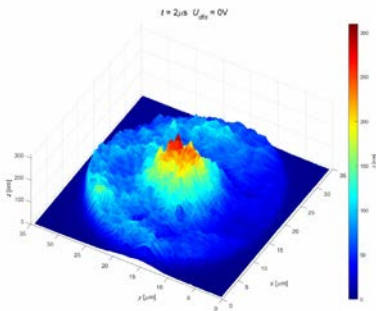
$E_m$  – elastic modulus (measured using AFM)

$d_{th}$  – membrane thickness

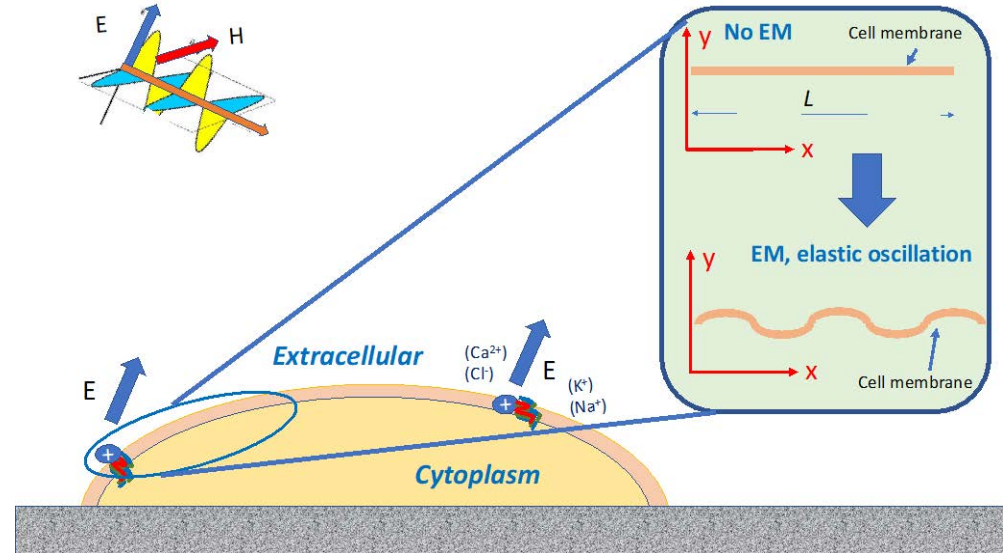
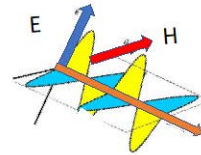
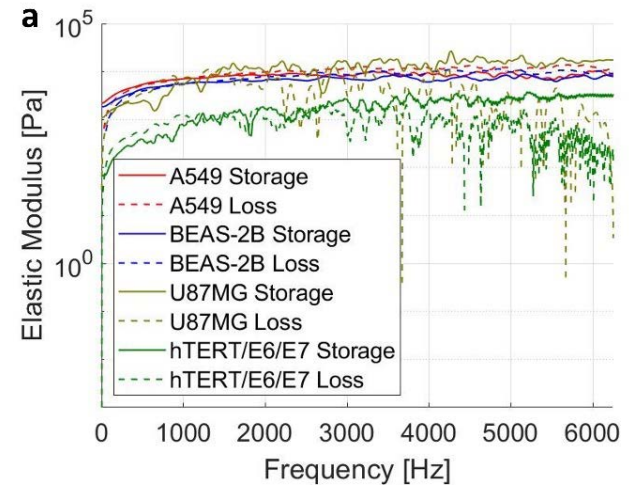
$\nu$  – Poisson ratio

$z_{0\eta}$  – the amplitude of the  $\eta^{\text{th}}$  mode

$f_\eta$  – the natural frequency of the  $\eta^{\text{th}}$  mode

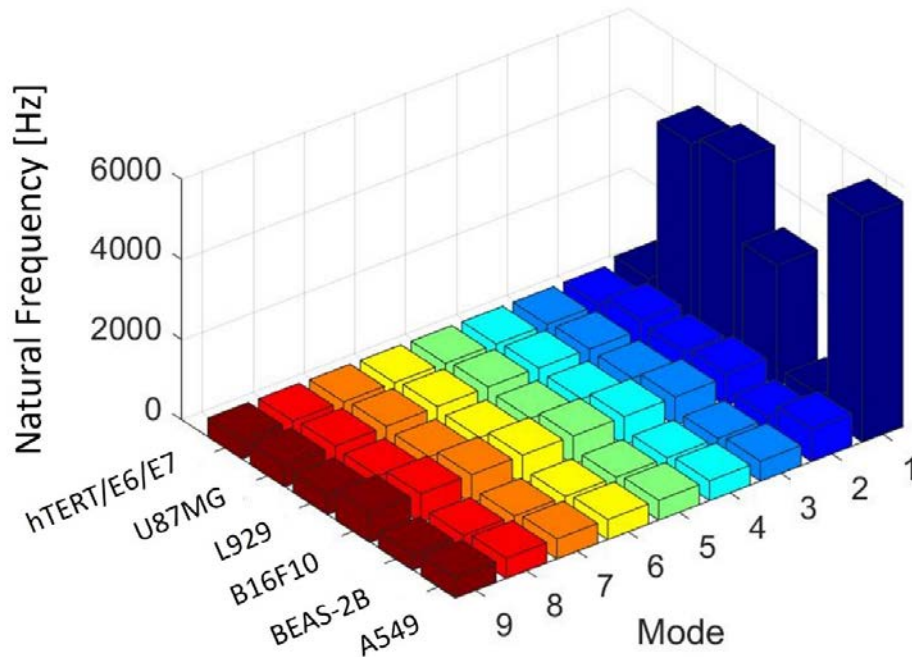


Atomic-Force Microscopy





# The Average Natural Frequencies of the Membranes

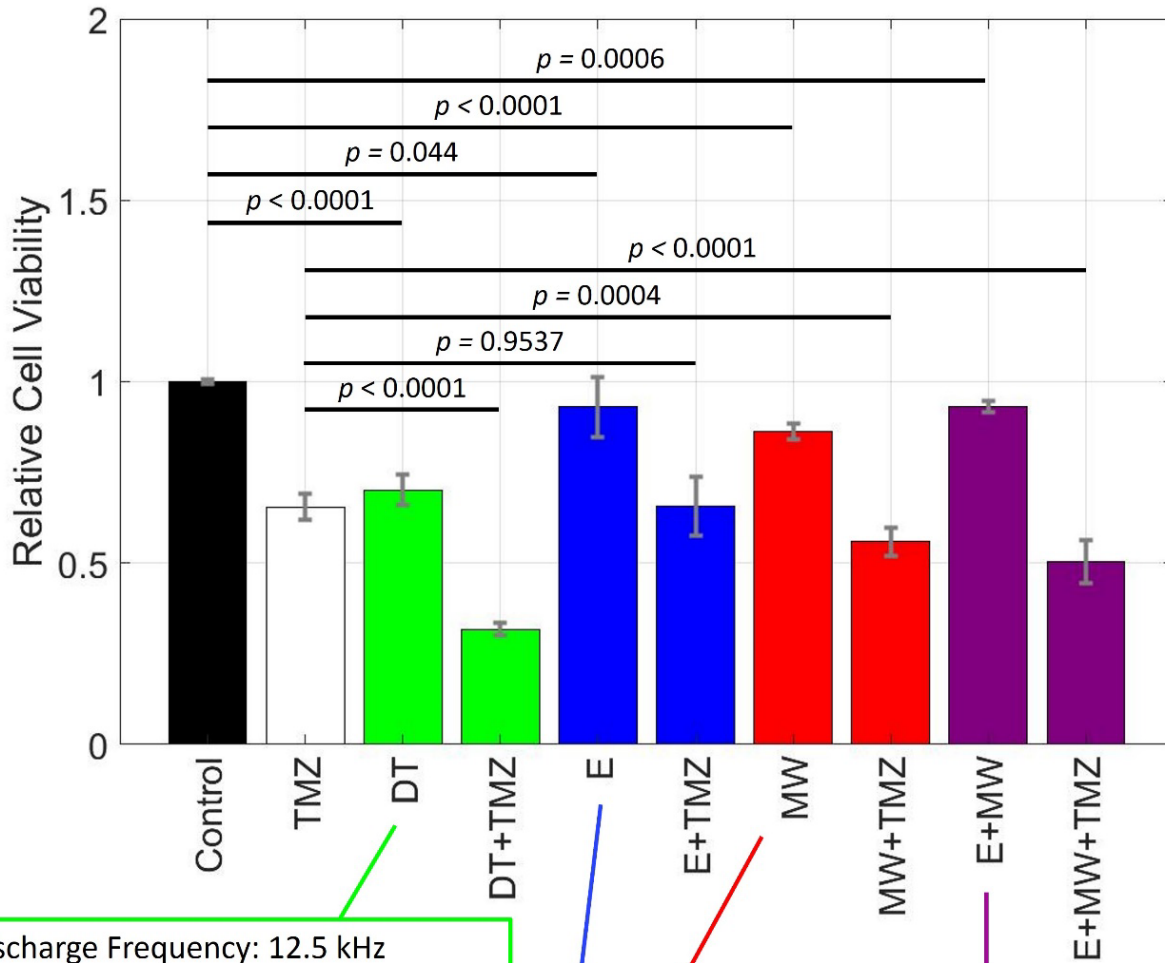


The natural frequencies of Mode 1 (the most contribution mode) agree with the selectivity relations:

U87MG easier to kill than hTERT/E6/E7  
L929 easier to kill than B16F10  
A549 easier to kill than BEAS-2B

The discharge frequency of plasma is 12.5 kHz. A higher frequency in this figure is closer to the discharge frequency. The plasma has a bandwidth (peak width) of the 12.5 kHz, therefore, covers the natural frequencies.

# U87MG Sensitization Test



**Control:** the cells with **no treatment**.  
**TMZ:** the cells were treated with **temozolomide**.  
**DT:** the cells were treated with the **discharge tube**.  
**E:** the cells were treated with the **electrodes**.  
**MW:** the cells were treated with the **microwave**.

Discharge Frequency: 12.5 kHz  
Plasma Frequency covers a GHz range

Discharge Frequency: 12.5 kHz

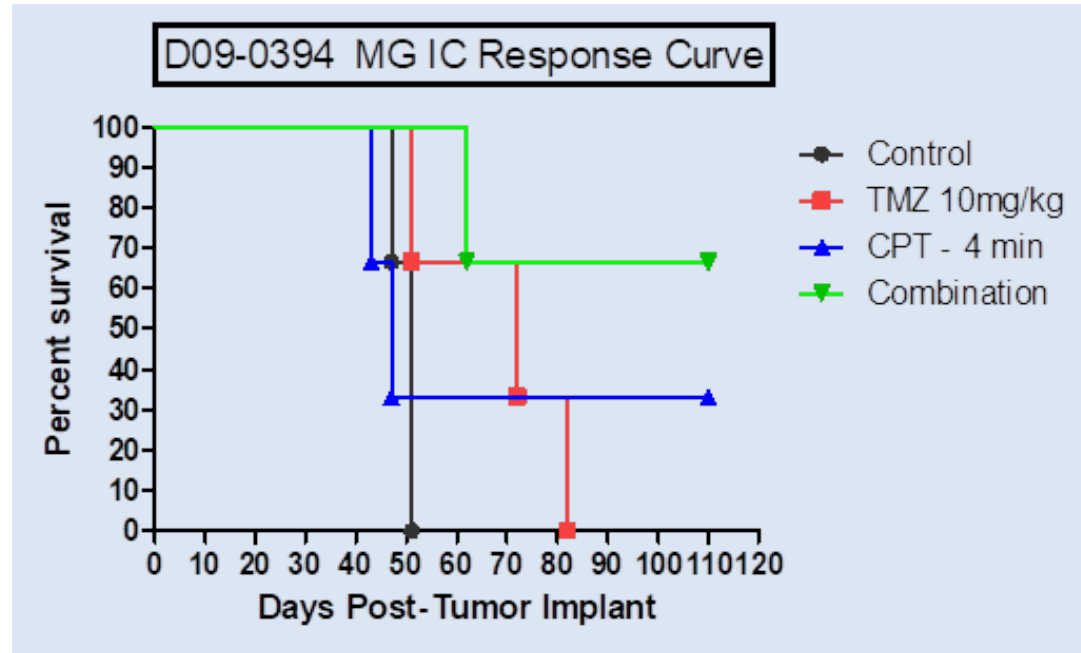
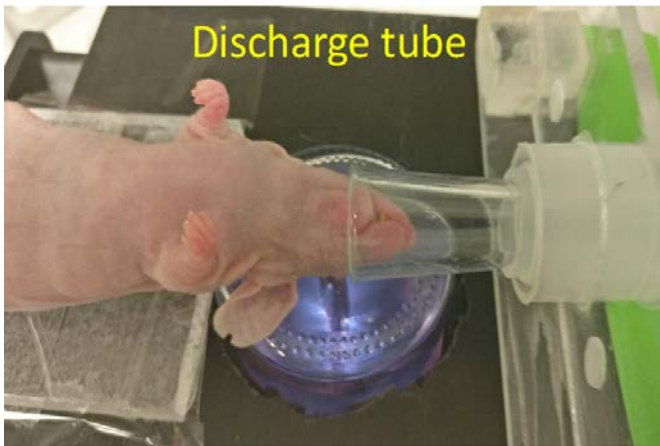
Discharge Frequency: 12.5 kHz  
Sweep-Frequency Microwave: 8 – 18 GHz

Sweep-Frequency Microwave: 8 – 18 GHz

The microwave emissions from the plasma oscillation can resonate smaller structures such as protein molecules, a part of DNA molecules, TMZ drugs, etc.

# Discharge Tube *in vivo*

- Groups of 3 mice were randomized into groups 10 days post tumor implantation:
  - control group
  - 'TMZ alone' group
  - 'PDT alone' group
  - 'combination' (PDT+TMZ) group
- TMZ was administered IP using a dosing strategy below its maximum tolerated dose at 10 mg/kg IP x 3 days
- PDT treatment was performed for 4 min



Based on the patient-derived xenograft model, **PDT treatment drastically improved mean survival days of the tumor-barrier mice by more than 100% compared to control**

# Conclusions

**Adaptive plasma for biomedical application:** Uniqueness of plasma is its ability to change its composition and key parameters on demand, dependent on specific requirements of diseased cells. **Intelligent plasma.** Key: **in situ diagnostics**

**Chemical vs Physical** Treatment is introduced

In chemical model, plasma adaptation might be important way to optimize treatment by plasma. It uniquely utilizes potential of CAP to produce RONS in real time

Plasma-based activation (sensitization) has been discovered. Fast activation and slow de-activation. **EM causes cell membrane oscillation**

Physical treatment might lead to translational pathway

# Acknowledgement



National Science Foundation  
WHERE DISCOVERIES BEGIN



U.S. DEPARTMENT OF  
**ENERGY**

Office of  
Science



**Thank you!**