



# Physics-Informed Data Driven Plasma Equipment/Process Control Technologies for Plasma Applications

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# Make Your Plasma Equipment Smart

Season 1

## Letter

# Machine learning for modeling, diagnostics, and control of non-equilibrium plasmas

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CrossMark

**Abstract**

Machine learning (ML) is a set of computational tools that can analyze and utilize large amounts of data for many different purposes. Recent breakthroughs in ML and artificial intelligence largely enabled by advances in computing power and parallel computing present cross-disciplinary research opportunities to exploit some of these techniques in the field of non-equilibrium plasma (NEP) studies. This paper presents our perspectives on how ML can potentially transform modeling and simulation, real-time monitoring, and control of NEP.

Keywords: non-equilibrium plasma, machine learning, artificial intelligence

(Some figures may appear in colour only in the online journal)

**Table 1.** An overview of potential applications of ML for modeling, diagnostics, and control of NEPs.

	Supervised learning (e.g. regression, neural networks, kriging, support vector machines)	Unsupervised learning (e.g. clustering, dimension reduction)	Reinforcement learning
Predictive modeling	Learning nonlinear mappings for plasma-surface interactions [4, 22], learning inexpensive surrogate models from theoretical simulation data [7], plasma dose quantification	Selection of relevant input features for building simpler models from data [5]	
Diagnostics	Inference of plasma and surface properties from spectral data [6, 10, 32], Detection of abnormal drifts and variabilities [6]	Extraction of latent information from measurements [46–48],	
Process control	Learning multivariable input–output mappings of process dynamics for model-based control [45, 56, 57]		Learning-based control

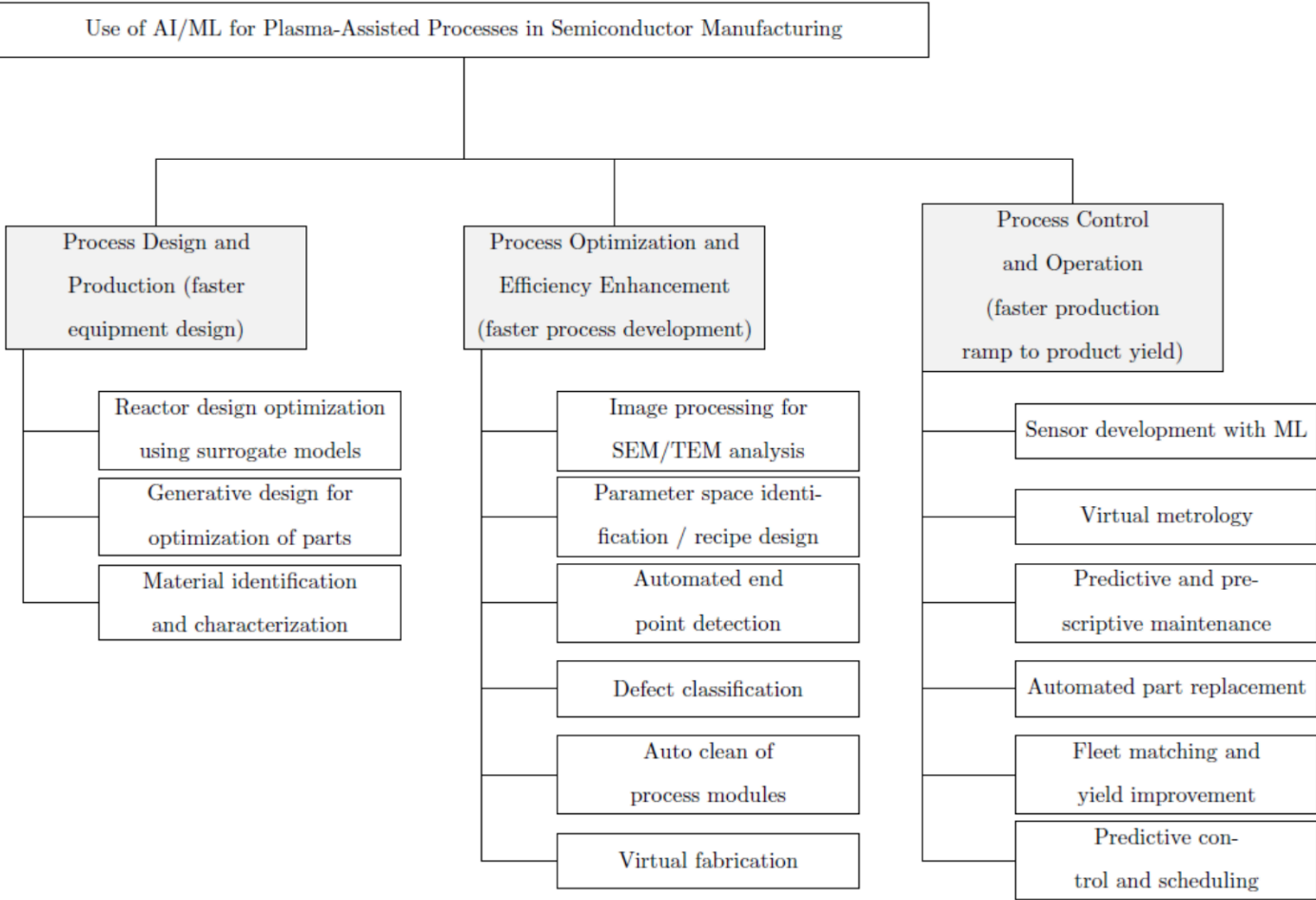
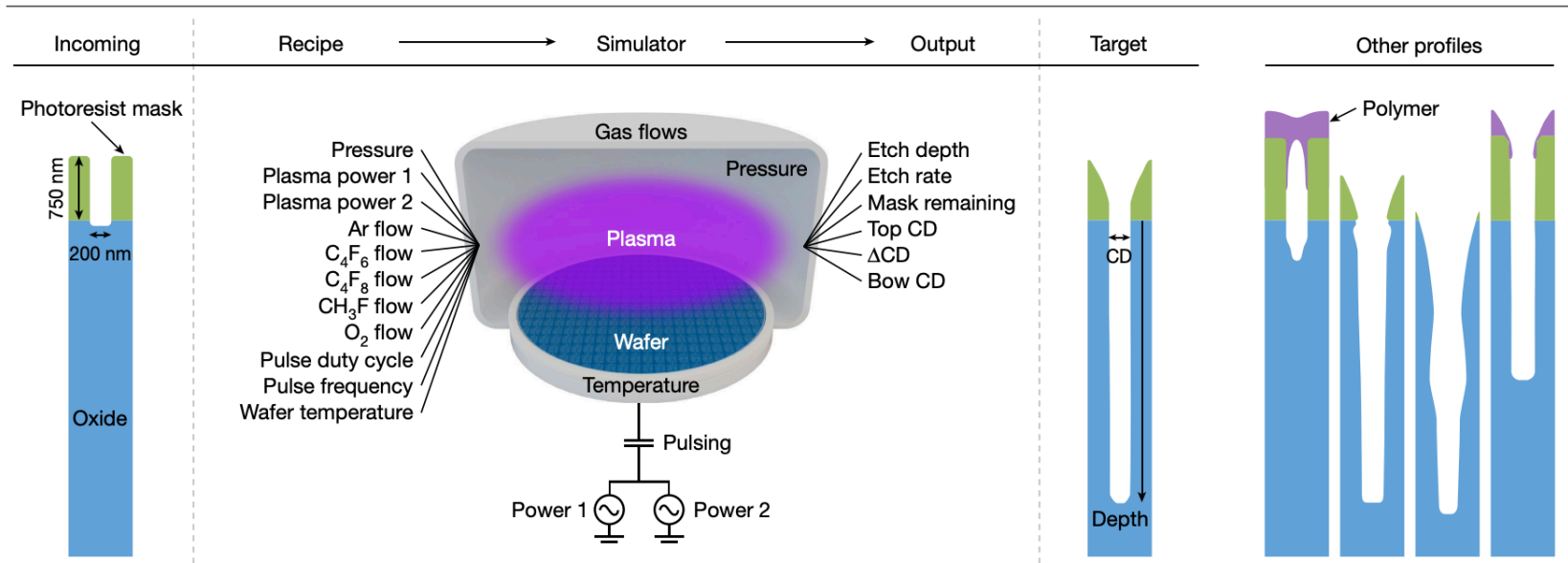


FIG. 30: An overview of the applications of AI/ML for the design, development, and operation of plasma-assisted processes for semiconductor manufacturing, towards accelerating the time-to-market of new processes and products for the consumer electronics industry via smart manufacturing practices.



**Fig. 1 | Schematic of the virtual process used in the game.** The input of the virtual process is a 'recipe' that controls the plasma interactions with a silicon wafer. For a given recipe, the simulator outputs metrics along with a cross-sectional image of a profile on the wafer. The target profile is shown

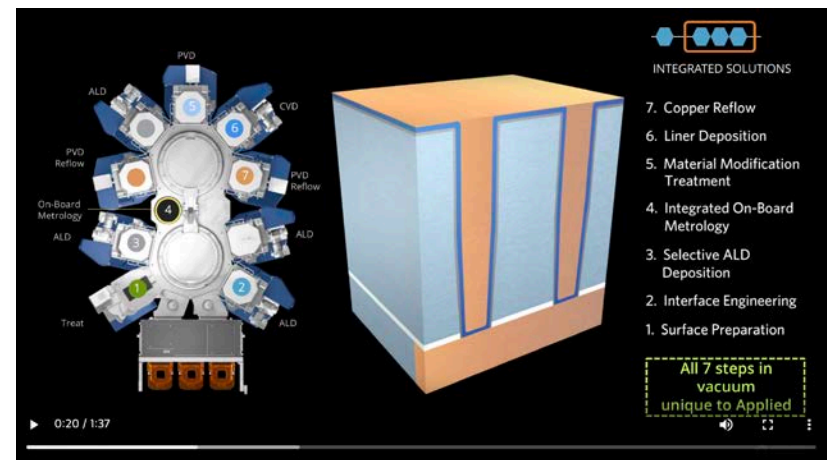
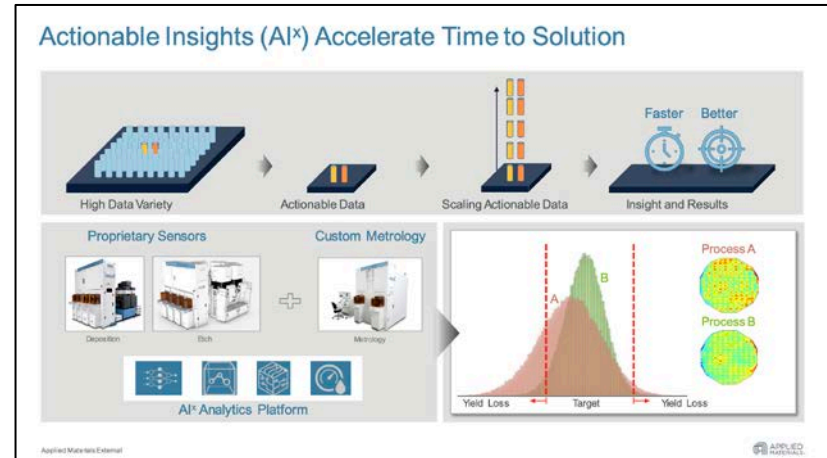
along with examples of other profiles that do not meet target. The goal of the game is to find a suitable recipe at the lowest cost-to-target. CD, critical dimension.

# Applied Materials

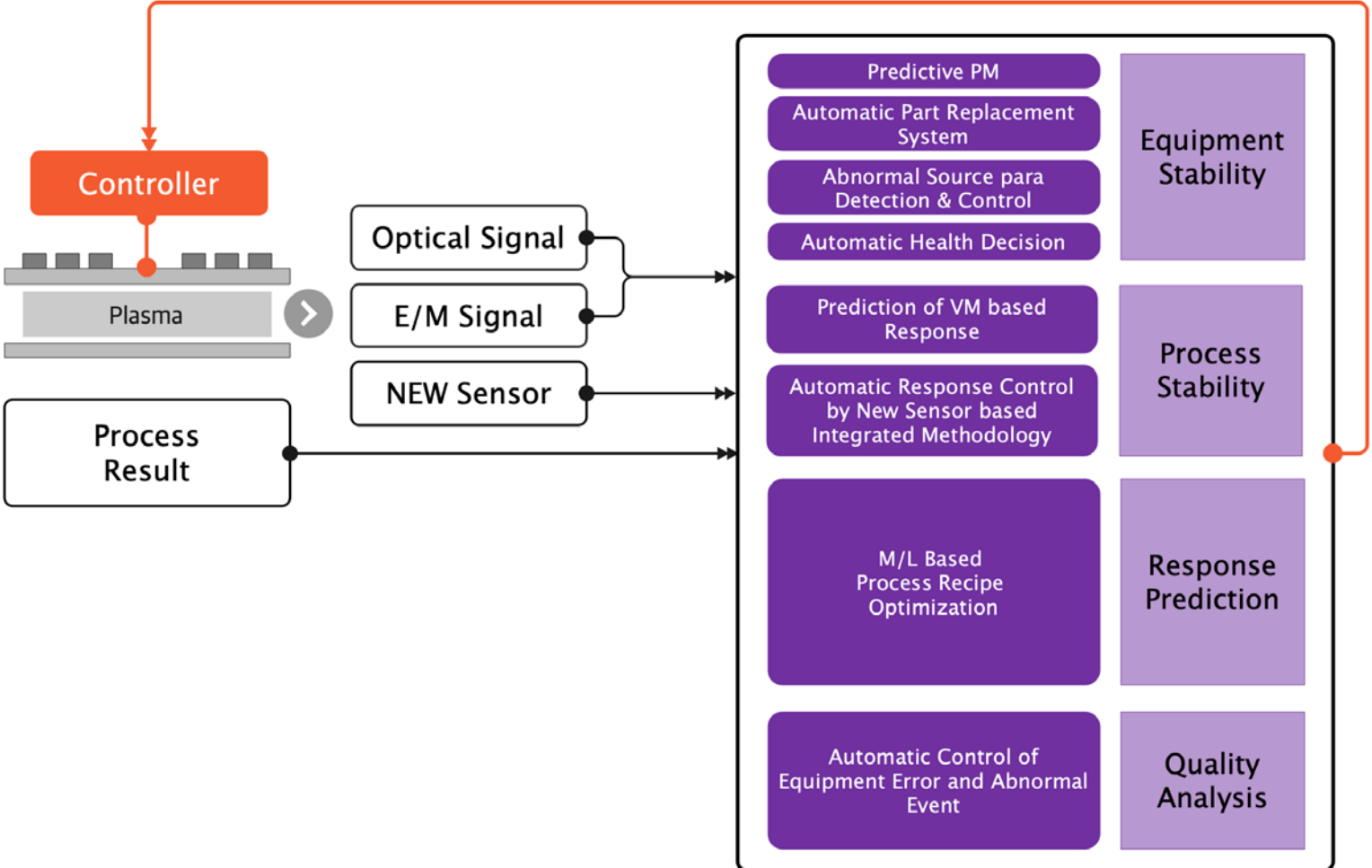
@Applied Materials

The AI<sup>x</sup> platform includes:

- ChamberAI<sup>®</sup>: New sensors and machine learning algorithms for Applied Materials process chambers with real-time analytics of variables
- On-board metrology: Unique in-vacuum metrology that enables new films to be measured as they are being deposited, with angstrom-level precision
- Inline metrology: Unique algorithms based on Applied eBeam metrology which can provide a 100-fold increase in measurement speed with 50 percent higher resolution
- AppliedPRO<sup>®</sup>: Process Recipe Optimizer generates digital process maps that accelerate materials and recipe development, reduce variability, and widen process windows
- Digital twins: The AI<sup>x</sup> platform includes digital twin models of select Applied Materials chambers and systems that enable virtual experiments as well as on-demand energy and chemical consumption of semiconductor manufacturing equipment through our [EcoTwin efficiency software](#)
- Computing: The AI<sup>x</sup> platform includes the computing resources needed to store and analyze massive data using machine learning and AI algorithms



# Make Your Plasma Equipment Smart



# Plasma E. I. Convergence Research Center (2020.11.1)

## Advanced Process/Equipment Control Module

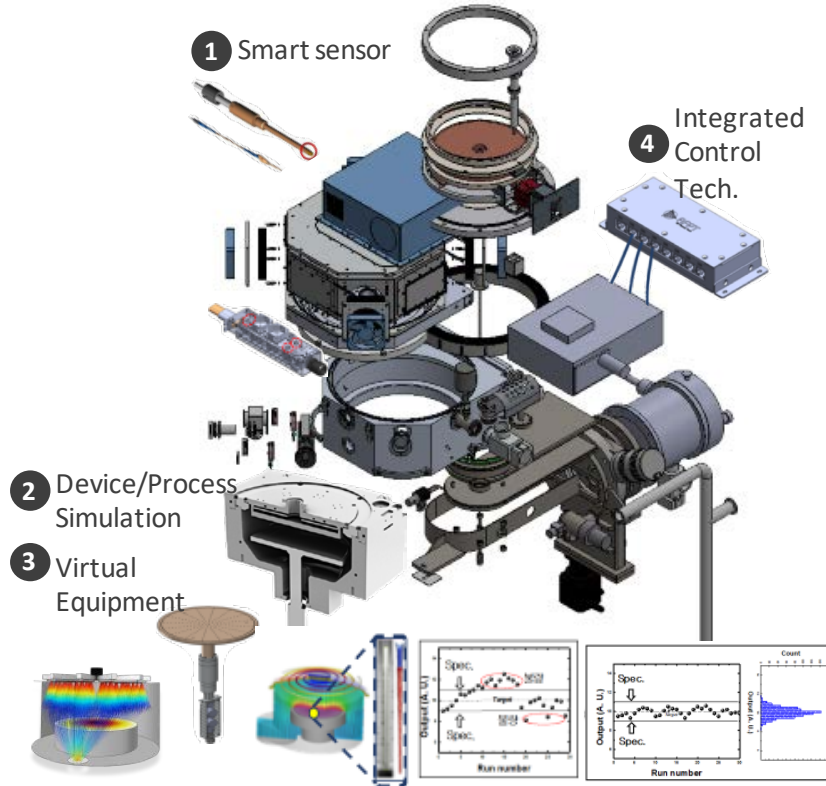
4 National Institutes and 14 Universities with device making industries



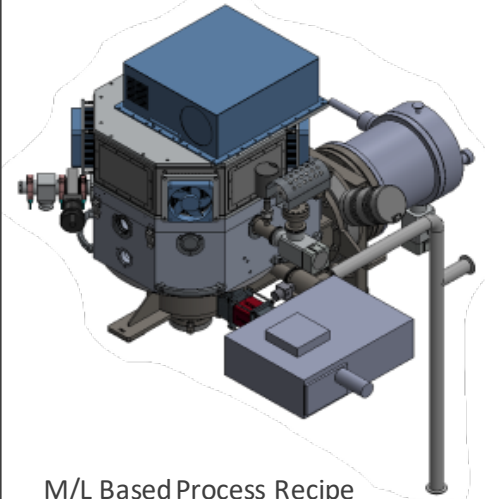
### Core Tech.



### R&D Platform



### Demonstration with Industry

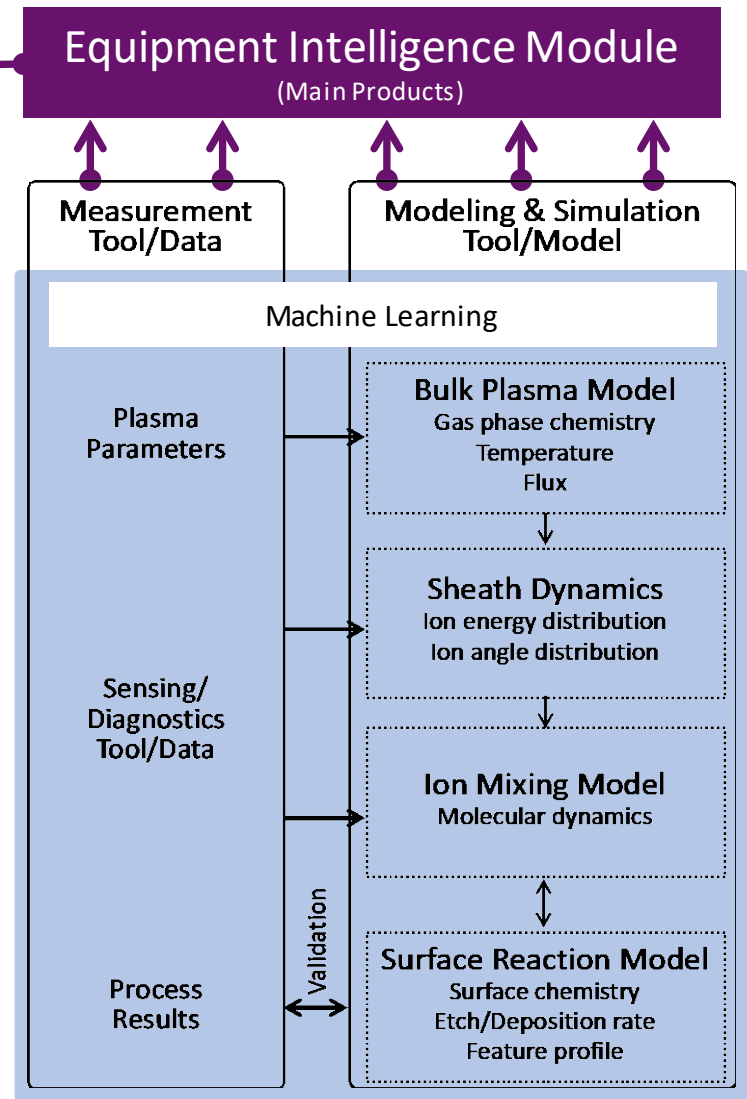
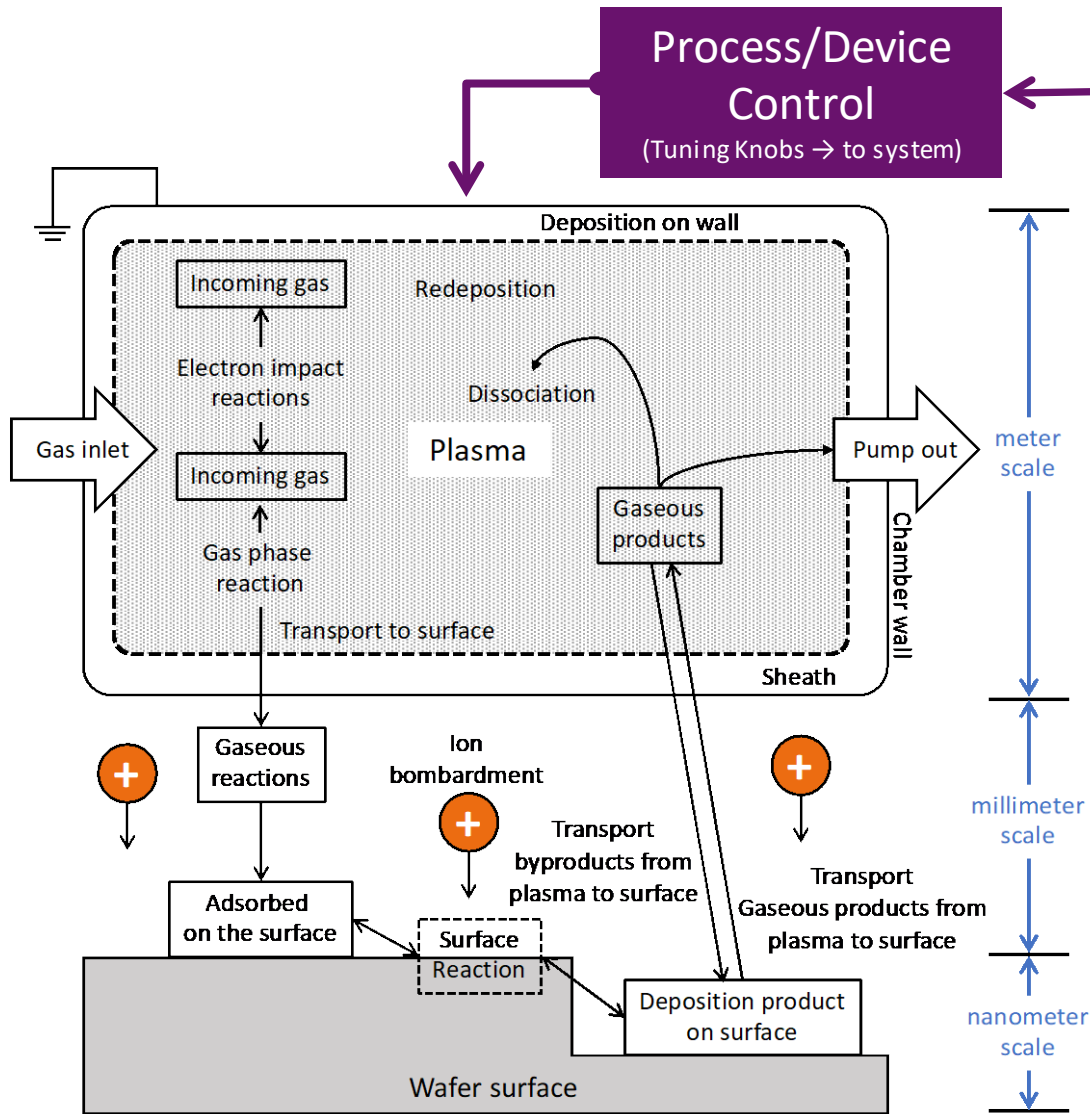


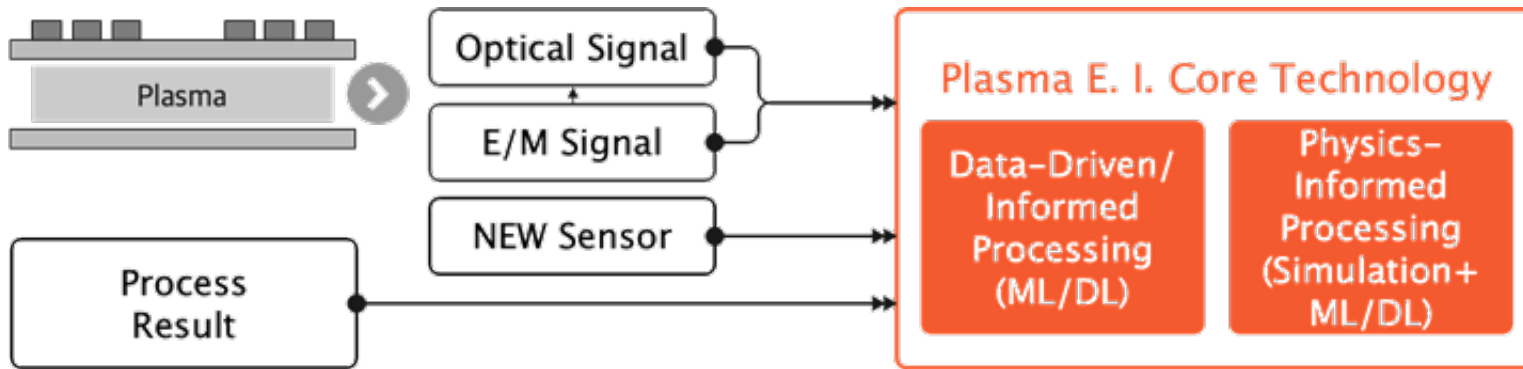
M/L Based Process Recipe Optimization

Automatic Response Control by New Sensor based Integrated Methodology

Automatic Control of Equipment Error and Abnormal Event

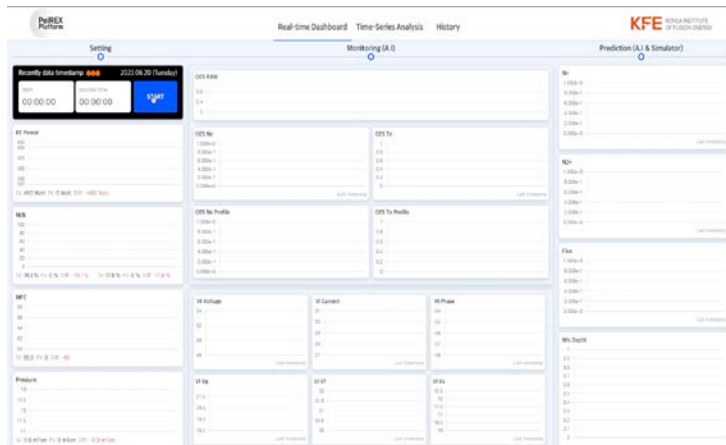






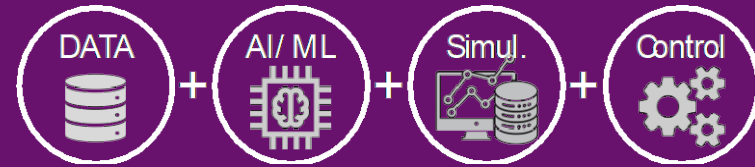
Providing solutions for process engineers

Prediction of VM based response



M/L based process optimization





## • Best Practices : Plasma Nitridation Equipment/Process

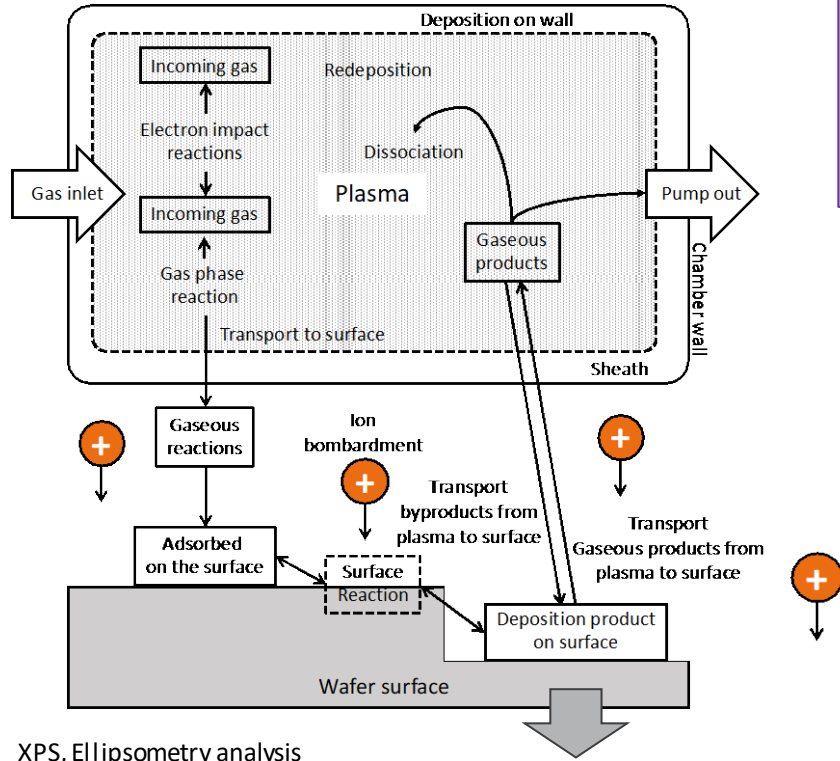


- ① Parameter monitoring Tech. for SiON thin film characteristics  
→ plasma uniformity, electron temperature & density, ion flux ...
- ② N doping concentration control for SiON  
→ Power, Gas Pressure, ...
- ③ Device reliability  
→ Process Management, R&D-Demonstration Gap, ...

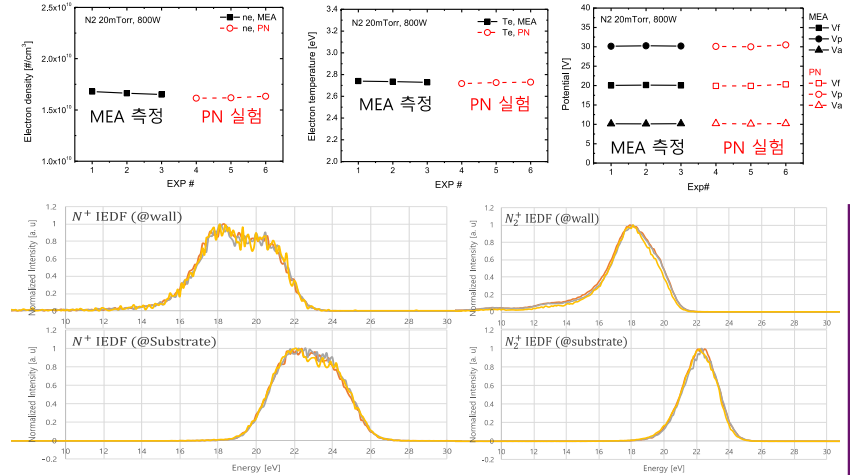
# Data-Driven Process Analysis

## Plasma Parameters & Monitoring Data

- $n_e(CP), T_e, V_f, V_p, I_{isat}(LP), N^+, N_2^+$  (Ion species mass & energy @ MEA1, MEA2)
- Monitoring data : OES ( $\lambda = 200 \sim 1,100 \text{ nm}$ ),  $R \geq 0.5 \text{ nm}$ )
- VI Probe ( VI1(@antenna), VI2(@sub.)) : 15<sup>th</sup> harmonic components (voltage, current, phase, phaseharmonics)

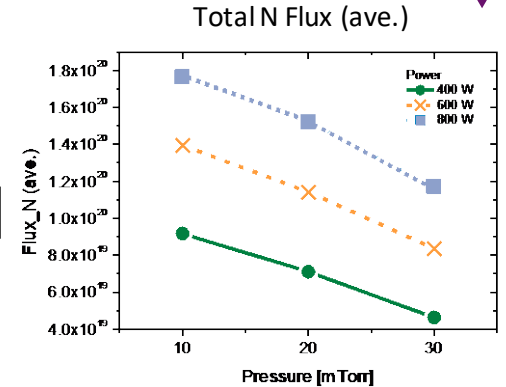
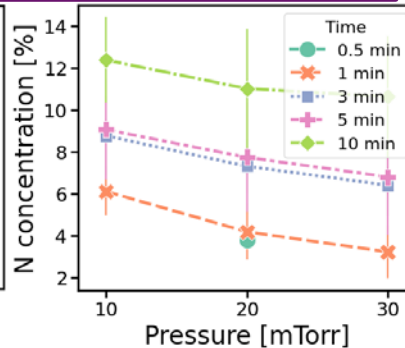
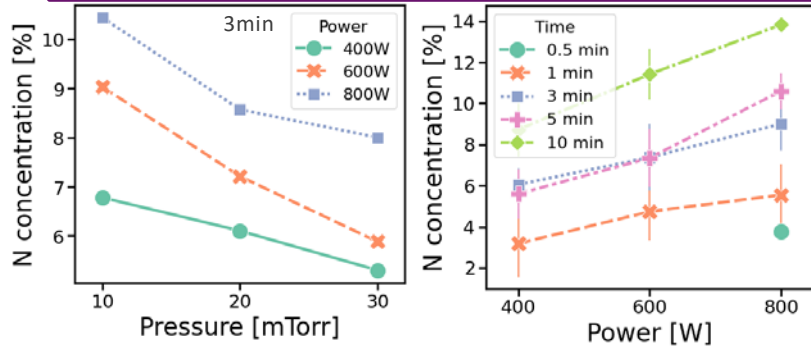


### Measured plasma parameter = PN process



XPS, Ellipsometry analysis

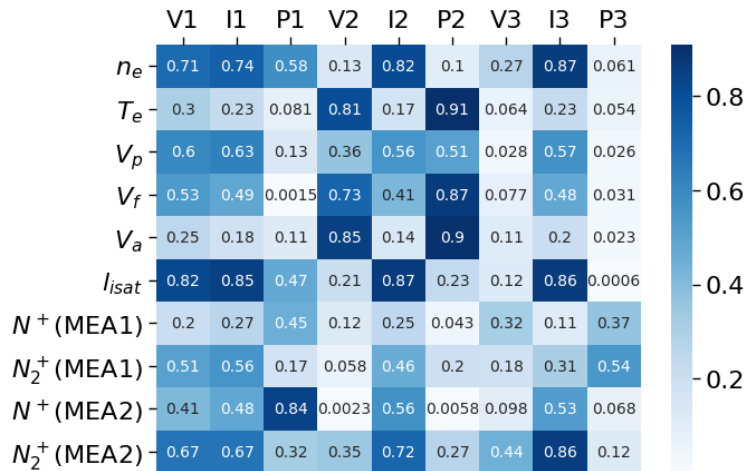
### Nitridation process data @ wafer surface



Bulk to Substrate

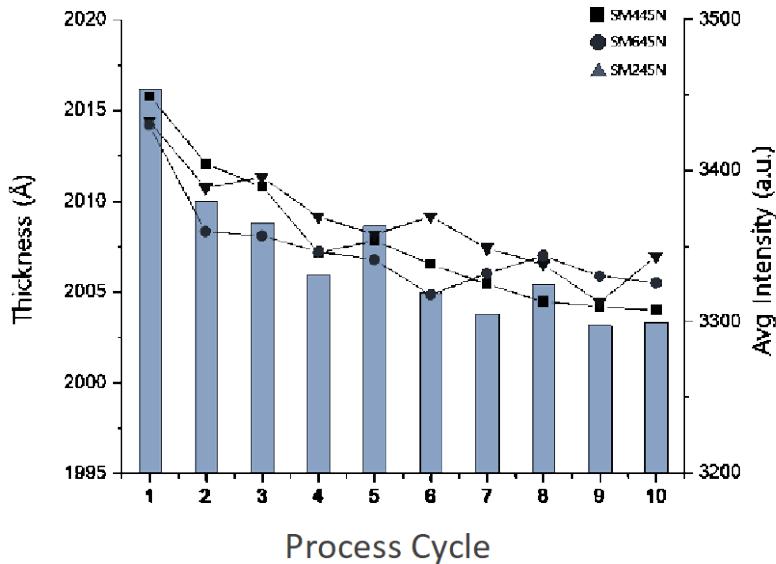
# Data-Informed Results

## VI probe Data vs. Plasma Parameters

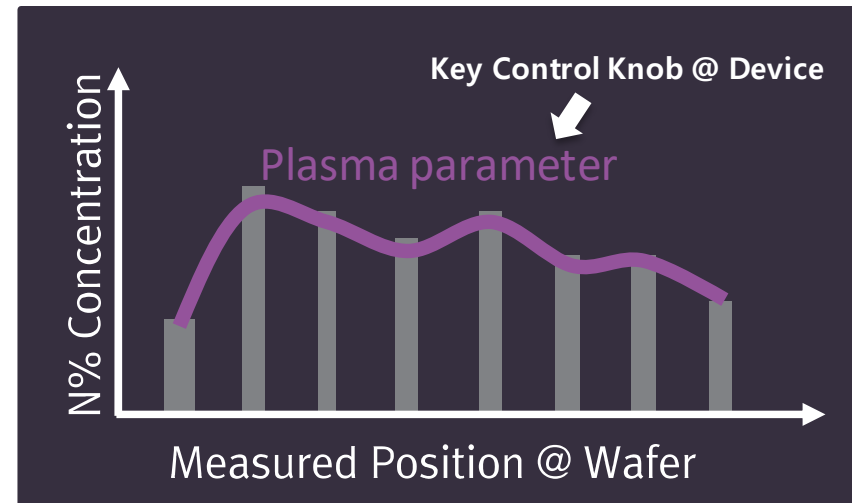


## OES Data vs. Plasma Parameters

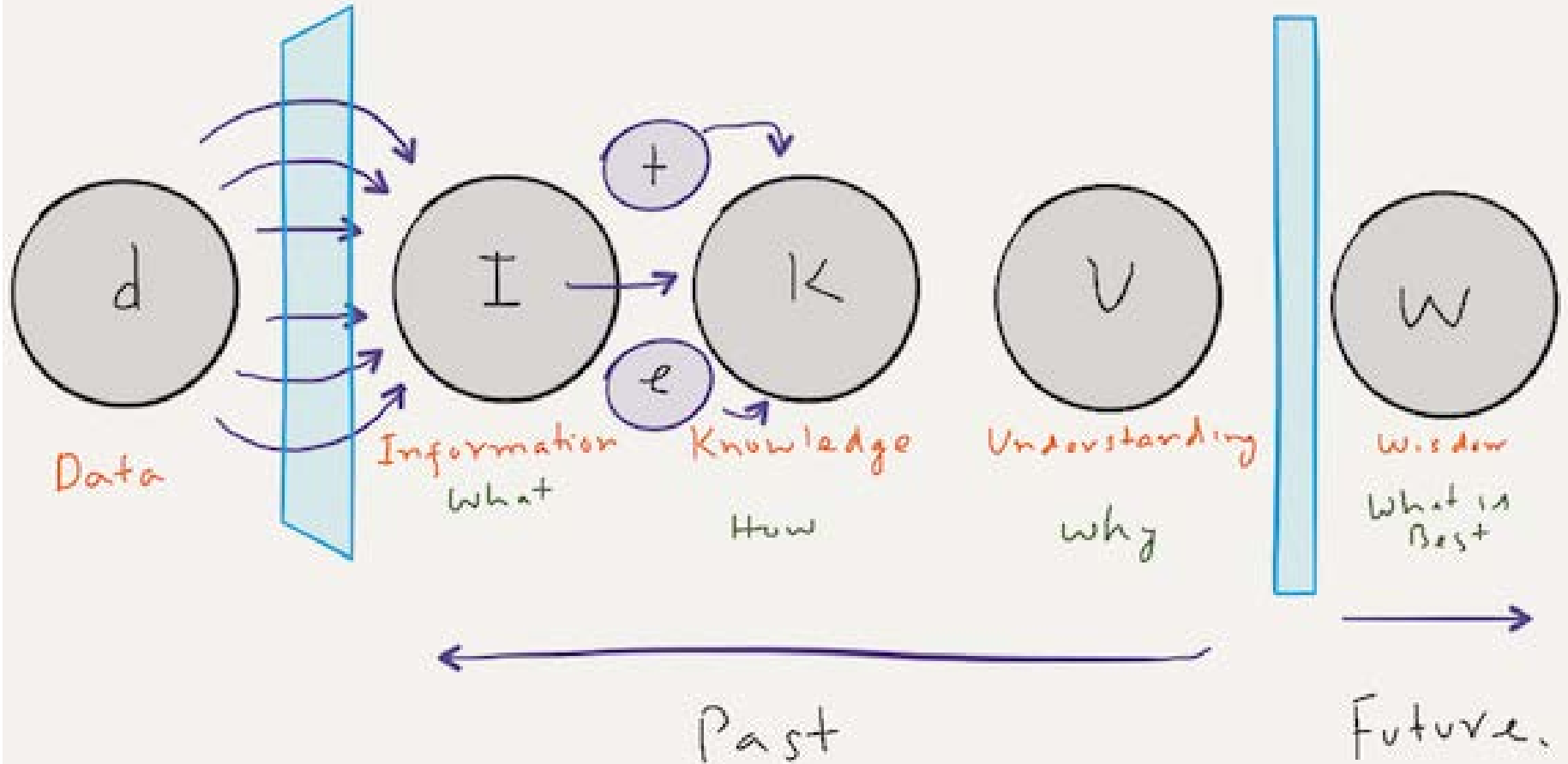
Wavelength (nm)	I-	#	%	%	\$	(ME A1)	(ME A1)	(ME A2)	(ME A2)
295.55	0.85	0.49	0.71	0.74	0.41	0.38	1.00	0.44	0.96
315.98	0.76	0.61	0.81	0.77	0.53	0.28	1.00	0.32	0.96
337.15	0.67	0.71	0.86	0.77	0.62	0.21	0.98	0.24	0.92
357.49	0.72	0.67	0.83	0.77	0.58	0.25	0.98	0.29	0.94
370.93	0.79	0.56	0.77	0.76	0.49	0.32	1.00	0.37	0.96
375.40	0.74	0.62	0.81	0.77	0.55	0.27	0.98	0.30	0.96
380.14	0.71	0.67	0.85	0.77	0.59	0.23	0.98	0.26	0.94
389.34	0.92	0.36	0.59	0.67	0.29	0.50	0.96	0.58	0.94
394.07	0.79	0.58	0.77	0.76	0.50	0.31	1.00	0.36	0.96
399.59	0.72	0.64	0.83	0.77	0.56	0.25	0.98	0.29	0.94
502.71	0.86	0.01	0.13	0.31	0.00	0.81	0.52	0.98	0.56
589.39	0.86	0.01	0.12	0.30	0.00	0.81	0.49	0.98	0.55
645.18	0.83	0.00	0.09	0.27	0.00	0.81	0.45	0.98	0.49
652.83	0.83	0.00	0.09	0.27	0.00	0.81	0.45	0.98	0.49
660.73	0.83	0.00	0.10	0.27	0.00	0.81	0.46	0.98	0.50
668.87	0.77	0.00	0.06	0.22	0.01	0.83	0.38	1.00	0.44
675.23	0.76	0.00	0.05	0.21	0.01	0.83	0.37	0.98	0.41
746.09	0.77	0.00	0.06	0.21	0.01	0.83	0.38	1.00	0.42
760.69	0.72	0.01	0.03	0.18	0.03	0.83	0.32	0.98	0.37
770.74	0.64	0.03	0.01	0.12	0.07	0.81	0.24	0.96	0.28



OES Data vs. THK Data (Process Results)



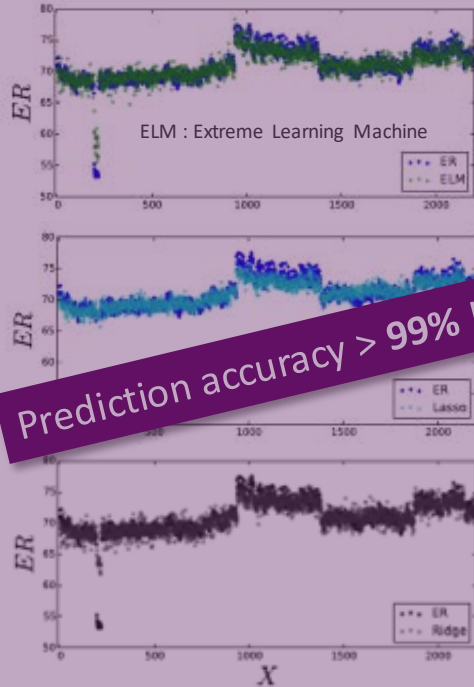
Plasma para. Vs. N% (Process Results)



**Data-Driven → Data-Informed → Data-Inspired**

# It is Difficult by AI Alone !

VM performance based on the various AI models

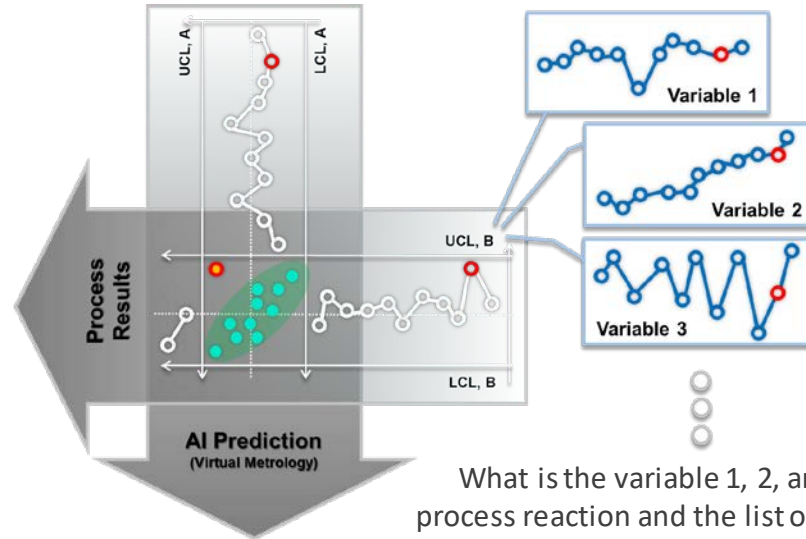


Prediction accuracy > 99% !!

L Puggini et al., EAAI 67 (2018) 126-135.

**And, AI DOES NOT give us the answers to this question !**

✓ But, AI alone can **not** give us the answers, especially for the **plasma-assisted processes**.



What is the variable 1, 2, and 3 in the process reaction and the list of root causes?

To control the process reaction rates, we have to deal :

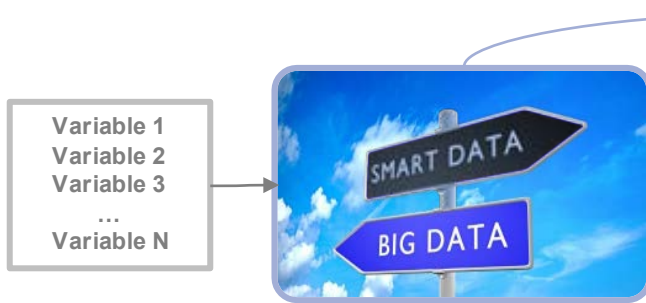
$$R_{proc} = n_{target} \left( \pm \sum_j k_j s_j D_j \nabla N_j + \sum_l \kappa_l \Gamma_l \right)$$

$$\left\langle n_j n_e \int_{E_{thr}}^{\infty} \sigma_{ej}(\varepsilon) \sqrt{\varepsilon/2m_e} f_e(\varepsilon) d\varepsilon \right\rangle_t$$

And to solve the problem during the processes, we have to find the root cause :

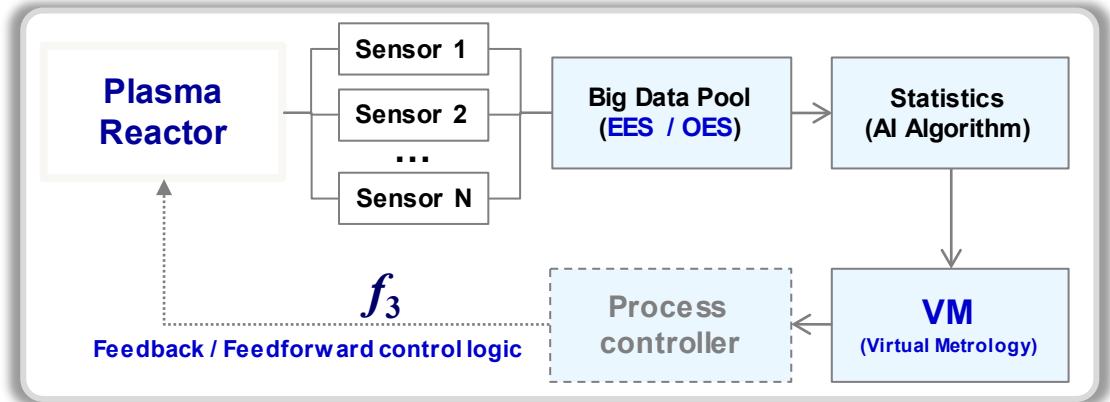
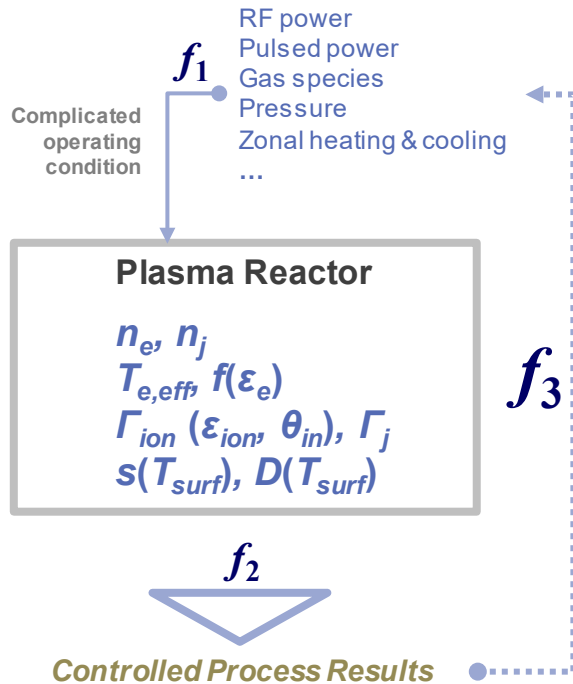
Power absorption
Gas mixing ratio
Vacuum and exhaustion
Wall contamination
ESC aging

# WHO can give us the answers?



?

How to Translate the BIG DATA to the Plasma Processing Engineers?



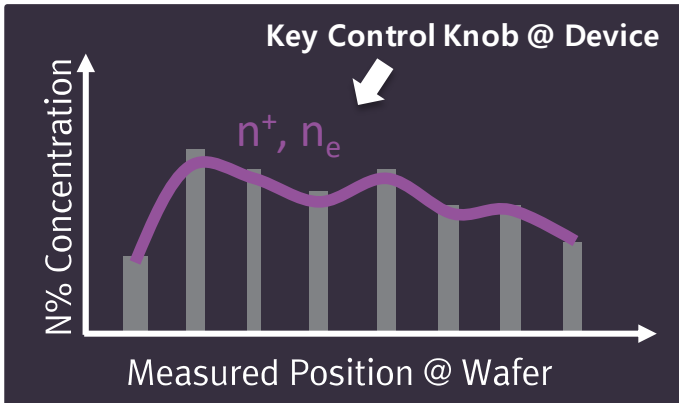
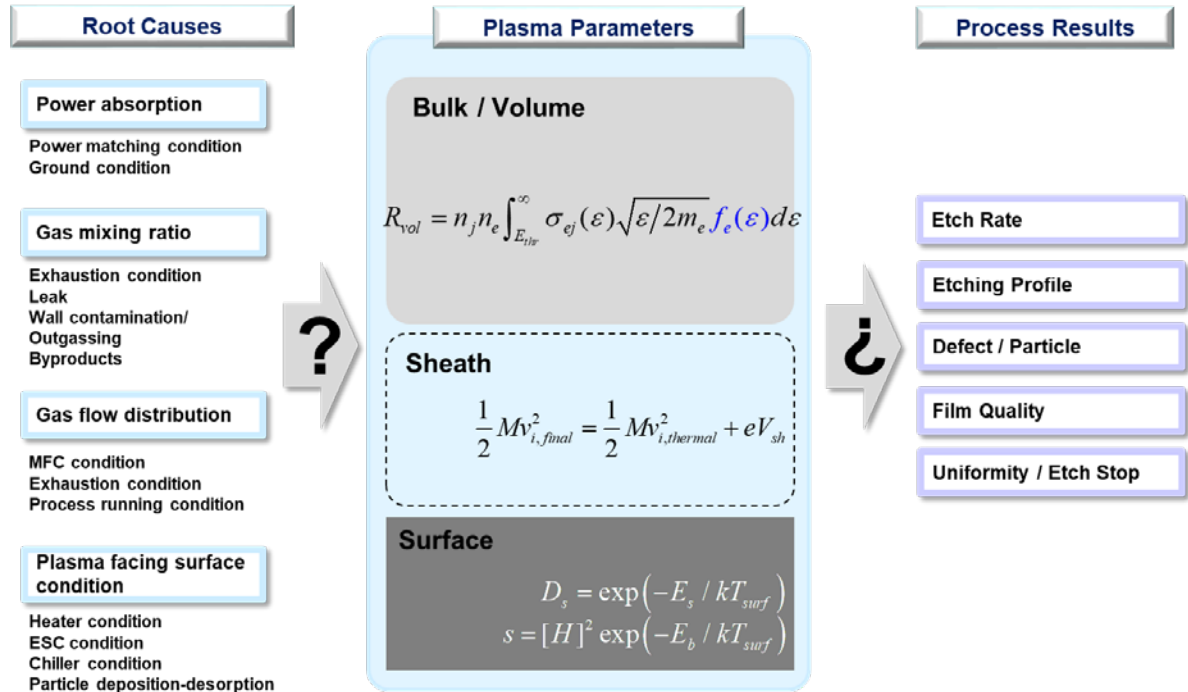
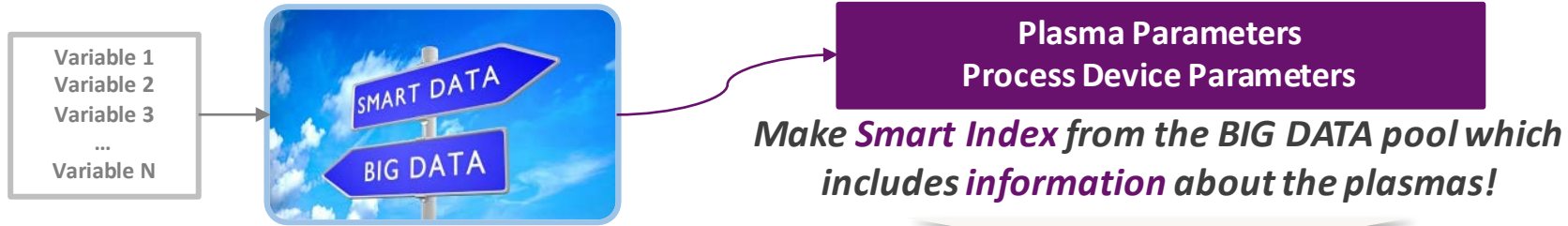
And, How to make  $f_3$ , the process control logic based on the analyzed root causes of the each problemes?

- Plasma Physics should do :**
- (1) Define of the problem
  - (2) Approach to the solution



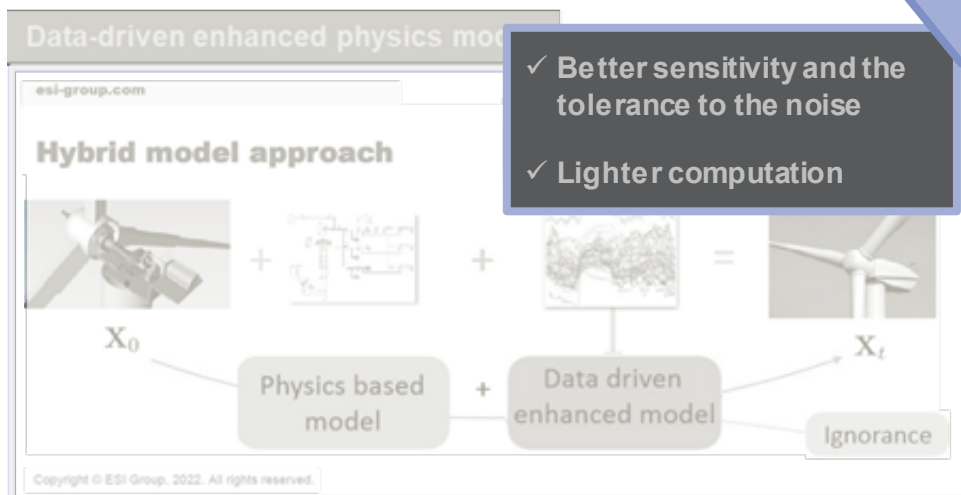
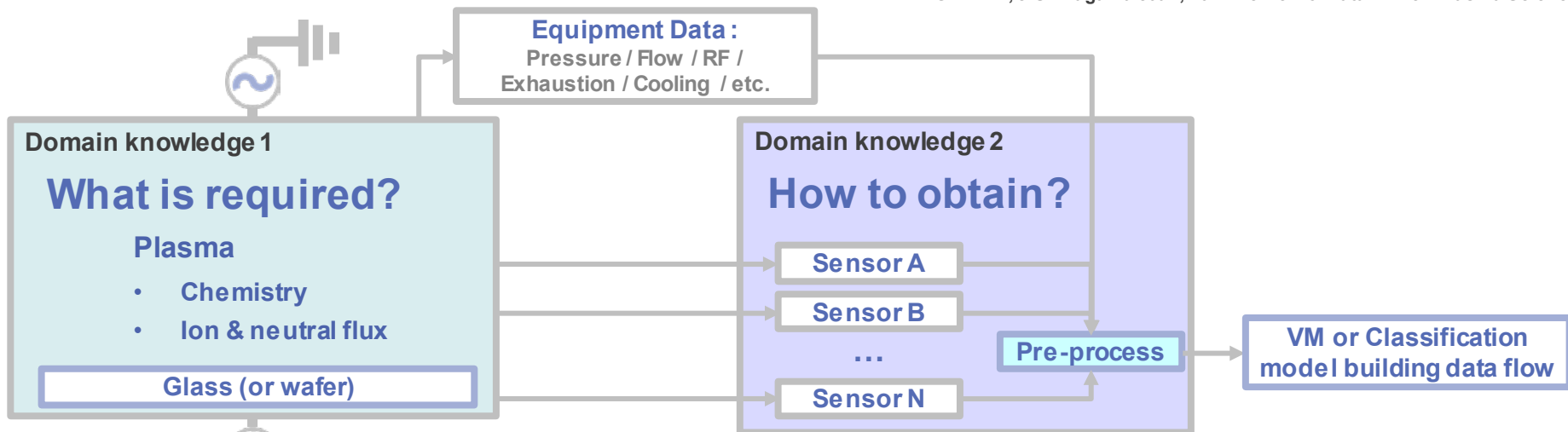
# WHAT we have to do?

Translation of the BIG DATA to the Plasma Processing Engineers

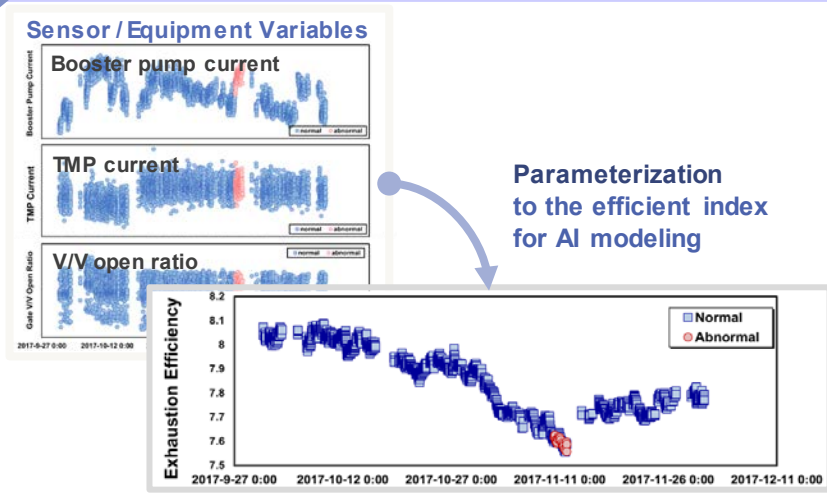


# Various Strategies of the Translation for Plasma Processing Engineers

G-H Kim, J Shinagawa et al., 2022 Review of Data-Driven Plasma Science



## Physics-based data-driven analysis model

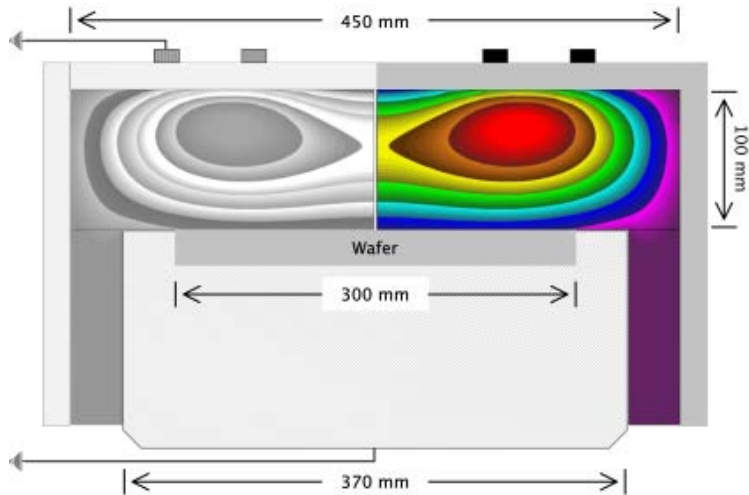


# Model Adjusted

(Physics Based Data Driven Analysis Model)

**Model Bound**  
(Purely Theory Based)

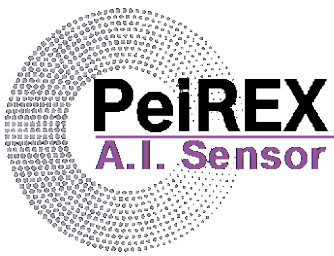
**Model Free**  
(Purely Data Based)



	$n_e$	$T_e$	$V_p$	$V_f$	$V_a$	$I_{sat}$	$N^+$	$N_2^+$	$N^+$	$N_2^+$
$n_e$	1	0.041	0.37	0.22	0.036	0.79	0.073	0.11	0.8	0.87
$T_e$	-0.041	1	0.4	0.81	0.97	0.17	0.019	0.24	0.035	0.15
$V_p$	-0.37	0.4	1	0.81	0.32	0.44	0.06	0.48	0.14	0.49
$V_f$	-0.22	0.81	0.81	1	0.76	0.36	0.0009	0.4	0.012	0.4
$V_a$	-0.036	0.97	0.32	0.76	1	0.14	0.05	0.16	0.049	0.16
$I_{sat}$	0.79	0.17	0.44	0.36	0.14	1	0.14	0.32	0.57	0.76
$N^+$ (MEA1)	-0.073	0.019	0.06	0.0009	0.05	0.14	1	0.51	0.18	0.007
$N_2^+$ (MEA1)	-0.11	0.24	0.48	0.4	0.16	0.32	0.51	1	0.046	0.085
$N^+$ (MEA2)	0.8	0.035	0.14	0.012	0.049	0.57	0.18	0.046	1	0.54
$N_2^+$ (MEA2)	0.87	0.15	0.49	0.4	0.16	0.76	0.007	0.085	0.54	1

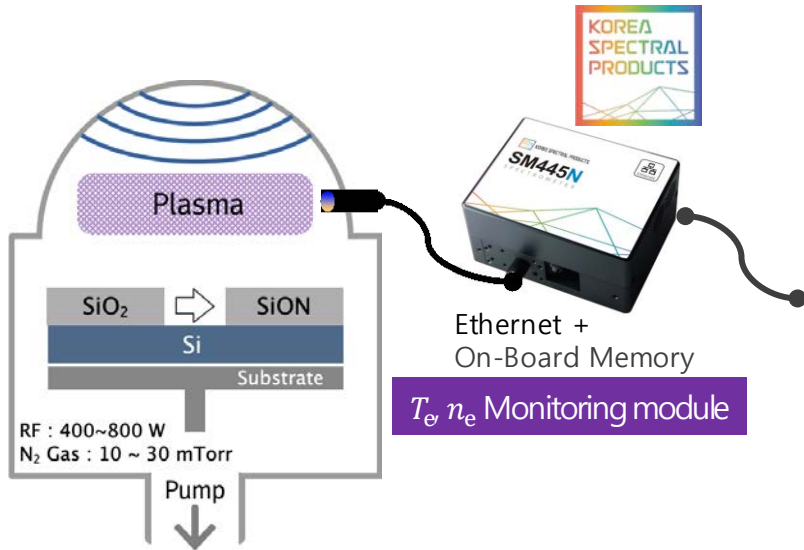
Take advantage of data science + Includes physical understanding/constraints

Allowing for data driven understanding of complex plasma equipment/process system

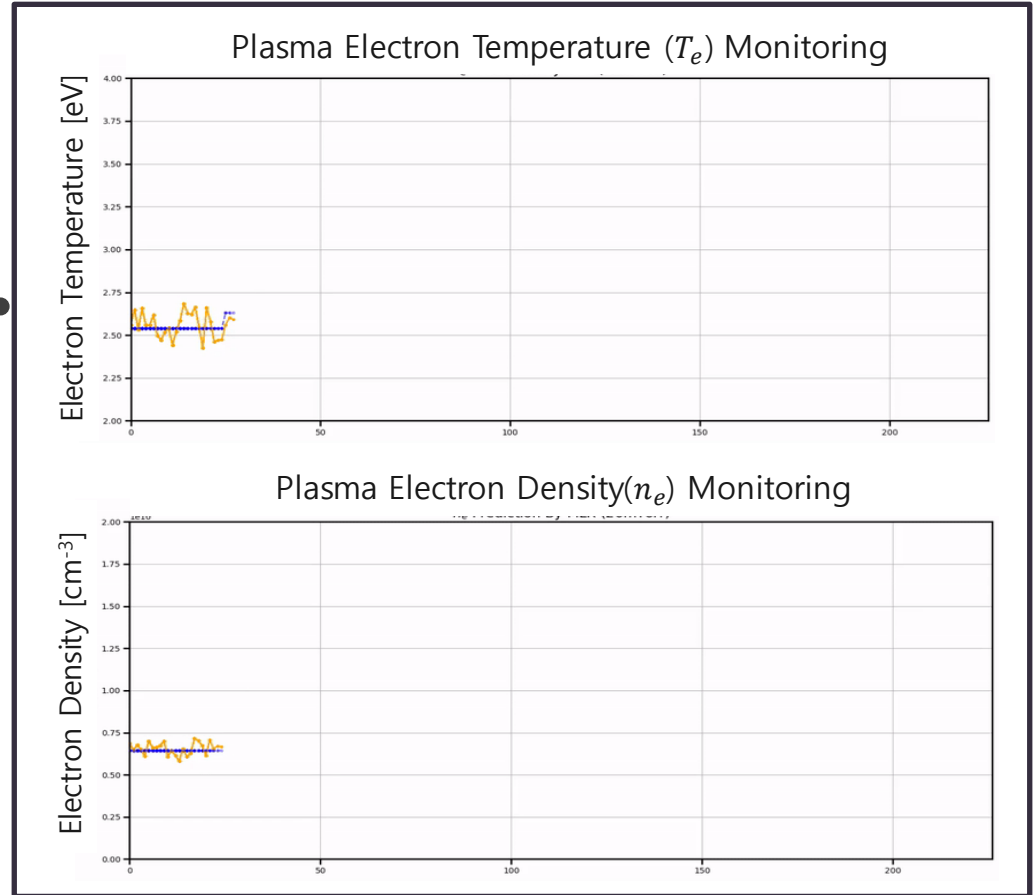


# AI-OES Sensor

Real time plasma parameter ( $T_e, n_e$ ) monitoring sensor for plasma nitridation process equipment → Plasma parameter analysis algorithms are embedded in H/W

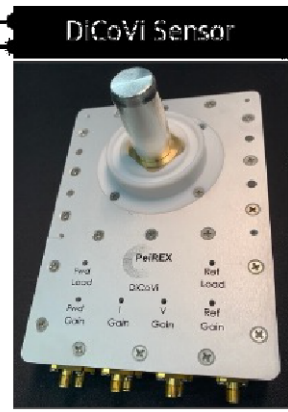
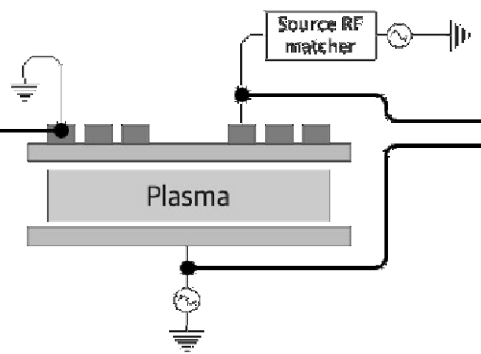


- ICP : 450mm × 100mm (Single & Dual zone antenna)
- RF(13.56 MHz) : 400~800 W
- Gas: N<sub>2</sub>, 10~30mTorr

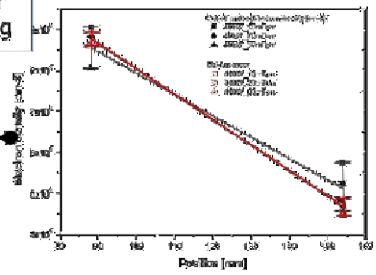


# Non-Contact Plasma Property Monitoring Sensor

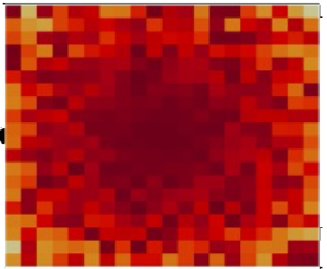
real RF power measurement  
VI sensor for non-50 Ohm load condition



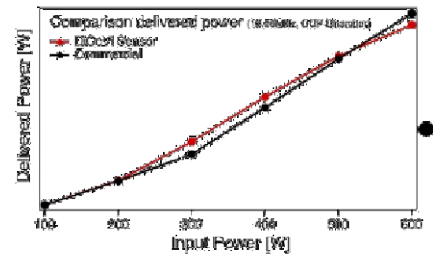
Plasma parameter monitoring



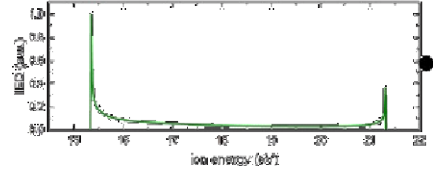
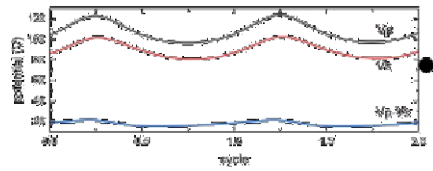
Plasma uniformity, N% monitoring

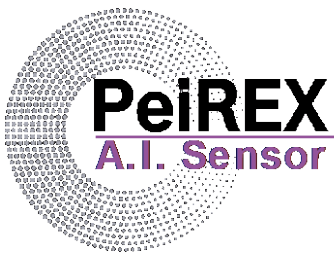


REAL RF Power Measurement



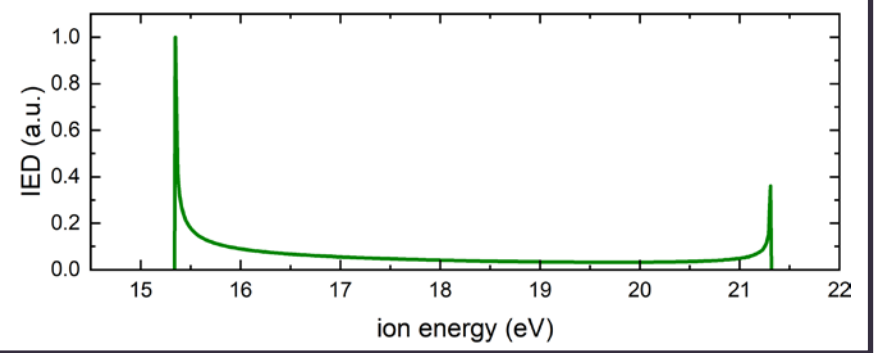
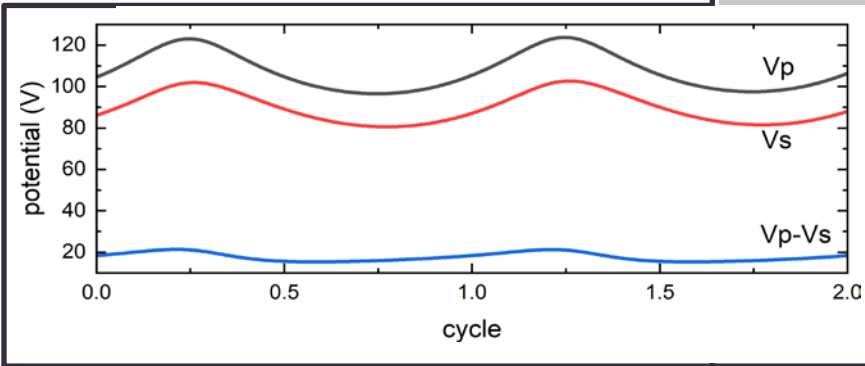
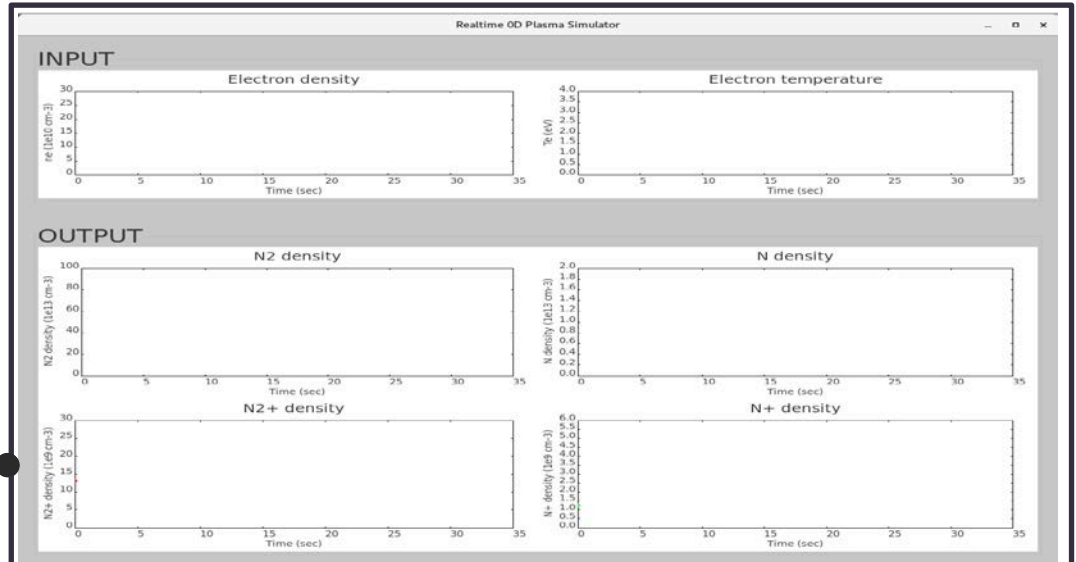
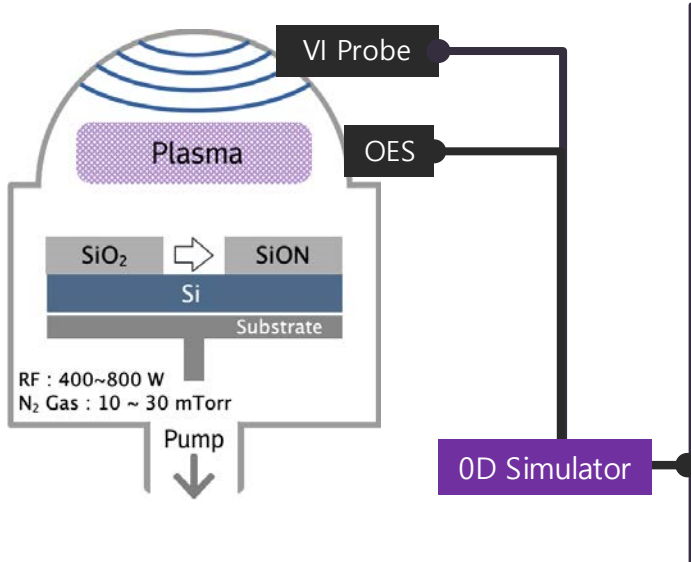
Parameter Monitoring  
I-V, IEDF, THK, DR, etc

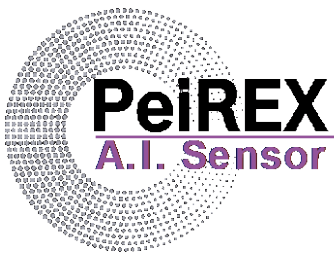




# N-ion & Radical VM (Virtual Metrology) Module

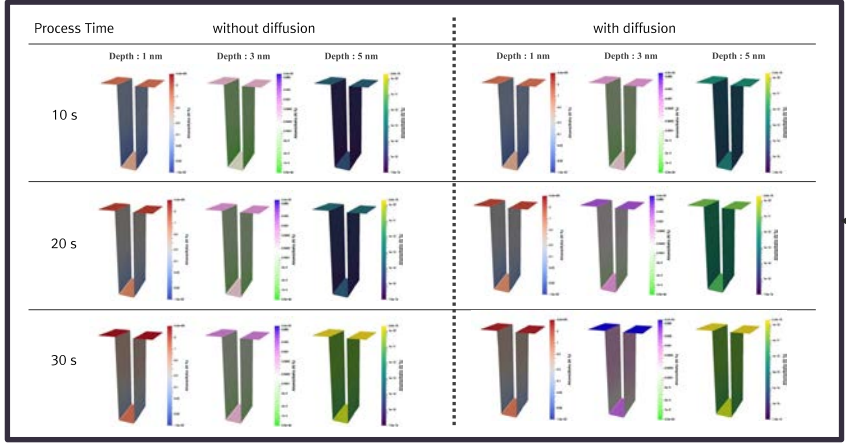
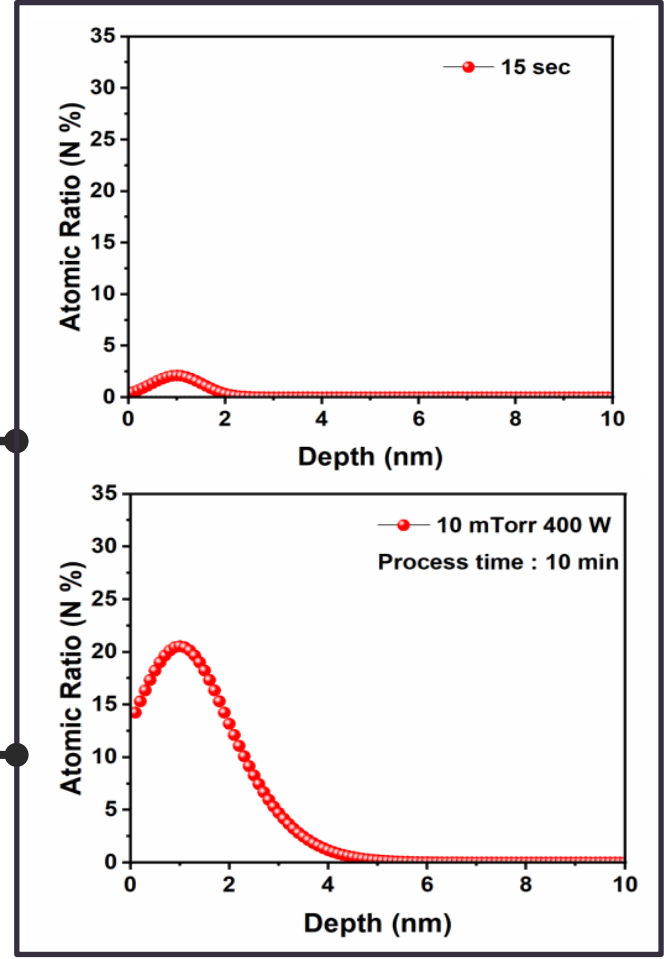
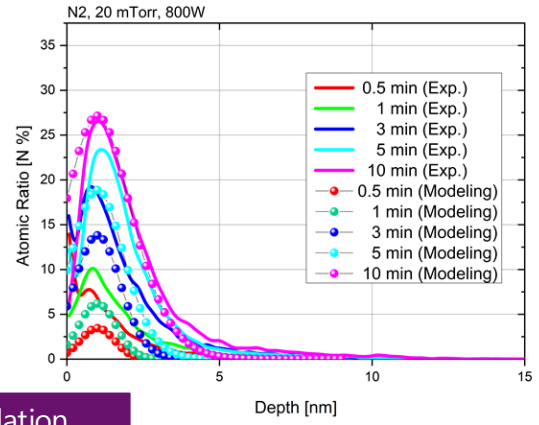
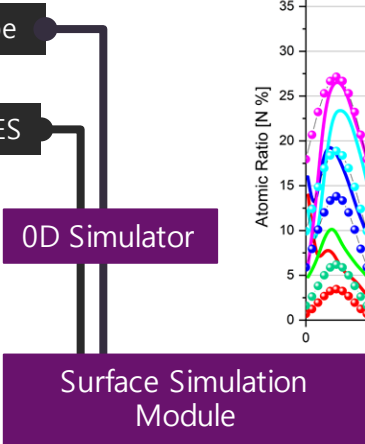
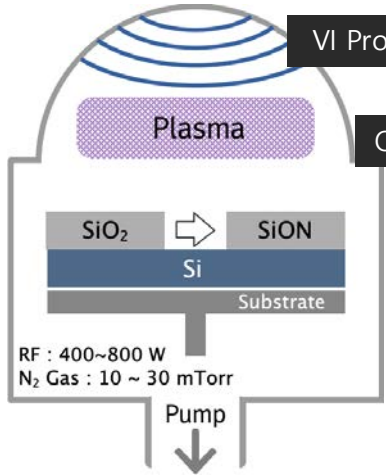
Real time monitoring module for plasma parameters ( $N_2^+$ ,  $N^+$ ,  $N_2$ ,  $N_2^*$ ,  $N(2D)$ ,  $N(2P)$ )  
→ based on OD Simulator (Uncertainty < 1%, Calculation time < 1 sec)  
→ plasma potential & Ion Energy Distribution Function (IEDF) monitoring



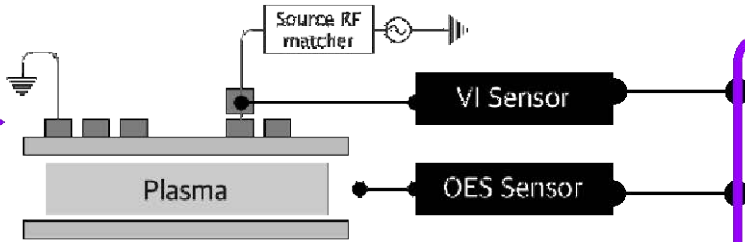


# N% VM (Virtual Metrology) Module

Near real time (<10sec) monitoring module of N % concentration as a oxide position and process time (Uncertainty < 24%) → near real time N% map

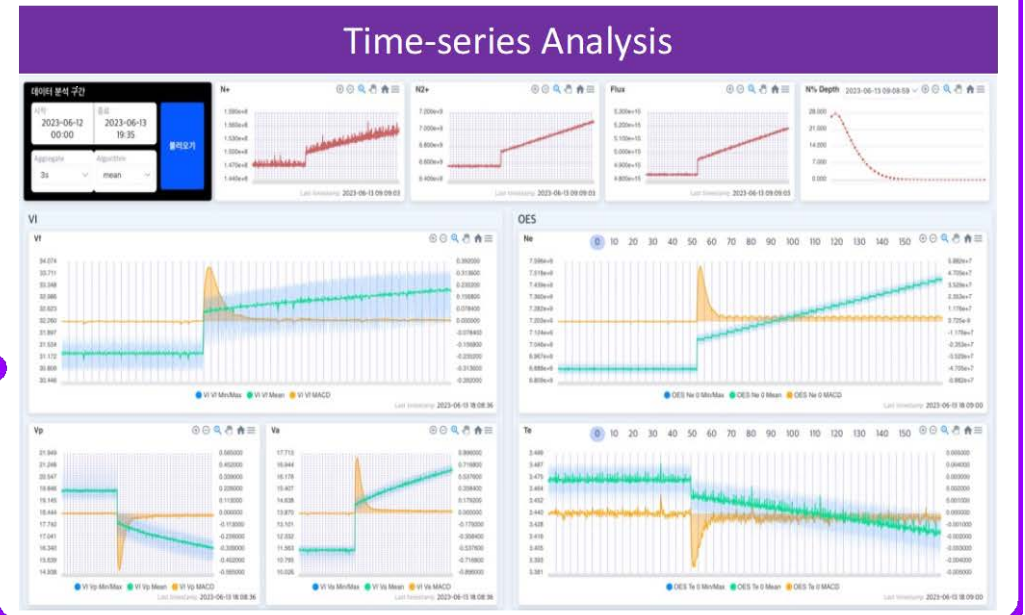


# IDAS(Integrated Data Analysis System)



## AI-Based Integrated Data Analysis System Optimized for Plasma Nitridation Equipment

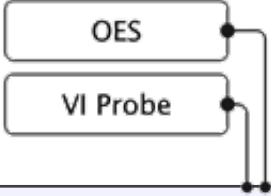
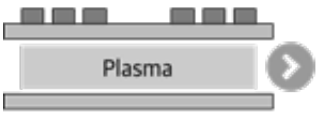
Provides an analysis loop that leads to plasma process equipment data storage, analysis, and evaluation throughout the process cycle.



Real-time analysis less than 1 second.







- Sensor data monitoring
- Plasma parameter monitoring
- (Virtual Metrology)  
Plasma parameter & process result

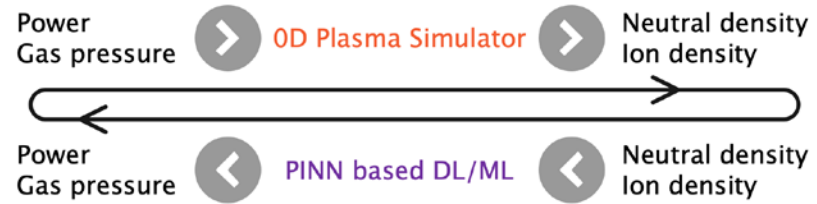
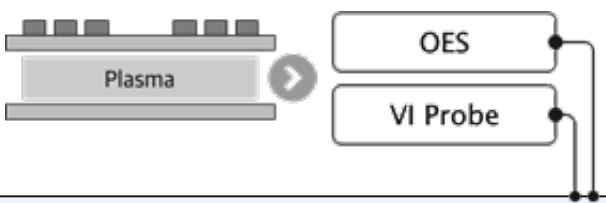
Setting      Monitoring (A.I)      Prediction (A.I & Simulator)

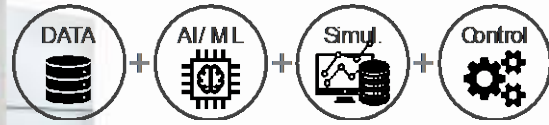
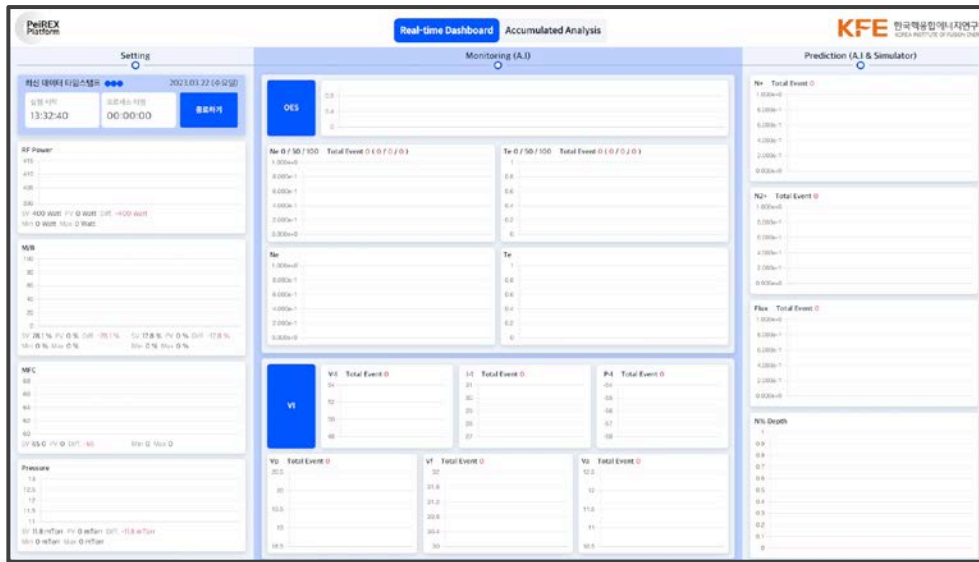
Recently data timestamp ●●● 2023.06.20 (Tuesday)

start 00:00:00    process time 00:00:00    **START**



# Prediction of VM based response $\Leftrightarrow$ M/L based Process Optimization





- Predictive PM
- Automatic Part Replacement System
- Abnormal Source para Detection & Control
- Automatic Health Decision

M/L Based Process Recipe Optimization

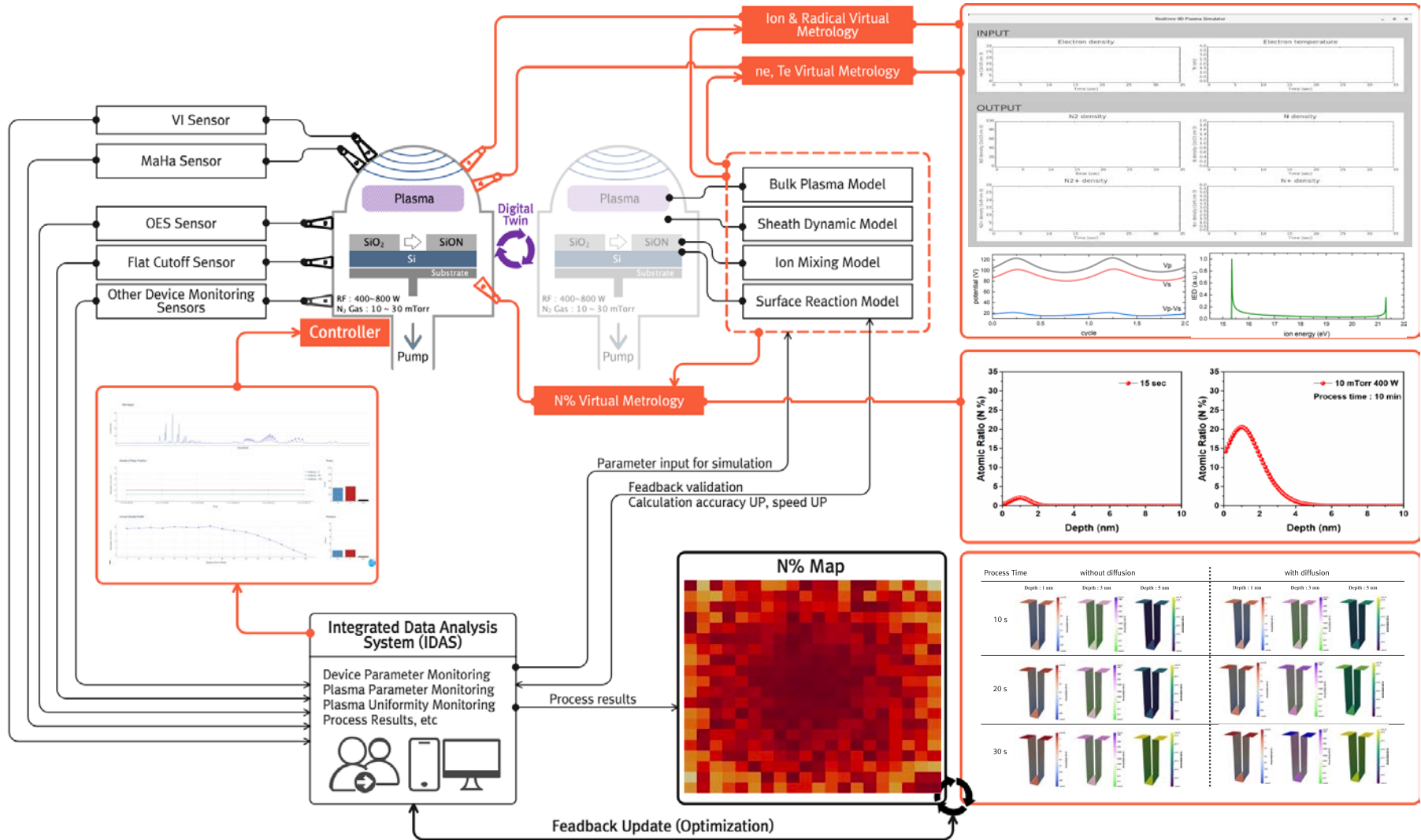
- Automatic Control of Equipment Error and Abnormal Event
- Prediction of VM based Response
- Automatic Response Control by New Sensor based Integrated Methodology

# R&D Summary

Physics-based data-driven approach for plasma equipment

Make *Smart Index* from the *BIG DATA* pool which includes *information* about the plasmas!

— R&D flow  
— Results





Make Your Plasma Equipment Smart  
Plasma Equipment Intelligent Research & Experimental Platform