



Experimental investigation and gyrokinetic simulations of multi-scale electron heat transport in JET, AUG and TCV

A. Mariani, N. Bonanomi, P. Mantica, C. Angioni, F.J. Casson, J. Citrin, T. Goerler, D. Keeling, E. Lerche, O. Sauter, M. Sertoli, G. Staebler, D. Taylor, A. Thorman, Eurofusion JET1 contributors, Eurofusion MST1 contributors, ASDEX Upgrade team, TCV team and ITPA transport & confinement group



Max-Planck-Institut
für Plasmaphysik



This work has been carried out within the framework of the EUROfusion Consortium and has received funding from the Euratom research and training programme 2014-2018 under grant agreement No 633053. The views and opinions expressed herein do not necessarily reflect those of the European Commission.



- Introduction: ETGs impact on electron heat transport;
- Experimental results at JET, AUG and TCV;
- Nonlinear ion-scale gyrokinetic simulations (GENE);
- Nonlinear multi-scale gyrokinetic simulations;
- Conclusions.

ETG modes could be detrimental to fusion performances in electron heated tokamaks



New devices like ITER \longrightarrow dominant electron-heating \longrightarrow T_i cannot exceed T_e

Fusion power $\propto T_i^2$ \longrightarrow T_e limited: degradation of fusion performances.

ETG modes could be detrimental to fusion performances in electron heated tokamaks



New devices like ITER \longrightarrow dominant electron-heating \longrightarrow T_i cannot exceed T_e

Fusion power $\propto T_i^2$ \longrightarrow T_e limited: degradation of fusion performances.

Main limit to T_e peaking: turbulent heat transport driven by drift waves:

- Ion scales: **Trapped Electron Modes (TEM)**: driven by $\nabla \ln(T_e)$, $\nabla \ln(n_e)$
- Electron scales: **Electron Temperature Gradient (ETG)** modes: driven by $\nabla \ln(T_e)$

ETG modes could be detrimental to fusion performances in electron heated tokamaks



New devices like ITER \longrightarrow dominant electron-heating \longrightarrow T_i cannot exceed T_e

Fusion power $\propto T_i^2$ \longrightarrow T_e limited: degradation of fusion performances.

Main limit to T_e peaking: turbulent heat transport driven by drift waves:

- Ion scales: **Trapped Electron Modes (TEM)**: driven by $\nabla \ln(T_e)$, $\nabla \ln(n_e)$
- Electron scales: **Electron Temperature Gradient (ETG)** modes: driven by $\nabla \ln(T_e)$

Linear ETG threshold:

[F. Jenko et al. PoP 2001]

$$\left[\frac{R}{L_{T_e}} \right]_{crit.} \propto \left(1 + Z_{eff} \frac{T_e}{T_i} \right)$$

$$R/L_{T_e} = -R \nabla T_e \cdot \hat{r} / T_e$$

ETG modes could be detrimental to fusion performances in electron heated tokamaks



New devices like ITER \longrightarrow dominant electron-heating \longrightarrow T_i cannot exceed T_e

Fusion power $\propto T_i^2$ \longrightarrow T_e limited: degradation of fusion performances.

Main limit to T_e peaking: **turbulent heat transport** driven by **drift waves**:

- Ion scales: **Trapped Electron Modes (TEM)**: driven by $\nabla \ln(T_e)$, $\nabla \ln(n_e)$
- Electron scales: **Electron Temperature Gradient (ETG)** modes: driven by $\nabla \ln(T_e)$

Linear ETG threshold:

[F. Jenko et al. PoP 2001]

$$\left[\frac{R}{L_{T_e}} \right]_{crit.} \propto \left(1 + Z_{eff} \frac{T_e}{T_i} \right)$$

$$R/L_{T_e} = -R \nabla T_e \cdot \hat{r} / T_e$$

From nonlinear physics:

ETG destabilised when
Ion-scale modes \sim stable
(reduced nonlinear ZF
damping of ETGs)

[Howard N.T. *et al.* NF 2016,
Maeyama S. and Idomura PRL
2015, Bonanomi *et al.* NF 2018]

ETG modes could be detrimental to fusion performances in electron heated tokamaks



New devices like ITER \longrightarrow dominant electron-heating \longrightarrow T_i cannot exceed T_e

Fusion power $\propto T_i^2$ \longrightarrow T_e limited: degradation of fusion performances.

Main limit to T_e peaking: **turbulent heat transport** driven by **drift waves**:

- Ion scales: **Trapped Electron Modes (TEM)**: driven by $\nabla \ln(T_e)$, $\nabla \ln(n_e)$
- Electron scales: **Electron Temperature Gradient (ETG)** modes: driven by $\nabla \ln(T_e)$

Linear ETG threshold:

[F. Jenko et al. PoP 2001]

$$\left[\frac{R}{L_{Te}} \right]_{crit.} \propto \left(1 + Z_{eff} \frac{T_e}{T_i} \right)$$

$$R/L_{Te} = -R \nabla T_e \cdot \hat{r} / T_e$$

From nonlinear physics:

ETG destabilised when
ion-scale modes \sim stable
(reduced nonlinear ZF
damping of ETGs)

[Howard N.T. *et al.* NF 2016,
Maeyama S. and Idomura PRL
2015, Bonanomi *et al.* NF 2018]

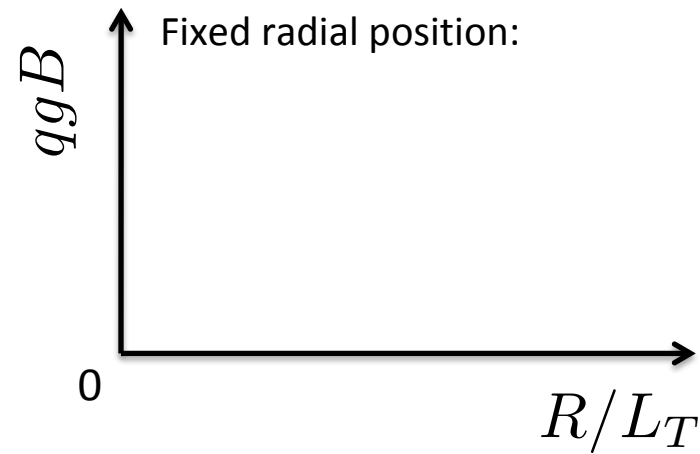
More likely to **observe ETGs** when:

proper **balance** of:

- **electron heating** (increasing R/L_{Te});
- **ion heating** (increasing T_i/T_e and sometimes stabilising ion scales by FI and ExB shearing \longleftarrow ICH and NBI);



Heat transport:



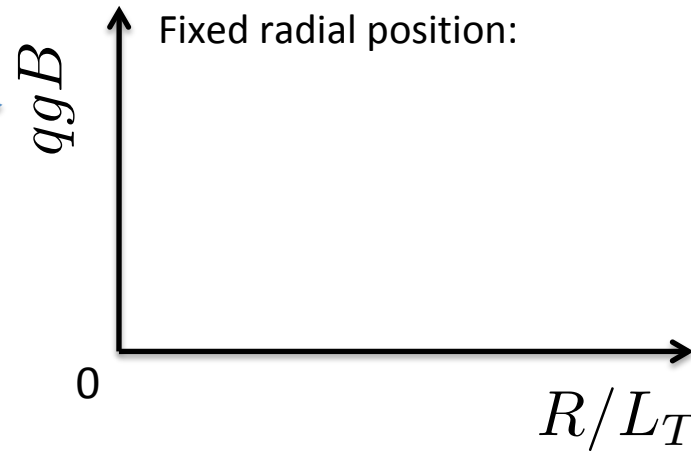
ETG induce electron temperature stiffness



Heat transport:

Heat flux in gyro-Bohm units:

$$qgB = q \frac{e^2 R^2 B_0^2}{\sqrt{m_i} n_e T_e^{5/2}}$$



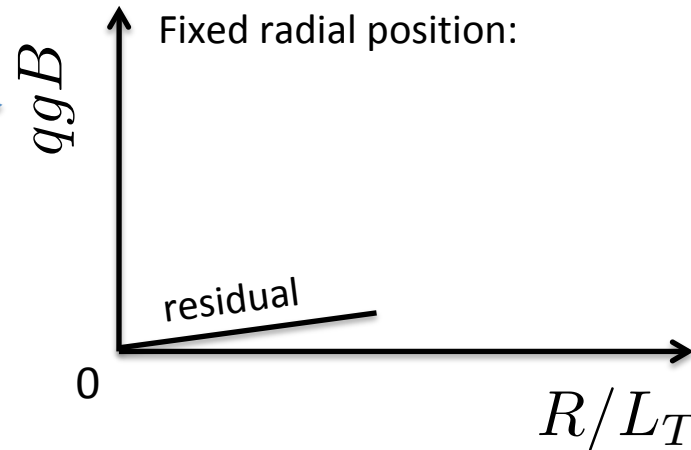
ETG induce electron temperature stiffness



Heat transport:

Heat flux in gyro-Bohm units:

$$qgB = q \frac{e^2 R^2 B_0^2}{\sqrt{m_i} n_e T_e^{5/2}}$$



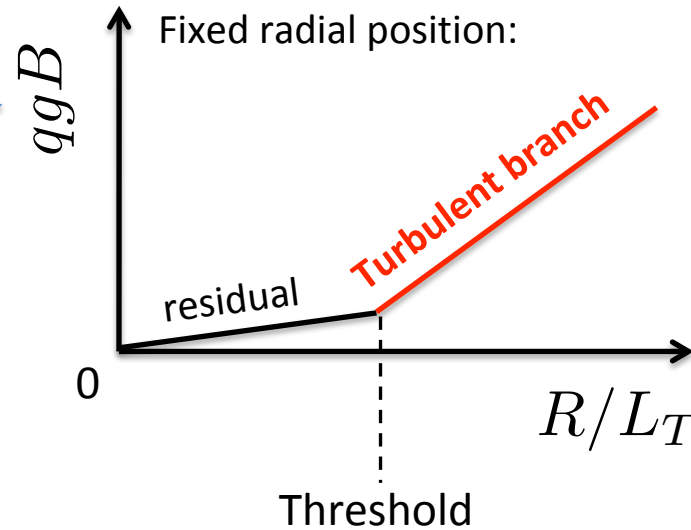
ETG induce electron temperature stiffness



Heat transport:

Heat flux in gyro-Bohm units:

$$qgB = q \frac{e^2 R^2 B_0^2}{\sqrt{m_i} n_e T_e^{5/2}}$$



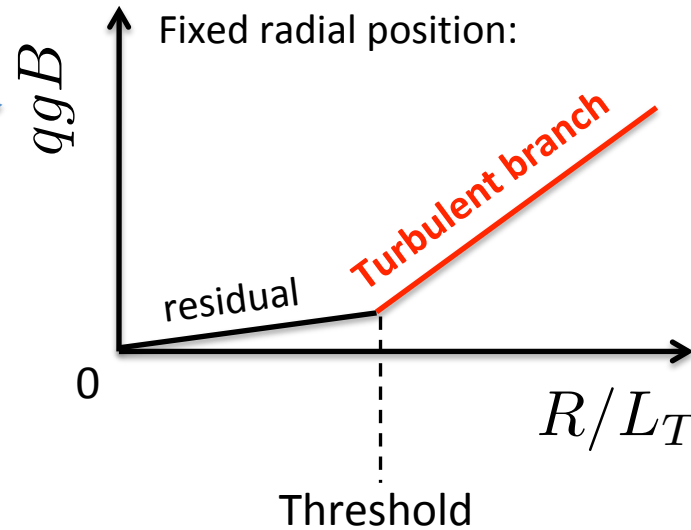
ETG induce electron temperature stiffness



Heat transport:

Heat flux in gyro-Bohm units:

$$qgB = q \frac{e^2 R^2 B_0^2}{\sqrt{m_i} n_e T_e^{5/2}}$$



Temperature profile stiffness:
slope of the turbulent branch

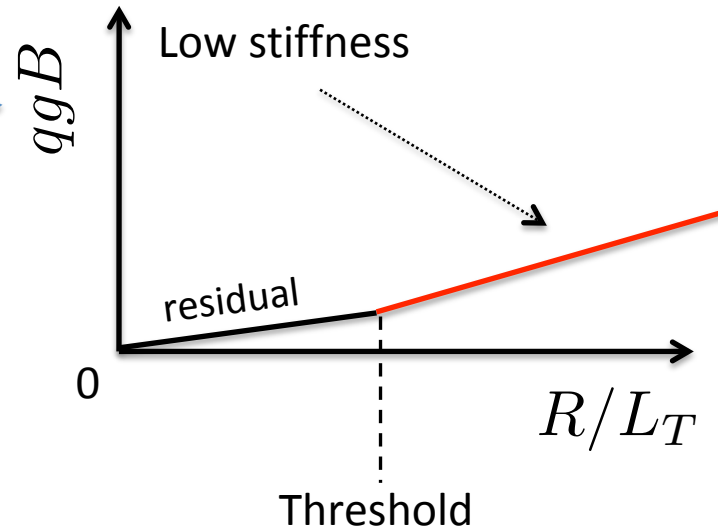
ETG induce electron temperature stiffness



Heat transport:

Heat flux in gyro-Bohm units:

$$qgB = q \frac{e^2 R^2 B_0^2}{\sqrt{m_i} n_e T_e^{5/2}}$$



**Temperature profile stiffness:
slope of the turbulent branch**

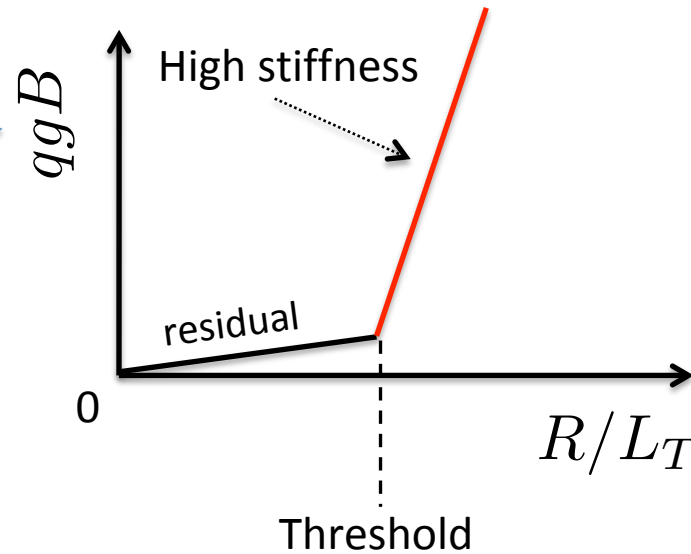
ETG induce electron temperature stiffness



Heat transport:

Heat flux in gyro-Bohm units:

$$qgB = q \frac{e^2 R^2 B_0^2}{\sqrt{m_i} n_e T_e^{5/2}}$$



**Temperature profile stiffness:
slope of the turbulent branch**

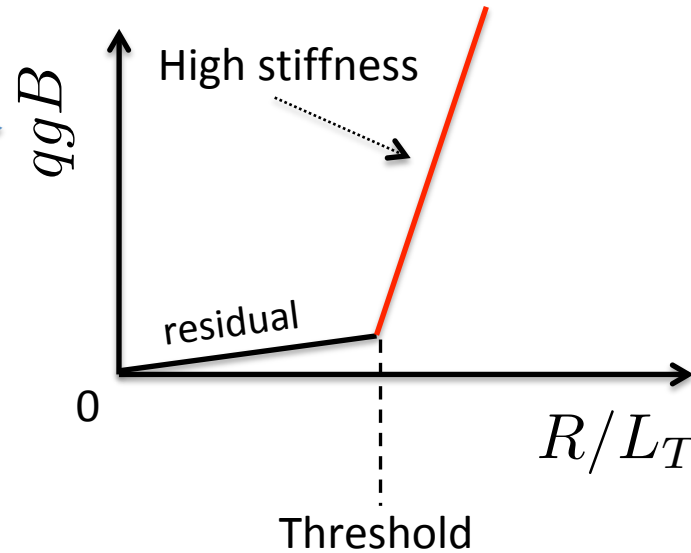
ETG induce electron temperature stiffness



Heat transport:

Heat flux in gyro-Bohm units:

$$qgB = q \frac{e^2 R^2 B_0^2}{\sqrt{m_i} n_e T_e^{5/2}}$$



**Temperature profile stiffness:
slope of the turbulent branch**

ETGs → stiff T_e profile → T_e peaking has an upper limit due to ETGs

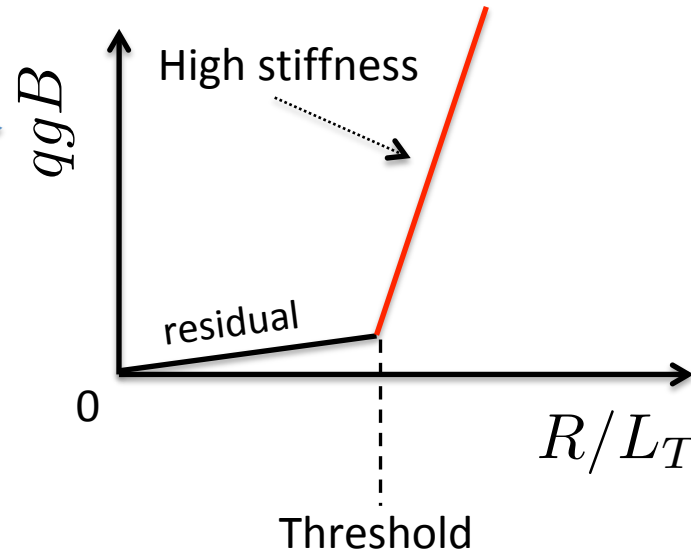
ETG induce electron temperature stiffness



Heat transport:

Heat flux in gyro-Bohm units:

$$qgB = q \frac{e^2 R^2 B_0^2}{\sqrt{m_i} n_e T_e^{5/2}}$$



Temperature profile stiffness:
slope of the turbulent branch

ETGs \rightarrow stiff T_e profile \rightarrow T_e peaking has an upper limit due to ETGs

\rightarrow very important: study ETG physics \rightarrow
suppress them in tokamaks with dominant electron heating

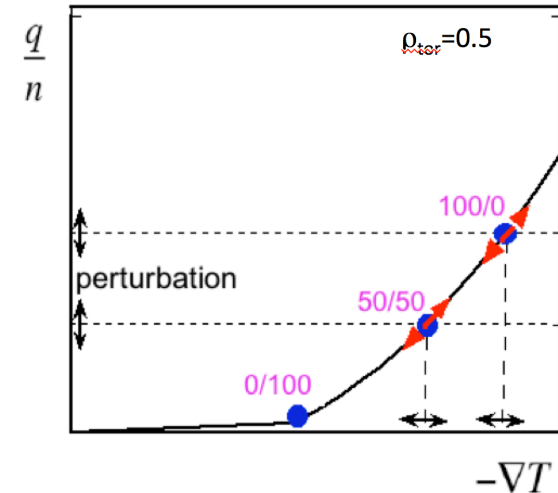
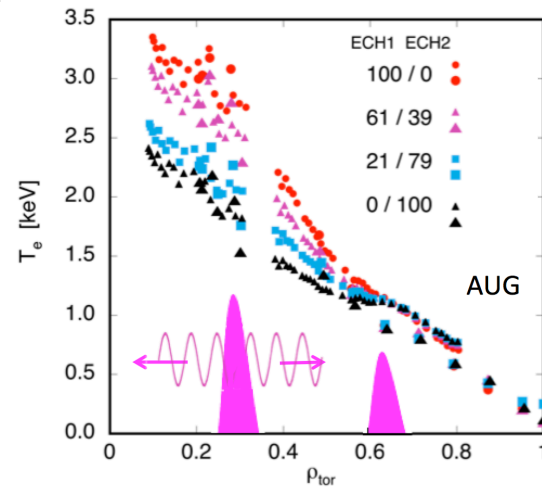
ETGs: experiments and numerical simulations



Experiments:

Two independent methods

→ reconstruct $q_{e,gB}$ vs R/L_{Te} :
 evaluate: slope (detect ETGs)



- **Heat flux scan:** change proportion of heating power (two radii): two $q_{e,gB}$ vs R/L_{Te} points;
- **Perturbative analysis:** modulate heating power at one radius → heat wave: local stiffness;

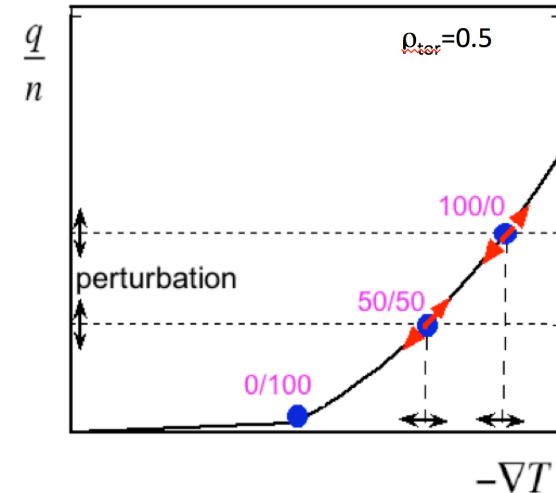
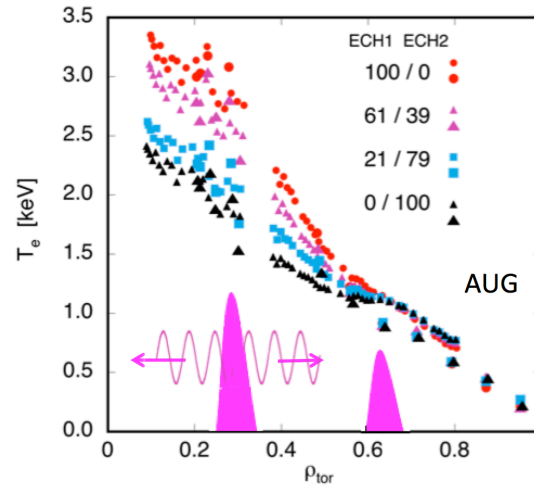
ETGs: experiments and numerical simulations



Experiments:

Two independent methods

- reconstruct $q_{e,gB}$ vs R/L_{Te} :
- evaluate: slope (detect ETGs)



- **Heat flux scan:** change proportion of heating power (two radii): two $q_{e,gB}$ vs R/L_{Te} points;
- **Perturbative analysis:** modulate heating power at one radius → heat wave: local stiffness;

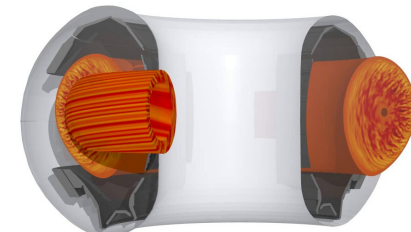
Simulations:

- **Gyrokinetic simulations** (micro-turbulence, scales: \sim ion-electron Larmor radius)

Heavy, based on gyrokinetic (GK) eqs. In 5-dim. phase space

GENE

[Jenko F. *et al.* PoP 2000]



(from <http://genecode.org>)

Multi-machine investigation of ETGs



EUROfusion and **ITPA** Transport & Confinement group:

Extensive effort: analyse **data** produced by **different tokamaks**
investigating **ETG** contribution to **the heat transport**:

comparing: **experiments**  **numerical simulations**

Multi-machine investigation of ETGs



EUROfusion and **ITPA** Transport & Confinement group:

Extensive effort: analyse **data** produced by **different tokamaks**
investigating **ETG** contribution to **the heat transport**:

comparing: **experiments**  **numerical simulations**

Here: comparison of dedicated pulses from the following tokamaks:

- **TCV**: Swiss Plasma Center (SPC)-EPFL, Lausanne, Switzerland
- **AUG**: Max-Planck-Institut für Plasmaphysik (IPP), Garching, Germany
- **JET**: Culham, United Kingdom

Multi-machine investigation of ETGs



EUROfusion and **ITPA** Transport & Confinement group:

Extensive effort: analyse **data** produced by **different tokamaks**
investigating **ETG** contribution to **the heat transport**:

comparing: **experiments**  **numerical simulations**

Here: comparison of dedicated pulses from the following tokamaks:

- **TCV**: Swiss Plasma Center (SPC)-EPFL, Lausanne, Switzerland
- **AUG**: Max-Planck-Institut für Plasmaphysik (IPP), Garching, Germany
- **JET**: Culham, United Kingdom

Analysis: same radial position: $\rho_{\text{tor}}=0.5$:



TCV

[Mariani A. et al.,
NF (2019)]



TCV

[Mariani A. et al.,
NF (2019)]

- L-modes, $B_0 = 1.41 T$, $I_p = 170 kA$;
- **Heat flux scan**: vary ECH power ($\sim 0.4 - 0.7 MW$) deposition on- vs off-axis;
- **Perturbative analysis**: ECH steady and modulated;
- Each pulse: different phases with different proportion of NBI ($\sim 1 MW$) /ECH power to vary T_e/T_i .



TCV

[Mariani A. et al.,
NF (2019)]

- L-modes, $B_0 = 1.41 T$, $I_p = 170 kA$;
- **Heat flux scan**: vary ECH power ($\sim 0.4 - 0.7 MW$) deposition on- vs off-axis;
- **Perturbative analysis**: ECH steady and modulated;
- Each pulse: different phases with different proportion of NBI ($\sim 1 MW$) /ECH power to vary T_e/T_i .

AUG

[Ryter F. et al.,
NF (2019)]



TCV

[Mariani A. et al.,
NF (2019)]

- L-modes, $B_0 = 1.41 T$, $I_p = 170 kA$;
- **Heat flux scan**: vary ECH power ($\sim 0.4 - 0.7 MW$) deposition on- vs off-axis;
- **Perturbative analysis**: ECH steady and modulated;
- Each pulse: different phases with different proportion of NBI($\sim 1 MW$) /ECH power to vary T_e/T_i .

AUG

[Ryter F. et al.,
NF (2019)]

- H-modes, $B_0 = 2.6 T$, $I_p = 0.8 MA$;
- **Heat flux scan**: vary ECH power ($\sim 2.5 MW$) deposition;
- **Perturbative analysis**: ECH steady and modulated;
- NBI($\sim 5 MW$) to have $T_e \sim T_i$.

Experiments at TCV, AUG and JET



TCV

[Mariani A. et al.,
NF (2019)]

- L-modes, $B_0 = 1.41 T$, $I_p = 170 kA$;
- **Heat flux scan**: vary ECH power ($\sim 0.4 - 0.7 MW$) deposition on- vs off-axis;
- **Perturbative analysis**: ECH steady and modulated;
- Each pulse: different phases with different proportion of NBI($\sim 1 MW$) /ECH power to vary T_e/T_i .

AUG

[Ryter F. et al.,
NF (2019)]

- H-modes, $B_0 = 2.6 T$, $I_p = 0.8 MA$;
- **Heat flux scan**: vary ECH power ($\sim 2.5 MW$) deposition;
- **Perturbative analysis**: ECH steady and modulated;
- NBI($\sim 5 MW$) to have $T_e \sim T_i$.

Very recent
experiments (2020)



JET

[Mantica P. et al.,
To be submitted]

Experiments at TCV, AUG and JET



TCV

[Mariani A. et al.,
NF (2019)]

- L-modes, $B_0 = 1.41 T$, $I_p = 170 kA$;
- **Heat flux scan**: vary ECH power ($\sim 0.4 - 0.7 MW$) deposition on- vs off-axis;
- **Perturbative analysis**: ECH steady and modulated;
- Each pulse: different phases with different proportion of NBI($\sim 1 MW$) /ECH power to vary T_e/T_i .

AUG

[Ryter F. et al.,
NF (2019)]

- H-modes, $B_0 = 2.6 T$, $I_p = 0.8 MA$;
- **Heat flux scan**: vary ECH power ($\sim 2.5 MW$) deposition;
- **Perturbative analysis**: ECH steady and modulated;
- NBI($\sim 5 MW$) to have $T_e \sim T_i$.

Very recent
experiments (2020)



JET

[Mantica P. et al.,
To be submitted]

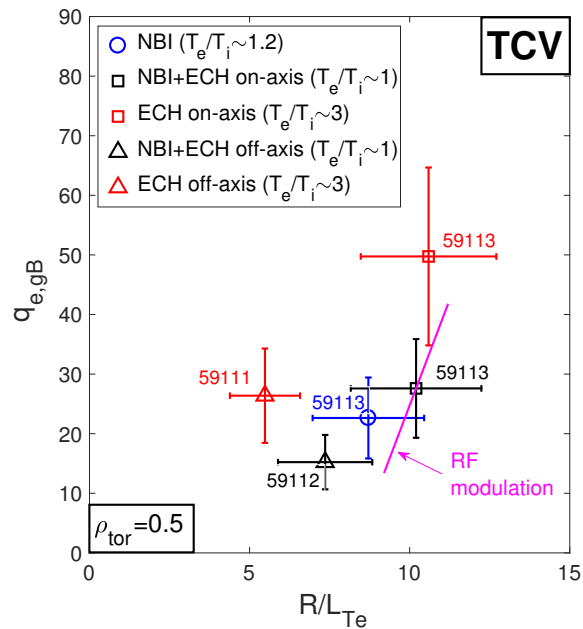
- L-modes and H-modes, $B_0 = 3.3 T$, $I_p = 2 MA$;
- **Heat flux scan**: vary ICH power ($< 6 MW$, H minority to mainly heat electrons) deposition;
- ICH only steady (no perturbative analysis);
- NBI($< 20 MW$) to have a T_e/T_i range.

Experimental results: electron heat flux scans



TCV

- **ECH only**, mixed NBI-ECH ($T_e \sim T_i$), and **NBI only** cases:
- **ECH modulation: mixed NBI-ECH with ECH on-axis**



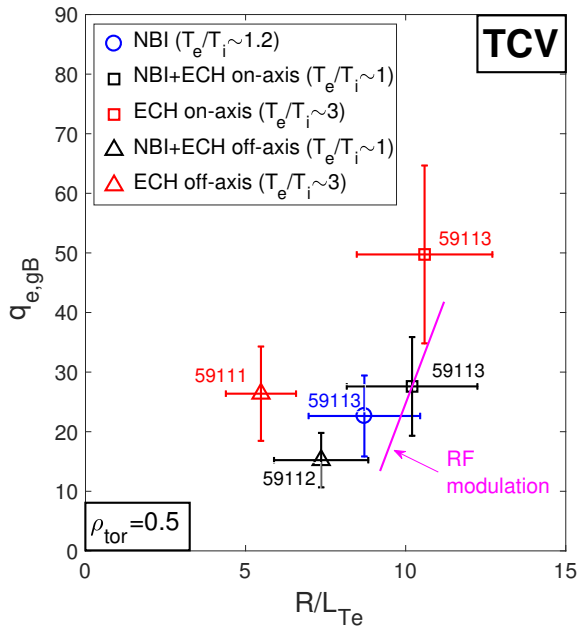
Adapted from [Mariani A. et al., NF (2019)]

Experimental results: electron heat flux scans



TCV

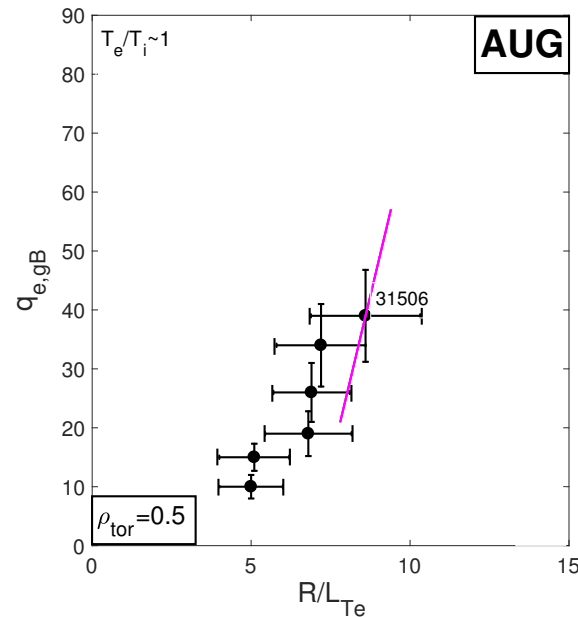
- **ECH only**, mixed NBI-ECH ($T_e \sim T_i$), and **NBI only** cases:
- **ECH modulation: mixed NBI-ECH with ECH on-axis**



Adapted from [Mariani A. et al., NF (2019)]

AUG

- All experimental cases ($T_e \sim T_i$);
- **ECH modulation: highest $q_{e,gB}$ point**



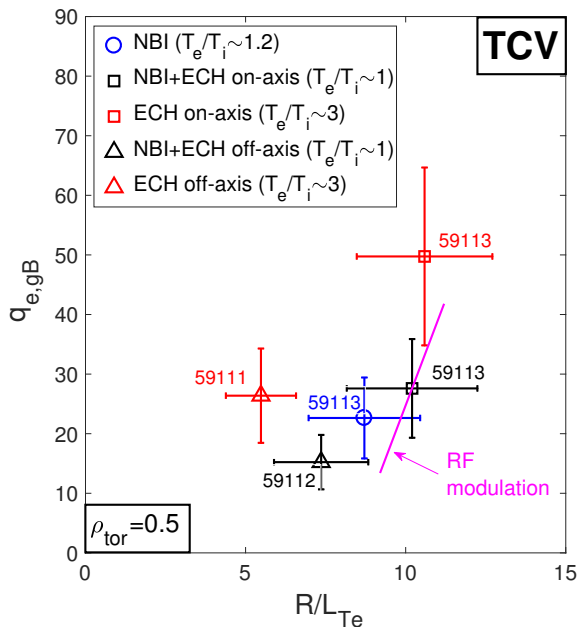
[Bonanomi N. et al., to be submitted]

Experimental results: electron heat flux scans



TCV

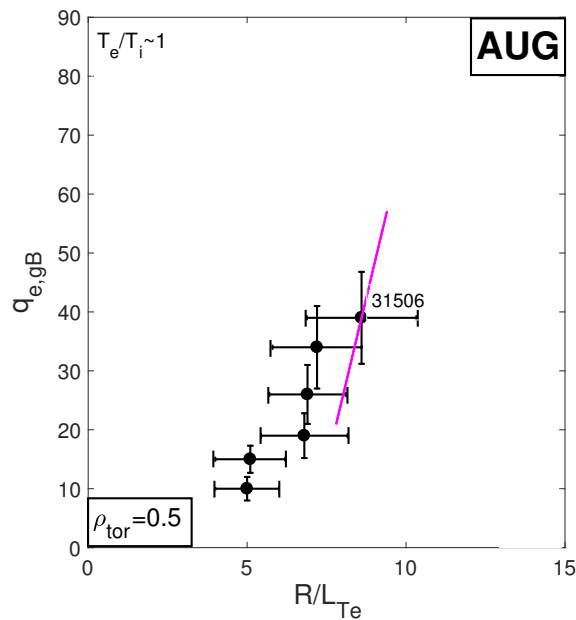
- **ECH only**, mixed NBI-ECH ($T_e \sim T_i$), and **NBI only** cases:
- **ECH modulation: mixed NBI-ECH with ECH on-axis**



Adapted from [Mariani A. et al., NF (2019)]

AUG

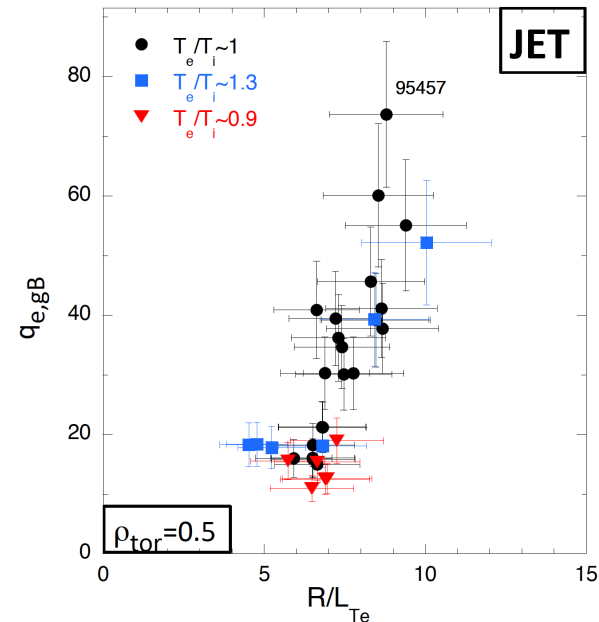
- All experimental cases ($T_e \sim T_i$);
- **ECH modulation: highest $q_{e,gB}$ point**



[Bonanomi N. et al., to be submitted]

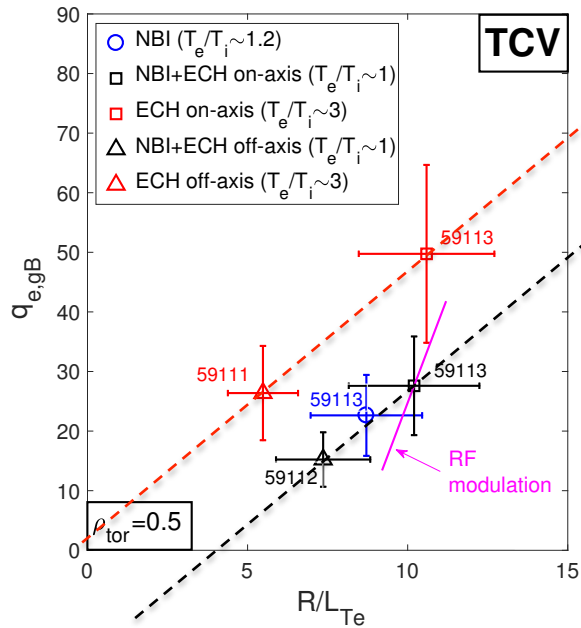
JET

- All experimental cases (T_e/T_i range);
- No RF modulation;

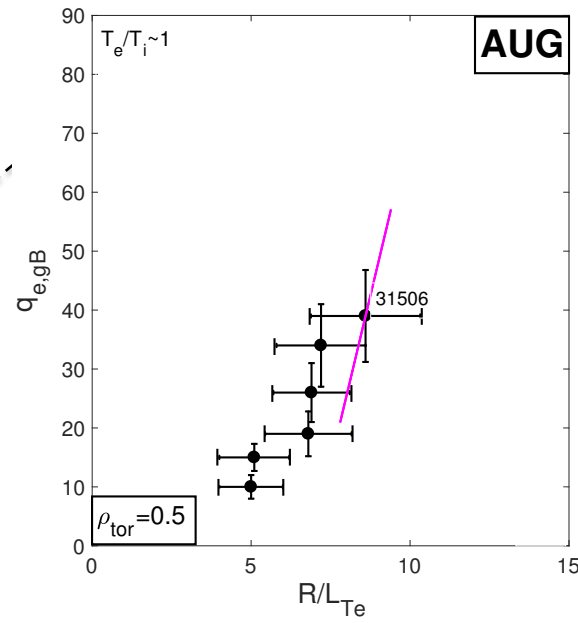


[Mantica. P. et al., to be submitted]

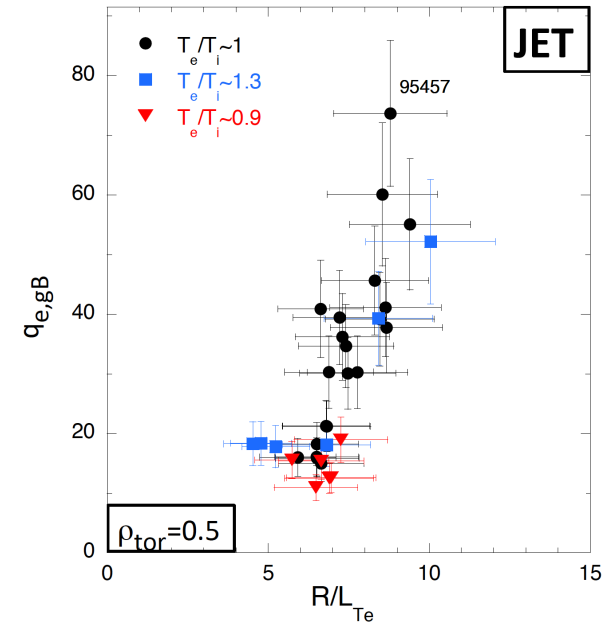
Experimental results: electron heat flux scans



Adapted from [Mariani A. et al., NF (2019)]



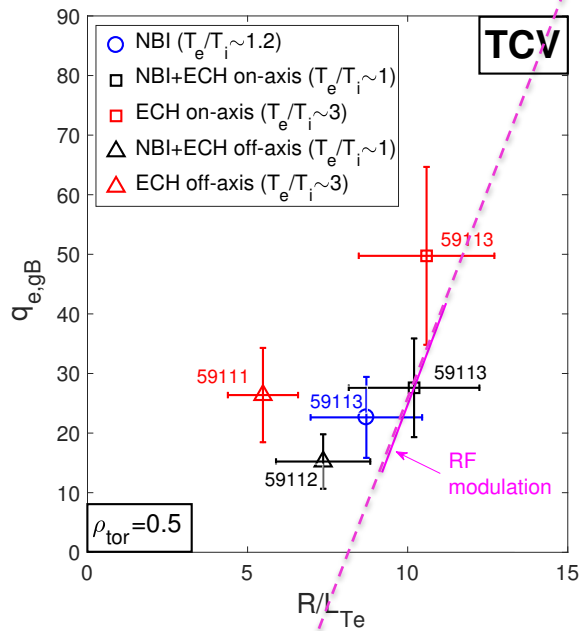
[Bonanomi N. et al., to be submitted]



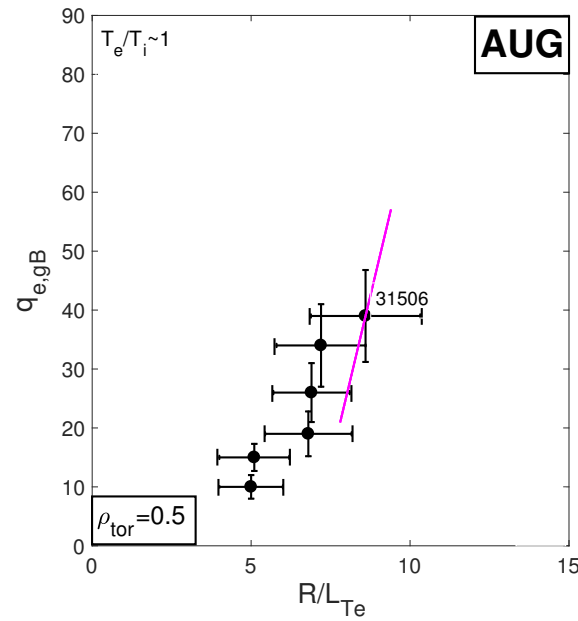
[Mantica. P. et al., to be submitted]

- Steady state: TEM-compatible moderate stiffness;

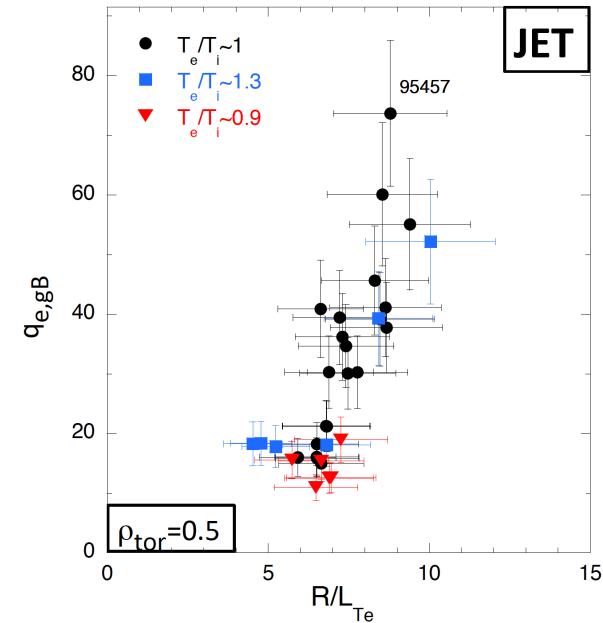
Experimental results: electron heat flux scans



Adapted from [Mariani A. et al., NF (2019)]



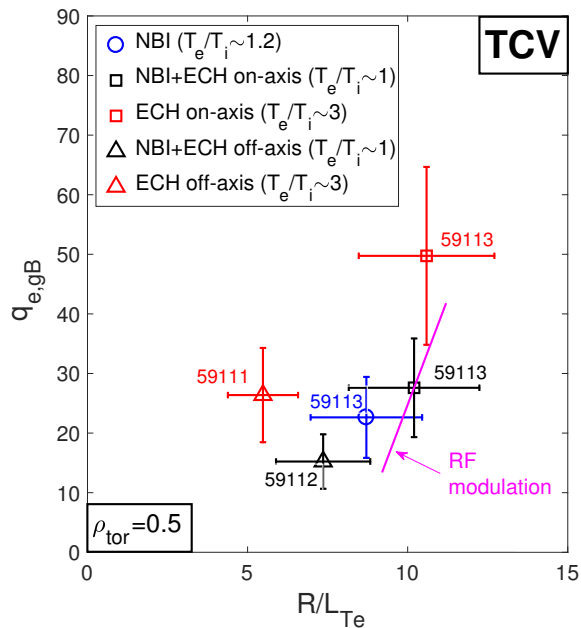
[Bonanomi N. et al., to be submitted]



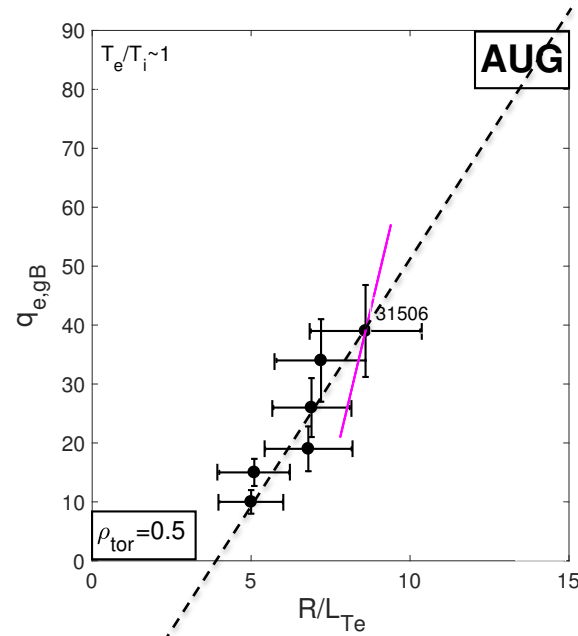
[Mantica. P. et al., to be submitted]

- Steady state: TEM-compatible moderate stiffness;
- ECH modulation: ETG-like stiffness** for mixed NBI-ECH case ($T_e \sim T_i$).

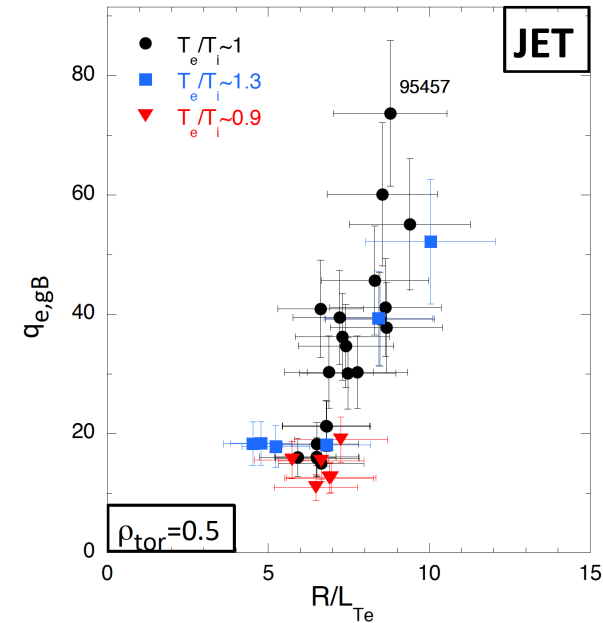
Experimental results: electron heat flux scans



Adapted from [Mariani A. et al., NF (2019)]



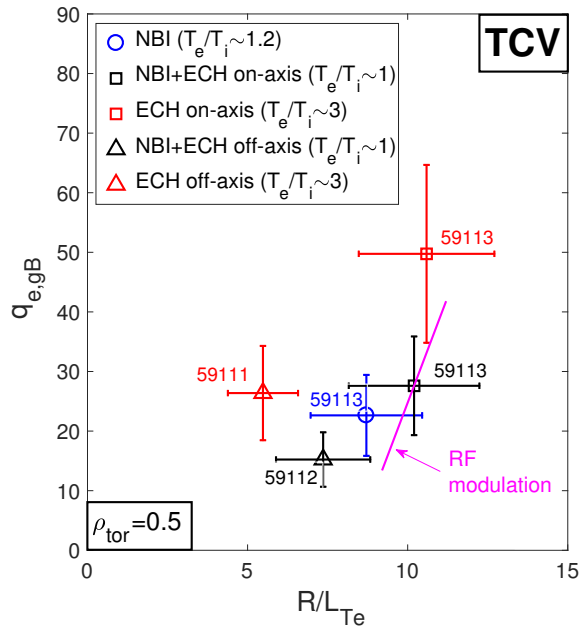
[Bonanomi N. et al., to be submitted]



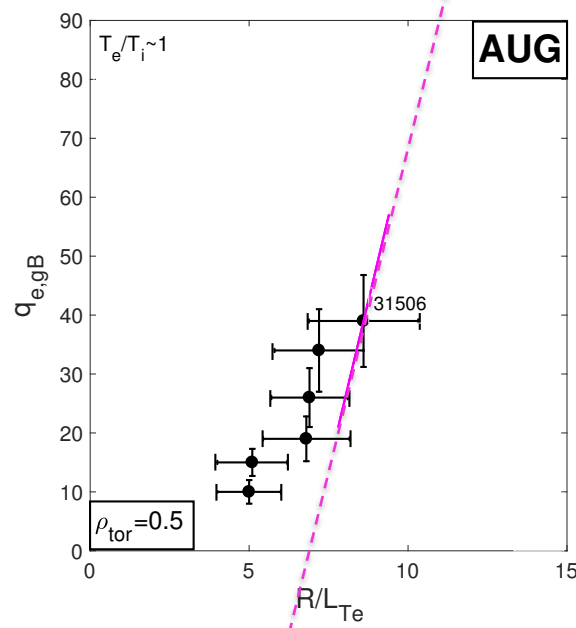
[Mantica. P. et al., to be submitted]

- Steady state: TEM-compatible moderate stiffness;
- **ECH modulation: ETG-like stiffness** for **mixed NBI-ECH case** ($T_e \sim T_i$).
- Steady state: TEM-compatible moderate stiffness;

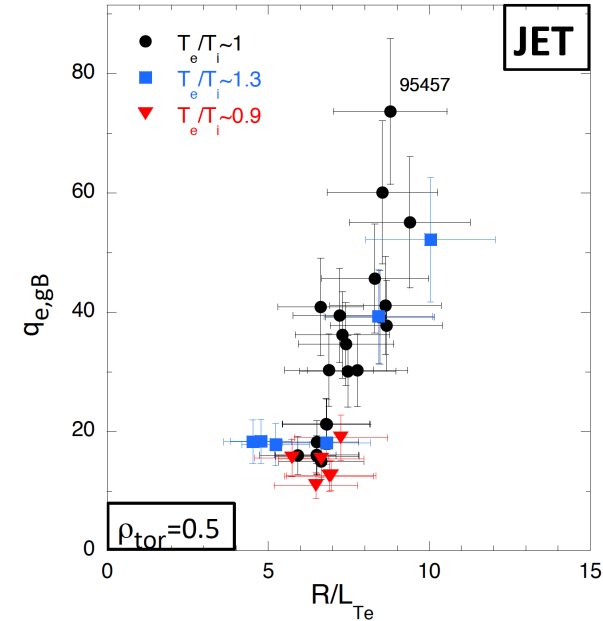
Experimental results: electron heat flux scans



Adapted from [Mariani A. et al., NF (2019)]



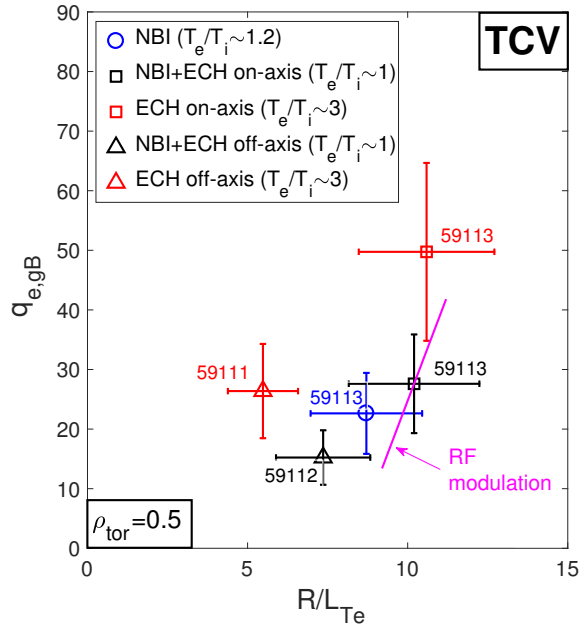
[Bonanomi N. et al., to be submitted]



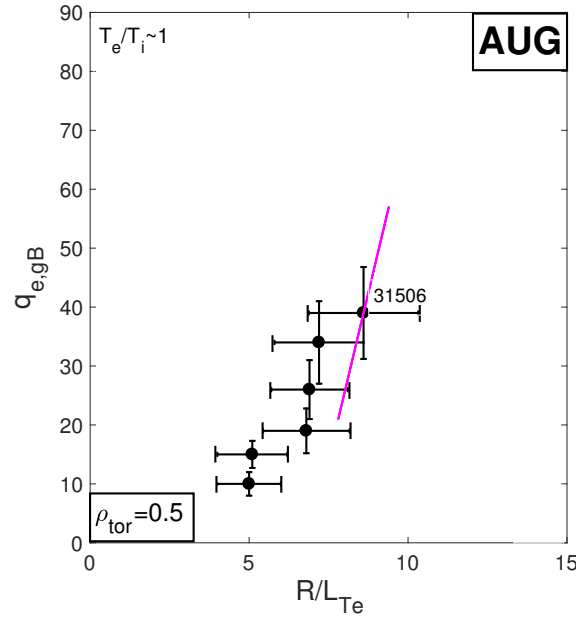
[Mantica. P. et al., to be submitted]

- Steady state: TEM-compatible moderate stiffness;
- **ECH modulation: ETG-like stiffness** for **mixed NBI-ECH case** ($T_e \sim T_i$).
- Steady state: TEM-compatible moderate stiffness;
- **ECH modulation: ETG-compatible stiffness** for the **largest $q_{e,gB}$ point**.

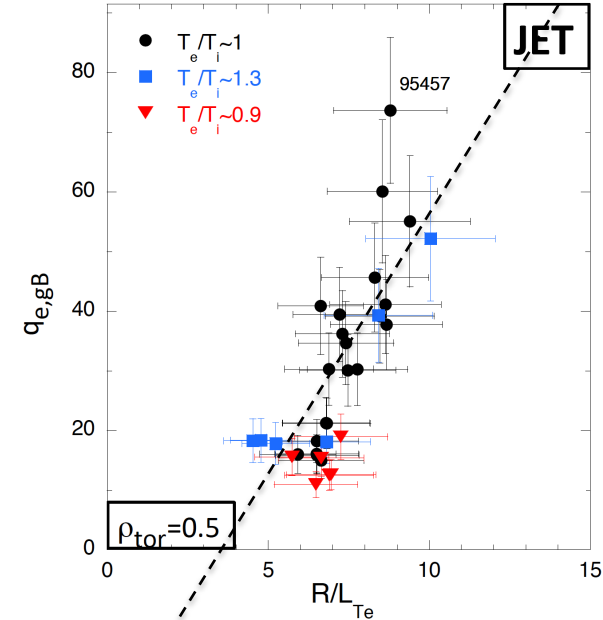
Experimental results: electron heat flux scans



Adapted from [Mariani A. et al., NF (2019)]



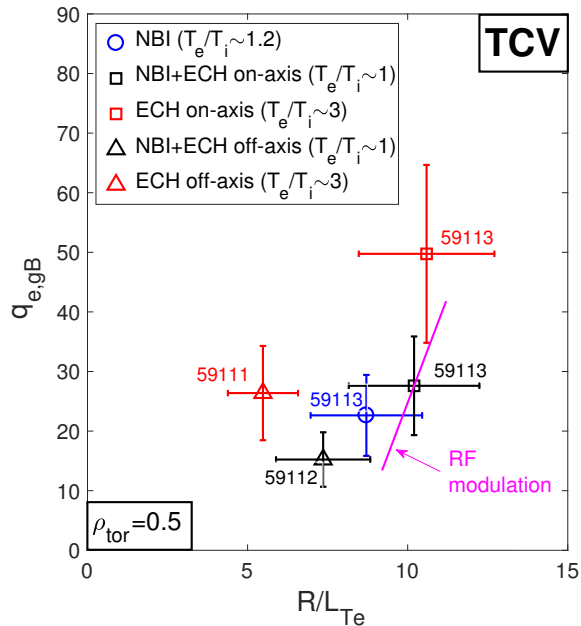
[Bonanomi N. et al., to be submitted]



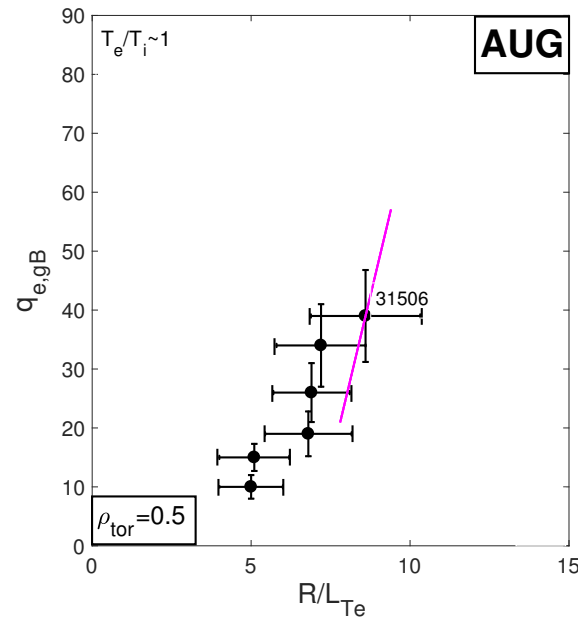
[Mantica. P. et al., to be submitted]

- Steady state: TEM-compatible moderate stiffness;
- **ECH modulation: ETG-like stiffness** for mixed NBI-ECH case ($T_e \sim T_i$).
- Steady state: TEM-compatible moderate stiffness;
- **ECH modulation: ETG-compatible stiffness** for the largest $q_{e,gB}$ point.
- Only steady state: TEM-compatible

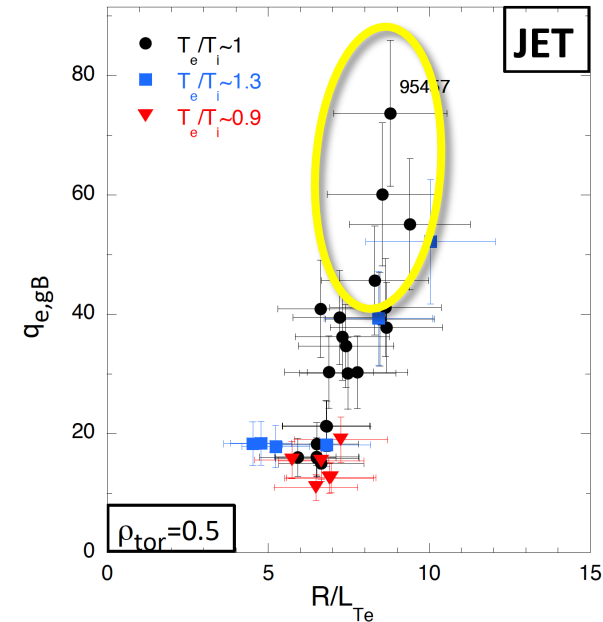
Experimental results: electron heat flux scans



Adapted from [Mariani A. et al., NF (2019)]



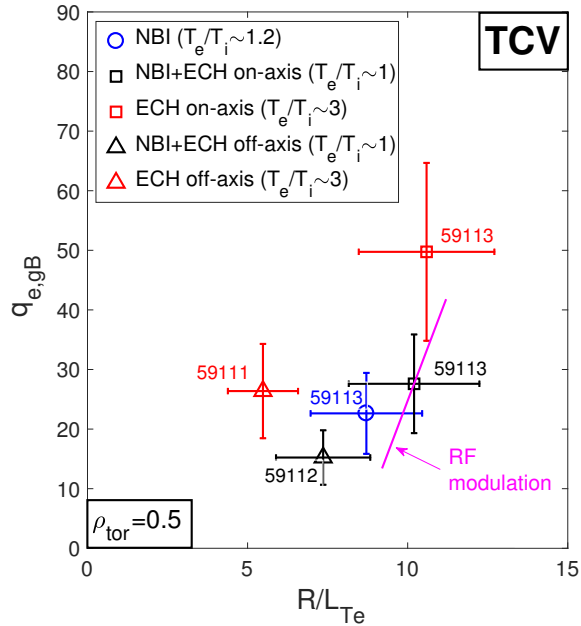
[Bonanomi N. et al., to be submitted]



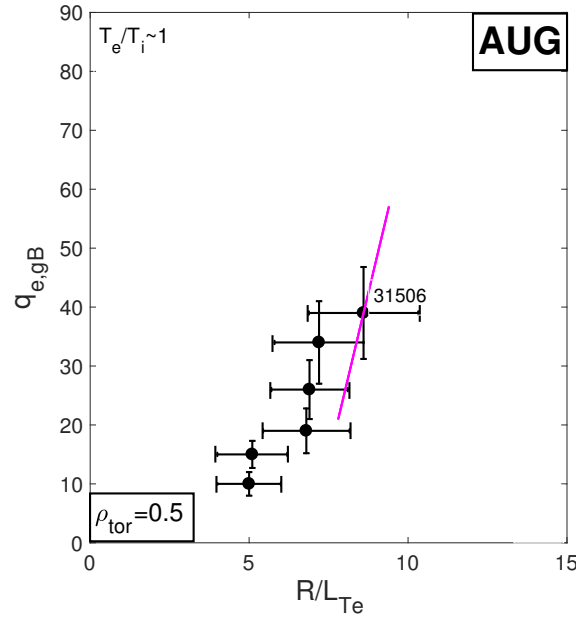
[Mantica. P. et al., to be submitted]

- Steady state: TEM-compatible moderate stiffness;
- ECH modulation: ETG-like stiffness for mixed NBI-ECH case ($T_e \sim T_i$).
- Steady state: TEM-compatible moderate stiffness;
- ECH modulation: ETG-compatible stiffness for the largest $q_{e,gB}$ point.
- Only steady state: TEM-compatible **except for highest $q_{e,gB}$ points with $T_e \sim T_i$ (ETG wall?)**.

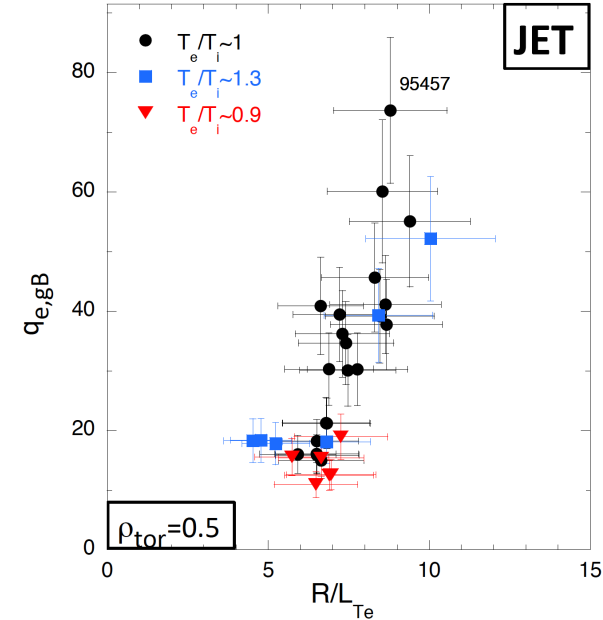
Experimental results: electron heat flux scans



Adapted from [Mariani A. et al., NF (2019)]



[Bonanomi N. et al., to be submitted]



[Mantica. P. et al., to be submitted]

- Steady state: TEM-compatible moderate stiffness;
- ECH modulation: ETG-like stiffness for mixed NBI-ECH case ($T_e \sim T_i$).**
- Steady state: TEM-compatible moderate stiffness;
- ECH modulation: ETG-compatible stiffness for the largest $q_{e,gB}$ point.**
- Only steady state: TEM-compatible **except for highest $q_{e,gB}$ points with $T_e \sim T_i$ (ETG wall?).**

Comparison: **possible ETGs role: cases with balanced electron/ion-heating: $T_e \sim T_i$ and large R/L_{Te} .**

Gyrokinetic simulations (GENE)



- Flux-tube (radially local) version of GENE;

Gyrokinetic simulations (GENE)



- Flux-tube (radially local) version of GENE;
- Realistic geometries: magnetic equilibria from CHEASE [Lutjens H. et al., Comput. Phys. Commun. (1999)] (TCV) and EFIT [Brix M. et al., Rev. Sci. Instrum. 79 (2008)] (AUG, JET);

Gyrokinetic simulations (GENE)



- Flux-tube (radially local) version of GENE;
- Realistic geometries: magnetic equilibria from CHEASE [Lutjens H. et al., Comput. Phys. Commun. (1999)] (TCV) and EFIT [Brix M. et al., Rev. Sci. Instrum. 79 (2008)] (AUG, JET);
- Collisions;

Gyrokinetic simulations (GENE)



- Flux-tube (radially local) version of GENE;
- Realistic geometries: magnetic equilibria from CHEASE [Lutjens H. et al., Comput. Phys. Commun. (1999)] (TCV) and EFIT [Brix M. et al., Rev. Sci. Instrum. 79 (2008)] (AUG, JET);
- Collisions;
- Finite- β_e (electromagnetic) effects;

Gyrokinetic simulations (GENE)



- Flux-tube (radially local) version of GENE;
- Realistic geometries: magnetic equilibria from CHEASE [Lutjens H. et al., Comput. Phys. Commun. (1999)] (TCV) and EFIT [Brix M. et al., Rev. Sci. Instrum. 79 (2008)] (AUG, JET);
- Collisions;
- Finite- β_e (electromagnetic) effects;
- Impurities: considered for TCV and JET; neglected for AUG for consistency with the multi-scale ($Z_{\text{eff}}=1$ for lack of computational resources);

Gyrokinetic simulations (GENE)



- Flux-tube (radially local) version of GENE;
- Realistic geometries: magnetic equilibria from CHEASE [Lutjens H. et al., Comput. Phys. Commun. (1999)] (TCV) and EFIT [Brix M. et al., Rev. Sci. Instrum. 79 (2008)] (AUG, JET);
- Collisions;
- Finite- β_e (electromagnetic) effects;
- Impurities: considered for TCV and JET; neglected for AUG for consistency with the multi-scale ($Z_{\text{eff}}=1$ for lack of computational resources);
- Fast ions (FI): considered for TCV, neglected for AUG and JET (much lower FI density fraction);

Gyrokinetic simulations (GENE)



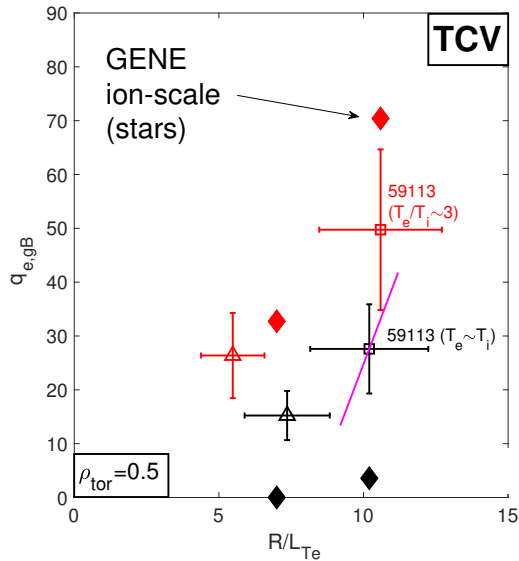
- Flux-tube (radially local) version of GENE;
- Realistic geometries: magnetic equilibria from CHEASE [Lutjens H. et al., Comput. Phys. Commun. (1999)] (TCV) and EFIT [Brix M. et al., Rev. Sci. Instrum. 79 (2008)] (AUG, JET);
- Collisions;
- Finite- β_e (electromagnetic) effects;
- Impurities: considered for TCV and JET; neglected for AUG for consistency with the multi-scale ($Z_{\text{eff}}=1$ for lack of computational resources);
- Fast ions (FI): considered for TCV, neglected for AUG and JET (much lower FI density fraction);
- ExB shearing: considered for TCV; neglected for AUG and JET (much smaller values).

Nonlinear ion-scale gyrokinetic simulations



TCV

- GENE (diamonds)
compared with exp. points
and ECH modulation



Adapted from [Mariani A. et al., NF (2019)]

Nonlinear ion-scale gyrokinetic simulations

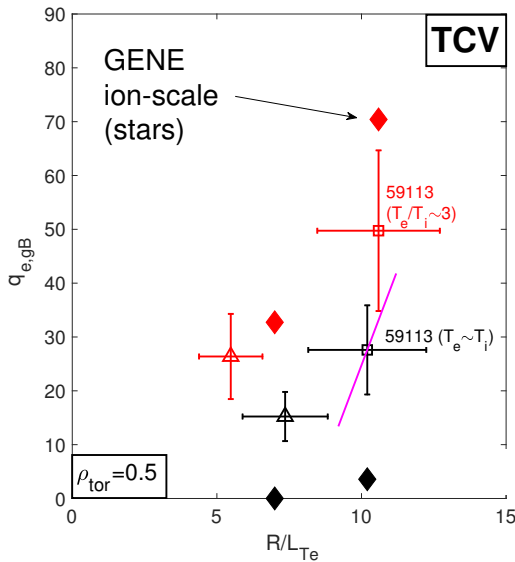


TCV

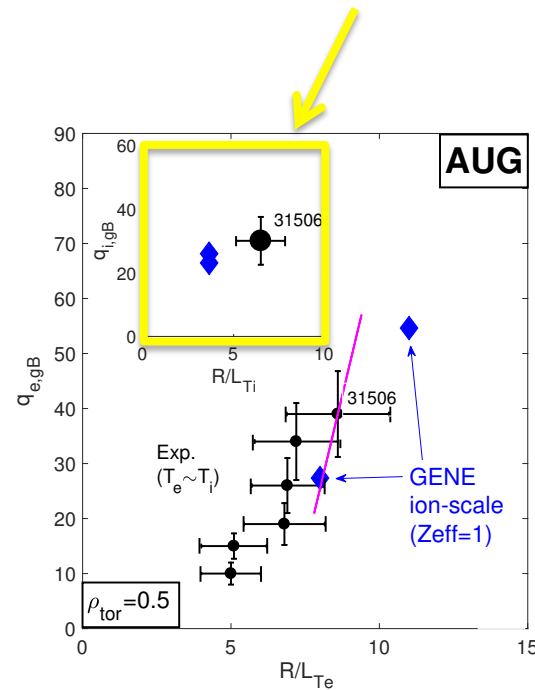
AUG

- GENE (diamonds) compared with exp. points and ECH modulation

- Same as TCV, but also looking at the ion channel;



Adapted from [Mariani A. et al., NF (2019)]



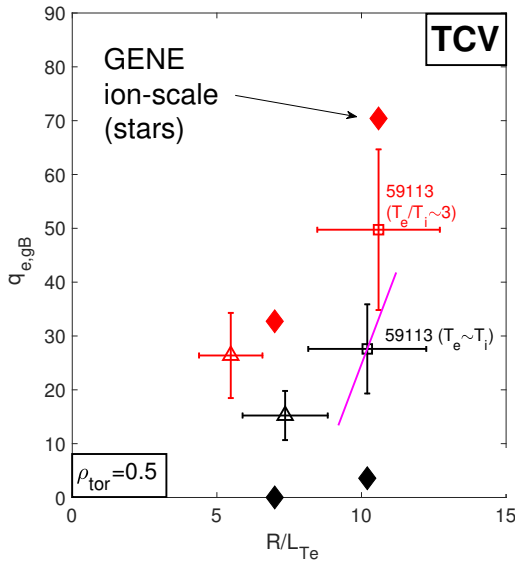
[Bonanomi N. et al., to be submitted]

Nonlinear ion-scale gyrokinetic simulations



TCV

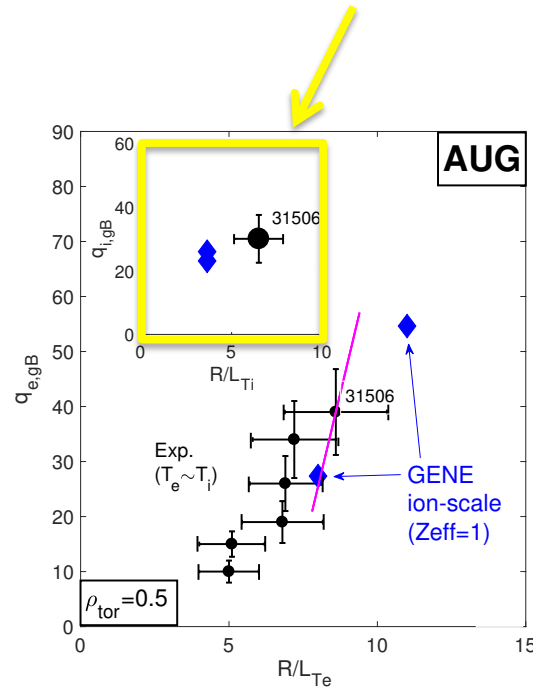
- GENE (diamonds) compared with exp. points and **ECH modulation**



Adapted from [Mariani A. et al., NF (2019)]

AUG

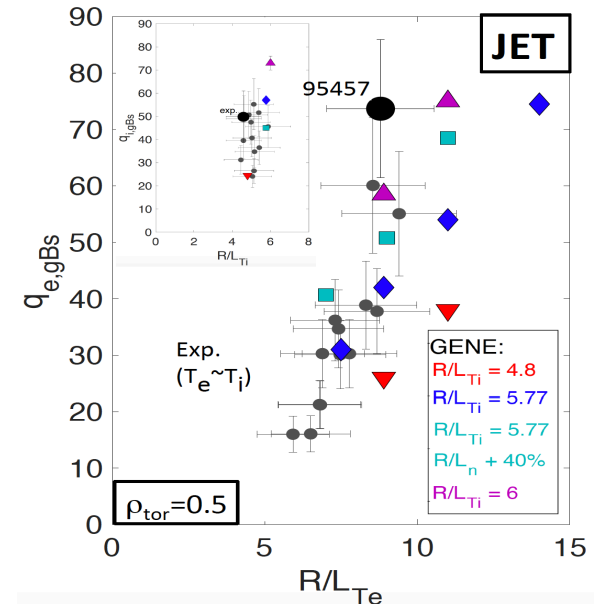
- Same as TCV, but also looking at the ion channel;



[Bonanomi N. et al., to be submitted]

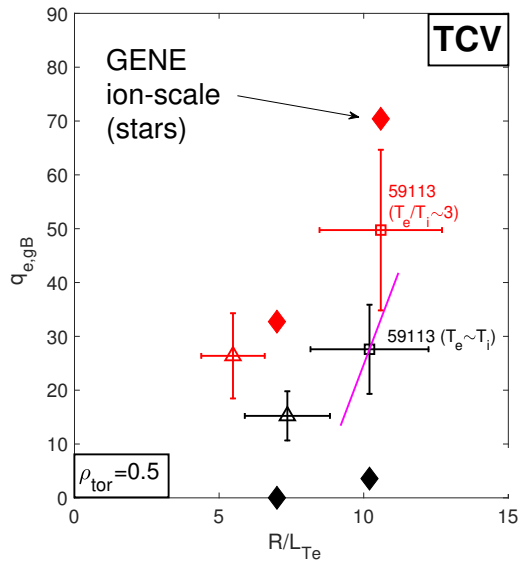
JET

- Similar, but the sensitivity to R/L_{Ti} and R/L_{ne} is tested (colored markers);

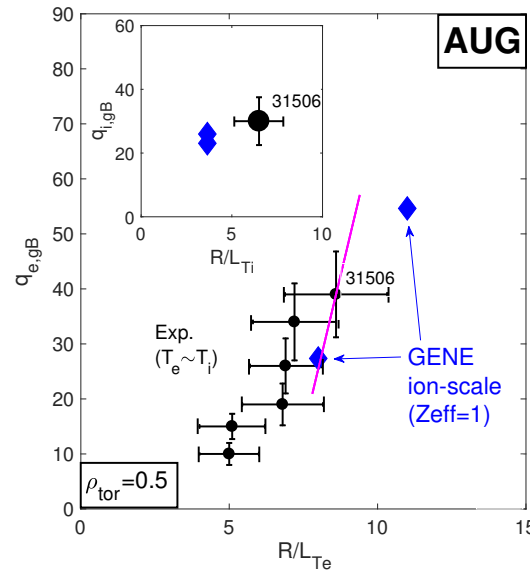


Adapted from [Mantica P. et al., to be submitted]

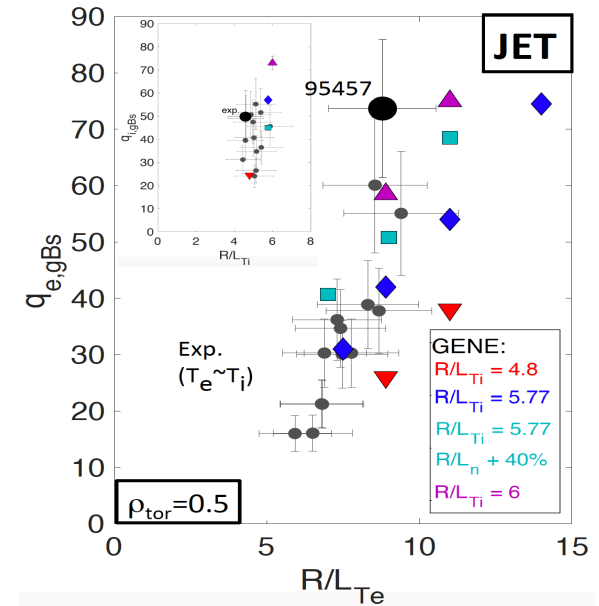
Nonlinear ion-scale gyrokinetic simulations



Adapted from [Mariani A. et al., NF (2019)]

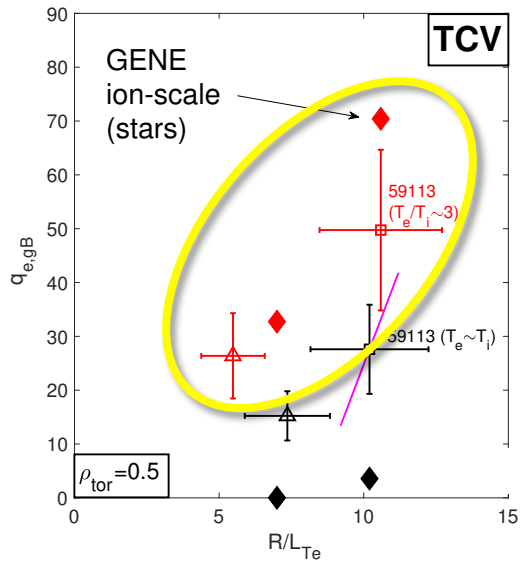


[Bonanomi N. et al., to be submitted]

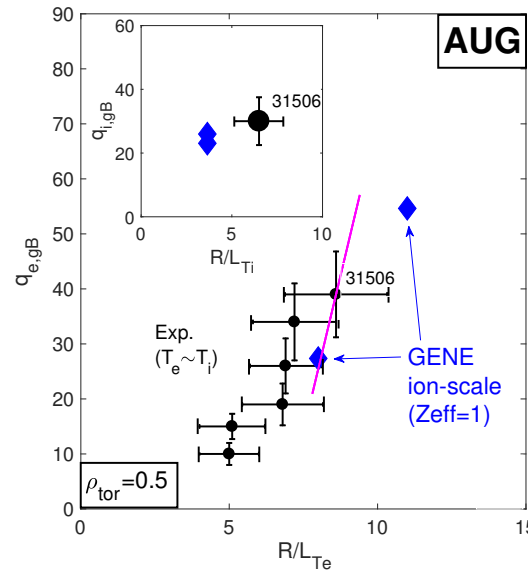


Adapted from [Mantica P. et al., to be submitted]

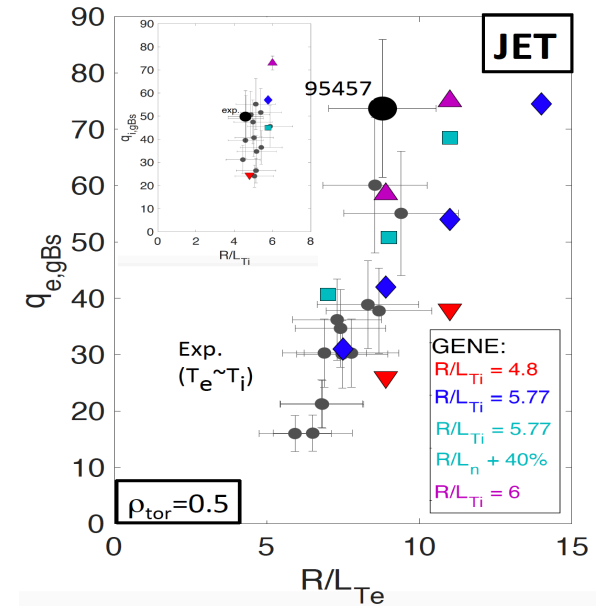
Nonlinear ion-scale gyrokinetic simulations



Adapted from [Mariani A. et al., NF (2019)]



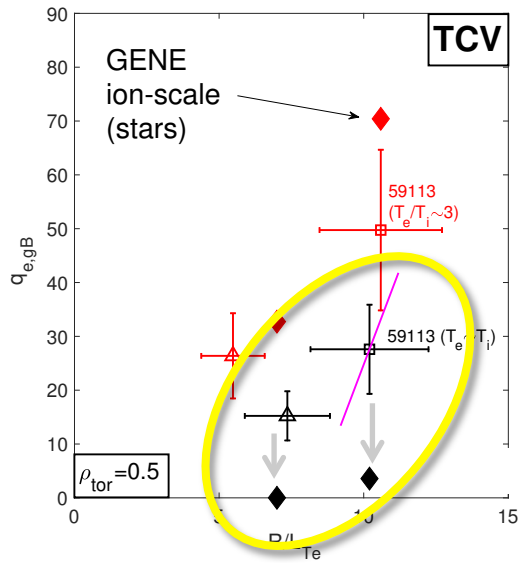
[Bonanomi N. et al., to be submitted]



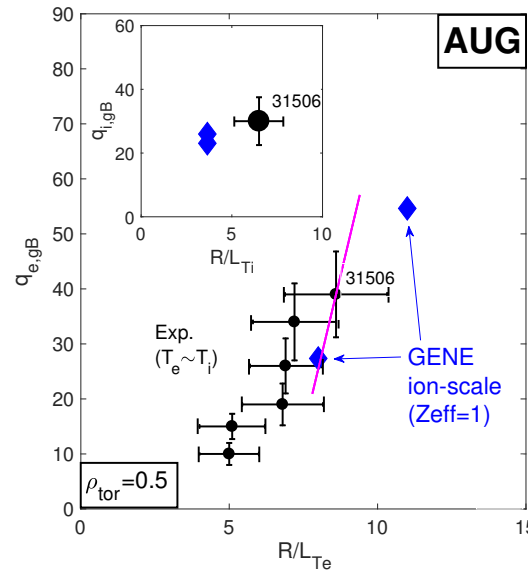
Adapted from [Mantica P. et al., to be submitted]

- ECH only: flux levels and stiffness explained by ion-scales;

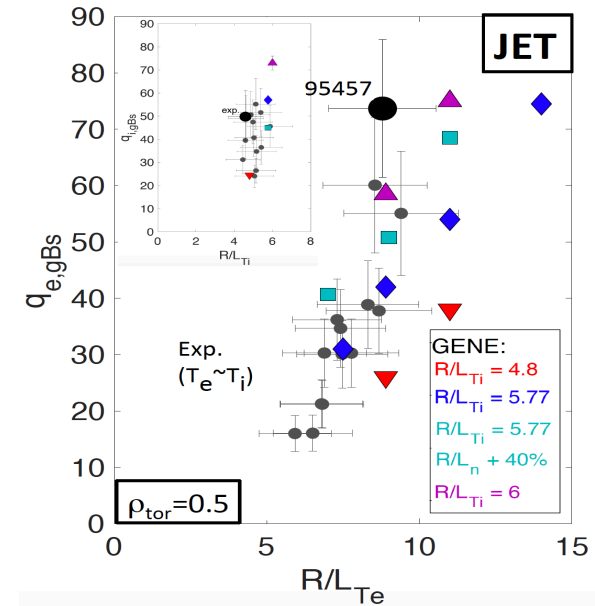
Nonlinear ion-scale gyrokinetic simulations



Adapted from [Mariani A. et al., NF (2019)]



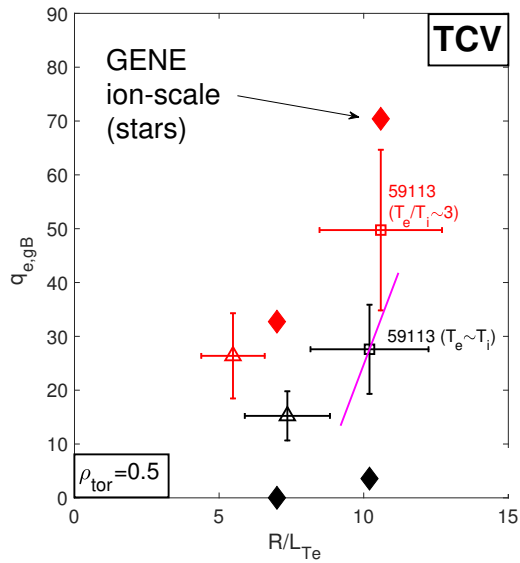
[Bonanomi N. et al., to be submitted]



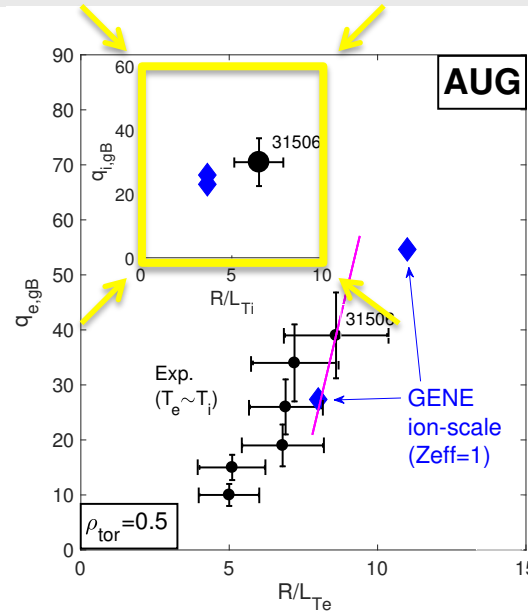
Adapted from [Mantica P. et al., to be submitted]

- ECH only: flux levels and stiffness explained by ion-scales;
- Mixed NBI-ECH: ion-scales stabilised by a synergy of FI and ExB: both fluxes and stiffness are under-estimated.

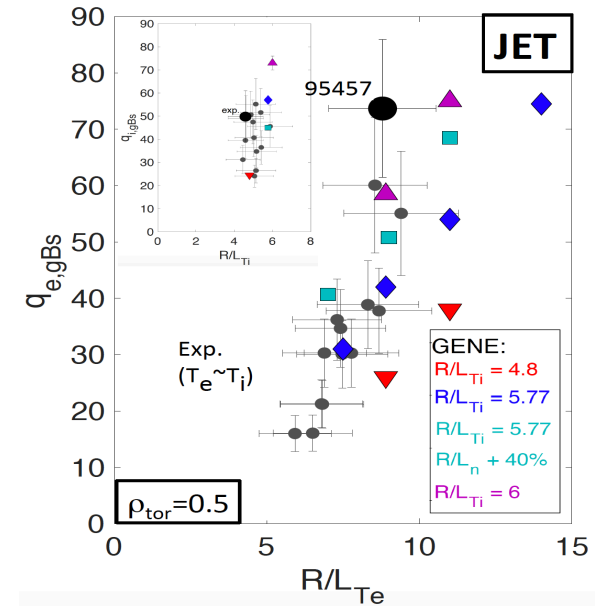
Nonlinear ion-scale gyrokinetic simulations



Adapted from [Mariani A. et al., NF (2019)]



[Bonanomi N. et al., to be submitted]

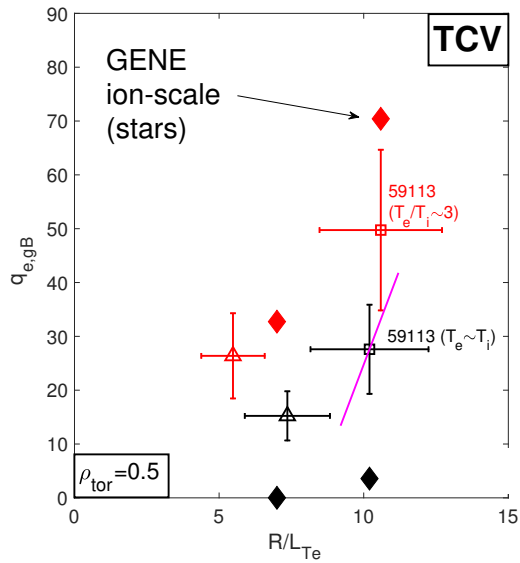


Adapted from [Mantica P. et al., to be submitted]

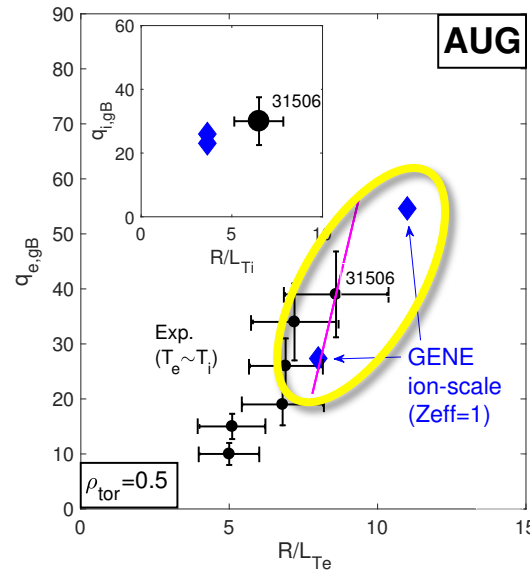
- ECH only: flux levels and stiffness explained by ion-scales;
- Mixed NBI-ECH: ion-scales stabilised by a synergy of FI and ExB: both fluxes and stiffness are under-estimated.

- First: q_i is matched varying R/L_{Ti} ,

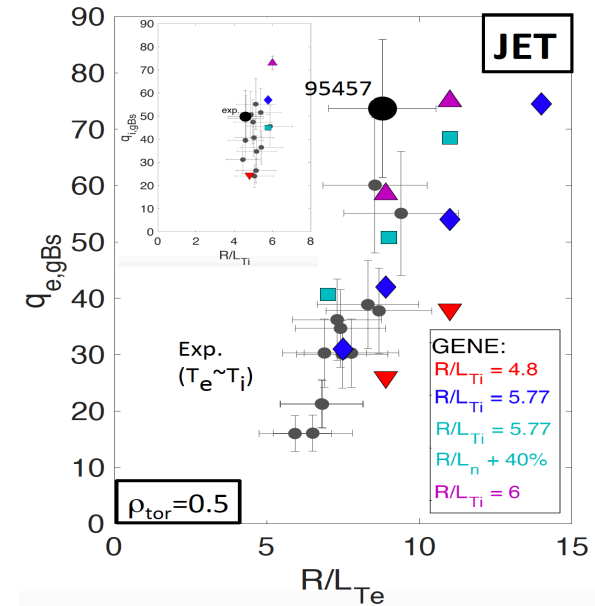
Nonlinear ion-scale gyrokinetic simulations



Adapted from [Mariani A. et al., NF (2019)]



[Bonanomi N. et al., to be submitted]

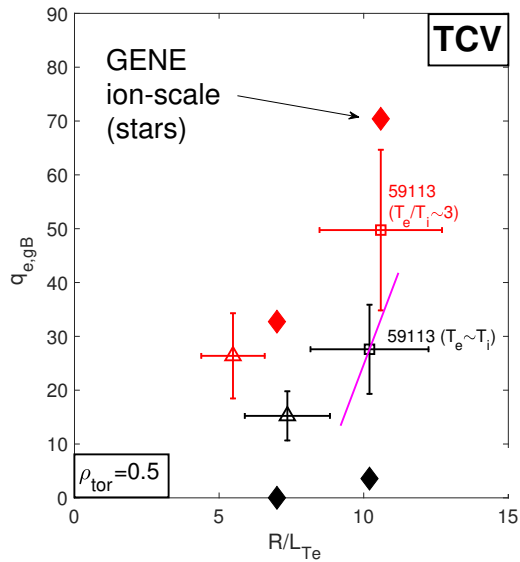


Adapted from [Mantica P. et al., to be submitted]

- ECH only: flux levels and stiffness explained by ion-scales;
- Mixed NBI-ECH: ion-scales stabilised by a synergy of FI and ExB: both fluxes and stiffness are under-estimated.

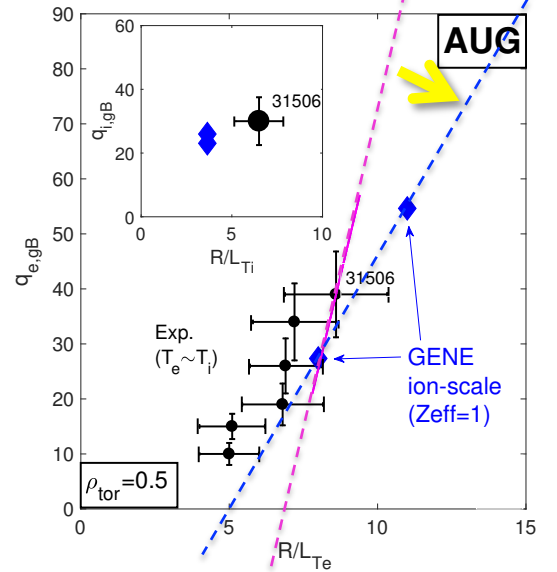
- First: q_i is matched varying R/L_{Ti} , then: two runs varying R/L_{Te} ;

Nonlinear ion-scale gyrokinetic simulations



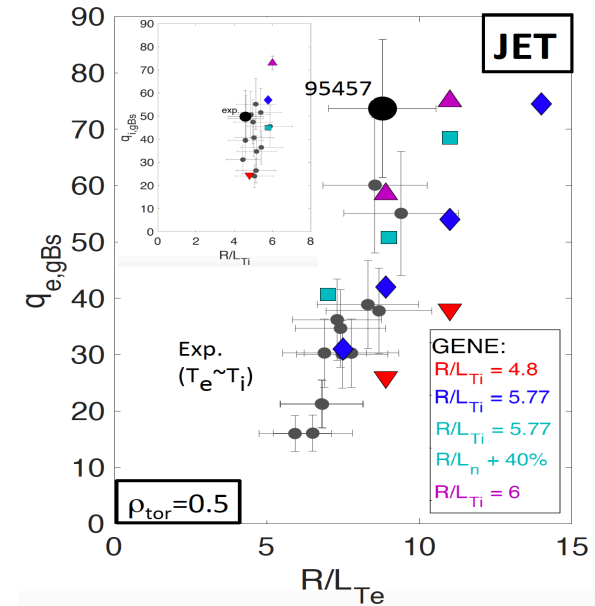
Adapted from [Mariani A. et al., NF (2019)]

- ECH only: flux levels and stiffness explained by ion-scales;
- Mixed NBI-ECH: ion-scales stabilised by a synergy of FI and ExB: both fluxes and stiffness are under-estimated.



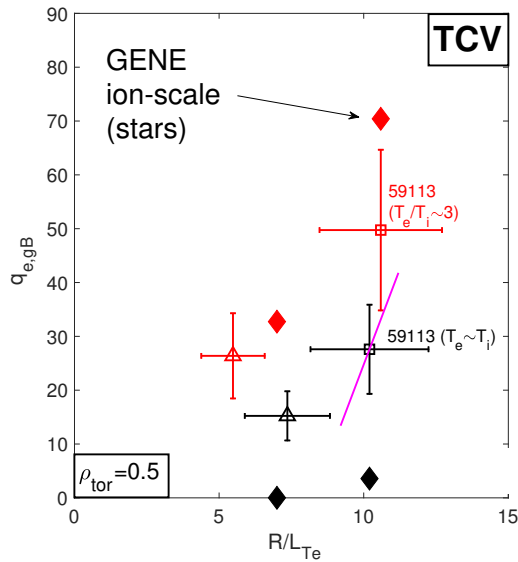
[Bonanomi N. et al., to be submitted]

- First: q_i is matched varying R/L_{Ti} , then: two runs varying R/L_{Te} ;
- GENE slightly underpredicts the flux, but strongly underpredicts the stiffness from ECH modulation.



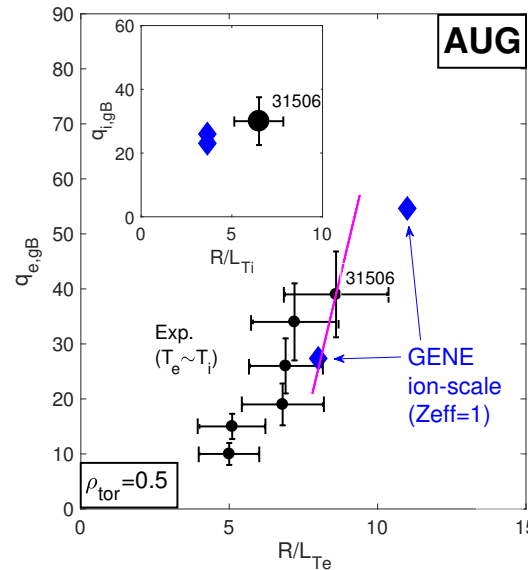
Adapted from [Mantica P. et al., to be submitted]

Nonlinear ion-scale gyrokinetic simulations



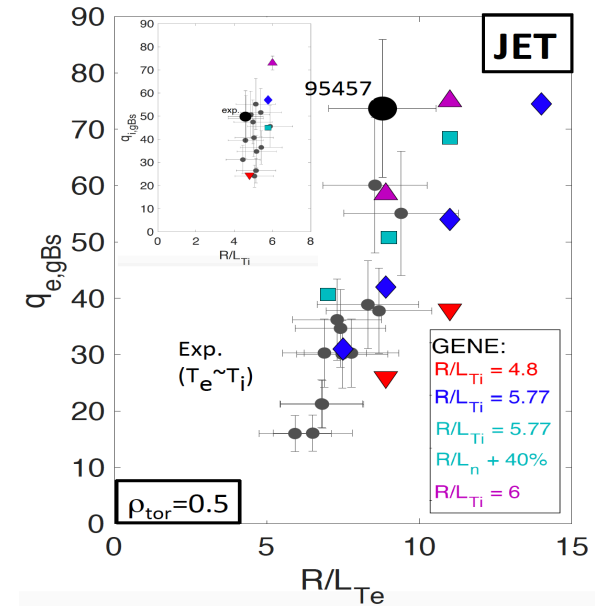
Adapted from [Mariani A. et al., NF (2019)]

- **ECH only: flux levels and stiffness explained by ion-scales;**
- **Mixed NBI-ECH: ion-scales stabilised by a synergy of FI and ExB: both fluxes and stiffness are under-estimated.**



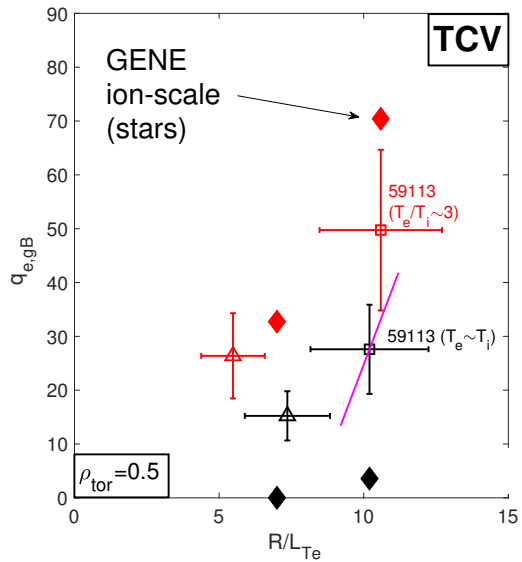
[Bonanomi N. et al., to be submitted]

- First: q_i is matched varying R/L_{Ti} , then: **two runs varying R/L_{Te} ;**
- **GENE** slightly underpredicts the flux, but **strongly under-predicts the stiffness from ECH modulation.**



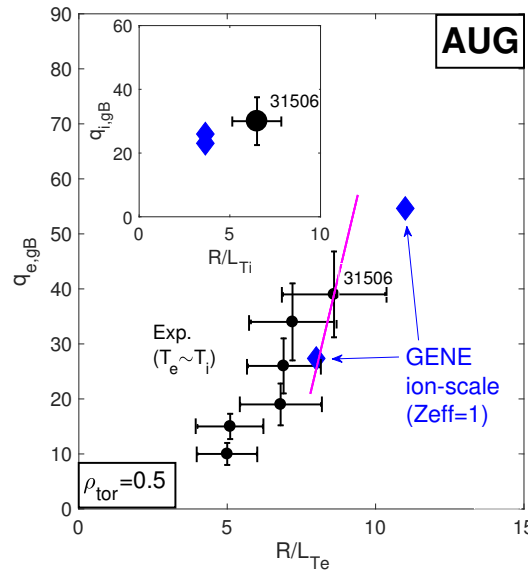
Adapted from [Mantica P. et al., to be submitted]

Nonlinear ion-scale gyrokinetic simulations



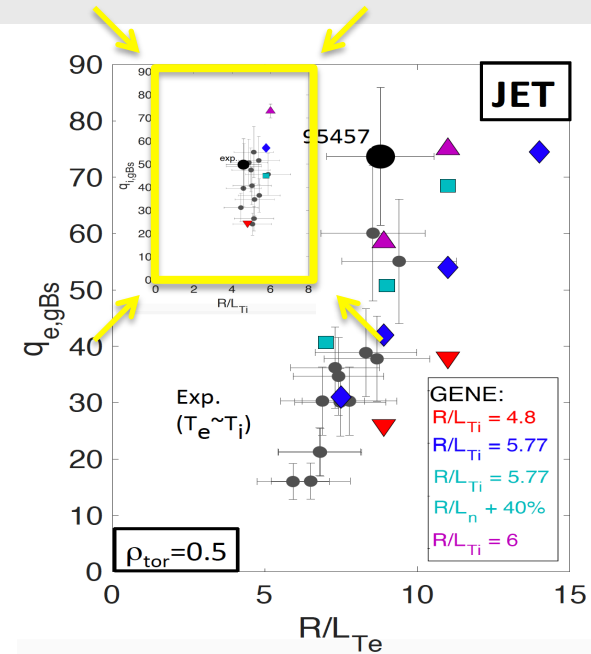
Adapted from [Mariani A. et al., NF (2019)]

- **ECH only: flux levels and stiffness explained by ion-scales;**
- **Mixed NBI-ECH: ion-scales stabilised by a synergy of FI and ExB: both fluxes and stiffness are under-estimated.**



[Bonanomi N. et al., to be submitted]

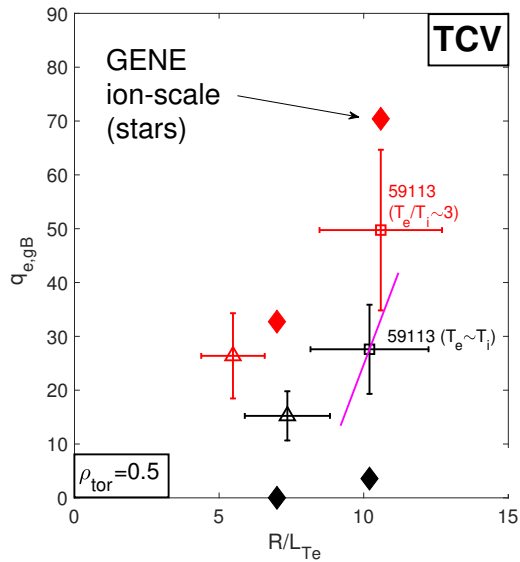
- First: q_i is matched varying R/L_{Ti} , then: **two runs varying R/L_{Te}** ;
- **GENE** slightly underpredicts the flux, but **strongly under-predicts the stiffness from ECH modulation.**



Adapted from [Mantica P. et al., to be submitted]

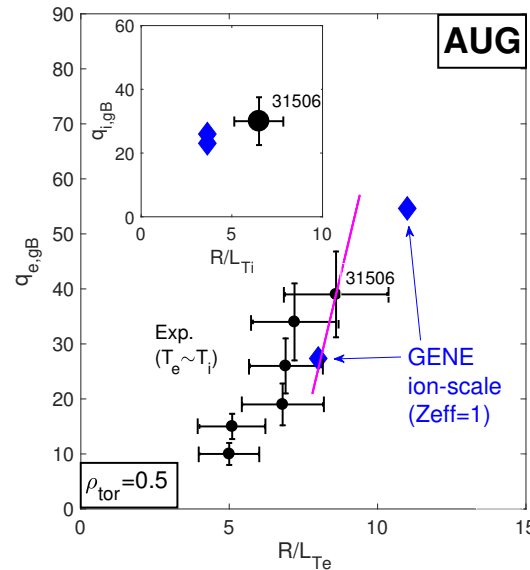
- Ions are very stiff

Nonlinear ion-scale gyrokinetic simulations



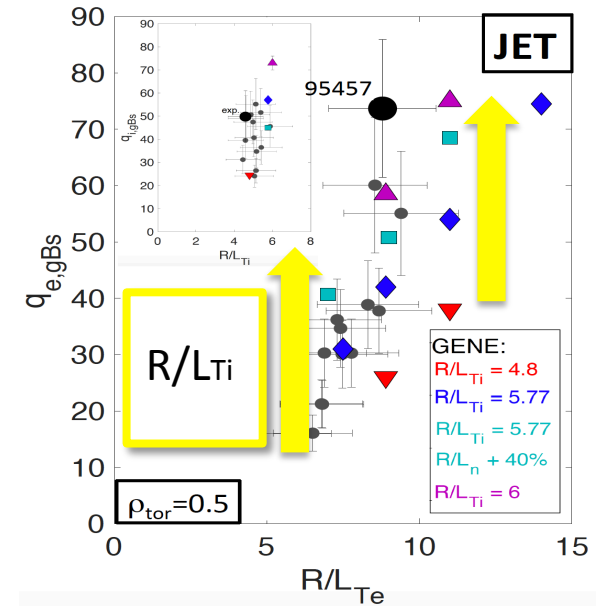
Adapted from [Mariani A. et al., NF (2019)]

- **ECH only: flux levels and stiffness explained by ion-scales;**
- **Mixed NBI-ECH: ion-scales stabilised by a synergy of FI and ExB: both fluxes and stiffness are under-estimated.**



[Bonanomi N. et al., to be submitted]

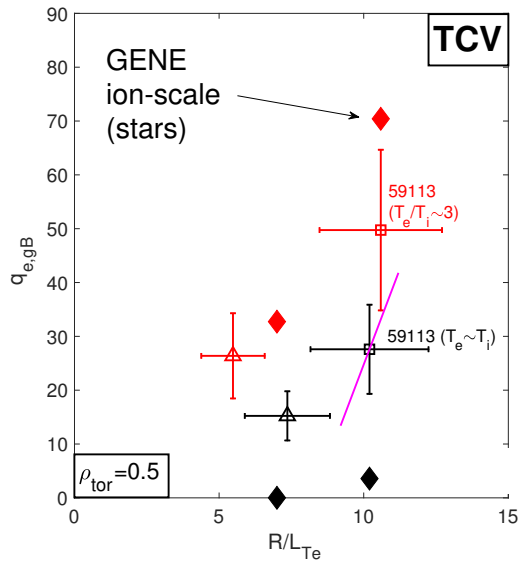
- First: q_i is matched varying R/L_{Ti} , then: **two runs varying R/L_{Te} ;**
- **GENE** slightly underpredicts the flux, but **strongly under-predicts the stiffness from ECH modulation.**



Adapted from [Mantica P. et al., to be submitted]

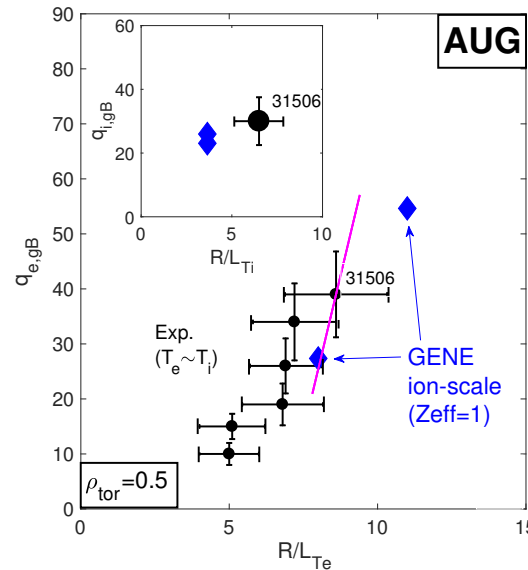
- Ions are very stiff and R/L_{Ti} also impacts q_e (similar for R/L_{ne});

Nonlinear ion-scale gyrokinetic simulations



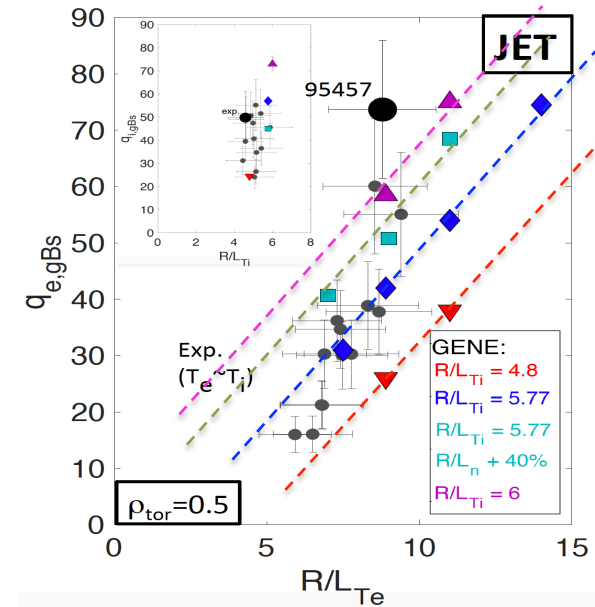
Adapted from [Mariani A. et al., NF (2019)]

- **ECH only: flux levels and stiffness explained by ion-scales;**
- **Mixed NBI-ECH: ion-scales stabilised by a synergy of FI and ExB: both fluxes and stiffness are under-estimated.**



[Bonanomi N. et al., to be submitted]

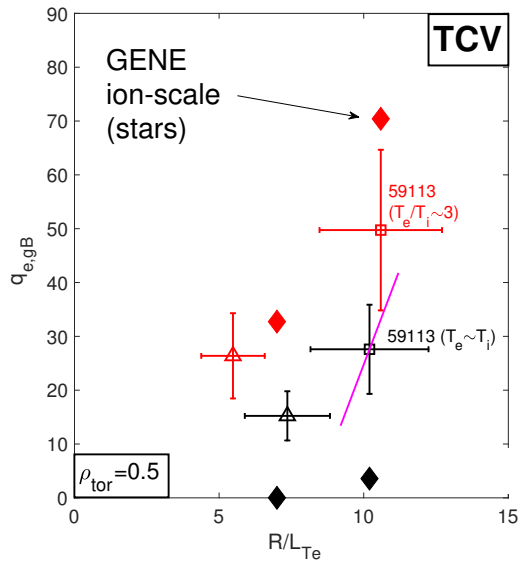
- First: q_i is matched varying R/L_{Ti} , then: **two runs varying R/L_{Te} ;**
- **GENE** slightly underpredicts the flux, but **strongly under-predicts the stiffness from ECH modulation.**



Adapted from [Mantica P. et al., to be submitted]

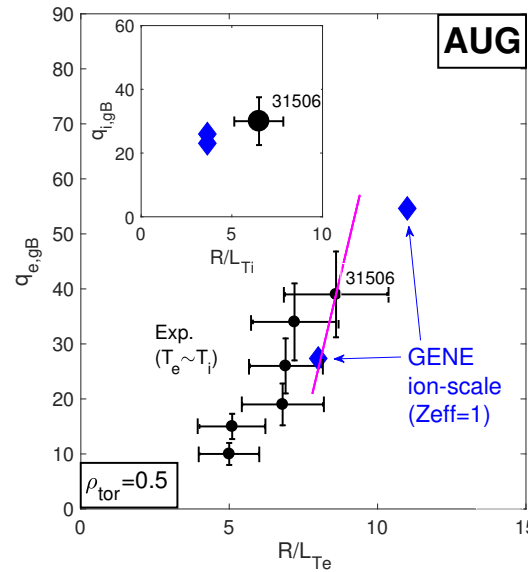
- Ions are very stiff and R/L_{Ti} also impacts q_e ;
- However: they do not impact the stiffness;

Nonlinear ion-scale gyrokinetic simulations



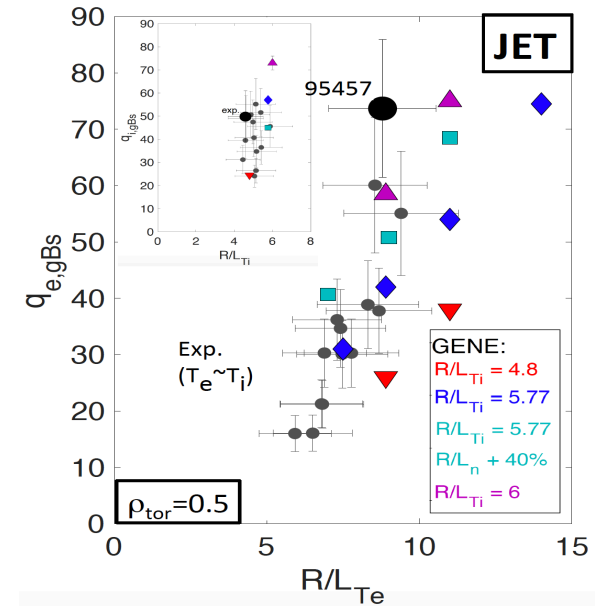
Adapted from [Mariani A. et al., NF (2019)]

- **ECH only: flux levels and stiffness explained by ion-scales;**
- **Mixed NBI-ECH: ion-scales stabilised by a synergy of FI and ExB: both fluxes and stiffness are under-estimated.**



[Bonanomi N. et al., to be submitted]

- First: q_i is matched varying R/L_{Ti} , then: **two runs varying R/L_{Te} ;**
- **GENE** slightly underpredicts the flux, but **strongly under-predicts the stiffness from ECH modulation.**



Adapted from [Mantica P. et al., to be submitted]

- Ions are very stiff and R/L_{Ti} also impacts q_e ;
- However: they do not impact the stiffness;
- **Possible to match $q_{e,gB}$ but not the stiffness with ion-scale runs.**



- Cases with $T_e \sim T_i$: **ion scales** → **not sufficient** to explain both **exp. flux levels** and **stiffness**;



- Cases with $T_e \sim T_i$: **ion scales** → **not sufficient** to explain both **exp. flux levels** and **stiffness**;
- **Multi-scale NL GENE runs** for AUG and JET (TCV: 'global' effects could play a role and a global multi-scale run was not affordable);

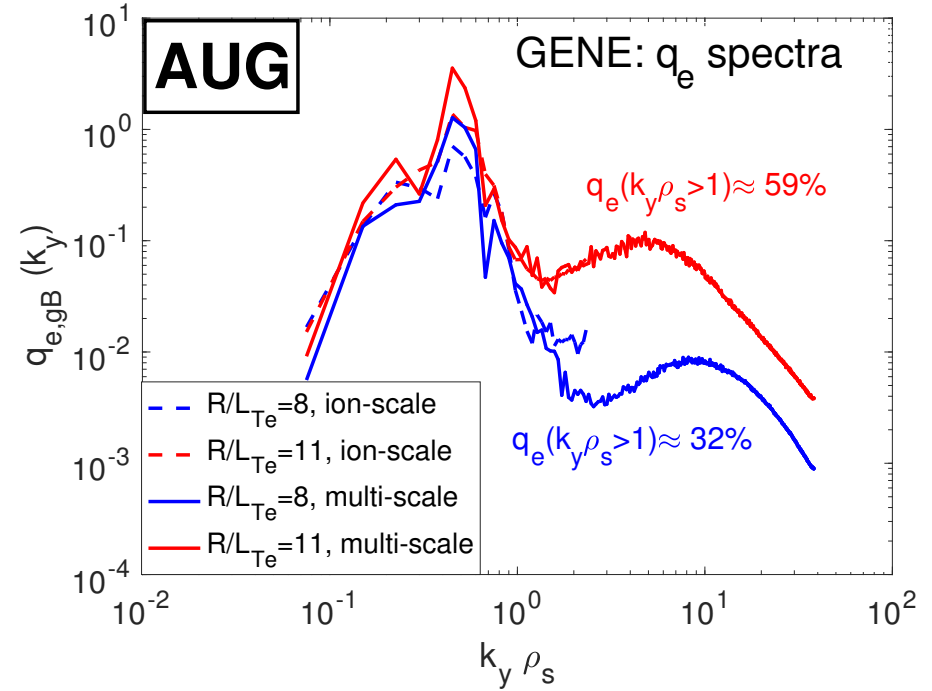
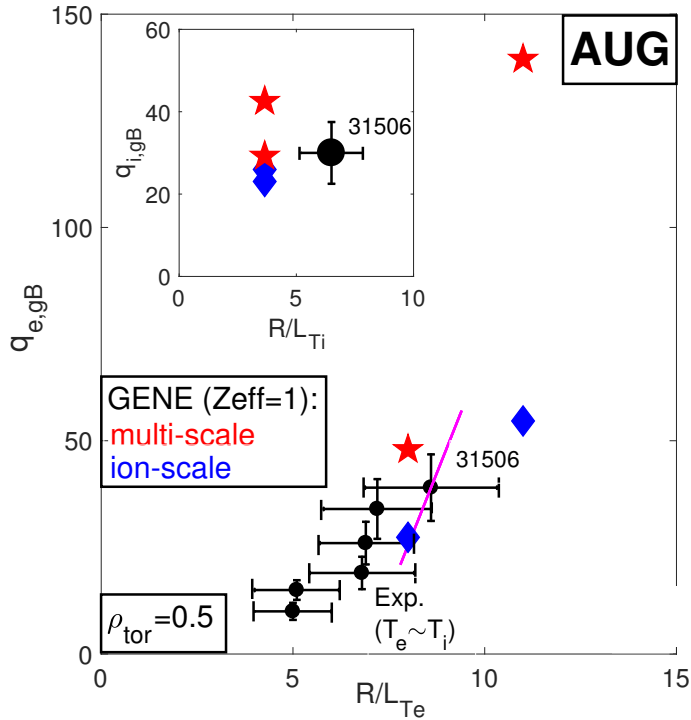


- Cases with $T_e \sim T_i$: **ion scales** → **not sufficient** to explain both **exp. flux levels** and **stiffness**;
- **Multi-scale NL GENE runs** for AUG and JET (TCV: ‘global’ effects could play a role and a global multi-scale run was not affordable);
- Collisions, electromagnetic effects and real electron/ion mass ratio are kept;

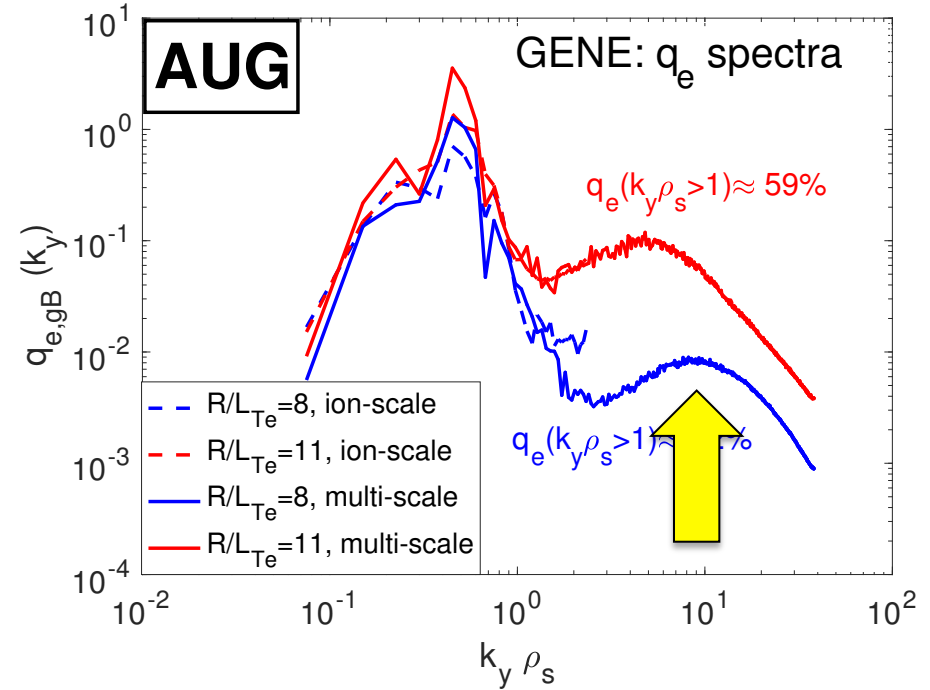
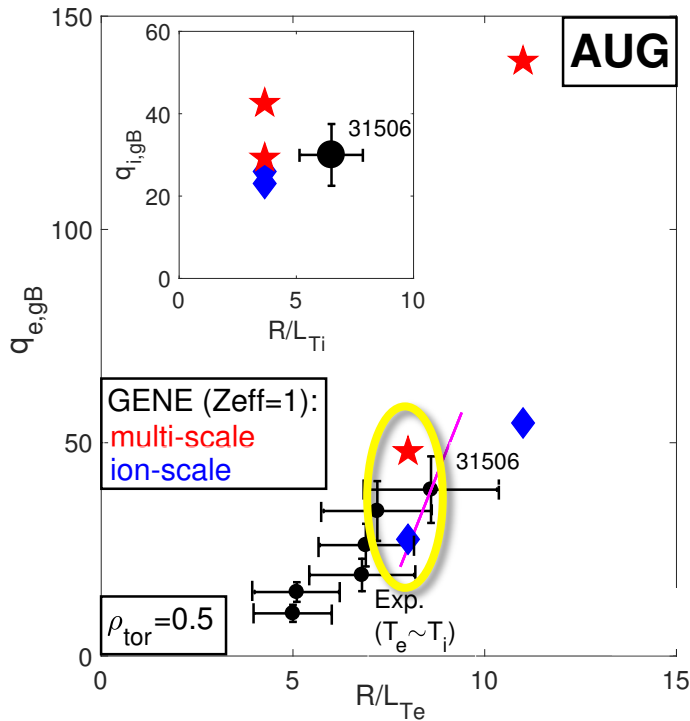


- Cases with $T_e \sim T_i$: **ion scales** → **not sufficient** to explain both **exp. flux levels** and **stiffness**;
- **Multi-scale NL GENE runs** for AUG and JET (TCV: ‘global’ effects could play a role and a global multi-scale run was not affordable);
- Collisions, electromagnetic effects and real electron/ion mass ratio are kept;
- **JET case** → impurities: taken into account.

AUG: nonlinear multi-scale GK simulations



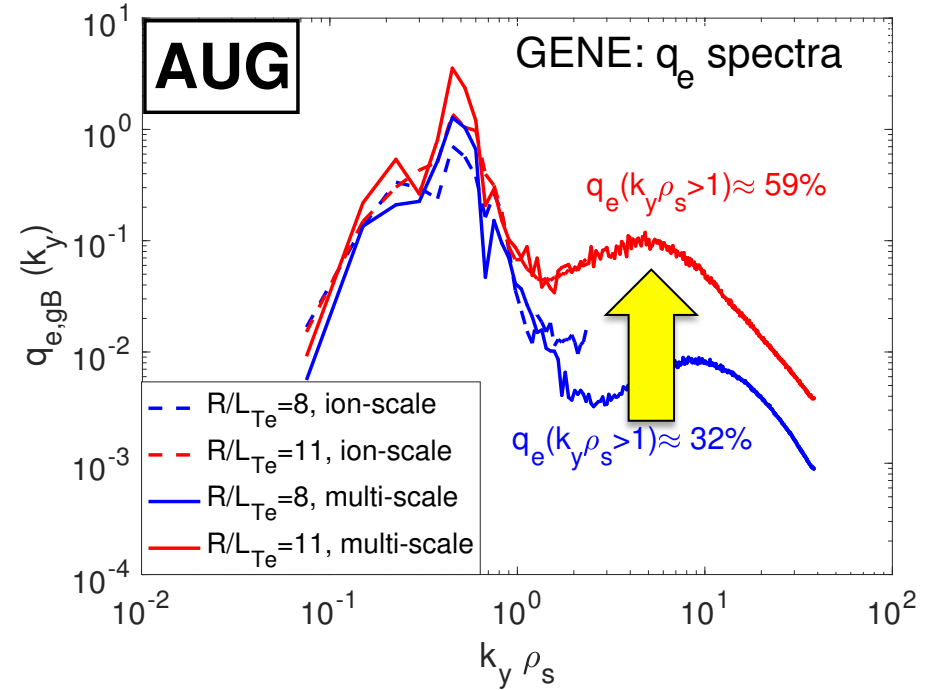
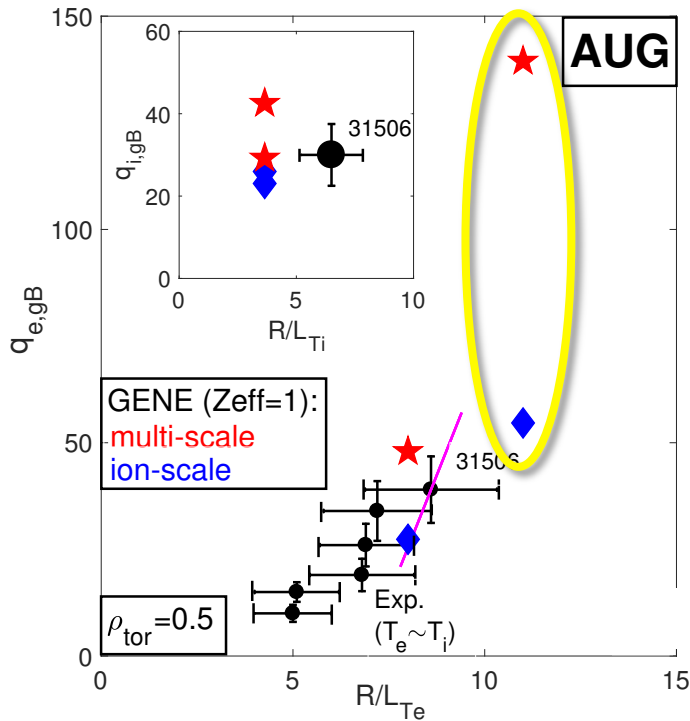
AUG: nonlinear multi-scale GK simulations



- Impact of ETGs on $q_e(k_y \rho_s > 1)$: increases with increasing R/L_{T_e} :

moderate/large (~32%) at exp. $R/L_{T_e}=8$

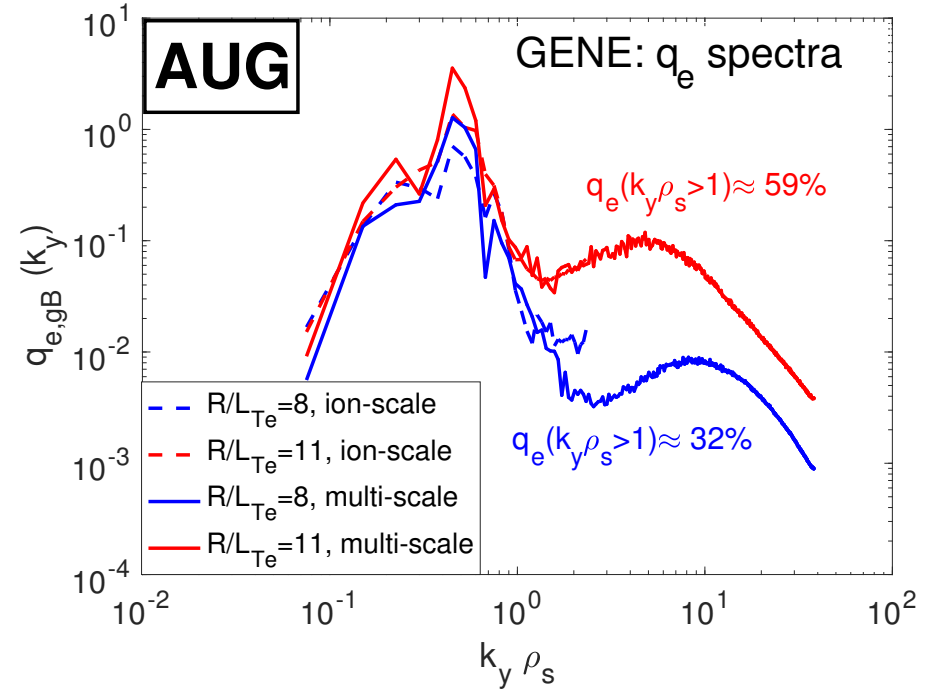
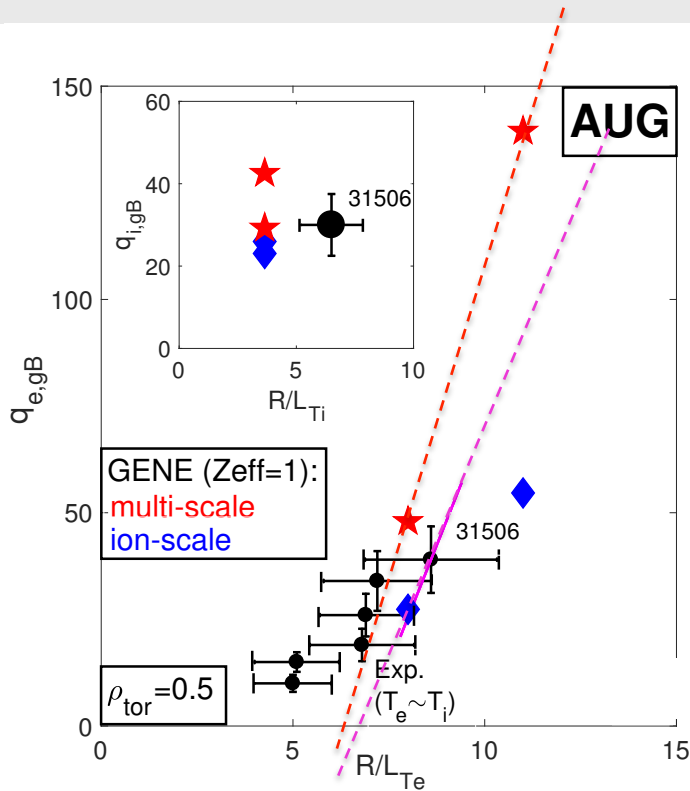
AUG: nonlinear multi-scale GK simulations



- Impact of ETGs on $q_e(k_y \rho_s > 1)$: increases with increasing R/L_{Te} :

moderate/large (~32%) at exp. $R/L_{Te}=8$ \longrightarrow large (~59%) at $R/L_{Te}=11$;

AUG: nonlinear multi-scale GK simulations

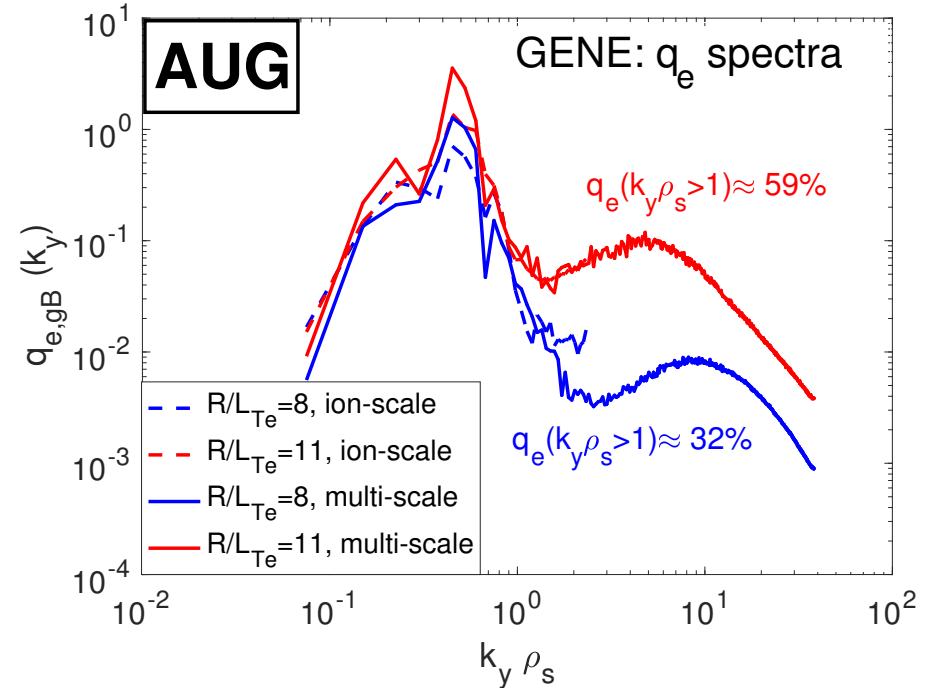
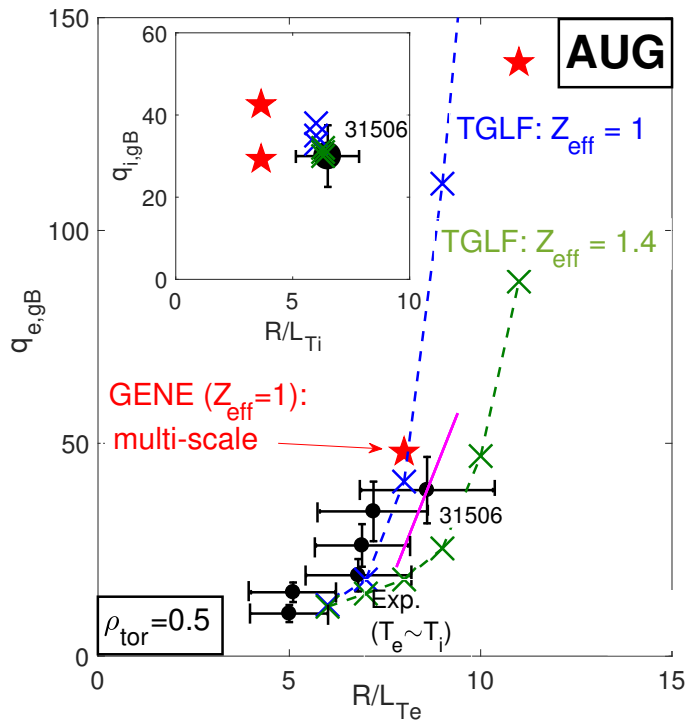


- Impact of ETGs on $q_e(k_y \rho_s > 1)$: increases with increasing R/L_{Te} :

moderate/large (~32%) at exp. $R/L_{Te}=8$ \longrightarrow large (~59%) at $R/L_{Te}=11$;

- Multi-scale stiffness: high \longleftarrow well aligned with the ECH modulation result;

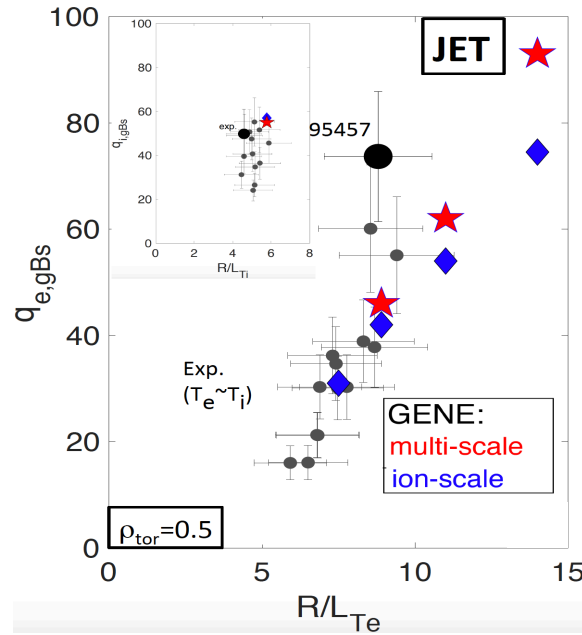
AUG: nonlinear multi-scale GK simulations



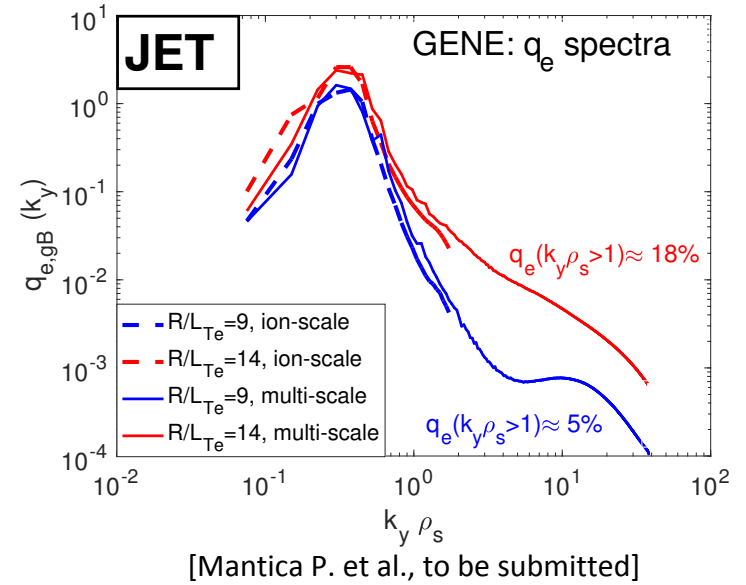
- TGLF [Staebler G.M. *et al.* PoP 2007] standalone R/L_{Te} scans **with** and **without** impurities

→ test the effect of Z_{eff} on the ‘ETG wall’ position: **non-negligible.**

JET: nonlinear multi-scale GK simulations



Adapted from [Mantica P. et al., to be submitted]

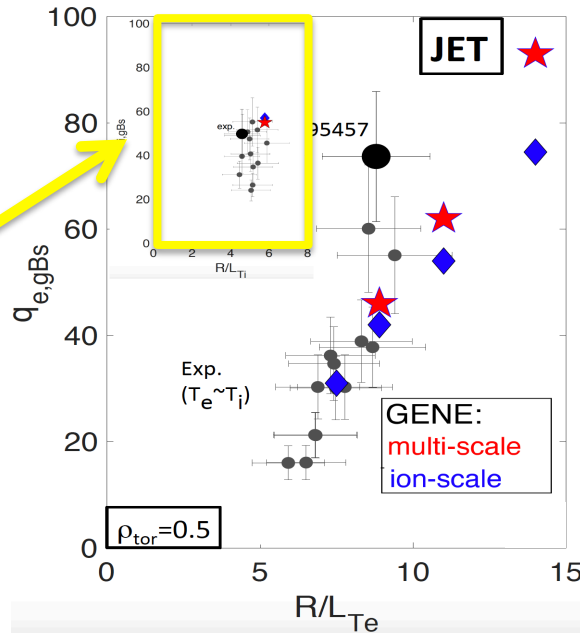


[Mantica P. et al., to be submitted]

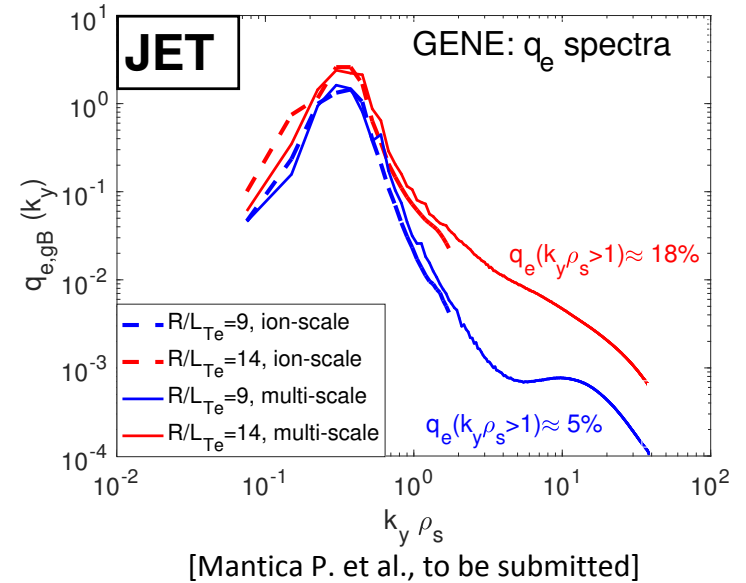
JET: nonlinear multi-scale GK simulations



$R/L_{Ti}=5.77$
(q_i at the upper end of the exp. error bar)



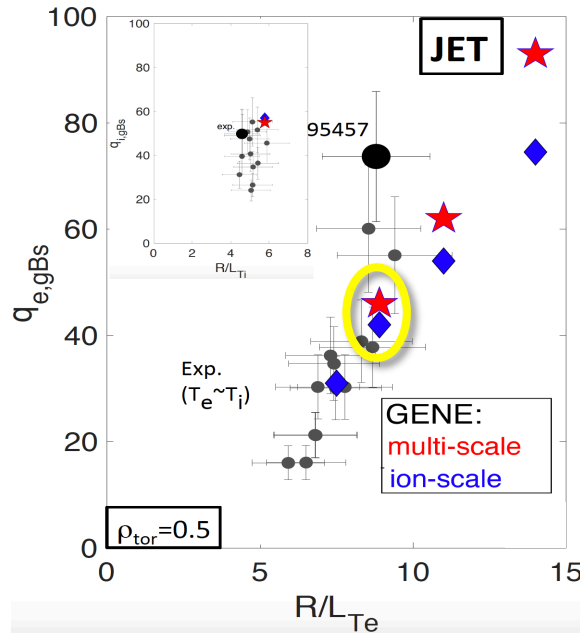
Adapted from [Mantica P. et al., to be submitted]



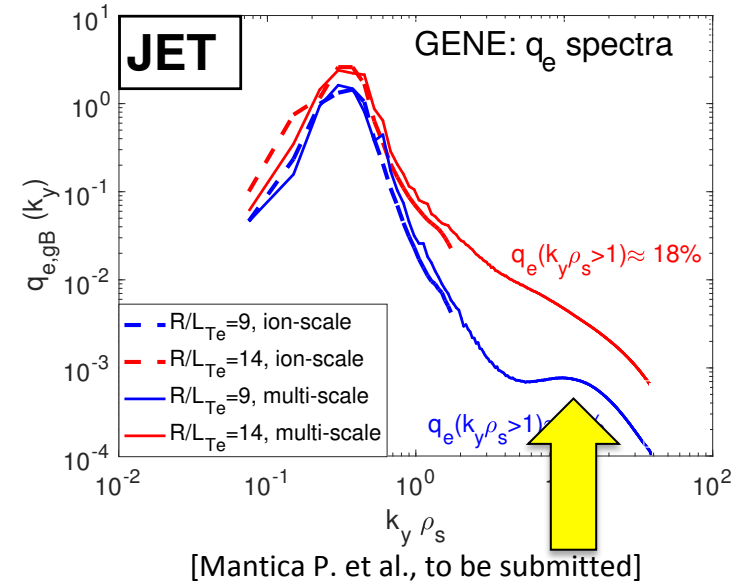
JET: nonlinear multi-scale GK simulations



$R/L_{Ti}=5.77$
(q_i at the upper end of the exp. error bar)



Adapted from [Mantica P. et al., to be submitted]



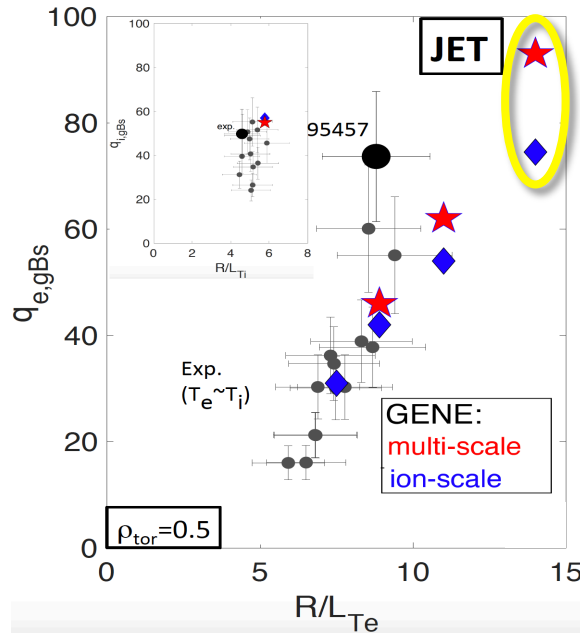
[Mantica P. et al., to be submitted]

- Impact of ETGs on q_e : negligible ($\sim 5\%$) at exp. $R/L_{Te}=9$

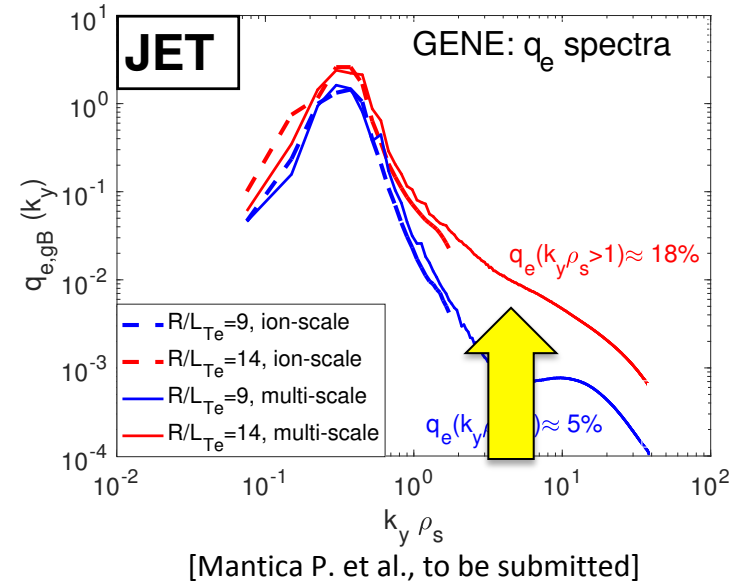
JET: nonlinear multi-scale GK simulations



$R/L_{Ti}=5.77$
(q_i at the upper end of the exp. error bar)



Adapted from [Mantica P. et al., to be submitted]



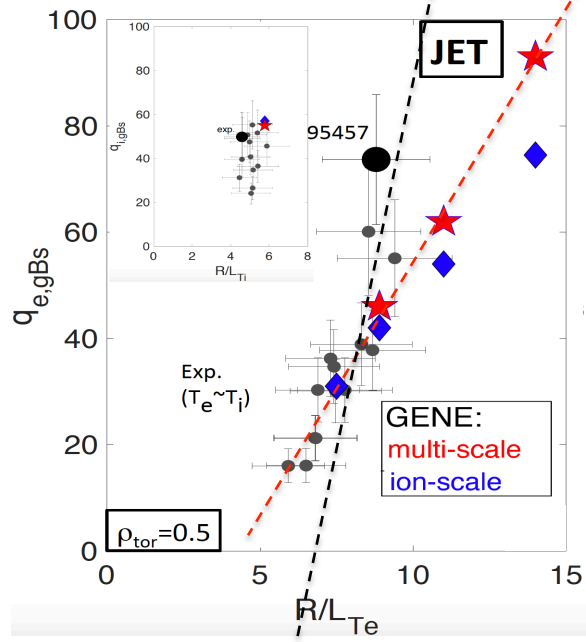
[Mantica P. et al., to be submitted]

- Impact of ETGs on q_e : negligible ($\sim 5\%$) at exp. $R/L_{Te}=9$ \longrightarrow **moderate ($\sim 18\%$) at $R/L_{Te}=14$;**

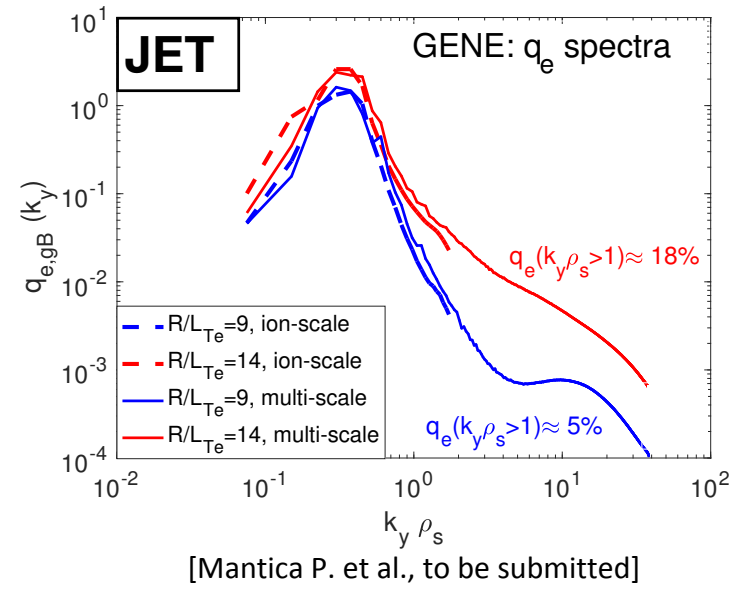
JET: nonlinear multi-scale GK simulations



$R/L_{Ti}=5.77$
(q_i at the upper end of the exp. error bar)



Adapted from [Mantica P. et al., to be submitted]



[Mantica P. et al., to be submitted]

- Impact of ETGs on q_e : negligible ($\sim 5\%$) at exp. $R/L_{Te}=9$ \longrightarrow **moderate ($\sim 18\%$) at $R/L_{Te}=14$;**
- **Multi-scale stiffness: moderate, it still does not explain the exp. stiffness** (run at $R/L_{Te}=11$ with reduced R/L_{Ti} ; ongoing);





- Comparison: experimental data \longleftrightarrow gyrokinetic simulations of dedicated pulses of TCV, AUG and JET is presented \longrightarrow impact of ETG modes on the electron heat transport;



- Comparison: experimental data \longleftrightarrow gyrokinetic simulations of dedicated pulses of TCV, AUG and JET is presented \longrightarrow impact of ETG modes on the electron heat transport;
- Results \longrightarrow ETGs could impact q_e for cases with $T_e \sim T_i$ and high R/L_{Te} , (conjunction of electron and ion heating): in line with the actual theoretical understanding of ETGs;



- Comparison: experimental data \longleftrightarrow gyrokinetic simulations of dedicated pulses of TCV, AUG and JET is presented \longrightarrow impact of ETG modes on the electron heat transport;
- Results \longrightarrow ETGs could impact q_e for cases with $T_e \sim T_i$ and high R/L_{Te} , (conjunction of electron and ion heating): in line with the actual theoretical understanding of ETGs;
- TCV, mixed NBI-ECH case: a synergy of fast ions and ExB shearing, stabilizing the TEM-dominant ion scales, allows ETGs to possibly play a role;



- Comparison: experimental data \longleftrightarrow gyrokinetic simulations of dedicated pulses of TCV, AUG and JET is presented \longrightarrow impact of ETG modes on the electron heat transport;
- Results \longrightarrow ETGs could impact q_e for cases with $T_e \sim T_i$ and high R/L_{Te} , (conjunction of electron and ion heating): in line with the actual theoretical understanding of ETGs;
- TCV, mixed NBI-ECH case: a synergy of fast ions and ExB shearing, stabilizing the TEM-dominant ion scales, allows ETGs to possibly play a role;
- AUG and JET: ITG-dominant ion scales \longrightarrow high ion stiffness \longrightarrow allows possible destabilisation of ETGs varying R/L_{Ti} within error bar;



- Comparison: experimental data \longleftrightarrow gyrokinetic simulations of dedicated pulses of TCV, AUG and JET is presented \longrightarrow impact of ETG modes on the electron heat transport;
- Results \longrightarrow ETGs could impact q_e for cases with $T_e \sim T_i$ and high R/L_{Te} , (conjunction of electron and ion heating): in line with the actual theoretical understanding of ETGs;
- TCV, mixed NBI-ECH case: a synergy of fast ions and ExB shearing, stabilizing the TEM-dominant ion scales, allows ETGs to possibly play a role;
- AUG and JET: ITG-dominant ion scales \longrightarrow high ion stiffness \longrightarrow allows possible destabilisation of ETGs varying R/L_{Ti} within error bar;
- High impact of impurities for JET case: more results on the impact of impurities on ETGs are needed;



- Comparison: experimental data \longleftrightarrow gyrokinetic simulations of dedicated pulses of TCV, AUG and JET is presented \longrightarrow impact of ETG modes on the electron heat transport;
- Results \longrightarrow ETGs could impact q_e for cases with $T_e \sim T_i$ and high R/L_{Te} , (conjunction of electron and ion heating): in line with the actual theoretical understanding of ETGs;
- TCV, mixed NBI-ECH case: a synergy of fast ions and ExB shearing, stabilizing the TEM-dominant ion scales, allows ETGs to possibly play a role;
- AUG and JET: ITG-dominant ion scales \longrightarrow high ion stiffness \longrightarrow allows possible destabilisation of ETGs varying R/L_{Ti} within error bar;
- High impact of impurities for JET case: more results on the impact of impurities on ETGs are needed;
- Need of exp. measurements of density and temperature fluctuations at electron scales.

Backup slide: simulations input parameters



TABLE 1. Main plasma parameters for the analysed pulses at $\rho_{tor} = 0.5$

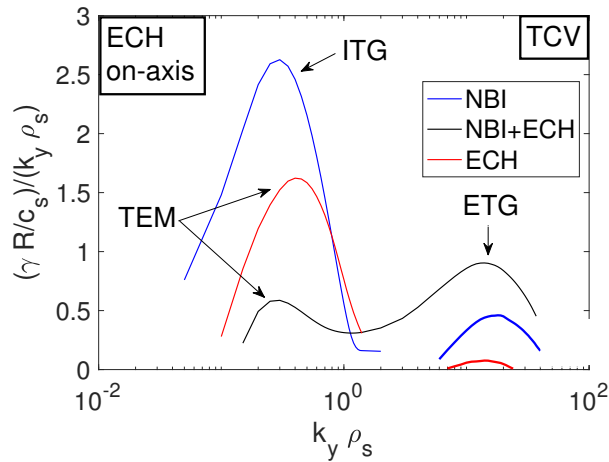
	TCV ECH (59113)	TCV ECH+NBI (59113)	TCV NBI (59113)	AUG (31506)	JET (95457)
T_e/T_i	3.04	~1	1.16	~1	~1
Z_{eff}	2.50	2.80	2.80	1.4	1.5
R/L_{Te}	10.59	10.20	8.71	8	9
R/L_{Ti}	5.94	5.55	15.07	6.5	4.59
R/L_{ne}	3.69	4.85	6.07	0.91	3.12
q	1.65	1.34	1.42	2.07	1.82
\hat{s}	0.99	1.19	1.14	6.85	1.05
$\beta_e [10^{-3}]$	2.01	2.37	1.54	5	1.12
$\nu_c [10^{-3}]$	0.91	1.33	1.77	1.87	0.57
$\gamma_E [c_s/R]$	~0	0.14	0.34	0.04	~0

Backup slide: Linear multi-scale GK simulations



TCV

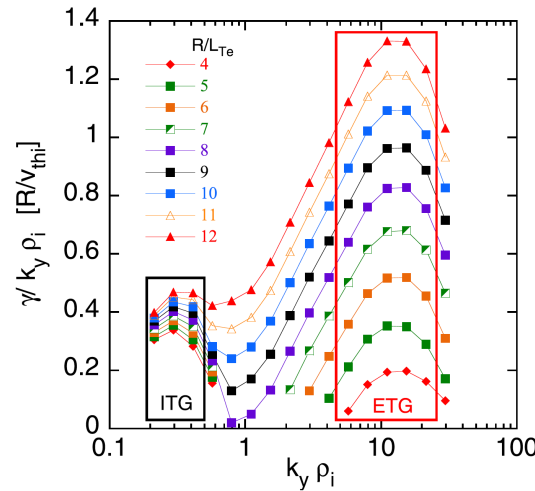
All cases with ECH on-axis and NBI only case:



Adapted from [Mariani A. et al., NF (2019)]

AUG (GKW)

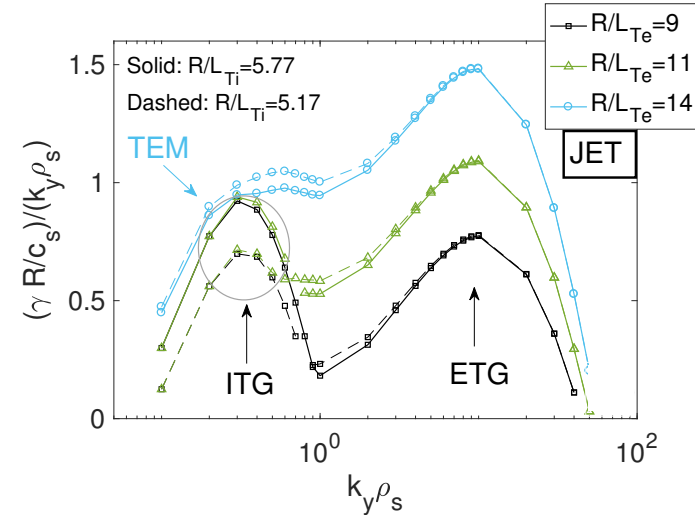
Exp. case with largest $q_{e,gB}$: R/L_{Te} scan ($Z_{eff}=1$):



[Ryter F. et al., NF (2019)]

JET

Exp. case with largest $q_{e,gB}$: R/L_{Te} scan also varying R/L_{Ti}:



Adapted from [Mantica P. et al., to be submitted]

Ion scales: ITG-dominant (all cases except TCV when ECH is injected: TEM-dominant), **electron scales:** ETGs

Simple criterion: ETGs impact q_e if γ/k_y is larger at electron scales (ETGs) [Staebler, G.M. et al., NF (2017)]:

- **ETG impact: mixed NBI-ECH case ($T_e \sim T_i$);**
- **Due to FI stabilising TEMs at ion scales.**
- **ETG role for $R/L_{Te} > 6$;**
- **(lower boundary since $Z_{eff}=1 < 1.4 = Z_{eff,exp}$ in the simulations).**
- **ETG role: $R/L_{Te} > 11$ when $R/L_{Ti}=5.77$, $R/L_{Te} > 9$ when $R/L_{Ti}=5.17$.**