

# Drift-Kinetic Theory of Neoclassical Tearing Modes close to the Threshold in Tokamak Plasmas

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## ABSTRACT

- Drift-kinetic theory presented for particle response to narrow magnetic islands,  $w \sim \rho_{\theta i}$ , in a low collisionality plasma
- Particle streamlines lie on “drift surfaces”, forming “drift islands” for passing particles - similar to magnetic islands, but shifted radially by  $\sim \rho_{\theta i}$
- Consequence is a gradient across small islands, suppressing bootstrap current perturbation, resulting in a threshold width for island growth  $\sim$  few ion banana widths, qualitatively consistent with experiment

## BACKGROUND

- Neoclassical Tearing Modes (NTMs) arise from a filamentation of the current density in the vicinity of a rational surface of a tokamak plasma
- Creates magnetic island structures, that short-circuit transport, thus removing pressure gradient inside island:
  - Perturbs bootstrap current, reinforcing filamentation and driving island to large widths
  - Degrades confinement
- Experiment identifies a threshold island width, comparable to ion poloidal Larmor radius, that must be exceeded for island growth
- Quantifying NTM control or avoidance strategies for ITER will benefit from a prediction of the threshold
- We present a drift-kinetic theory for the particle response to small islands, to quantify the current filamentation and predict the threshold

## THEORETICAL MODEL

### SMALL ISLAND EXPANSION

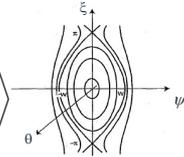
- Small islands are considered, so we expand drift-kinetic eqn for non-adiabatic distribution function,  $g$ , in powers of  $\delta \sim w/r \sim \rho_{\theta i}/r \ll 1$
- Toroidal, canonical angular momentum,  $p_{\phi} = \psi - l v_{\parallel} / \Omega_c$  is conserved to leading order ( $l = R B_{\theta}$ ,  $\Omega_c =$  cyclotron frequency)

$$\frac{v_{\parallel}}{Rq} \frac{\partial g_0}{\partial \theta} \Big|_{p_{\phi}} = 0 \Rightarrow g_0(\mathbf{x}, \mathbf{v}) = g_0(p_{\phi}, \xi, \lambda, v; \sigma)$$

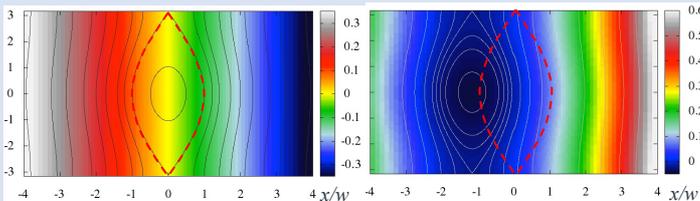
- Averaging next order over particle orbits,  $\langle \dots \rangle$ , provides equation for  $g_0$ :

$$\frac{v_{\parallel}}{Rq} \frac{\partial g_1}{\partial \theta} \Big|_{p_{\phi}} = \mathcal{L} g_0 \Rightarrow \langle \mathcal{L} \rangle g_0 = 0$$

$$\left[ \frac{p_{\phi}}{Lq} \Theta(\lambda_c - \lambda) - \langle \omega_D \rangle - \frac{1}{2} \left\langle \frac{\rho_{\theta}}{v_{\parallel}} \frac{\partial \Phi}{\partial \psi} \right\rangle \right] \frac{\partial g_0}{\partial \xi} \Big|_S = \left\langle \frac{C(g_0)}{v_{\parallel}} \right\rangle$$



$$S = \left[ 2 \frac{(p_{\phi} - \langle \omega_D \rangle Lq)^2}{w^2} - \cos \xi \right] \Theta(\lambda_c - \lambda) - 4Lq \langle \omega_D \rangle p_{\phi} \Theta(\lambda - \lambda_c) - 2Lq \left\langle \frac{\rho_{\theta} \Phi}{v_{\parallel}} \right\rangle$$

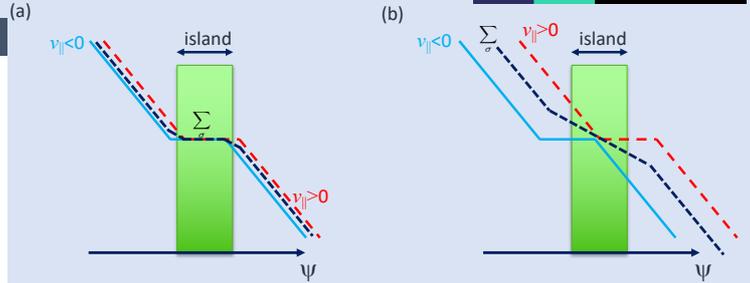


Constant  $S$  colour contour plot compared to magnetic flux surfaces for trapped (left) and passing (right) particles (magnetic island separatrix is red dashed curve)

### Low collision frequency

- Reduces to a 3-D system  $g_0(p_{\phi}, \xi, \lambda, v; \sigma) = g_0(S, \lambda, v; \sigma)$
- Satisfies a collisional constraint equation, averaged over  $S$  contours  $\langle \dots \rangle_S$

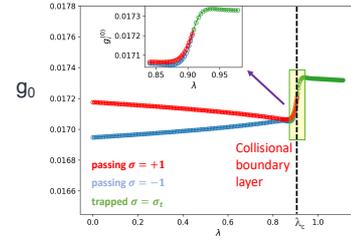
$$\frac{2v_{\parallel}}{v} \left\langle \langle A^{-1} \rangle_S \left[ \frac{\partial}{\partial \lambda} \left( \lambda \langle (1 - \lambda B)^{1/2} \rangle \frac{\partial g_0}{\partial \lambda} \right) + \langle v_{\parallel i} e^{-v^2} \rangle \Theta(\lambda_c - \lambda) \right] + C_{\lambda, S} \frac{\partial^2 g_0}{\partial \lambda \partial S} + C_S \frac{\partial g_0}{\partial S} + C_{S, S} \frac{\partial^2 g_0}{\partial S^2} \right\rangle = 0$$



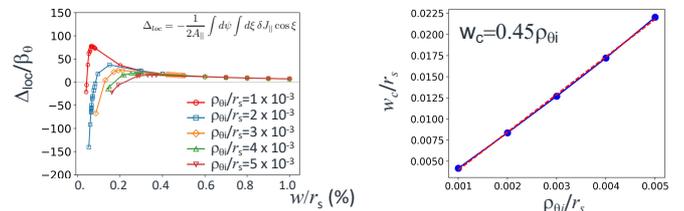
Distribution function across the magnetic island region for  $v_{\parallel} > 0$  (red curve),  $v_{\parallel} < 0$  (blue curve) and the average over the two streams (black curve), which provides a measure of density for (a)  $\rho_{\theta} < w$ , (electrons), and (b)  $\rho_{\theta} \sim w$ , (ions). Quasi-neutrality restored by electrostatic potential

## OUTCOME

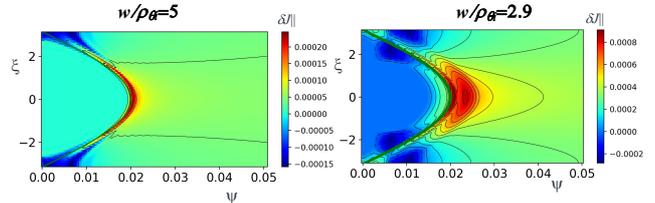
### Collisional layer connecting trapped and passing phase space needs special treatment



### Layer current ( $\Delta_{loc}$ ) is stabilising for $w < w_c \sim 1.5$ ion banana widths



### Currents penetrate deeper into island for smaller widths



Parameters:  $\epsilon = 0.1$ ,  $L_q/w = 1$ ,  $1/L_B = 0$ ,  $L_N/L_T = 1$ ,  $\omega = 0$

## CONCLUSION AND FUTURE WORK

- Finite ion banana width effects are a key part of the NTM threshold physics, predicting a critical island width similar to experiment
- Motivates additional extensions to the theory:
  - Treatment of “Transport” boundary layer about drift island separatrix
  - Torque balance and mode propagation frequency effects

## ACKNOWLEDGEMENTS / REFERENCES

Relevant references are: **IMADA, K.**, et al, Phys. Rev. Letts. 121 175001 (2018); **IMADA, K.**, et al, Nucl. Fusion 59 046016 (2019); **DUDKOVSKAIA, A.**, et al, Plas. Phys. Contr. Fus 63 054001 (2021)

This work was supported by the UK Engineering and Physical Sciences Research Council, grant number EP/N009363/1