

UNIVERSITÄT GREIFSWALD  
Wissen lockt. Seit 1456



Euratom research and training programme  
2014-2018 and 2019-2020 under Grant  
Agreement No. 633053. The views and  
opinions expressed herein do not necessarily  
reflect those of the European Commission.

# Fast characterization of plasma states in W7-X with permutation entropy

**J.F. GUERRERO ARNAIZ<sup>1,2</sup>, A. DINKLAGE<sup>1,2</sup>, B. POMPE<sup>2</sup>,  
J. GEIGER<sup>1</sup>, M. HIRSCH<sup>1</sup>, U. HÖFEL<sup>1</sup>, C. BRANDT<sup>1</sup>, H. THOMSEN<sup>1</sup>,  
J. SCHILLING<sup>1</sup>, the W7-X TEAM**

**<sup>1</sup>Max-Planck-Institut für Plasmaphysik, Greifswald Germany**

**<sup>2</sup>Universität Greifswald, Germany**

**Today: introduce *permutation entropy (PE)*<sup>1</sup> and report on application case**

PE: measure for complexity of time series from information theory. In practice, a single number.

Benefit of PE: **fast** and **robust** method (sorting algorithms) for the detection of plasma state changes: potential → in-situ monitoring of plasma parameters.

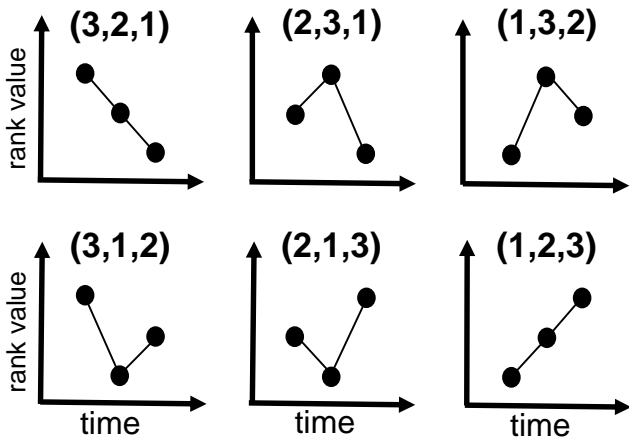
**Main result: PE detected changes in the plasma state unraveled in large-scale data mining in ECE and soft-X ray data.**

<sup>1</sup> C. Bandt, B. Pompe, Phys. Rev. Lett. 88, (2002) 174102

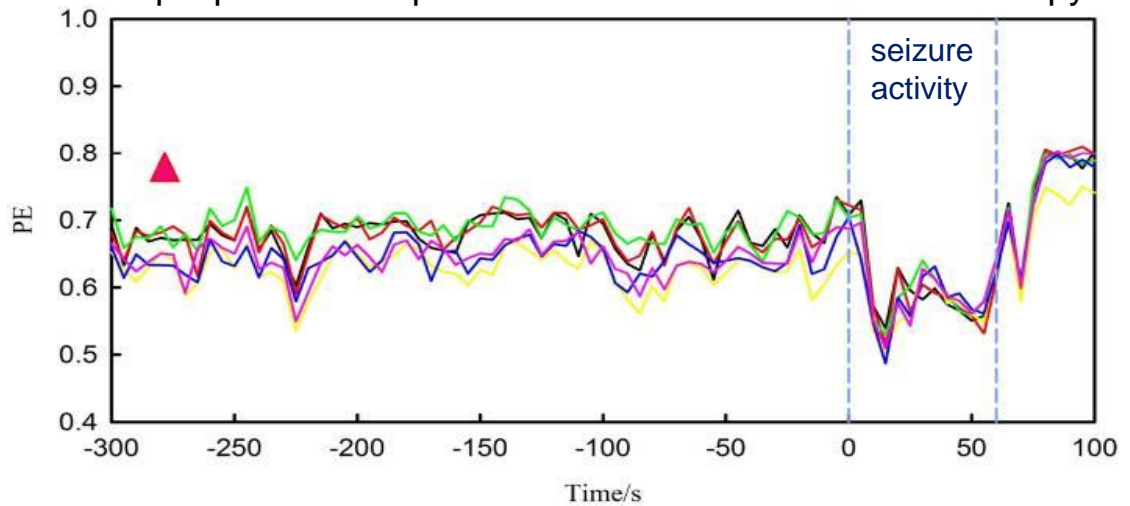
## How is PE defined and calculated?

Basis: Shannon Entropy:  $H(X) = -\sum_x p_x \log(p_x)$  → PE: permutation probabilities ( $p_x$ ) of  $m$ -th order

Example: PE of order  $m = 3$



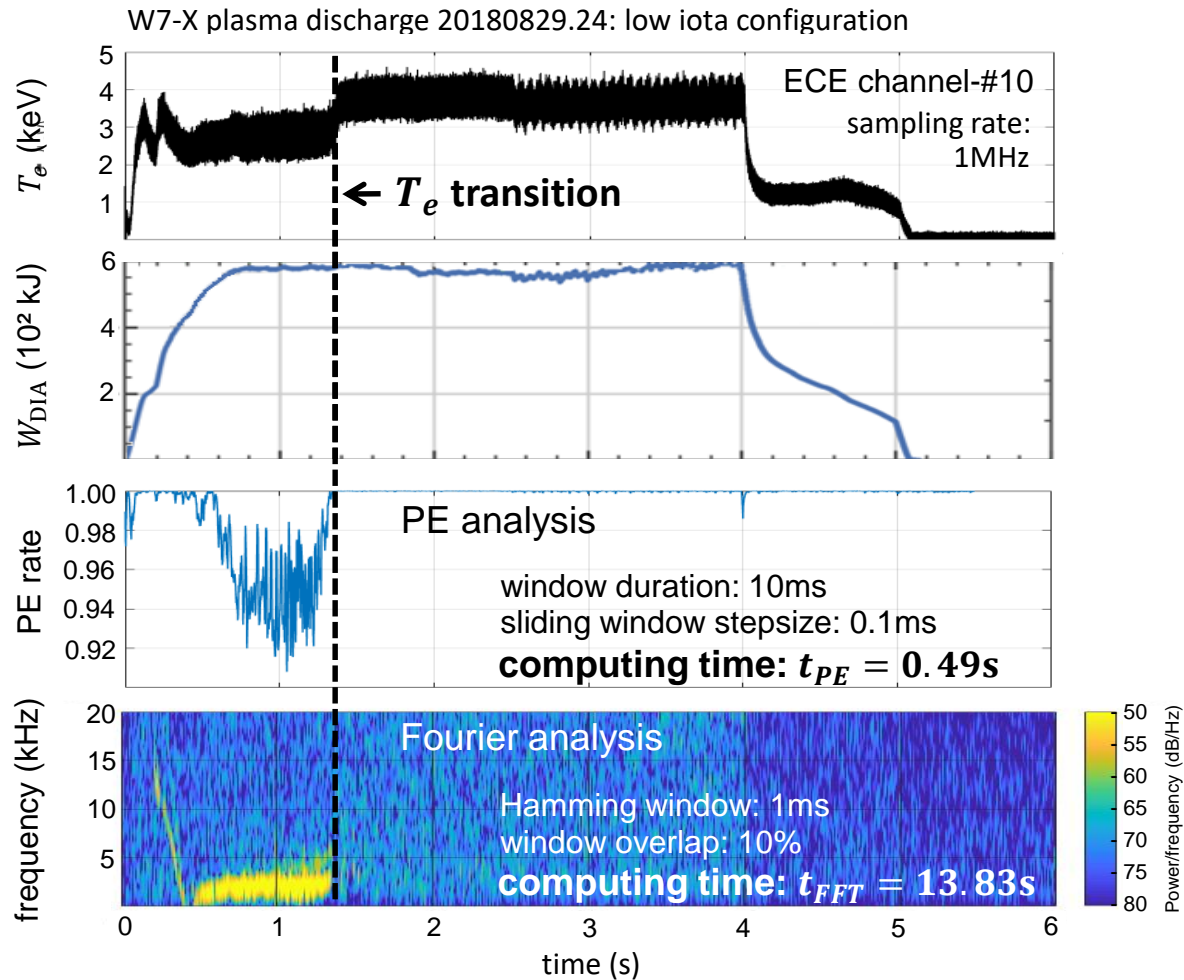
Epileptic seizure prediction based on Permutation Entropy<sup>1</sup>



- ✓ **Fast:** based on sorting algorithms
- ✓ **Robust:** ordinal method (invariance for order preserving mappings)

PE: tool to detect irregularities based on Shannon Entropy that describes degree of randomness (disorder)

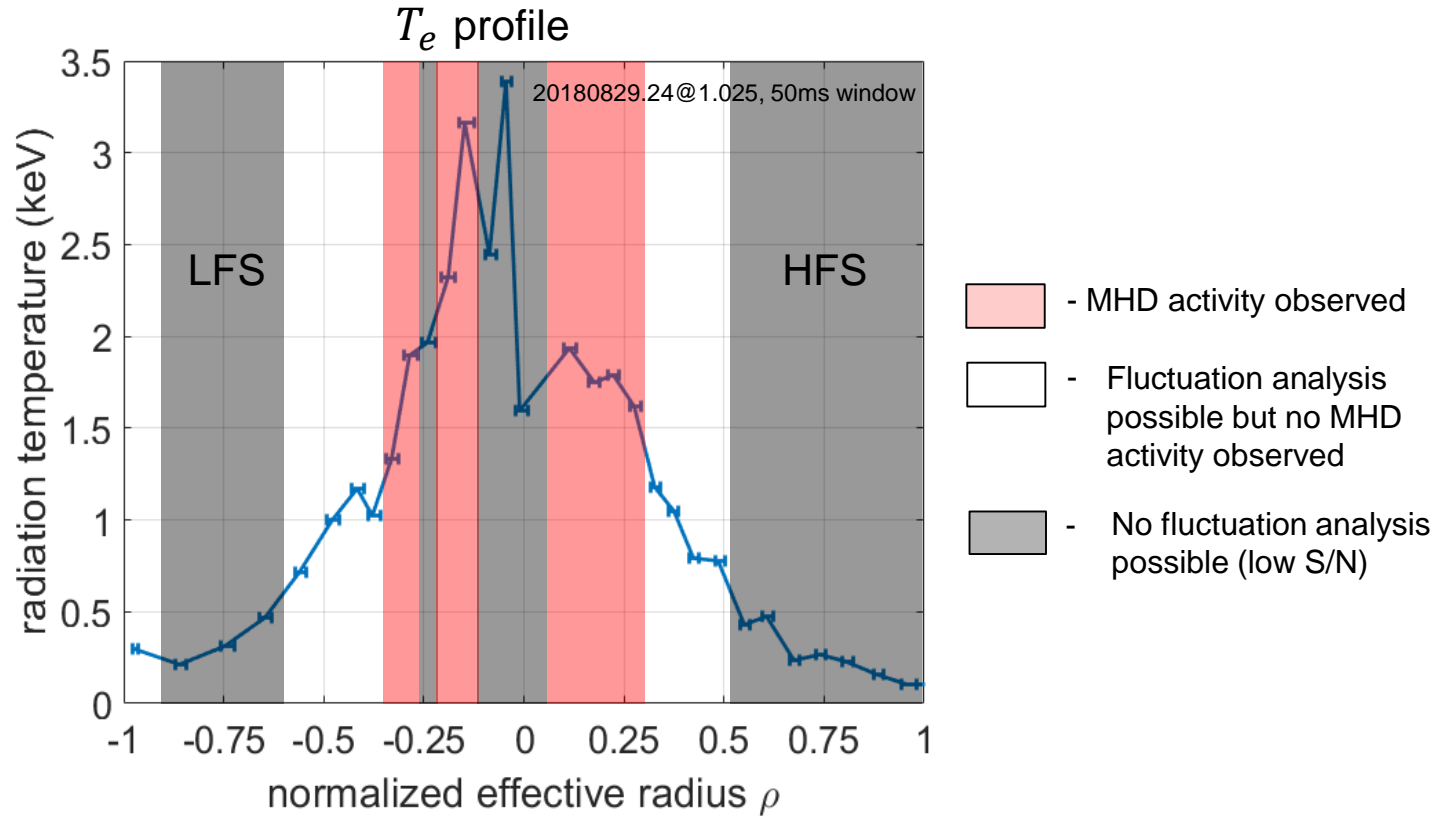
<sup>1</sup>Y. Yang, et al., Front. Comput. Neurosci. 12, (2018) 55



PE detects transient mode activity (seemingly linked to plasma state change – see  $T_e$ )



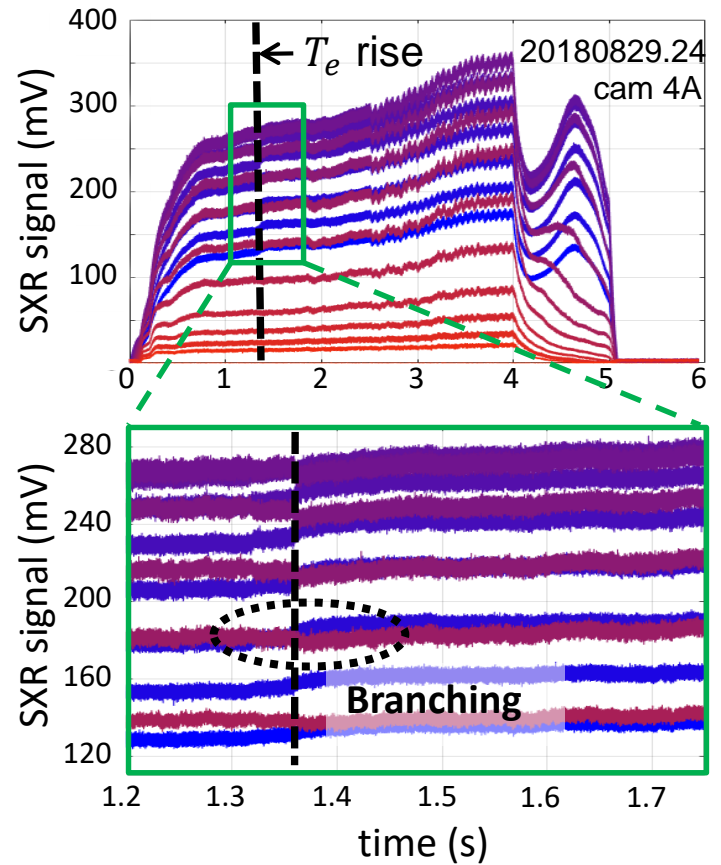
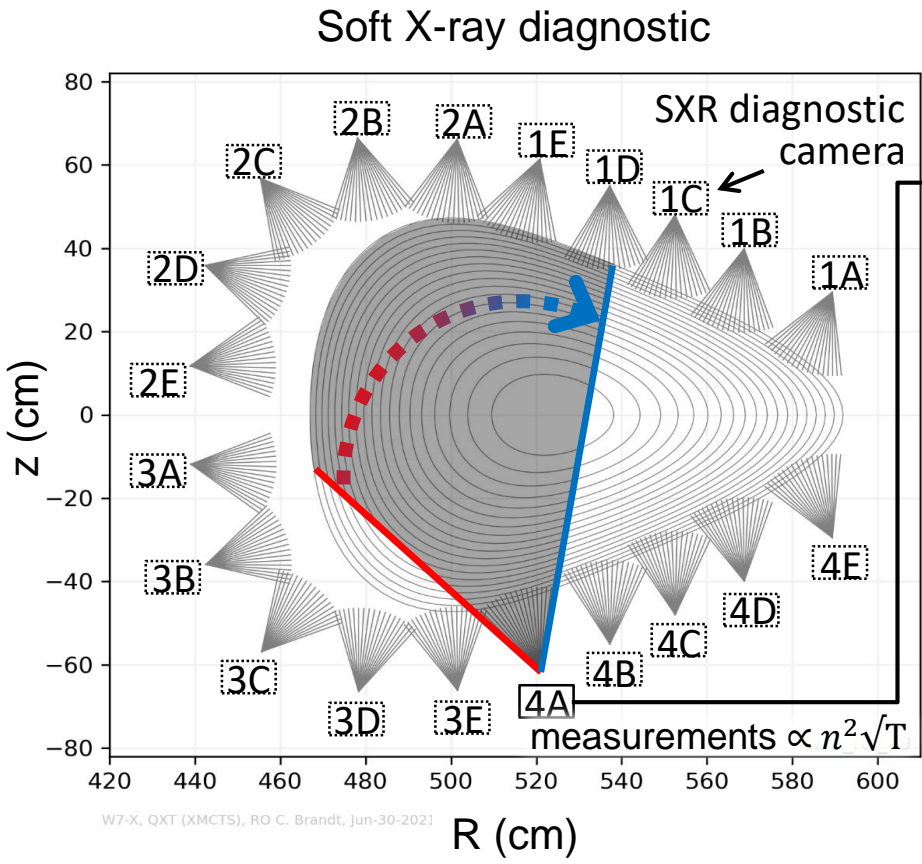
Do all ECE channels measure a  $T_e$  increase?



PE/spectral analysis: identify channels with low response for fluctuations ('blind' channels)

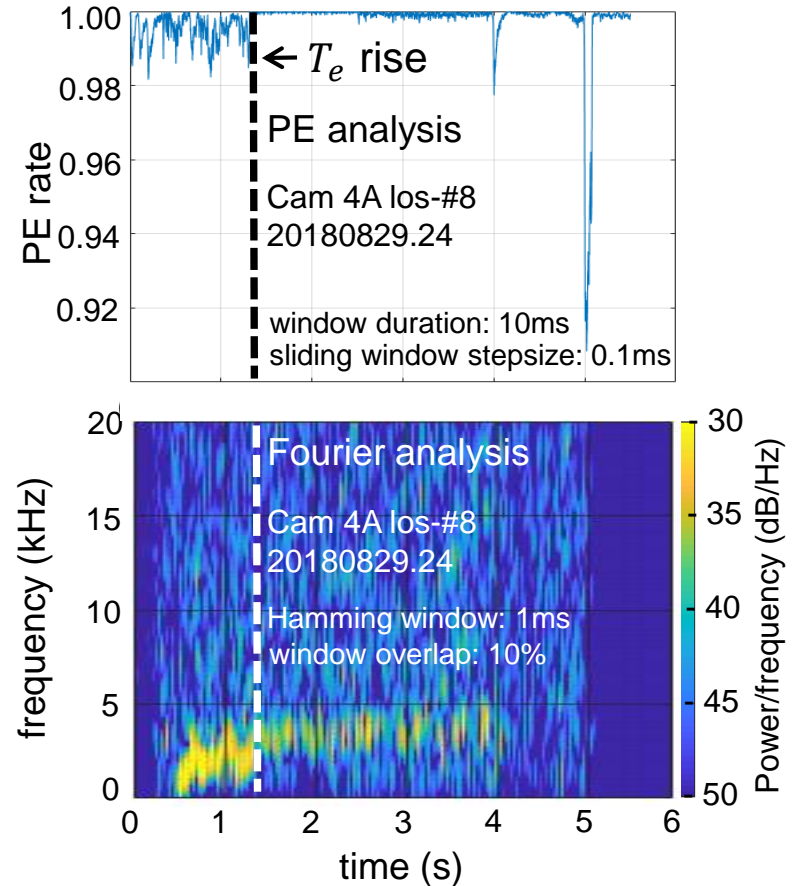
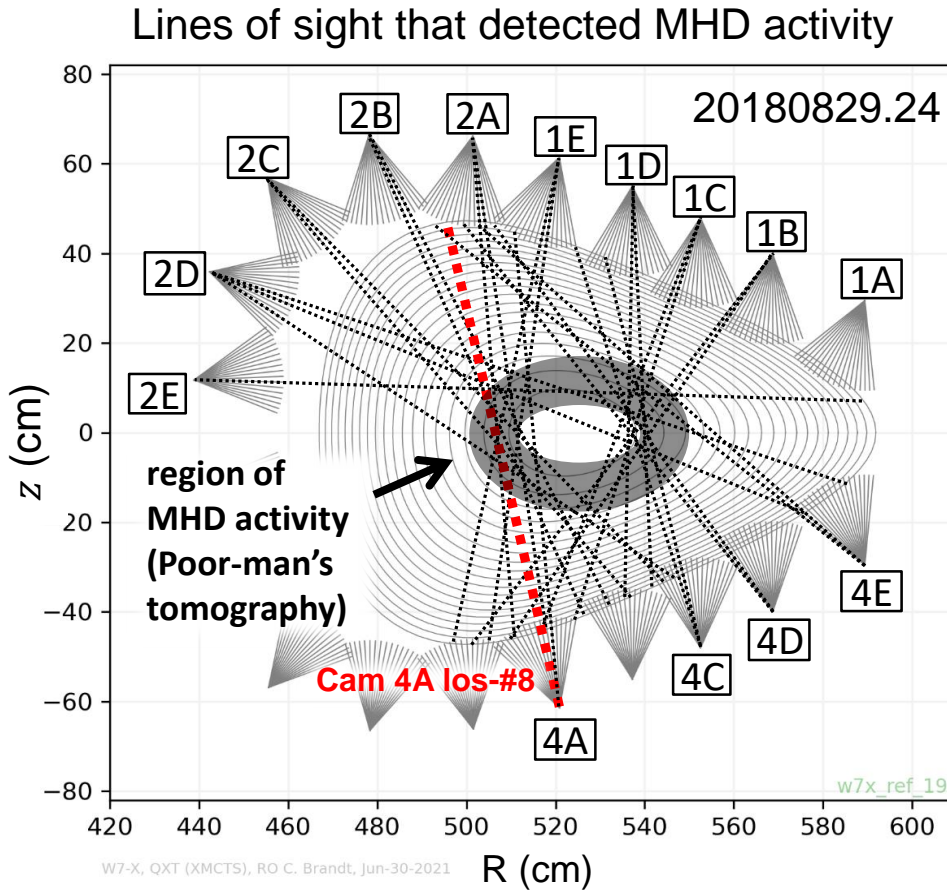
Mode activity localized at:  $-0.35 < \rho < 0.3$

Can spontaneous  $T_e$  increase and mode activity be detected from SXR data through PE?



SXR data fluctuates when  $T_e$  suddenly rises → indication for plasma state change detection  
Local characteristics: both increasing and decreasing emissivity time series found.

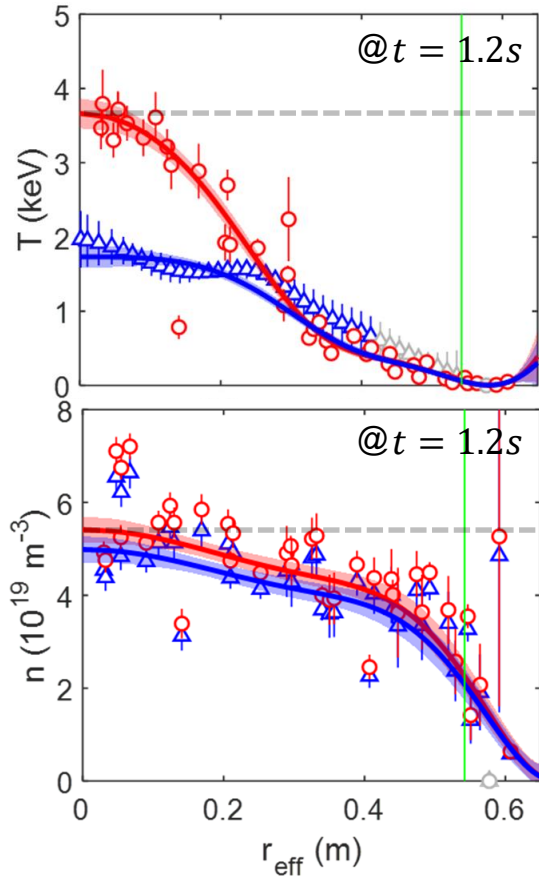




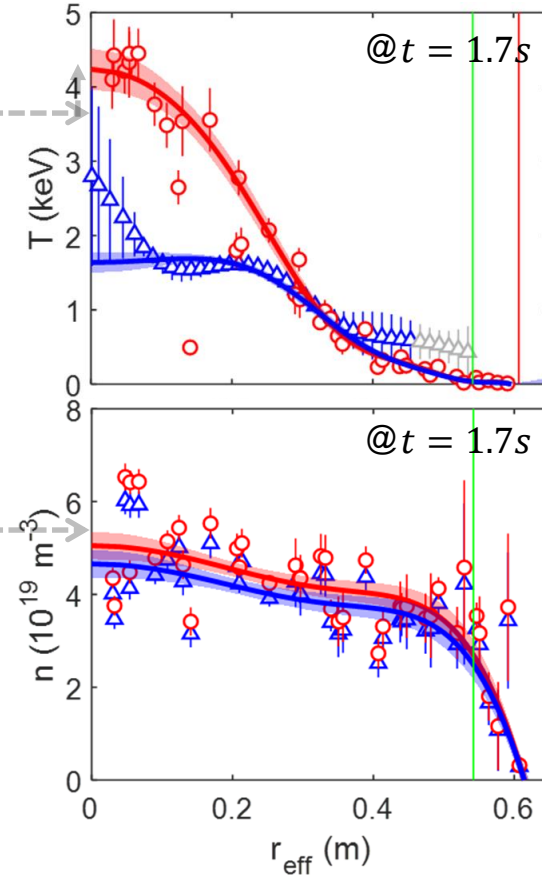
Spectrogram: two 'mode activities' one ~ 3 kHz (all times), one ~2 kHz (up to transition)  
PE analysis: clear detection of transition



**Before**  $T_e$  transition ( $PE_{ECE} = 0.94$ )



**After**  $T_e$  transition ( $PE_{ECE} = 0.99$ )



Decay in PE goes along with previously unrevealed change in plasma profiles





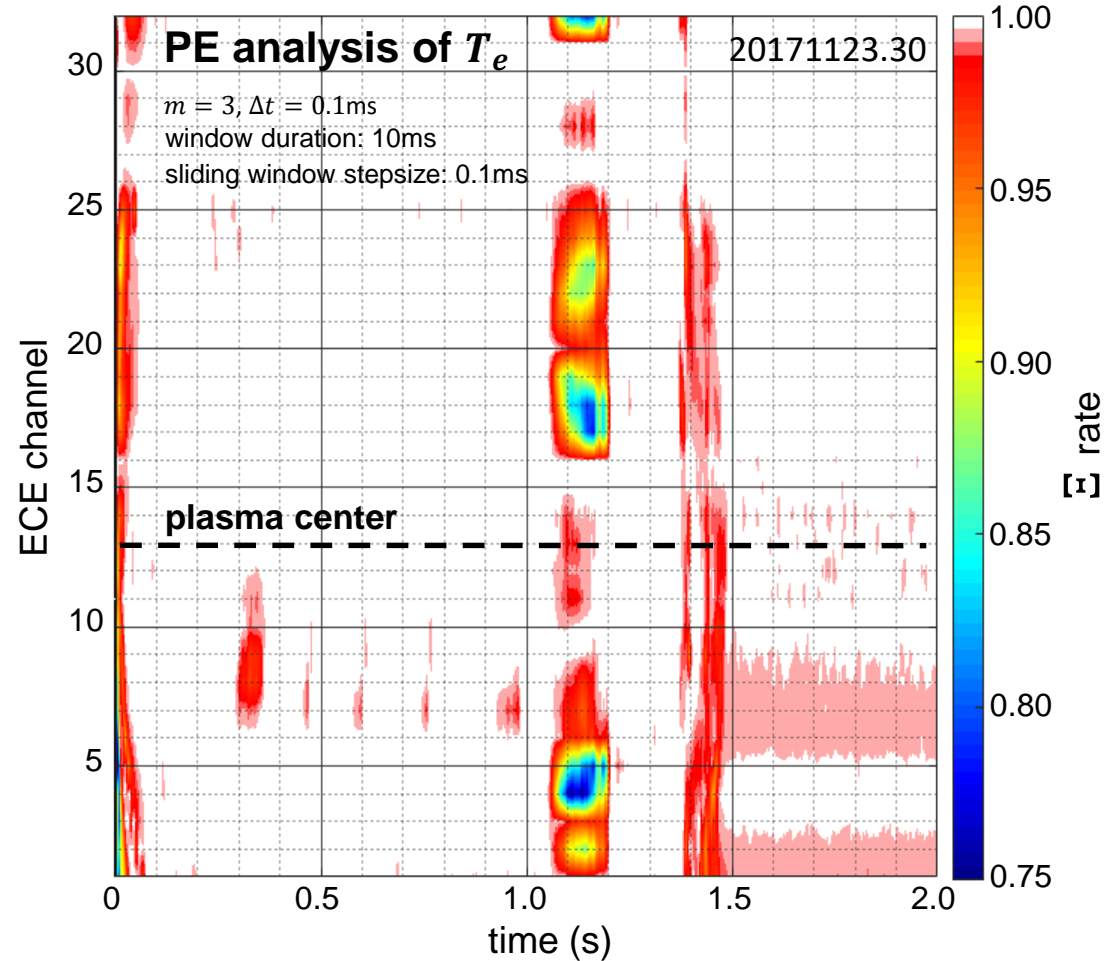
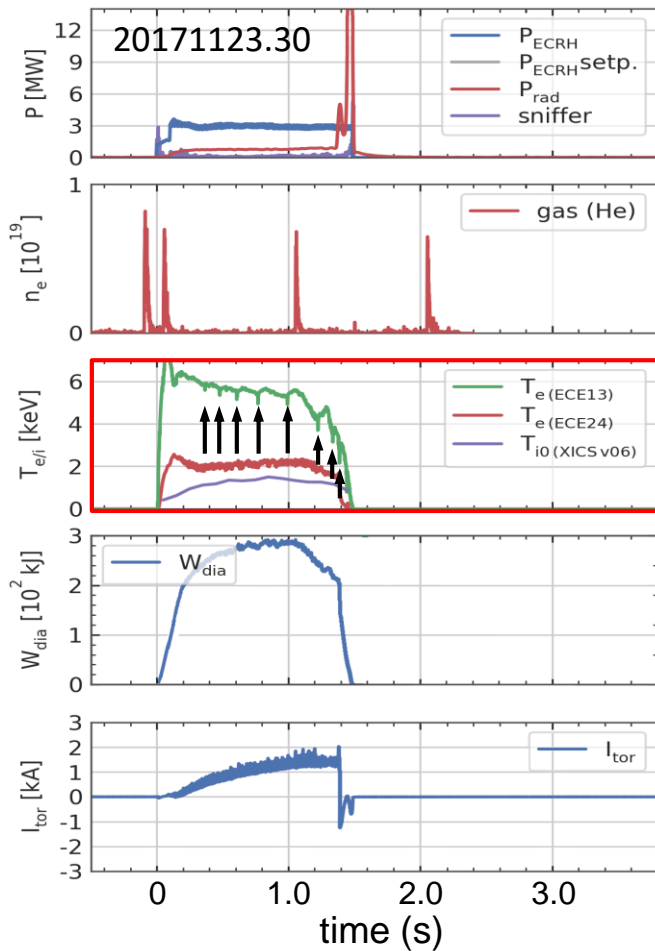
- PE analysis is a method for calculating complexity in time series
- Use case: detection of spatio-temporal bifurcation of  $T_e$  data
- Fast and robust detection of previously unrecognized mode activity seemingly linked to plasma state changes: potential applicability in machine learning to analyze bulk data
- Transport investigations underway

→ **PE is a suitable tool to detect plasma state changes and novelty detection in plasma data**

# Appendix

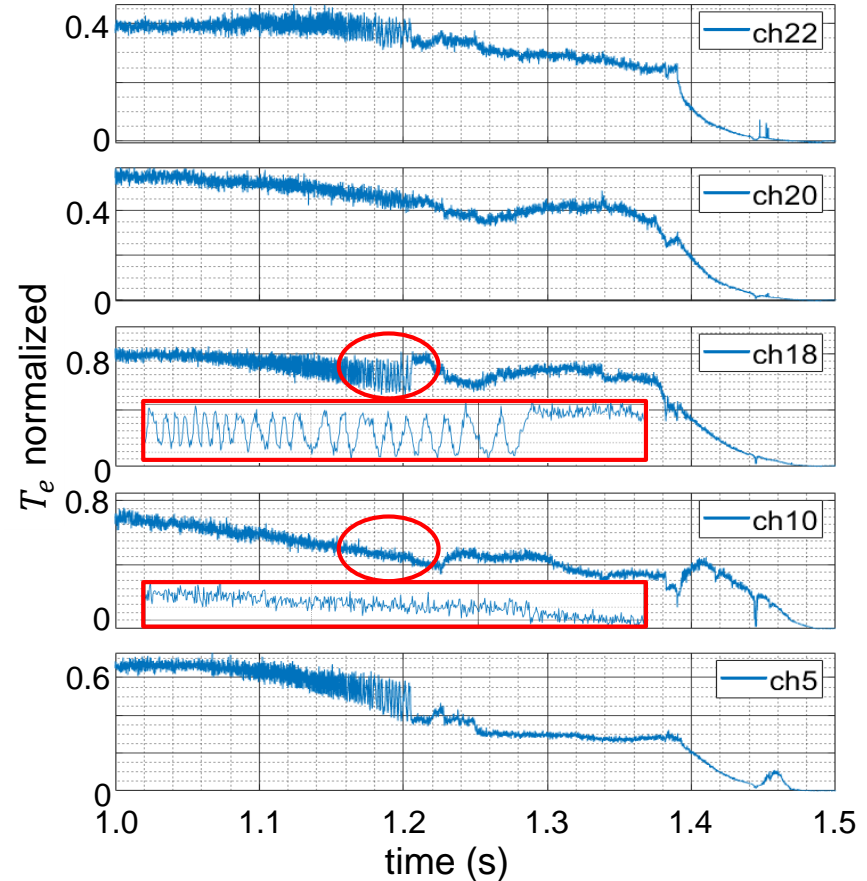
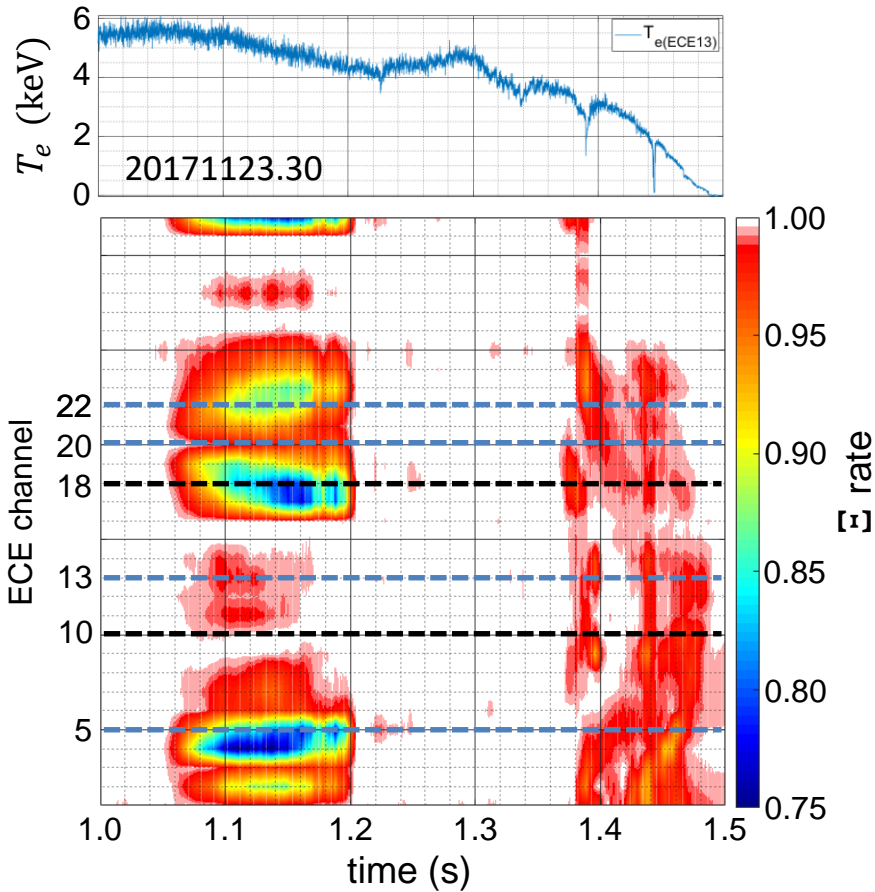


High-iota plasma with ECCD driven MHD activity: strong "event" led to plasma termination



PE analysis detects structures apparently linked to plasma termination (see  $W_{dia}$ )

What is PE detecting?



Down-chirp (2 kHz → 0.2 kHz) observed

PE analysis: suitable for the detection of activity preceding changes in the plasma state