# **Reinforcement Learning for Fusion:** Self Driving Cars to Controlled Fusion







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# Hardware

#### Side and rear facing cameras Top mounted lidar units

Work in collaboration to construct a continuous view of the vehicle's surroundings of the environment

GPS IMU wheel encoders

#### Custom designed compute and storage

Allow for real-time processing of data while a fully integrated cooling solution keeps components running optimally

UBER

#### Forward facing camera array

9

Focus both close and far field, watching for braking vehicles, crossing pedestrians, traffic lights, and signage





Autonomy Software System Architecture













![](_page_6_Figure_0.jpeg)

![](_page_6_Figure_1.jpeg)

![](_page_7_Picture_0.jpeg)

![](_page_8_Figure_0.jpeg)

![](_page_8_Figure_1.jpeg)

![](_page_9_Picture_0.jpeg)

![](_page_10_Figure_0.jpeg)

![](_page_10_Figure_1.jpeg)

![](_page_11_Picture_0.jpeg)

# Planning and Model Predictive Control

![](_page_11_Picture_2.jpeg)

![](_page_11_Picture_3.jpeg)

![](_page_11_Picture_4.jpeg)

AUTONOMOUS

Angle

-0.56

### Sensors

Lidar	GPS
Radar	IMU
Cameras	Encoders

![](_page_12_Figure_2.jpeg)

![](_page_12_Figure_3.jpeg)

![](_page_12_Picture_4.jpeg)

![](_page_12_Picture_5.jpeg)

![](_page_12_Picture_6.jpeg)

![](_page_13_Picture_0.jpeg)

# Imitation Learning

![](_page_14_Figure_1.jpeg)

![](_page_14_Picture_2.jpeg)

![](_page_14_Figure_3.jpeg)

Signals

![](_page_15_Picture_0.jpeg)

![](_page_16_Picture_0.jpeg)

Autonomy Software **Development and Testing Process** 

![](_page_17_Picture_2.jpeg)

![](_page_18_Figure_0.jpeg)

![](_page_19_Figure_0.jpeg)

![](_page_20_Figure_0.jpeg)

![](_page_21_Figure_0.jpeg)

![](_page_22_Figure_0.jpeg)

![](_page_22_Picture_2.jpeg)

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![](_page_23_Figure_0.jpeg)

![](_page_23_Figure_1.jpeg)

![](_page_24_Picture_0.jpeg)

![](_page_24_Picture_1.jpeg)

![](_page_25_Figure_0.jpeg)

![](_page_26_Picture_0.jpeg)

![](_page_27_Figure_0.jpeg)

![](_page_28_Figure_0.jpeg)

1204386618.111423015 (3x real time) 10:50:00 -0500 /mnt/logs/R0SE/av/2018.03/2018.03.06/KRYPT0N0083/15.38.31\_T205148/TransmissionTimeIndex00000.hlog

![](_page_29_Picture_1.jpeg)

#### Autonomous

# Active Optimization

f is an unknown expensive black-box function. Let  $\mathbf{x}_* = \operatorname{argmax}_{\mathbf{x}} f(\mathbf{x})$ . Goal: approximately optimize f with as few experiments as possible

![](_page_30_Figure_2.jpeg)

![](_page_30_Picture_3.jpeg)

# Optimizing expensive to evaluate functions

- Tuning the hyperparameters of supervised learning algorithms • e.g. deep networks
- Systems requiring physical experiments (online/onboard optimization)
- Algorithms that are tested via expensive simulations
  - compute stack performance
  - planner/controller parameters
  - scientific model fitting

**Carnegie Mellon** 

![](_page_31_Picture_8.jpeg)

# Active Optimization Algorithm Model f as a sample from a Gaussian Process. $f(\mathbf{x})$ experiment.

### Maximise acquisition function $\phi_t$ : $\mathbf{x}_t = \operatorname{argmax}_{\mathbf{x}} \phi_t(\mathbf{x})$ .

![](_page_32_Figure_2.jpeg)

![](_page_32_Picture_3.jpeg)

0.1

- 1. Learn model from data you have (including uncertainty)
- 2. Search the model for the best
- 3. Run the chosen experiment and collect a new data point.
  - 4. While experiment budget is not exhausted, repeat.

# Active Optimization Trials

![](_page_33_Picture_1.jpeg)

## **Carnegie Mellon**

**Carnegie Mellon** 

**Controlling Fusion Plasmas** 

# **Nuclear Fusion and Machine Learning**

![](_page_35_Figure_1.jpeg)

- Bayesian optimization for response to instabilities
- Improved models combining data and first principles
- Beta\_N and Rotation tracking with reinforcement learning

### Controls

onse to instabilities ata and first principles with reinforcement learning

![](_page_35_Picture_7.jpeg)

![](_page_35_Picture_8.jpeg)

![](_page_35_Picture_9.jpeg)

## **Bayesian Optimization with TRANSP for response to instabilities**

### Signals

![](_page_36_Figure_4.jpeg)

- Score to maximize:  $B_n + C\delta W$

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![](_page_36_Picture_9.jpeg)

## We can learn this controller by repeatedly querying TRANSP.

![](_page_37_Picture_1.jpeg)

### **Contextual Bayesian Optimization Algorithm**

### Receive future plasma pressure and stability

## Simulator (TRANSP)

## 30 min to simulate 150ms

![](_page_37_Picture_7.jpeg)

## **Bayesian Optimization**

 $f: X \rightarrow R$  is an expensive black-box function, accessible only via noisy evaluations.

Let  $x_* = \operatorname{argmax}_{x} f(x)$ .

![](_page_38_Figure_3.jpeg)

- For our application...
  - The expensive function f is TRANSP and returns pressure+stability score.
  - x<sub>\*</sub> is the best possible setting for beam powers.

![](_page_38_Picture_8.jpeg)

# **Offline Contextual Bayesian Optimization to Learn a Controller**

![](_page_39_Figure_1.jpeg)

## Have many of these optimization landscapes, one for each state of plasma.

Char, I., Chung, Y., Neiswanger, W., Kandasamy, K., Nelson, A. O., Boyer, M., Koleman, E., Schneider, J., "Offline contextual bayesian optimization", Advances in Neural Information Processing Systems, 2019.

- Our algorithm efficiently picks which state of plasma to optimize for.
- This algorithm learns the best controller much faster than traditional Bayesian Optimization algorithms.
- Paper accepted at NeurIPS, a top machine learning conference

![](_page_39_Picture_7.jpeg)

![](_page_39_Picture_8.jpeg)

Auton

# **Reinforcement Learning and Bayesian Optimization Successes**

![](_page_40_Picture_1.jpeg)

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![](_page_40_Picture_3.jpeg)

![](_page_40_Picture_5.jpeg)

![](_page_40_Picture_6.jpeg)

# Building a Model

![](_page_41_Figure_1.jpeg)

State  $x_t$ , e.g.  $\beta_N$ 

Control u<sub>t</sub>, e.g. total power from neutral beams

![](_page_41_Figure_4.jpeg)

#### Scientific First Principles

- plus heuristic simplifications for tractability
  - yields a simulation or equations useful for analysis and control

![](_page_41_Figure_8.jpeg)

![](_page_41_Picture_9.jpeg)

![](_page_41_Picture_10.jpeg)

### Data Driven Machine Learning

- collect data from real device or simulation
- train a model with supervised learning
- use the model like a simulator

![](_page_41_Figure_15.jpeg)

### **BOTH!?**

![](_page_41_Figure_17.jpeg)

![](_page_41_Figure_18.jpeg)

## Can we combine physical knowledge with data-driven modeling?

- question.
- Leverage new methods of training ODE-based neural network models
- Can be used for model-predictive control.

![](_page_42_Figure_5.jpeg)

We define a new class of model, the Neural Dynamical System, as an answer to this

Use prior knowledge from physics to improve (1) accuracy and (2) sample complexity

![](_page_42_Picture_8.jpeg)

Lab

## Combining data and physics knowledge for modeling a tokamak

- when we include even simple prior knowledge.
- E is stored energy, P is injected power, T is torque, and  $\omega$  is rotation.
- Model is from (Boyer et al, Nuclear Fusion, May 2019)

$$\dot{E} = P - \frac{E}{\tau_e} \quad \dot{\omega} = \frac{T}{n_i m_i R_0} - \frac{\omega}{\tau_m}$$

V. Mehta, I. Char, W. Neiswanger, Y. Chung, O. Nelson, D. Boyer, E. Kolemen, J. Schneider, "Neural Dynamical Systems: Balancing Structure and Flexibility in Physical Prediction", IEEE Conference on Decision and Control (CDC), 2021

Greatly improved overall accuracy using our neural dynamical system over baselines

![](_page_43_Figure_7.jpeg)

![](_page_43_Figure_8.jpeg)

Model Type

![](_page_43_Picture_10.jpeg)

![](_page_43_Picture_11.jpeg)

![](_page_43_Picture_12.jpeg)

# Using the Model Offline: Reinforcement Learning

![](_page_44_Figure_1.jpeg)

![](_page_44_Picture_2.jpeg)

 $u_t = g(x_t; \theta)$ 

![](_page_44_Picture_5.jpeg)

### Simplified RL

- 1. Initialize a control policy (random, expert, imitation)
- 2. Generate some data (true system, model, current policy, exploration policy, external source, replay buffer)
- 3. Compute a policy gradient,  $\delta J/\delta \theta$  and update the policy
- 4. Repeat to step 2

Performance Criterion

$$J(\theta) = E\left(\sum_{t=0}^{N} c(x_t)\right)$$

![](_page_44_Picture_15.jpeg)

![](_page_45_Figure_0.jpeg)

![](_page_45_Figure_1.jpeg)

Online

![](_page_45_Picture_5.jpeg)

![](_page_45_Picture_6.jpeg)

# $\beta_N$ and Rotation Tracking Control Loop: Dynamics Model

### Inputs (Dim = 27)

- . Current signal + change in last 200ms of:
- density\_estimate
- 。li EFIT01
- volume EFIT01
- kappa\_EFIT01
- a EFIT01
- tri\_top\_EFIT01
- tri bot EFIT01
- rmagx EFIT01
- betan EFIT01

•

- injected power and torque
- line average plasma rotation
- . Current value of bt
- Change in power and torque injected for the next 200ms

![](_page_46_Figure_16.jpeg)

Feed Forward Neural Net

All signals are normalized using median and IQR

### Outputs (Dim = 10)

Predict change in next 200ms for...

- density\_estimate
- li EFIT01 0
- volume\_EFIT01 0
- kappa\_EFIT01
  - a\_EFIT01
  - tri\_top\_EFIT01
  - tri\_bot\_EFIT01
  - rmagx\_EFIT01
  - betan EFIT01
  - plasma rotation

0

Test Explained Variance = 0.587

![](_page_46_Picture_33.jpeg)

![](_page_47_Figure_0.jpeg)

![](_page_47_Picture_1.jpeg)

Test Explained Variance = 0.581 (Averaged Over Output Dimension)

Used to train controller, tune PID coefficients, and used as the model in MPC

55,146 time steps (200ms) in dataset.

1,518 different shots in the dataset.

Splits made by splitting shots randomly.

#### **Test Environment**

![](_page_47_Figure_9.jpeg)

Treated as if it were the real environment. Used for evaluation only.

![](_page_47_Figure_11.jpeg)

![](_page_47_Figure_12.jpeg)

![](_page_47_Figure_13.jpeg)

![](_page_48_Picture_0.jpeg)

# **Control Method** Reinforcement Learning Model Predictive Control **Tuned PID Controller**

(lower is better)

![](_page_48_Figure_4.jpeg)

# Score is sum of normalized distance from the two targets, accumulated over the shot and average over the test set

## Test Trajectories for RL Controller

![](_page_49_Figure_1.jpeg)

#### **Beta = Blue** Rotation = Red

![](_page_49_Figure_5.jpeg)

## Test Trajectories for PID Controller

![](_page_50_Figure_1.jpeg)

#### Beta = Blue Rotation = Red

![](_page_50_Figure_6.jpeg)

# Summary and Next Steps

Reinforcement Learning and Bayesian Optimization shows promise fro plasma control in simulation and learned plasma dynamics models

Test the learned controllers on the real device

Move from control toward scenario design

# **Reinforcement Learning for Fusion:** Self Driving Cars to Controlled Fusion

![](_page_52_Picture_1.jpeg)

![](_page_52_Picture_2.jpeg)

![](_page_52_Picture_3.jpeg)

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![](_page_52_Picture_7.jpeg)

![](_page_52_Picture_8.jpeg)

![](_page_52_Picture_9.jpeg)

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![](_page_52_Picture_13.jpeg)

![](_page_52_Picture_14.jpeg)

![](_page_52_Picture_15.jpeg)

# Extra Slides

# $\beta_N$ +Rotation Tracking Task

Additionally try to hit a target (line averaged) plasma rotation.

### Inputs (Dim = 27)

- Same as before plus...
- Current value and change from last 200ms of...
  - Line averaged plasma rotation
    - tinj
  - Change in tinj for next 200ms

![](_page_54_Figure_8.jpeg)

### Inputs (Dim = 10)

Same as before but add

- Line averaged 0 rotation
- tinj 0
- Target rotation 0

![](_page_54_Picture_14.jpeg)

### Changes to Dynamics Model

### **Outputs (Dim = 10)**

Same as before + change in line averaged plasma rotation

Test Explained Variance = 0.587

#### Changes to Controller

### Output (Dim = 2)

Desired change in pinj and tinj for 200ms in the future.

- Change in tinj bound [-1.27, 1.36]
- tinj bound [0.22, 6.99]

![](_page_54_Picture_25.jpeg)

![](_page_54_Picture_26.jpeg)

![](_page_54_Picture_27.jpeg)

![](_page_54_Picture_28.jpeg)