



## Deep Learning and Machine Learning algorithms for disruption prediction and heat-load monitoring in fusion devices

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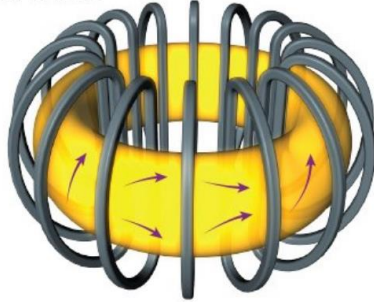


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# Approaches to magnetic confinement fusion



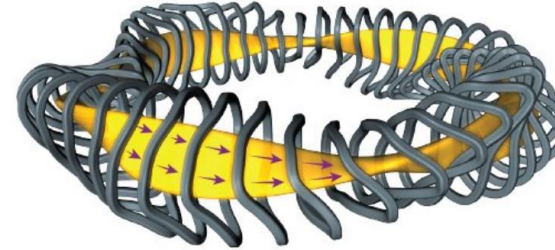
TOKAMAK



Axisymmetric  
Induced toroidal current  
Current driven instabilities

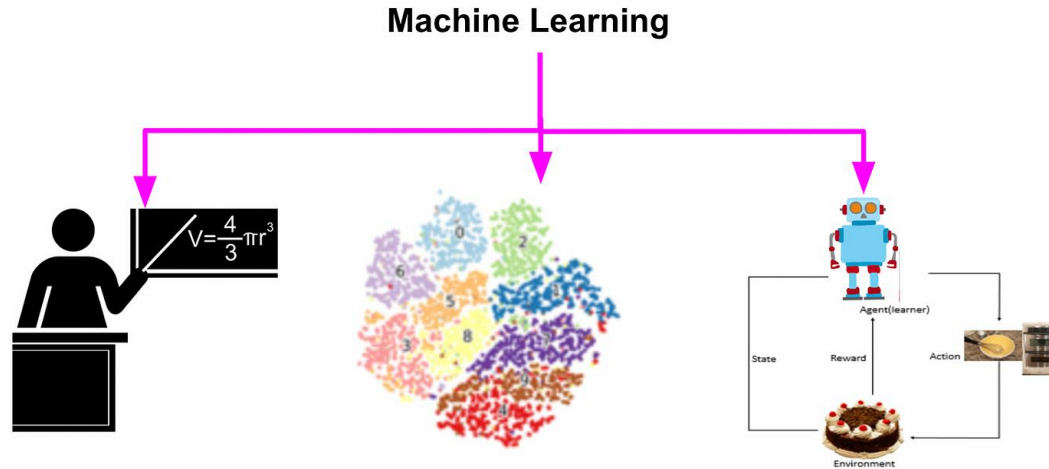
**Disruption prediction and avoidance  
towards real-time control**

STELLARATOR



Not-axisymmetric  
No need for inductive toroidal current  
No major current driven instabilities  
Overload on the first wall

**Heat load monitoring for protection  
of overloads**



## Supervised learning

- Input data
- Output data (Target)
- LABELED DATA

## Unsupervised learning

- Input data
- UNLABELED DATA

## Reinforcement learning

- No data
- Agent
- Environment
- Goal

# Disruption prediction in tokamaks



Instabilities in the tokamak plasma

- rapid loss of the stored thermal and magnetic energy
- high power fluxes and mechanical forces on in-vessel components,

Severe damage to present-day fusion devices and more devastating effects in future devices.



Disruption prediction and avoidance

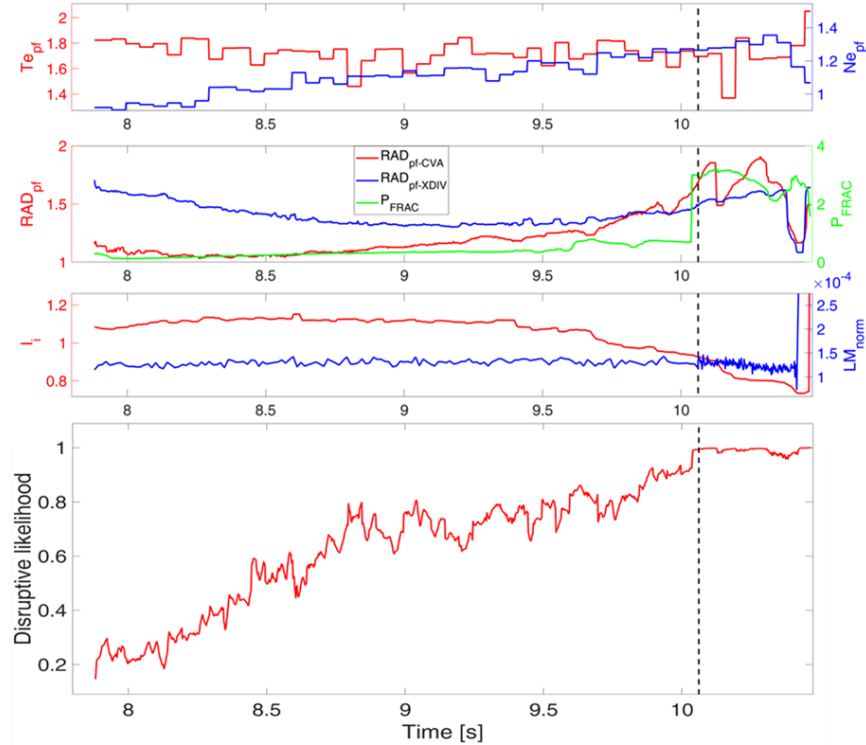


Disruption mitigation

- Theoretical models insufficient to reliably describe all disruption classes
- Empirical models based on machine learning are a common approach for predicting disruptions

**Disruption prediction: real time prediction of the thermal quench based on measured plasma parameters**

# The plasma parameters



# The disruption predictor



Temperature  
(peaking factor)

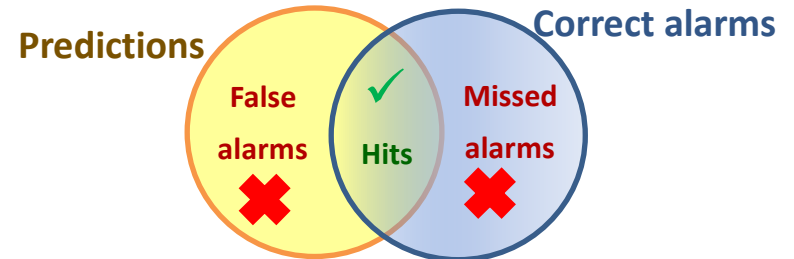
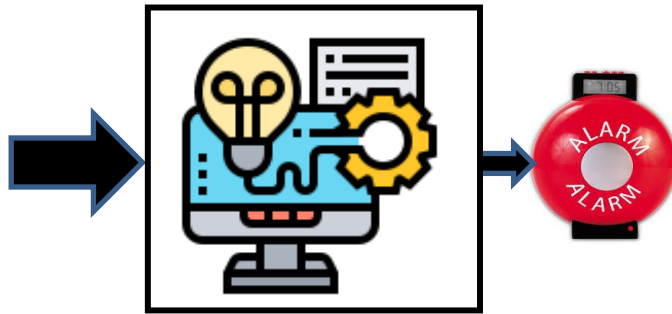
Electron density  
(peaking factor)

Radiation  
(peaking factors)

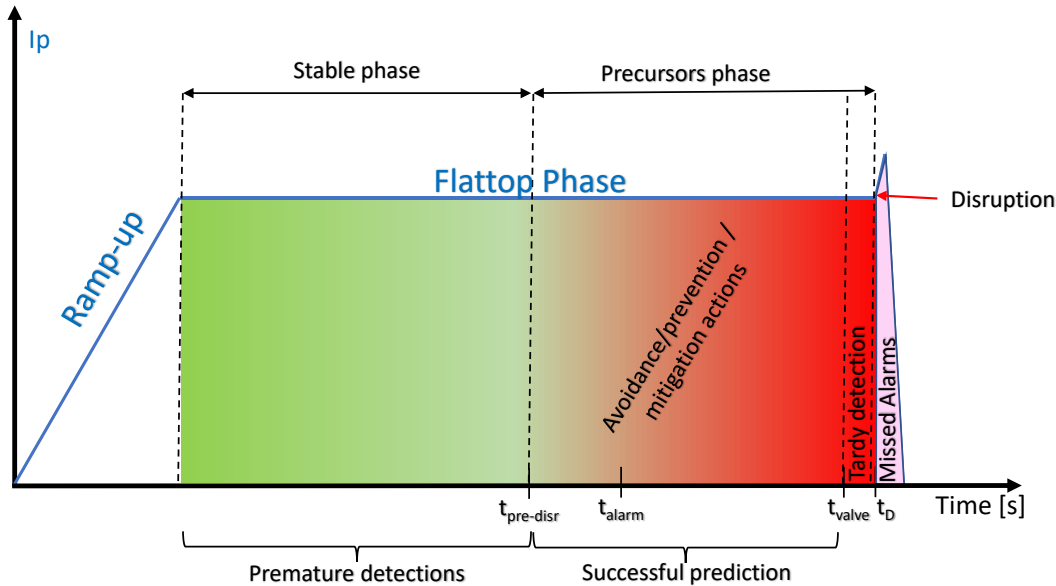
Internal inductance

Locked mode

‘  
‘  
‘



# Metrics



- Missed alarms MA (disruption terminated discharges)
- Tardy detections TD (disruption terminated discharges)
- False alarms FA (regularly terminated discharges)
- Cumulative fraction of predicted disruptions before  $t^*$  (disruption terminated discharges)



## Task

to learn a function that maps the plasma state in input to the desired output

## given the pairs

plasma state / disruption risk



### **Supervised approach**

Need for human labelling to classify the current plasma state is either stable or unstable

plasma state / experiment termination



### **Unsupervised approach**

No need for human labelling of different plasma states



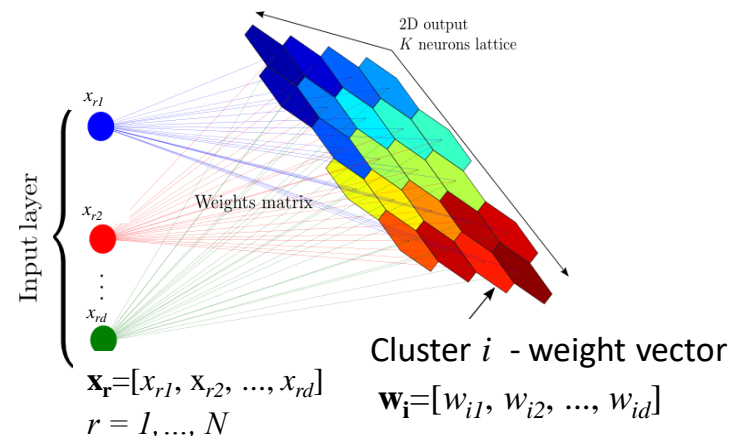
# Unsupervised approaches: Self Organising Maps



**SOMs** transform a set of  $N$   $d$ -dimensional input data

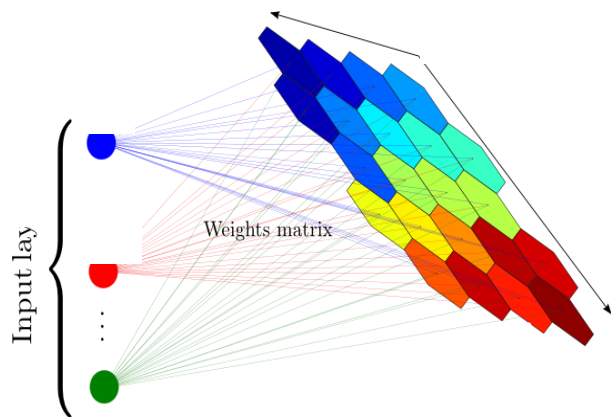
$$\mathbf{x} = [x_1, x_2, \dots, x_d]$$

into a  $2D$  **discrete map**  
**topologically ordered**



Each input  $\mathbf{x}$  is associated to a cluster of the map characterized by a weight vector  $\mathbf{w}$  (barycenter of the inputs mapped in the node)

# How does the SOM algorithm work?



## Competition

find the winning neuron, i.e., the closest to each input vector

## Cooperation

find the winning neuron's neighbors

## Adaptation

update the weights of winning neuron and its neighbors

$$\mathbf{w}_j(n+1) = \mathbf{w}_j(n) + \alpha h_{ij} [d(\mathbf{x}, \mathbf{w}_j(n))]$$

$\alpha$  learning rate

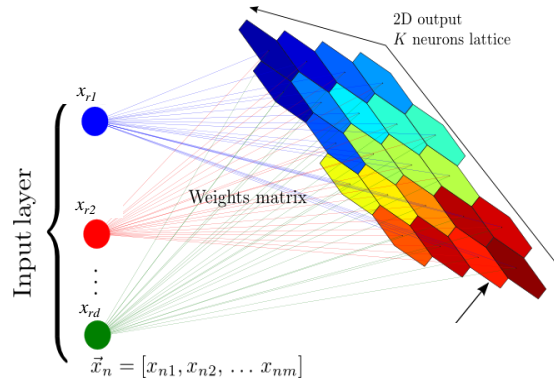
$d$  distance function

$h$  defines the winner neighborhood

# The Self Organising Map (SOM)

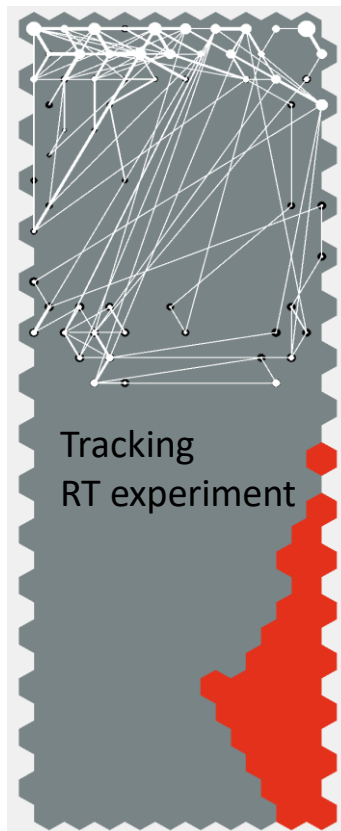
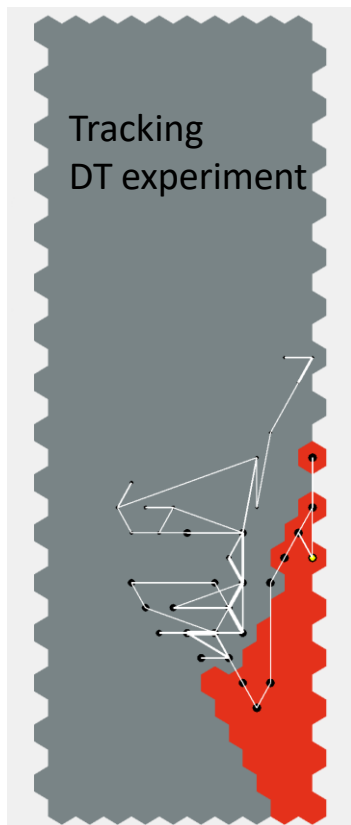


- Temperature  
(peaking factor)
- Electron density  
(peaking factor)
- Radiation  
(two peaking factors)
- Internal inductance
- Locked mode



Each 6D plasma state is associated to a cluster of the map characterized by a weight vector  $\mathbf{w}$  (barycenter of the data mapped in the cluster).

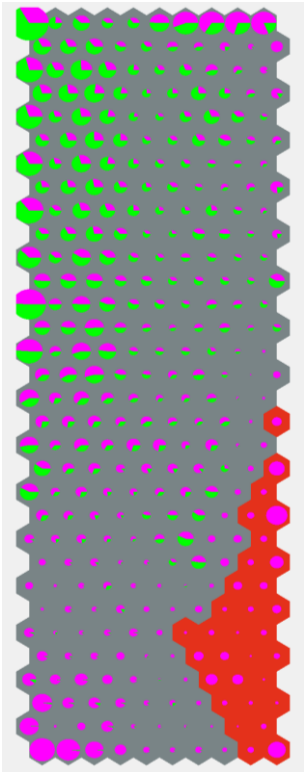
# JET SOM of the 6D plasma parameter space



$32 \times 10 = 320$  clusters

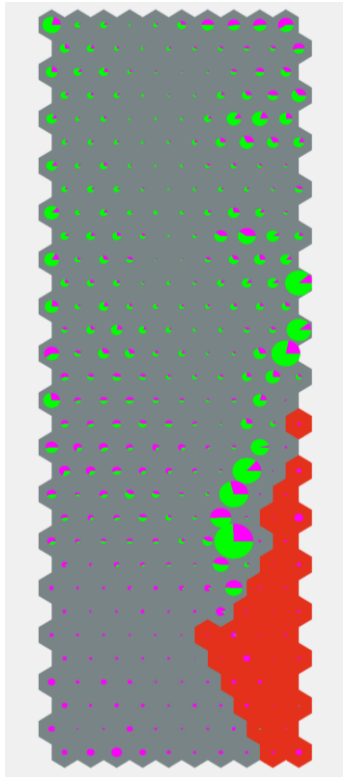
- **safe clusters** samples from Regularly and Disruption terminated experiments
- **disruptive clusters** only samples from disruption terminated experiments

# Adding knowledge on training and test set experiments



Training Experiments until C30

- Regularly terminated experiments
- Disruptive experiments



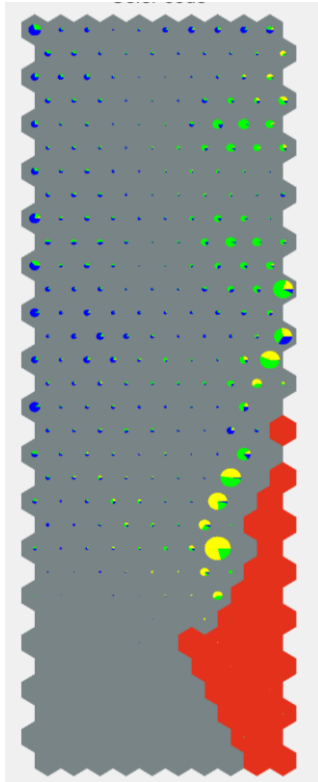
Test Experiments until C38

# Adding knowledge on experimental campaigns

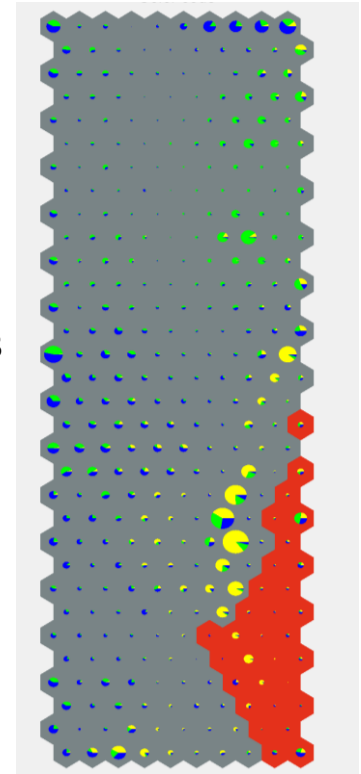


Test  
experimental campaigns

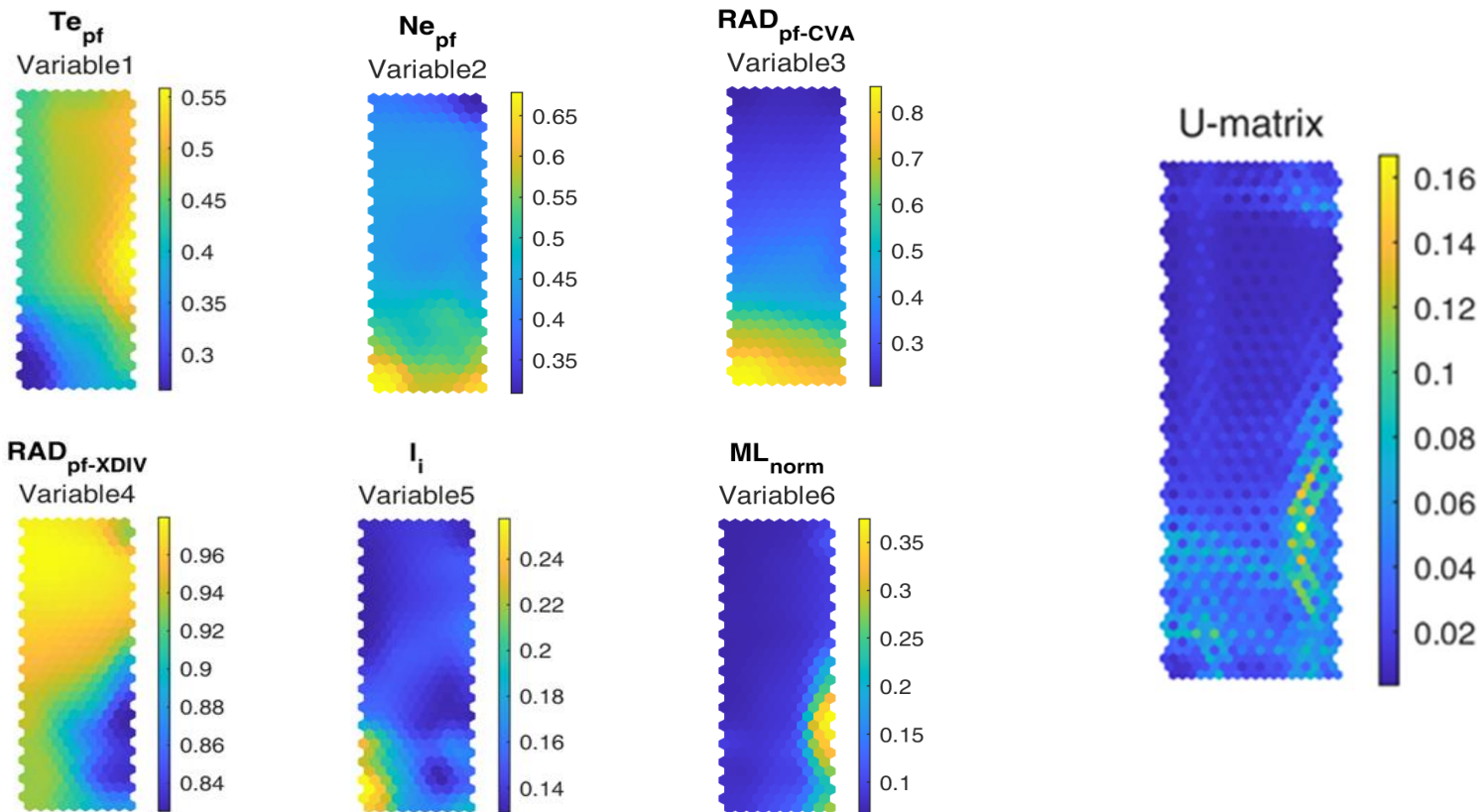
Regularly  
terminated  
experiments



Disruption  
terminated  
experiments



# Get insight on data distribution: the Component Planes



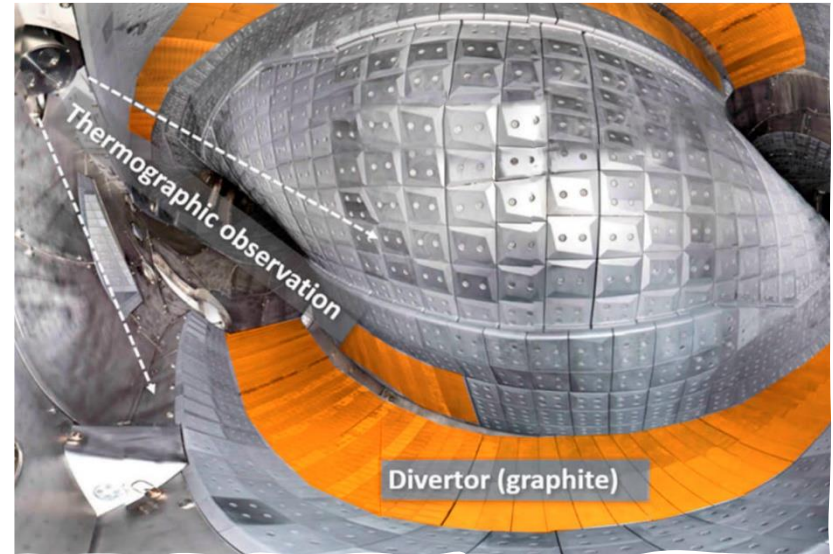
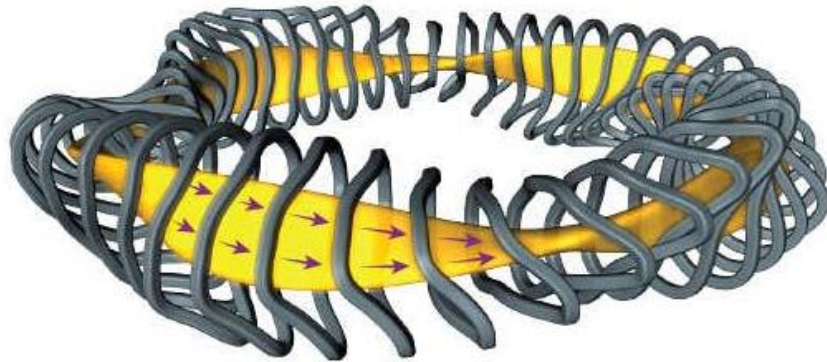
# SOM performance

	False alarms	Missed alarms	Tardy detections
<b>Training</b>	0% (0/70)	0% 0/85	1.18% 1/85
<b>Validation</b>	0% (0/70)	0% 0/85	1.18% 1/85
<b>Test</b>	2.01% (3/149)	4.63% 5/108	0% 0/108

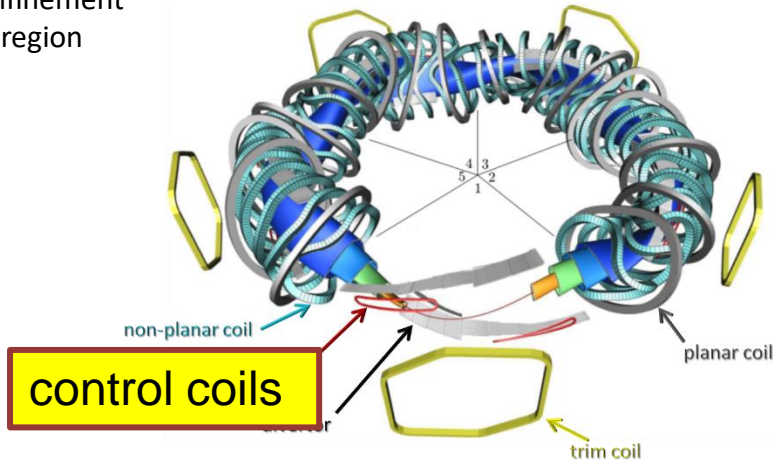
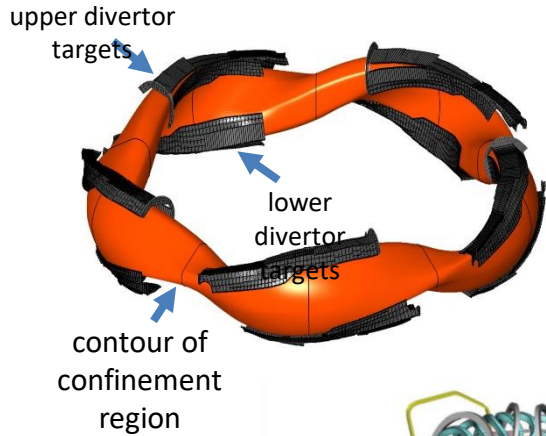
Novelty detection: any alarm if the sample is out of the range of the cluster training data



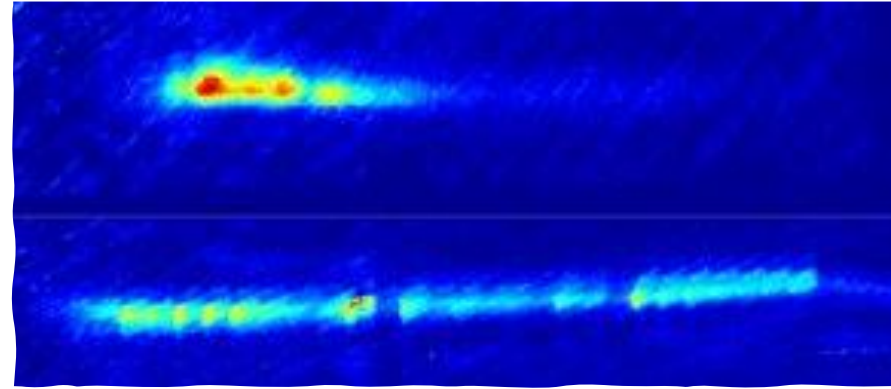
# Heat-flux monitoring at W7-X



# Strike-lines

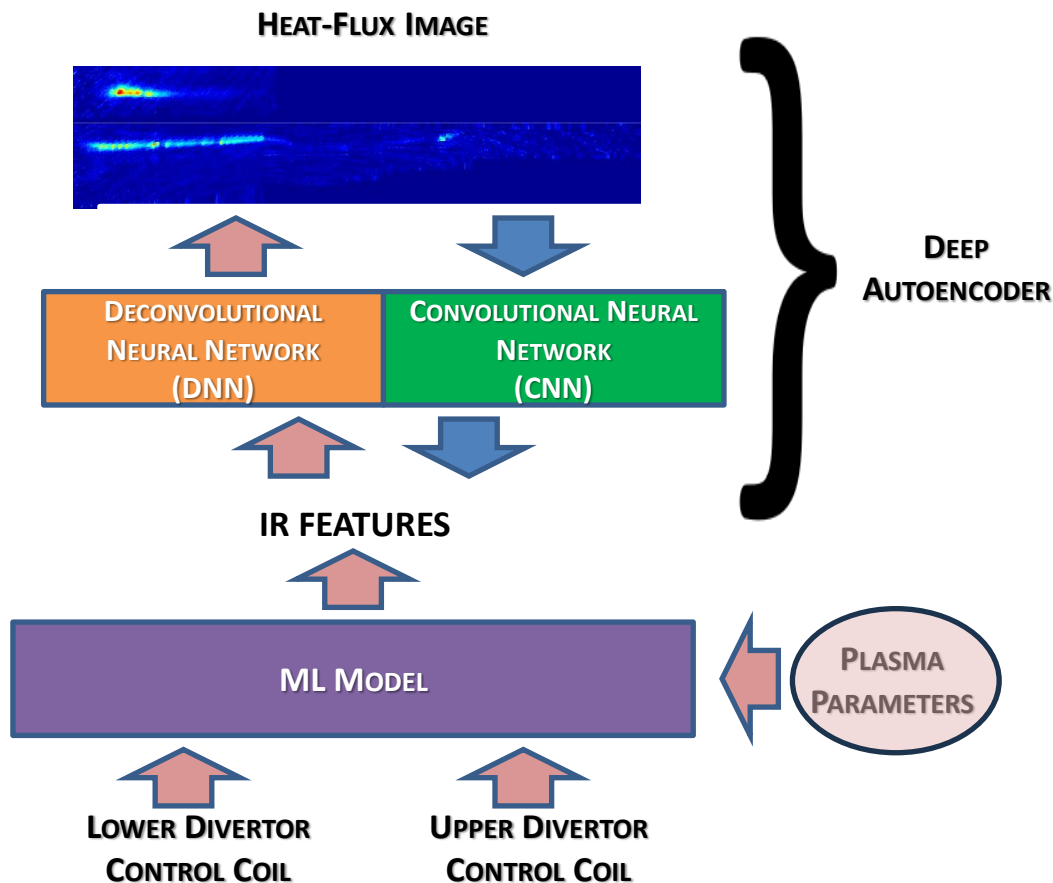


## Strike-line image



- control coils were varied in different ways
- DC ramps
  - AC signals
- in fixed experimental conditions

# From Control Coil Currents to Heat-Flux ...



## Objective:

Predict a set of features of the the heat-flux distribution on the divertor (strike-line) from the actuators (control coil currents) and plasma parameters.

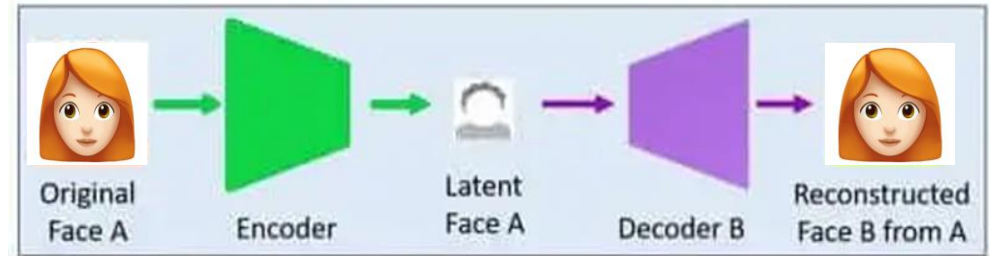
## Two tasks:

1. Compress the heat-flux image into a set of IR features, so that IR features can be decoded back to heat-flux image → Deep Autoencoder
2. Predict IR features from actuators and plasma parameters  
Control purposes

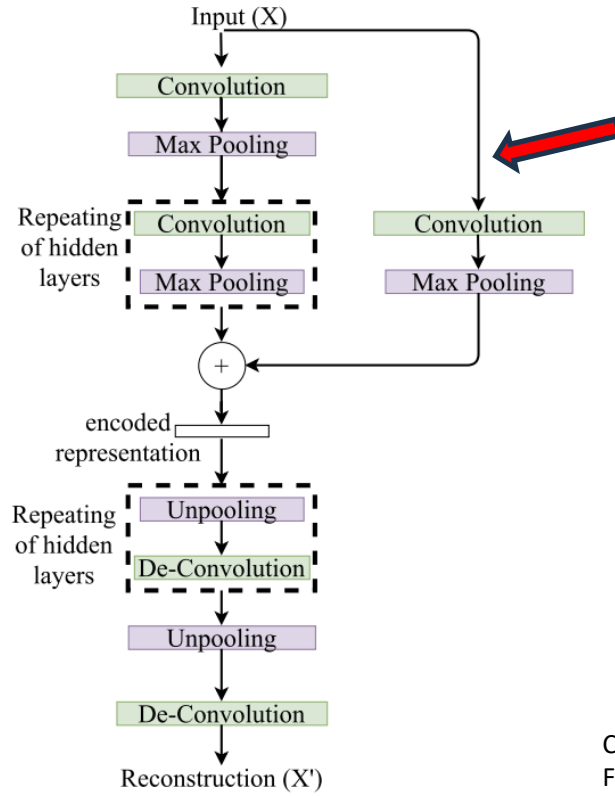
# Deep Autoencoder



- Efficient and nonlinear low-dimensional coding of data
- Do not require labeled input data
- *Self-supervised*: generate their own labels



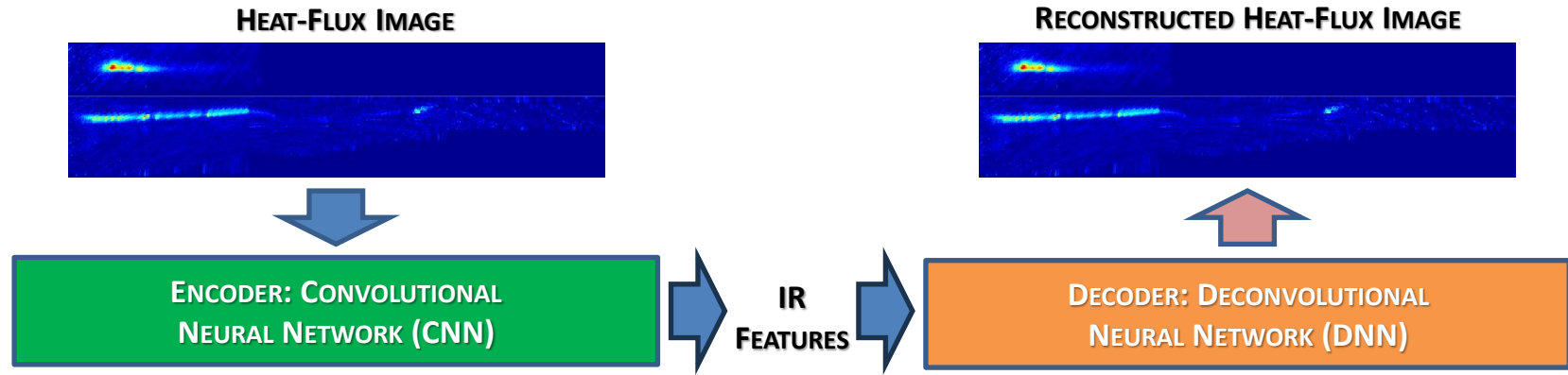
# ResNet Autoencoder



**Residual connection**

C. S. Wickramasinghe et al.: RAEs for Unsupervised Feature Learning From High-Dimensional Data

# Deep Autoencoder for heat-flux reconstruction

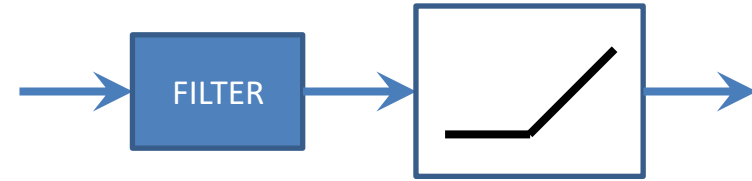
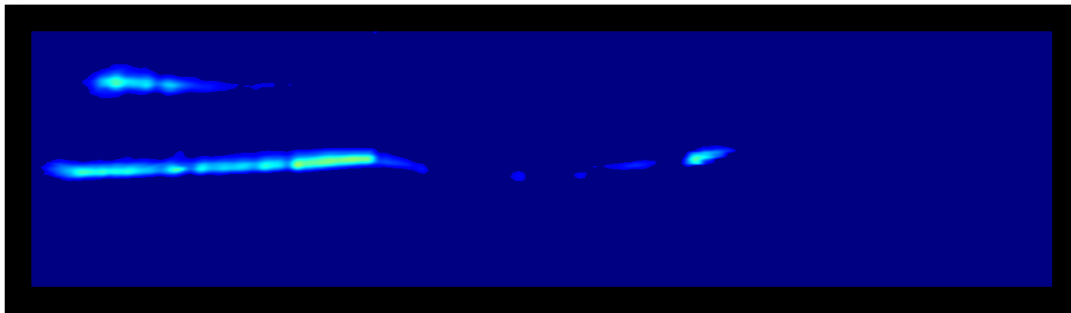
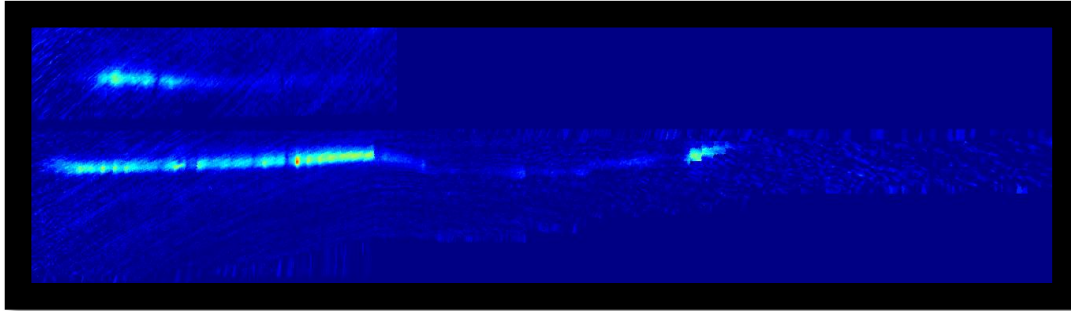


## Two actors:

**Encoder:** compresses the information coming from the heat-flux image into a set of features

**Decoder:** encodes back the set of features into a reconstructed heat-flux image

# Deep Autoencoder Preprocessing



## Spatio-temporal filter

- kernel 5x21x21  
(Height x Width x Time)
- $\sigma_H = 1, \sigma_W = \sigma_T = 5$

## Thresholding

$hf = 0$  if  $hf < 0.15\text{MW/m}^2$   
exception:  $hf = 1$  if hole



*RMSE*

**Root Mean Squared Error**

$$SSIM = \frac{(2\mu_x\mu_y + C_1)(2\sigma_{xy} + C_2)}{(\mu_x^2 + \mu_y^2 + C_1)(\sigma_x^2 + \sigma_y^2 + C_2)}$$

**Structural similarity index measure (SSIM)**

measures the perceived similarity of two images

$\mu$  average brightness of the image (luminosity)

$\sigma$  standard deviation (contrast)

$\sigma_{xy}$  covariance (the images deviate in the same direction)

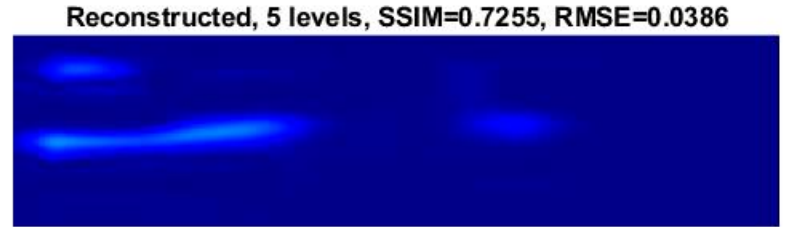
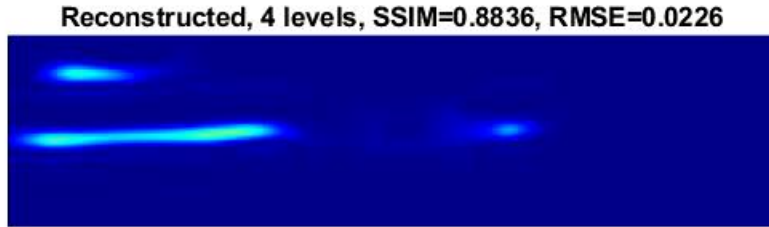
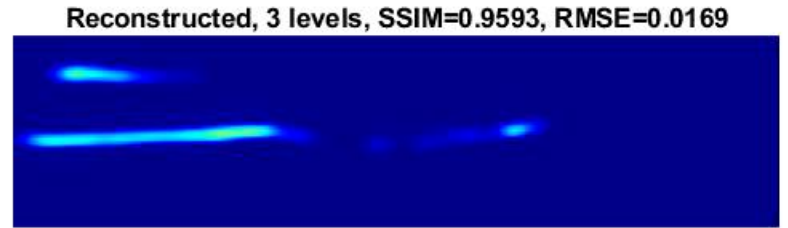
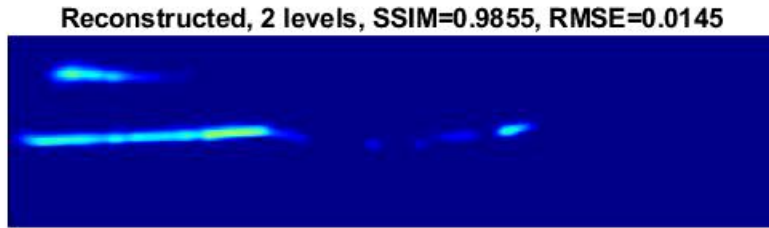
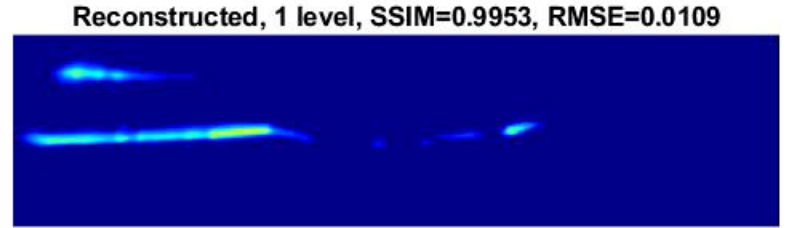
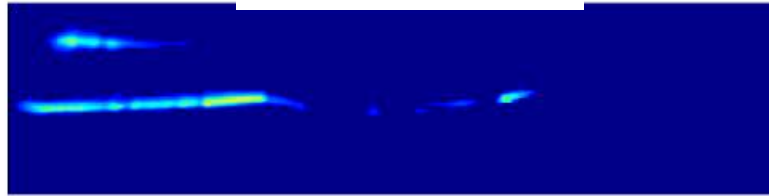


# Deep Autoencoder performance



- Training and validation set: experiment with DC ramps control coil currents
- Test set: experiment with AC control coil currents

Levels	Compressed Size	Compression	Training		Validation		Test	
			RMSE	SSIM	RMSE	SSIM	RMSE	SSIM
0	1296x324x1	100.00%	0.0000	1.0000	0.0000	1.0000	0.0000	1.0000
1	648x162x8	200.00%	0.0123	0.9921	0.0126	0.9921	0.0153	0.9875
2	324x81x6	37.50%	0.0150	0.9800	0.0159	0.9800	0.0175	0.9742
3	162x41x6	9.49%	0.0163	0.9563	0.0180	0.9549	0.0174	0.9535
<b>4</b>	<b>81x21x4</b>	<b>1.62%</b>	<b>0.0199</b>	<b>0.9118</b>	<b>0.0212</b>	<b>0.9087</b>	<b>0.0193</b>	<b>0.9201</b>
5	41x11x8	0.86%	0.0313	0.7847	0.0313	0.7849	0.0275	0.8083
6	21x6x2	0.06%	0.0316	0.7852	0.0314	0.7872	0.0280	0.8066
7	11x3x6	0.05%	0.0395	0.6164	0.0394	0.6190	0.0334	0.6879





- ML helps extracting scientific knowledge and bridge gaps between theoretical models and practical implementations
  - Unsupervised techniques for machine protection (disruptions and heat-loads)
    - Do not assume a priori knowledge (SOM and ResNet)
    - Data visualization (SOM)
    - Model interpretation: the reasoning behind the predictions is understandable (SOM)
    - Extrapolation to ITER
      - » acquire a general representation of experimental data that can be used in cross-machine applications
- e.g., identify event chains that lead to disruptions