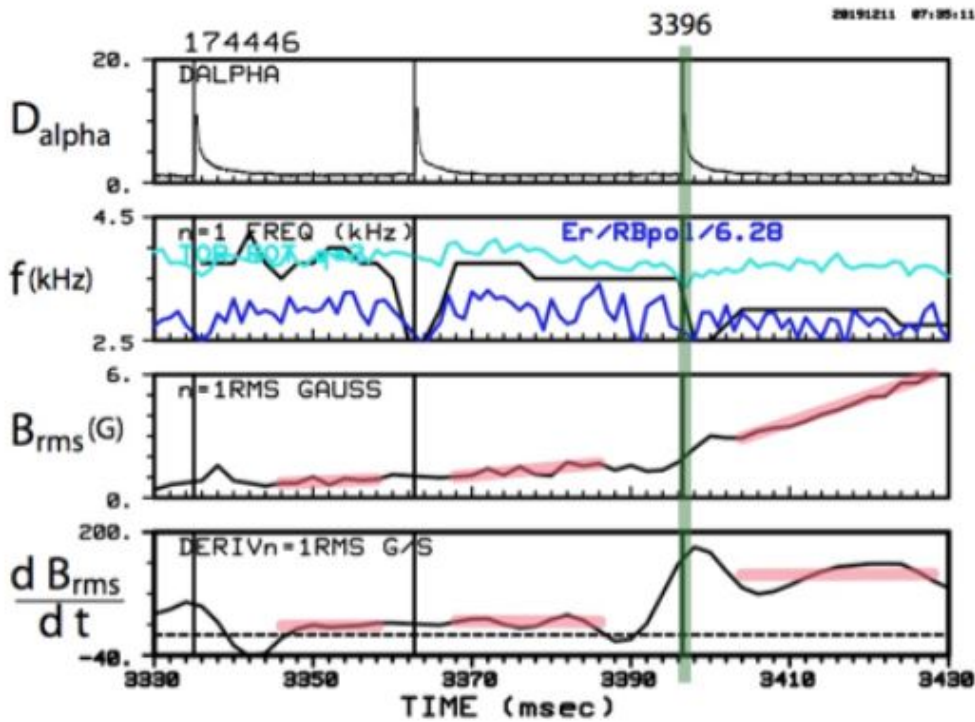


## Disruptive Neoclassical Tearing Mode Seeding in DIII-D with Implications for ITER

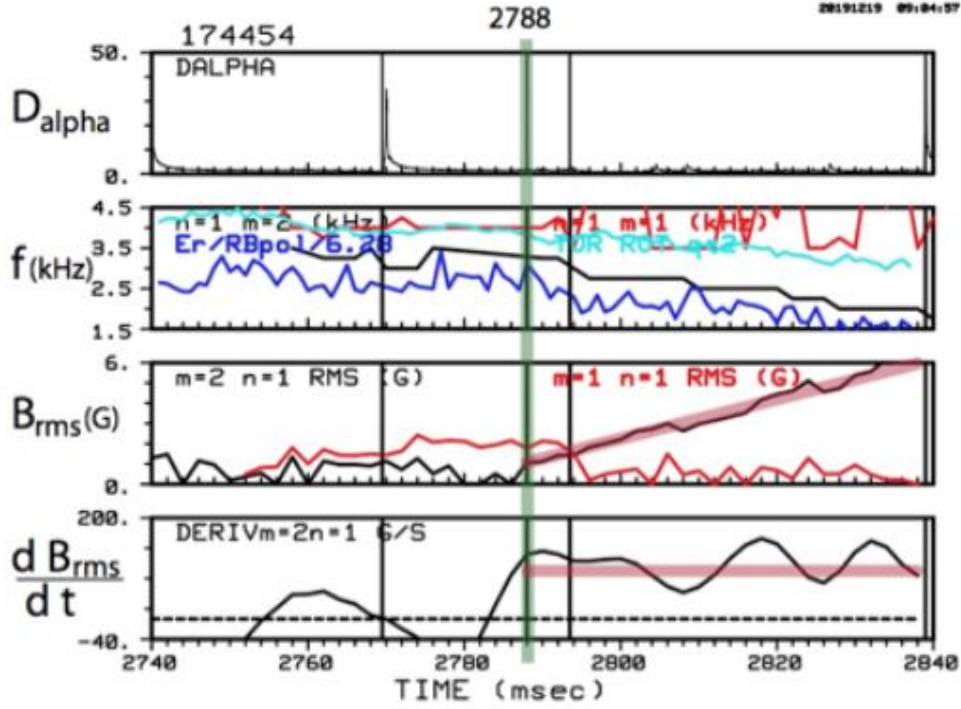
Tuesday 11 May 2021 12:10 (20 minutes)

New studies identify the critical parameters and physics governing disruptive neoclassical tearing mode (NTM) onset. A  $m/n=2/1$  mode in DIII-D begins to grow robustly only after a seeding event (ELM Fig. 1, or sawtooth precursor and crash Fig. 2) causes the mode rotation to drop close to that of the plasma's  $E_r=0$  rest frame; this condition opens the stabilizing ion-polarization current "gate" and destabilizes an otherwise marginally stable NTM. Our new experimental and theoretical insights and novel toroidal theory-based modeling are benchmarked and scalable to ITER and other future experiments. The nominal ITER rotation at  $q=2$  is found to be stabilizing ("gate closed") except for MHD-induced transients that could "open the gate." Extrapolating from the DIII-D ITER baseline scenario (IBS) discharges, MHD transients are much more likely to destabilize problematic  $2/1$  NTMs in ITER; this makes predictions of seeding and control of both ELMs and sawteeth imperative for more than just "simply" minimizing divertor pulsed-heat loading.



*Fig. 1. Sequence of ELMs and mode rotation drops (second panel black line is mode rotation, cyan is toroidal rotation and blue is  $E_r=0$  frame rotation) lead to robust growth of  $2/1$  perturbation  $B_{rms}$ .*

Figure 1: ELM SEEDED



*Fig. 2. Same as Fig. 1 but instead example of 1/1 sawtooth precursor (red curves added in second and third panels) and crash after which 2/1 mode (black) grows robustly.*

Figure 2: SAWTOOTH SEEDED

While nearly steady state in betaN, li and rotation, the classical tearing stability index  $\Delta'_{r0}$  in DIII-D may evolve slowly. IBS discharges (ITER similar shape, H-mode with ELMs and sawteeth, betaN~2 and q95~3) were run with 1 msec faster resolution CER (standard 5 msec) of toroidal and poloidal rotations. Discharges can exhibit a rotating m/n=2/1 magnetic perturbation, in response to sequential ELMs and sawteeth, that evolves from marginal to robust growth into an eventual locked mode and disruption. Key conditions for algebraic linear temporal growth include an MHD-induced transient with a large enough magnetic perturbation Brms and mode rotation change. Figures 1 and 2 show analyses of NTM seeding events with the fast CER that have been examined in greatest detail, among the multiple discharge broader database.

The key stabilizing factor depends on the relative rotation [parameterized by a gate function  $F(f_m) \leq 1$ ] between the mode rotation frequency  $f_m$  and the Er=0 frame of the plasma  $f_E$  being non-zero but not more than that of the (positive in DIII-D) ion diamagnetic mode frequency  $f_{*i} \sim 1$  kHz in Figs. 1 and 2. The mode island width growth rate  $dw/dt$  is modeled with a modified Rutherford equation (MRE) along with models for changes in both mode and plasma rotation:

$$\frac{dw}{dt} = D_\eta \left[ \frac{d_{NTM}}{w} - \frac{w_{pol}^2}{w^3} F(f_m) \right], \quad F(f_m) \equiv -4 \frac{(f_m - f_E)(f_m - f_E - f_{*i})}{f_{*i}^2} \quad (1)$$

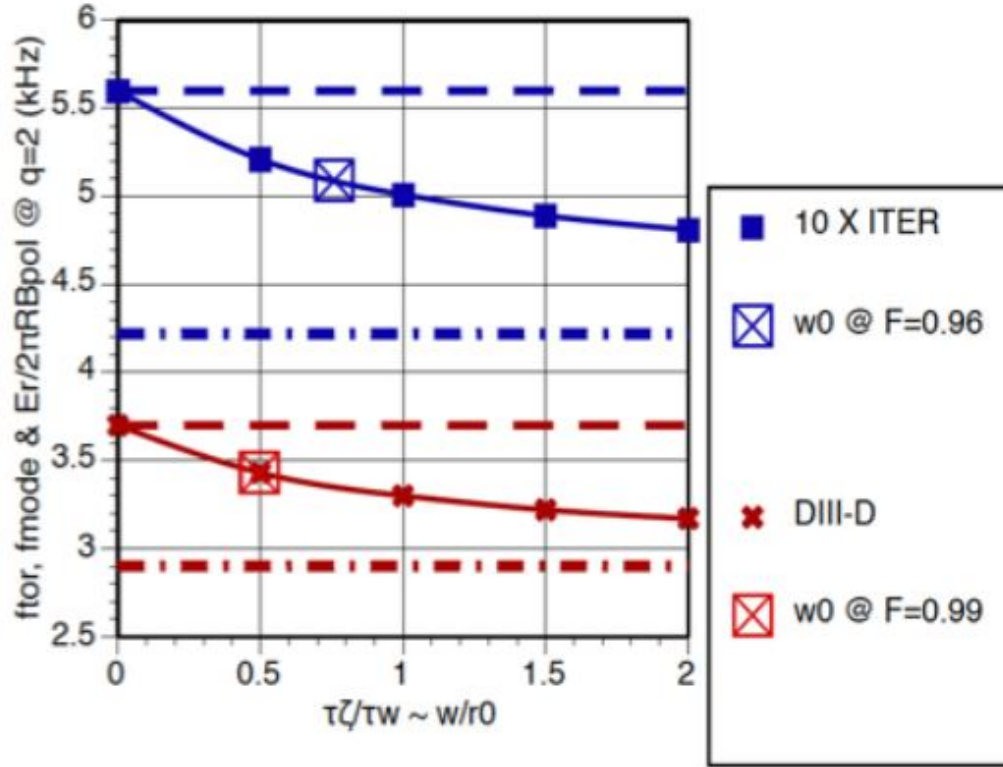
Here, the classical tearing stability index is negligible, i.e.,  $\Delta'_{r0} = -0.1$ . The critical island for growth is  $w_0 = w_{pol}(F/d_{NTM})^{1/2}$  where  $d_{NTM}$  is bootstrap drive and  $w_{pol}$  is 2X the ion banana width  $w_{ib}$ . A toroidal adaptation of recent slab-model theory (Beidler 2018) predicts MHD transients abruptly induce a 2/1 tearing response, radially local torque  $\delta J_{\parallel} \delta B_x$ , radial electric field and flows that reduce the relative mode frequency. The mode rotation dynamics is described by

$$\frac{df_m}{dt} \cong -\frac{f_m - f_i}{\tau_\zeta} - \frac{f_m - f_E}{\tau_w} + \delta J_{\parallel} \delta B_x, \quad \tau_\zeta \cong \frac{a_{eff}^2}{4D_\mu}, \quad \frac{1}{\tau_w} \cong C_w \frac{v_{Ti}}{Rq} \frac{w}{r_0} \quad (2)$$

Fits to experimental data (for an ELM-induced NTM in Fig. 1 as an example) capture the experimental behavior (pink lines in Fig. 1) of evolution from marginal to robust 2/1 growth. The stabilizing gate

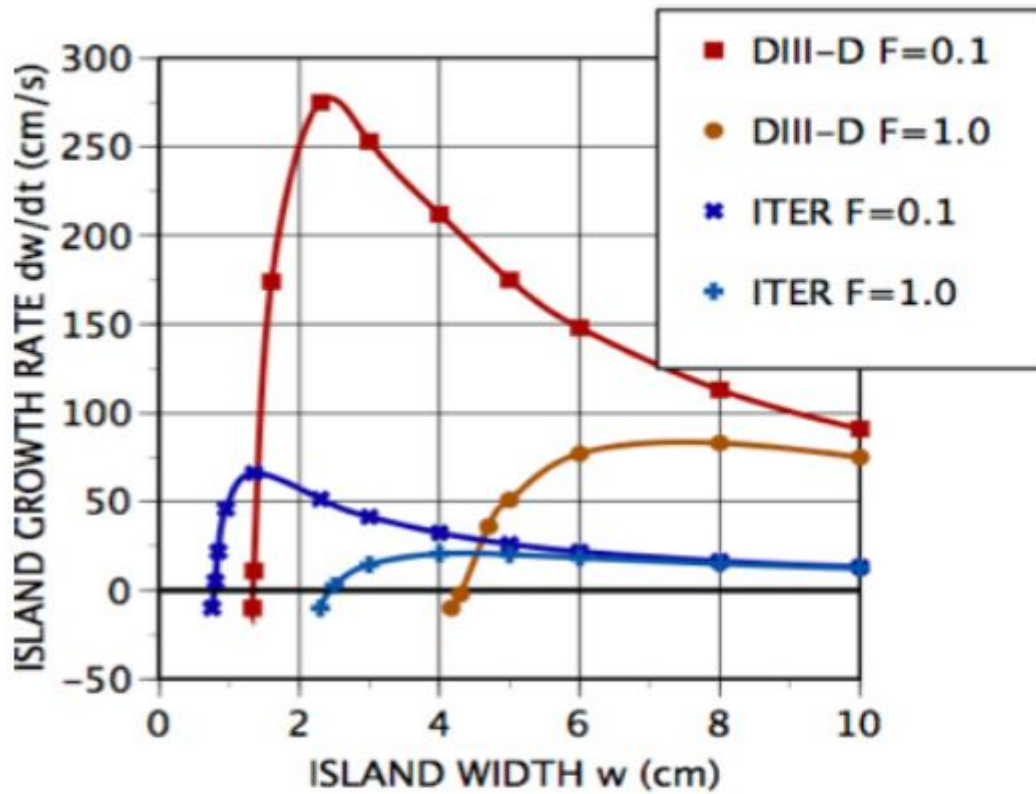
factor  $F$  depends on the relative rotation and plunges during an ELM, either recovering or remaining down for large enough mode amplitude. In addition to theory, the NIMROD code is used to study evolution at the 2/1 surface in response to an ELM. The code uses an extended MHD model with heuristic closures to model the electron and ion neoclassical parallel stresses. NIMROD indicates the Fig. 1 DIII-D equilibrium is stable to classical tearing modes but a pulsed MHD perturbation at the computational boundary can kick off a 2/1 mode.

Applying a MHD transient torque to the situation in Fig. 3 can drive relative rotation down and open the gate, as in Fig. 4. The predicted island growth rate in ITER is slower due to its much smaller magnetic field diffusivity but shifted to smaller  $w_0$  and very much smaller (0.1X) relative size  $w_0/r_0$ . The IBS equilibrium in DIII-D is very similar to what is modeled (Polevoi 2006, 2019) for ITER. Similar  $j$  and  $q$  profiles imply comparable classical tearing stability  $\Delta r_0 = -0.1$  in ITER which is neglected in the MRE of Eq. 1. The ratio of  $q=2$  bootstrap to equilibrium current density ( $\sim d_{NTM}$ ) is also similar. At critical island  $w_0$  for  $F \approx 1$ , DIII-D mode rotation  $f_m$  is about  $0.5X f_i = 0.6$  kHz above  $f_E$  (Fig. 3); ITER is similar with  $f_i$  of 0.165 kHz.



*Fig. 3. Solutions of  $df_m/dt=0$  show that absent transient torque both DIII-D and ITER sit near  $F \cong 1$  at critical island width  $w_0$  for seeding. Dashed line is toroidal rotation frequency  $f_t$ , solid is mode rotation  $f_m$  and dash-dot line is  $E_r=0$  rest frame rotation  $f_E$ .*

Figure 3: ROTATIONS IN DIII-D & ITER



*Fig. 4. Growth rate  $dw/dt$  versus  $w$  for DIII-D, from the benchmarked MRE, with gate nearly open ( $F=0.1$ ) or closed ( $F=1$ ); scaled to ITER with much lower magnetic field diffusivity and somewhat larger bootstrap drive  $d_{NTM}$  and smaller  $W_{ib}$ .*

Figure 4: ISLAND GROWTH RATES IN DIII-D & ITER

This new work gives experimental and theoretical insights, as well as novel benchmarked toroidal theory-based modeling, to a longstanding uncertainty in projecting how NTMs are triggered (Buttery 2007, Hender 2007) for scaling to ITER and beyond. It also provides the framework (e.g., for real-time monitoring) to develop criteria for transient-MHD-induced excitation and robust growth of 2/1 NTMs that can lead to problematic locked modes and disruptions in burning plasma tokamaks, and for which experimental data is limited.

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