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# Improved Prediction Scheme for Turbulent Transport by Combining Machine Learning and First-Principle Simulation

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# Introduction

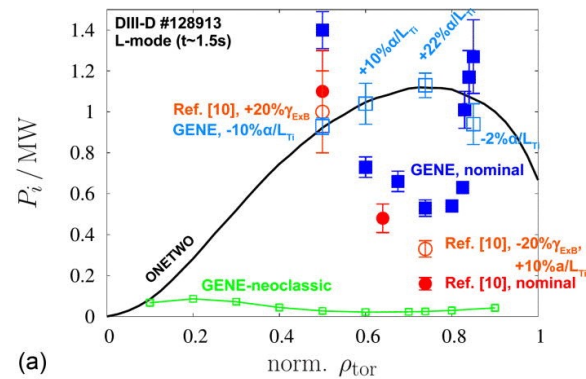
## ■ Transport of magnetic confined plasmas

- Turbulences dominate the plasma transport. ⇒ “Anomalous transport”
- The turbulences are driven by micro-instabilities.  
(Ion temperature gradient mode, Trapped electron mode, ...)
- **Gyrokinetic (GK) model is reliable tool** for analyses of turbulent transport

## ■ GK simulations

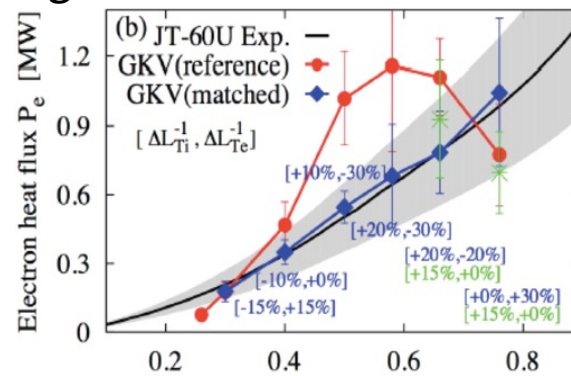
- To treat 5D distribution function ⇒ Huge resources are required.
- Using recent supercomputers, (local) GK sims come to be able to compute quantitative turbulent transport levels against experiments.

### Against DIII-D



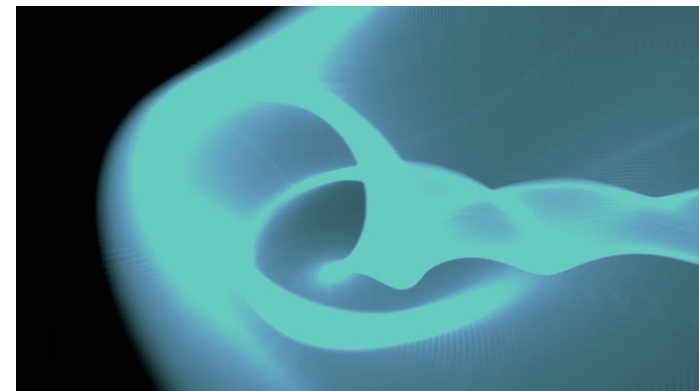
Görler+, PoP (2014)

### Against JT-60U



Nakata+, NF (2016)

### GK simulations in LHD



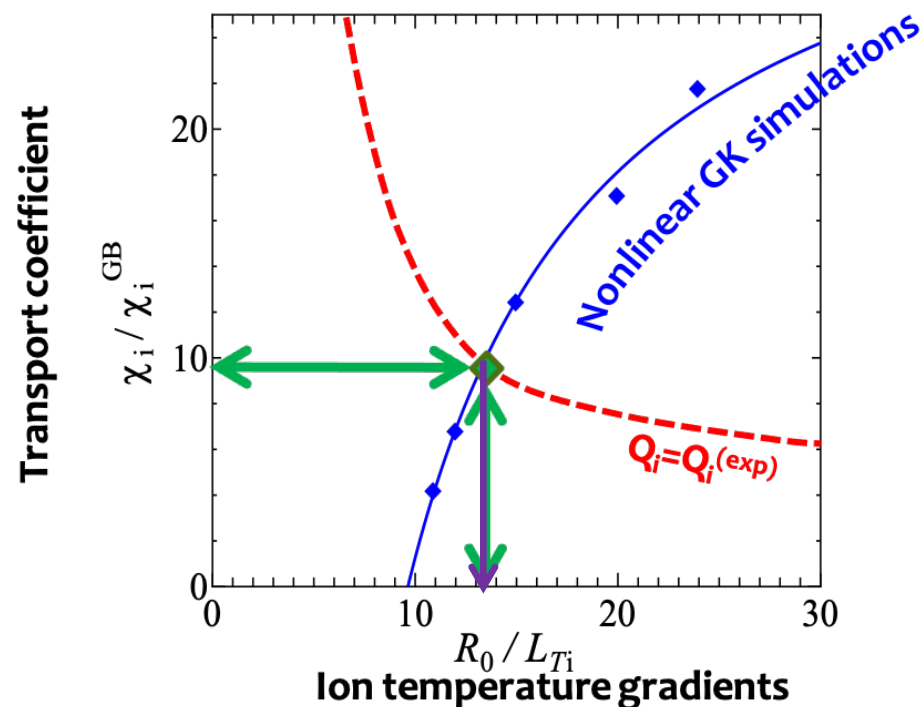
# Introduction

## Flux-matching technique

- Quantitative transport analyses are based on "flux-matching".
- GENE had been performed within experimentally acceptable ranges of grad-T in DIII-D case. (Görler+, 2014)
- The technique takes advantage of (local) GK sims that local parameters (grad-T, -n, ...) should be treated as input parameters.

### Procedure :

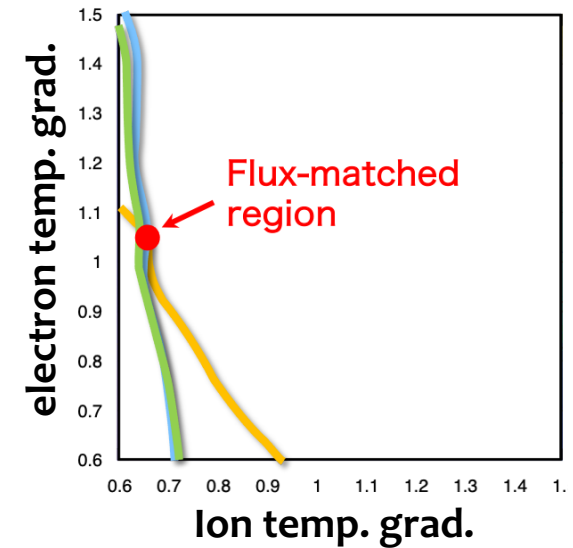
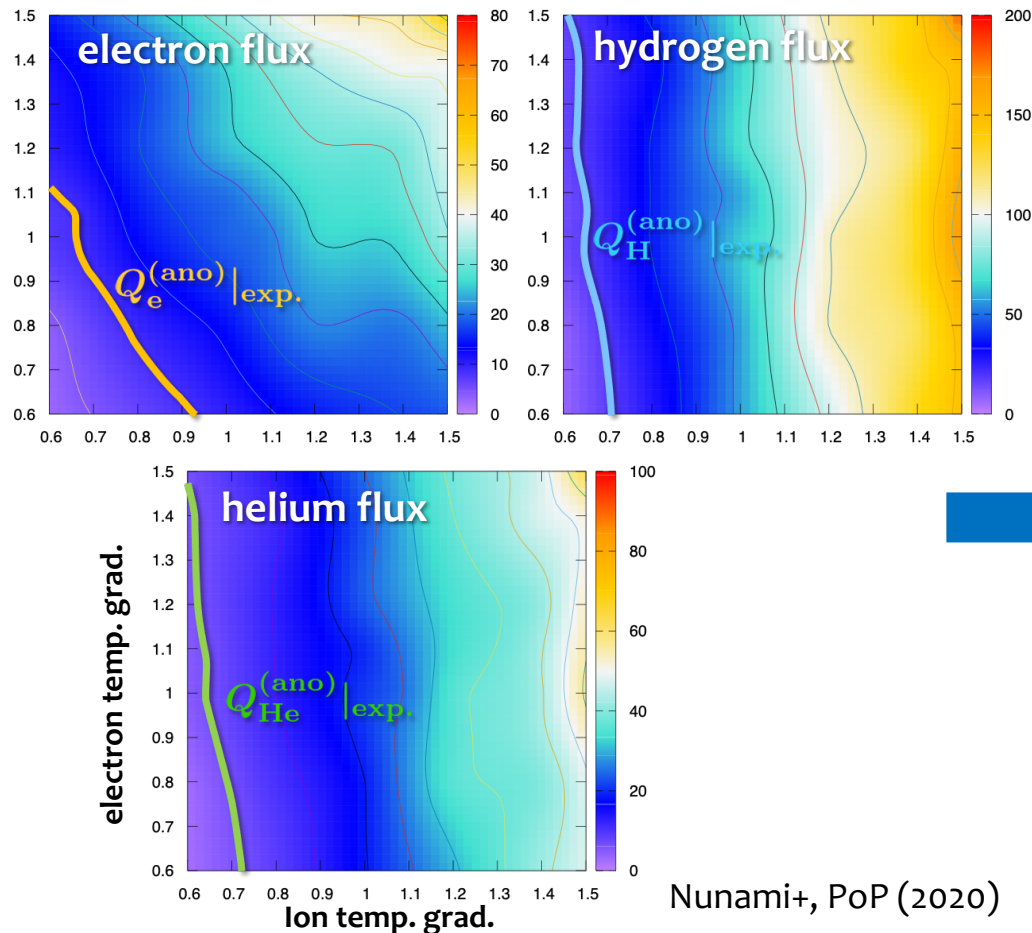
- Clarify dependences of transport coefficient on local params from many GK sims.
- Find matched point with experimental flux
- At matched local params, perform GK sim, again
- Quantitative agreement with experiment !**



# Introduction

## Flux-matching technique

- For multi-species/-fluxes case, the flux-matching becomes to be complicated.



# Issues in quantitative estimates of turbulent transport

## ■ GK sims as a first-principle approach

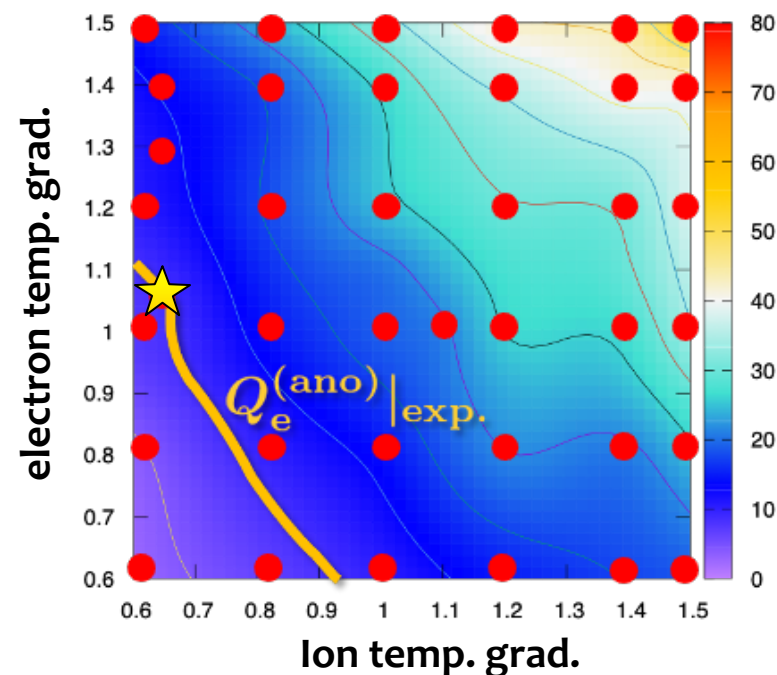
- NL GK simulations using flux-matching technique can reproduce the experimental transport fluxes, quantitatively.

- To obtain matched plasma profiles (inputs), we need many nonlinear (NL) GK runs.

ex) In case of  $Q_i$ 's and  $Q_e$ ; **> 30 NL runs**

- For quantitative estimates with GK sims, numerous runs should be performed.

⇒ This is a crucial issue in quantitative transport prediction.



● Points where GK sims were performed

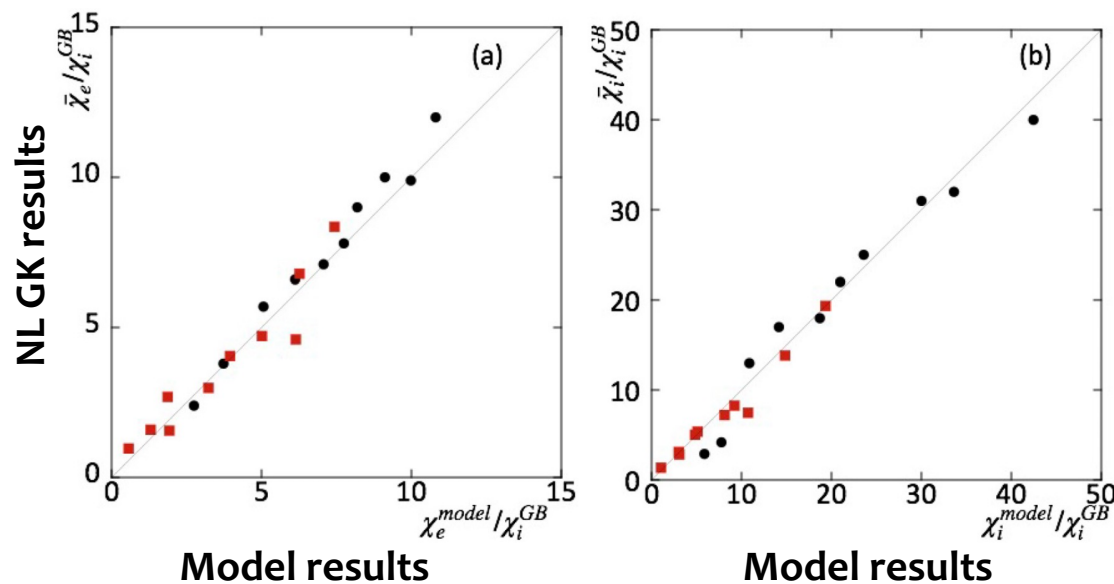
★ Flux-matched region



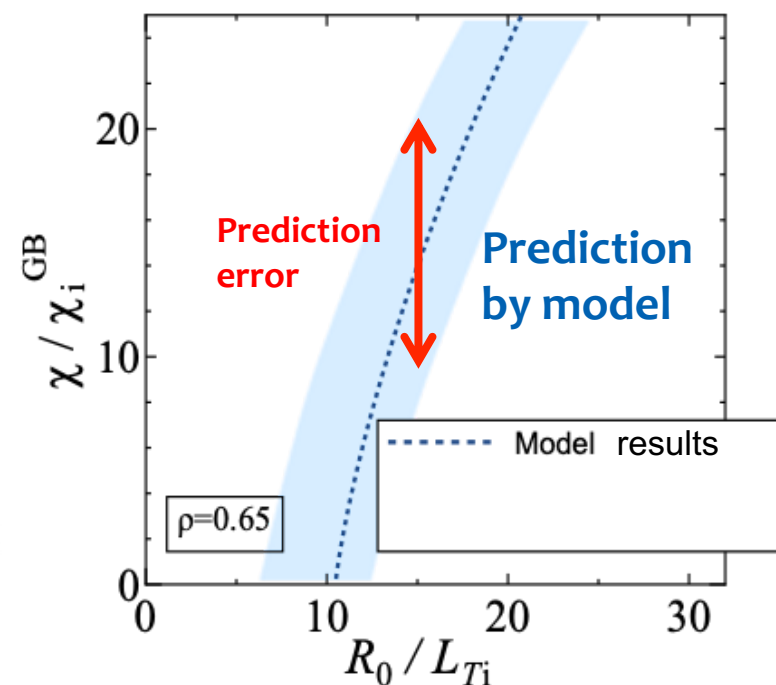
# Issues in quantitative estimates of turbulent transport

- **Reduced transport model to reproduce results of NL GK sims**
  - To clarify the dependences of transport flux on local params., based on many GK simulation results and/or linear analyses, the reduced transport model **which can reproduce the results of NL GK simulations** has been constructed.
  - Reduced models have necessarily prediction errors.

⇒ This remains crucial issue in quantitative transport prediction.



Toda+, PoP (2019)

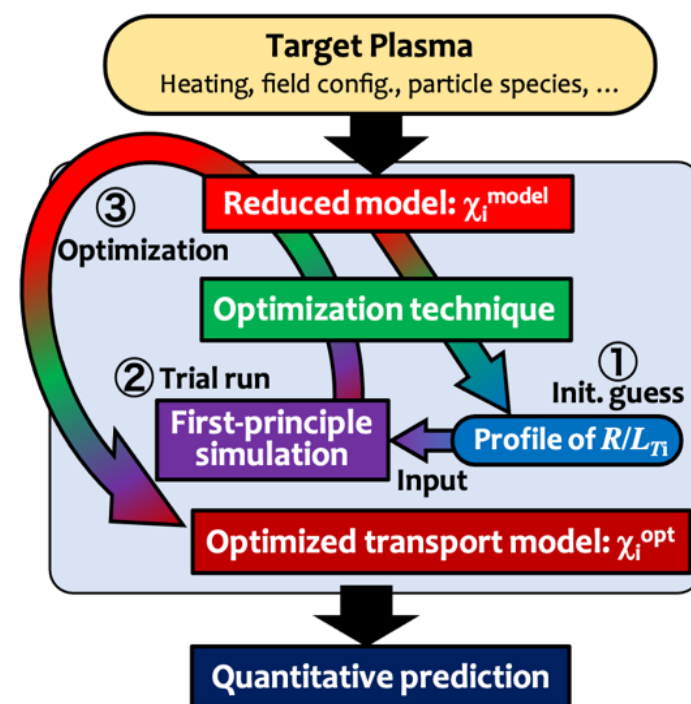


# A new scheme to predict turbulent transport

To reduce calculation costs and keep prediction accuracies, a new scheme is developed combining **first-principle sims**, **reduced transport model**, and **“optimization”** technique employed in machine learning.

## Optimization technique is applied

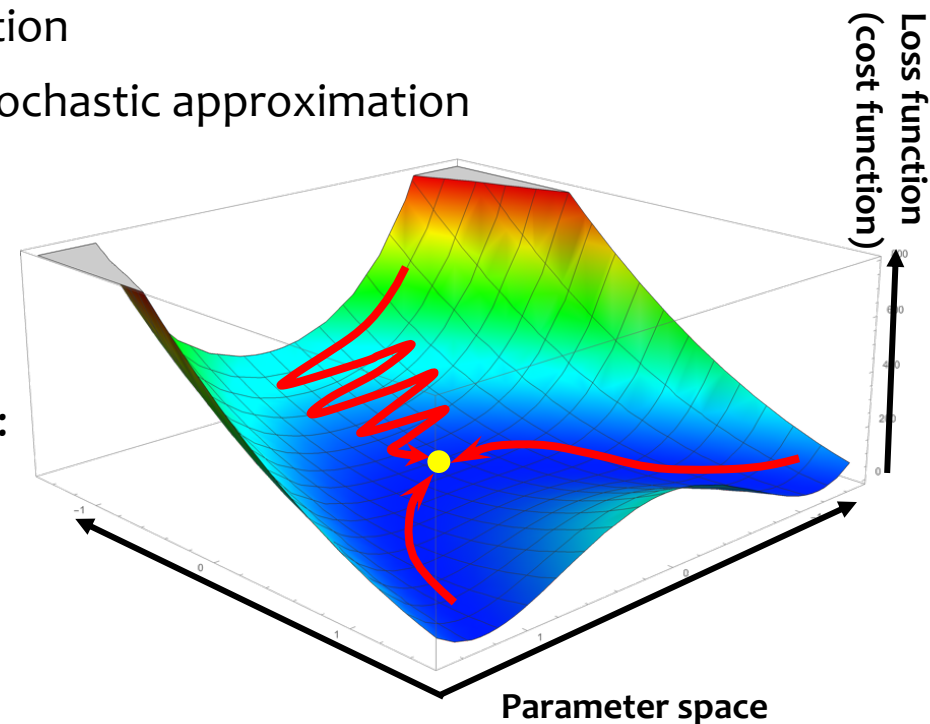
- Optimization technique is applied two times in the developed scheme
  - Find relevant input parameters for first-principle sim. near matched region.
  - Optimize the transport model using results of first-principle sim.



**⇒ Turbulent transport can be predicted by first-principle simulations as few times as possible.**

# Mathematical Optimization

- Optimization is the main technique in machine learning as minimization of some loss function on a training set of examples.
- Opt. is to find the selection of a best element, with regard to some criterion, from some set of available alternatives.
- There are many algorithms for optimization techniques.
  - **Gradient descent** : First-order iterative optimization algorithm for finding a local minimum of a differentiable function
  - **Stochastic gradient descent (SDG)** : Stochastic approximation of gradient descent optimization
  - **RMSProp** : A method in which the learning rate is adapted for each of the parameters
  - **Adaptive moment estimation (Adam)** : An update to the RMSProp optimizer with moment method





# The new scheme

For simplicity, we consider **ITG turbulence simulations for LHD plasma w/ adiabatic electron.**

- **Start: A reduced transport model for ion heat transport**
  - Based on many GK sims, ion heat diffusivity can be represented by

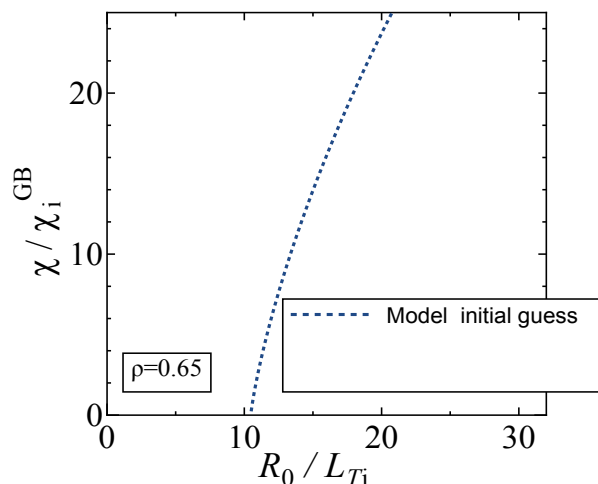
$$\frac{\chi_i^{\text{model}}}{\chi_i^{\text{GB}}} = \frac{A_1 \mathcal{L}^{\alpha_0}}{A_2 + \tau_{ZF} / \mathcal{L}^{1/2}}$$

$$\mathcal{L}(\rho) = a(\rho) \left[ \frac{R}{L_{Ti}} - \beta_0 \frac{R}{L_{Ti}^{\text{cr}}} \right]$$

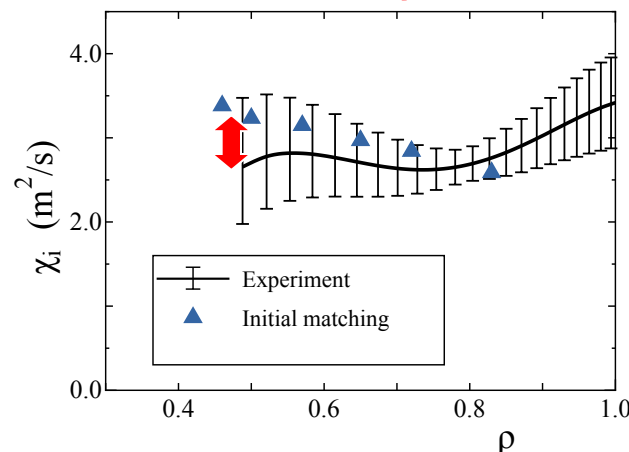
$$\begin{aligned} A_1 &= 1.8 \times 10^1 \\ A_2 &= 5.1 \times 10^{-1} \\ \alpha_0 &= 0.38 \\ \beta_0 &= 1.0 \end{aligned}$$

(Toda+, JPCS 2014)

Dependences of  $\chi_i$  on  $R_0/L_{Ti}$



NL GK results at **Matched-parameter** ( $R_0/L_{Ti}$ ) from the model



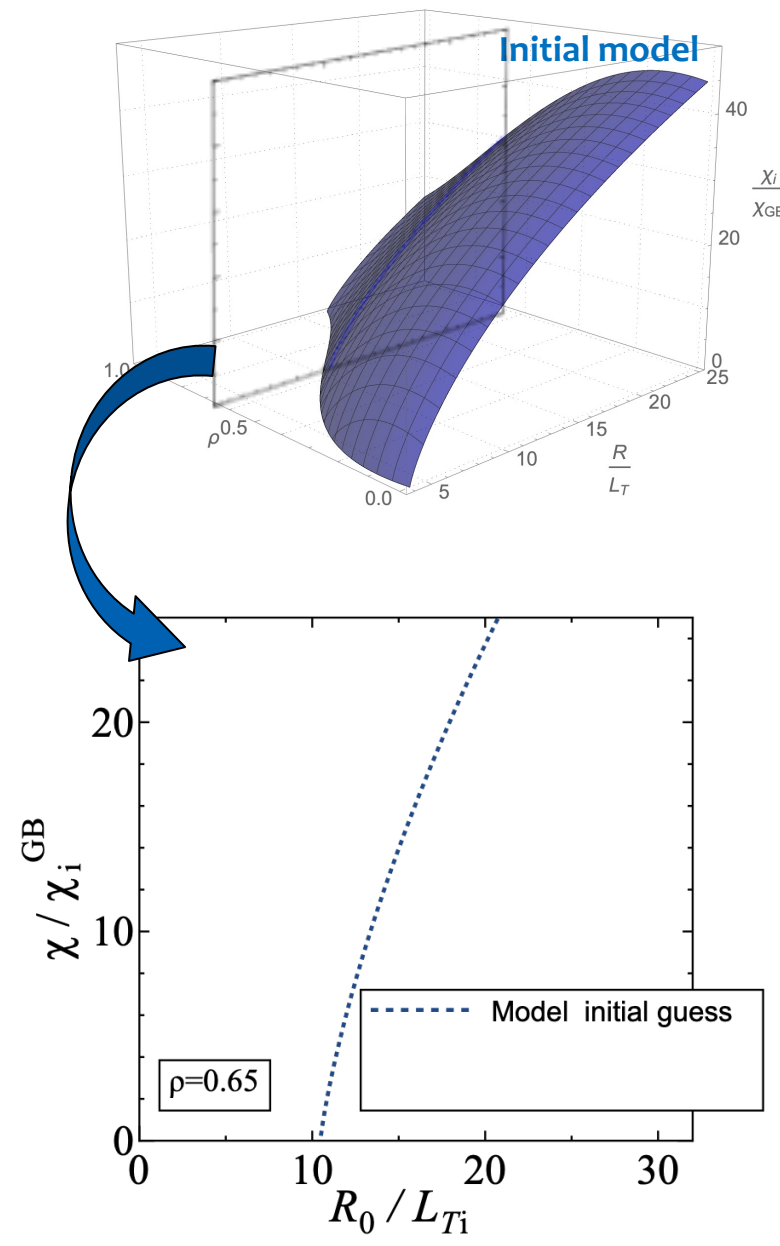
**⇒ GK results at “matched parameter” don’t match the experimental results.**

( ⇒ The reduced models have certainly errors from ordinal GK sims.)

# The new scheme

## Procedure of the scheme

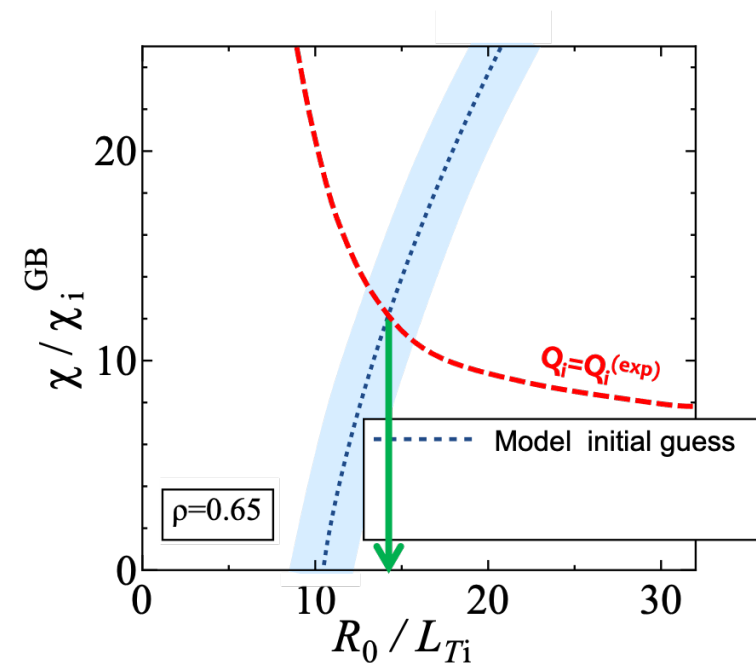
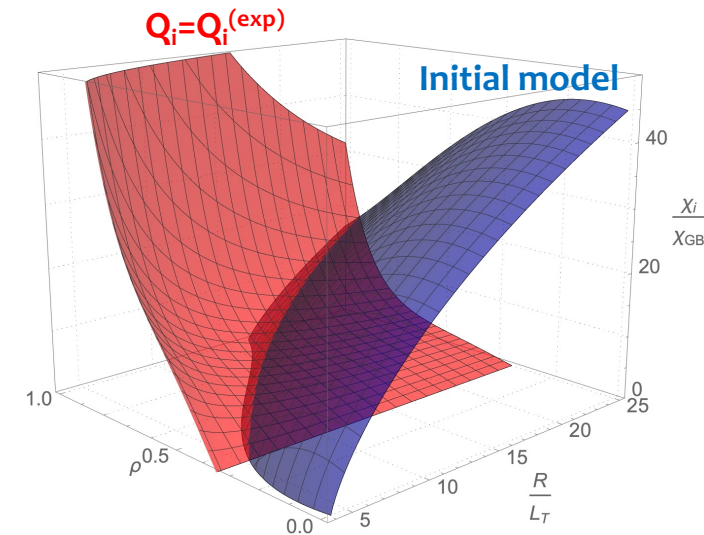
① From initial model,



# The new scheme

## Procedure of the scheme

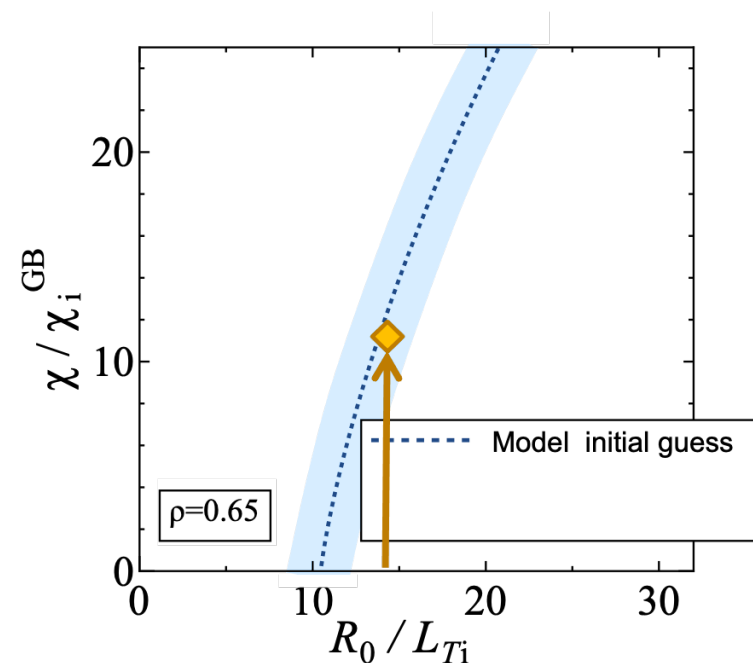
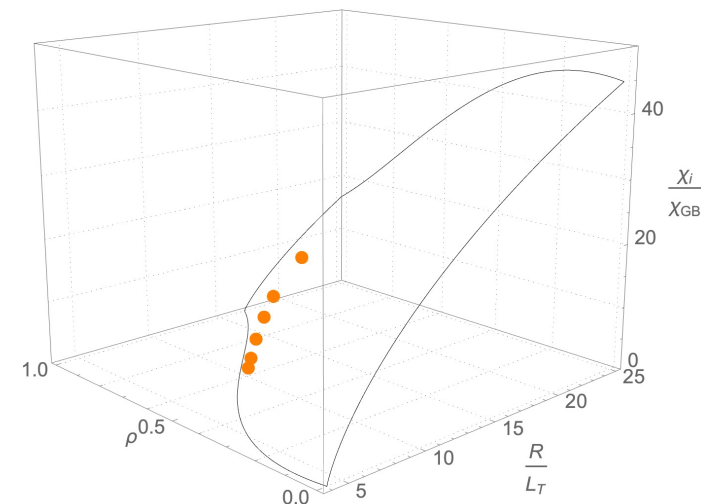
- ① From initial model, find the  $\text{grad-}T_i$  which matches with experimental flux by optimization technique (Gradient descent).



# The new scheme

## ■ Procedure of the scheme

- ① From initial model, find the  $\text{grad-}T_i$  which matches with experimental flux by optimization technique (Gradient descent).
- ② At the estimated gradient, we perform **one NL GK simulation for each  $\rho$** .



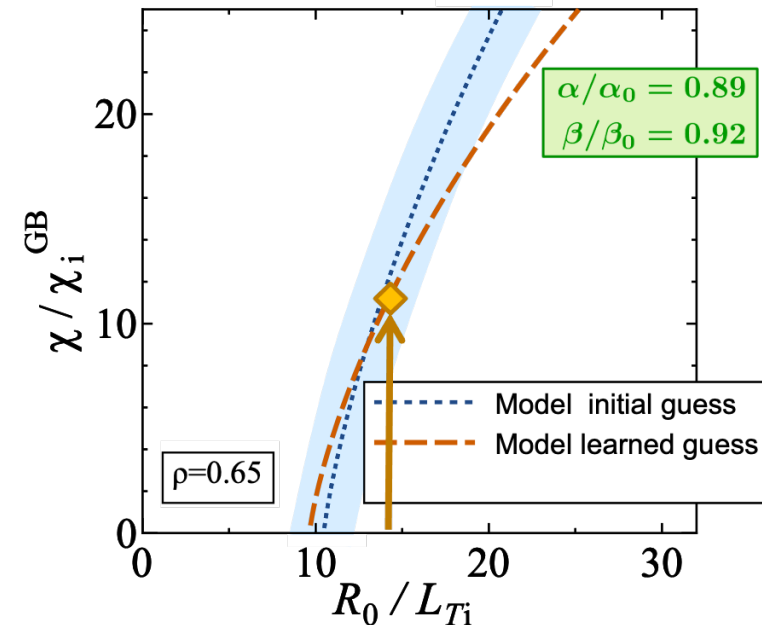
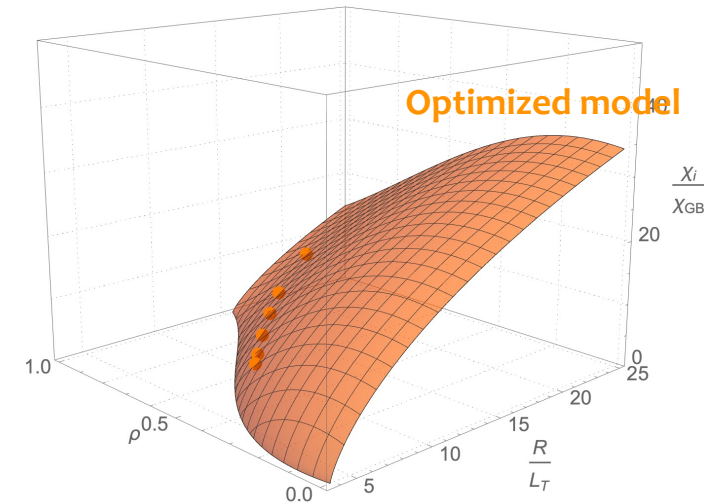
# The new scheme

## Procedure of the scheme

- ① From initial model, find the grad- $T_i$  which matches with experimental flux by optimization technique.
- ② At the estimated gradient, we perform one NL GK simulation for each  $\rho$ .
- ③ Using the NL results, tune the parameters  $\alpha_0 \rightarrow \alpha$  and  $\beta_0 \rightarrow \beta$ , the model is optimized (using **Adam optimizer**).

$$\frac{\chi_i^{\text{model}}}{\chi_i^{\text{GB}}} = \frac{A_1 \mathcal{L}^{\alpha_0}}{A_2 + \tau_{ZF} / \mathcal{L}^{1/2}}$$

$$\mathcal{L}(\rho) = a(\rho) \left[ \frac{R}{L_{Ti}} - \beta_0 \frac{R}{L_{Ti}^{\text{cr}}} \right]$$





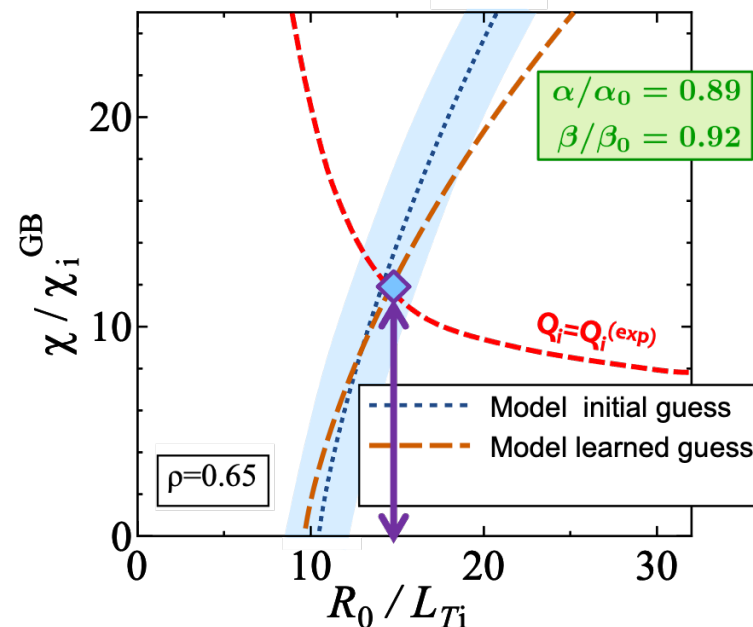
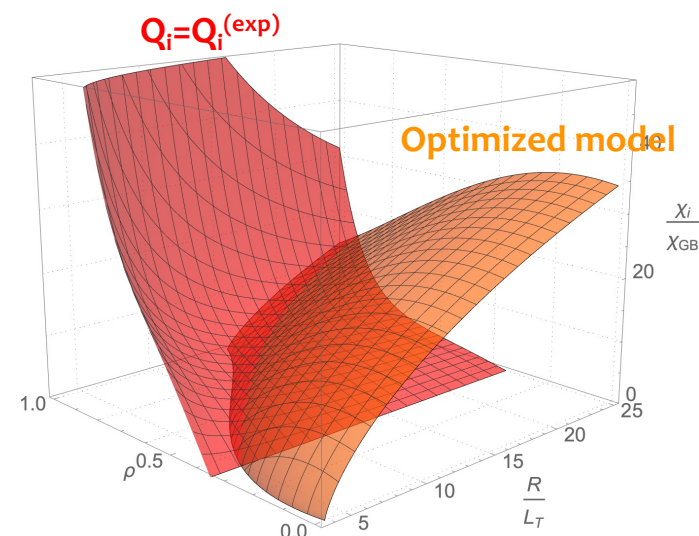
# The new scheme

## ■ Procedure of the scheme

- ① From initial model, find the  $\text{grad-}T_i$  which matches with experimental flux by optimization technique.
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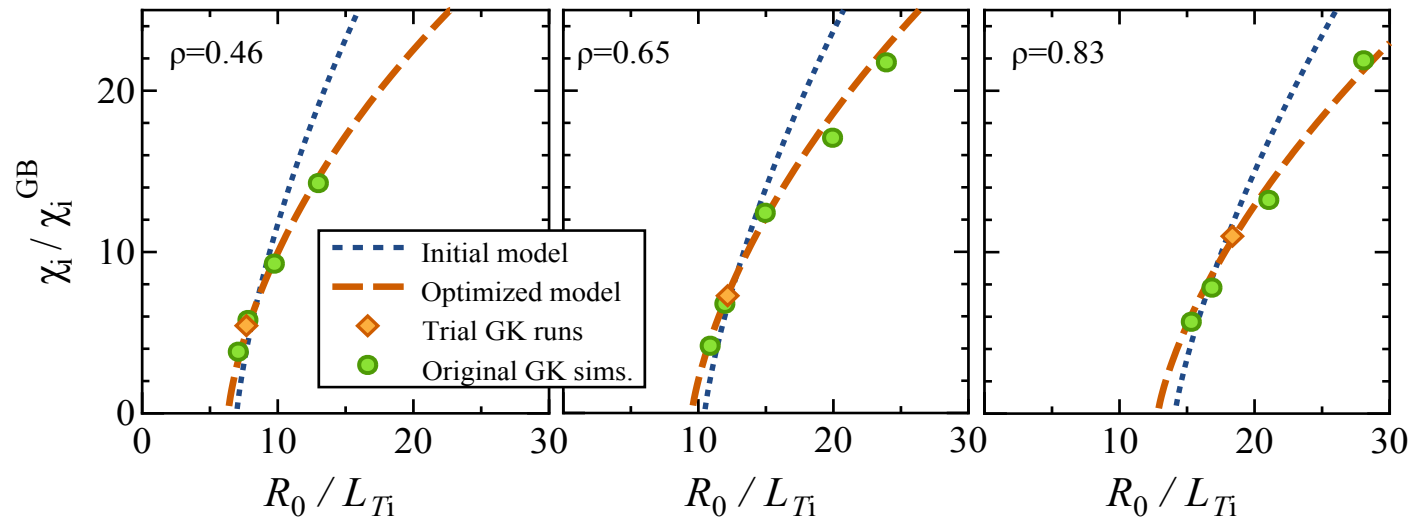
$$\frac{\chi_i^{\text{model}}}{\chi_i^{\text{GB}}} = \frac{A_1 \mathcal{L}^{\alpha_0}}{A_2 + \tau_{ZF} / \mathcal{L}^{1/2}} \quad \mathcal{L}(\rho) = a(\rho) \left[ \frac{R}{L_{Ti}} - \beta_0 \frac{R}{L_{Ti}^{\text{cr}}} \right]$$

- ④ Using the optimized model, we can predict turbulent transport fluxes.

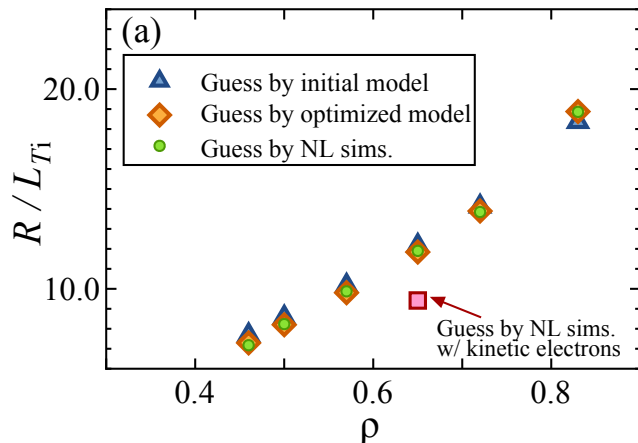


# Against nonlinear simulations

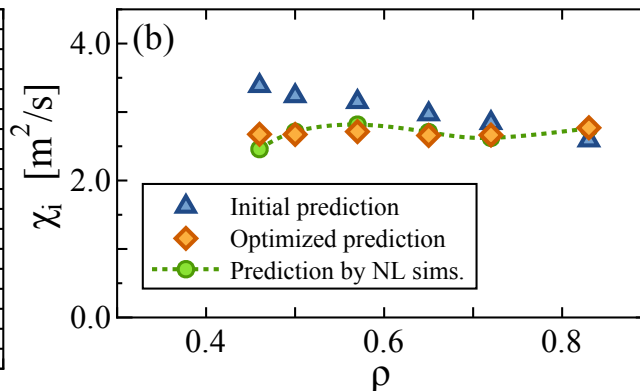
- The optimized model's results quite agree with the original nonlinear results!



Matched-parameters for grad- $T_i$



NL GK results at "Matched-parameters"



Relative errors

$$\sigma \equiv \sqrt{\frac{1}{n} \sum \left( \frac{\chi_i^{\text{model}}}{\chi_i^{\text{GKV}}} - 1 \right)^2}$$

w/ initial model only

$$\sigma_{\text{initial}} = 0.35$$

w/ optimized model

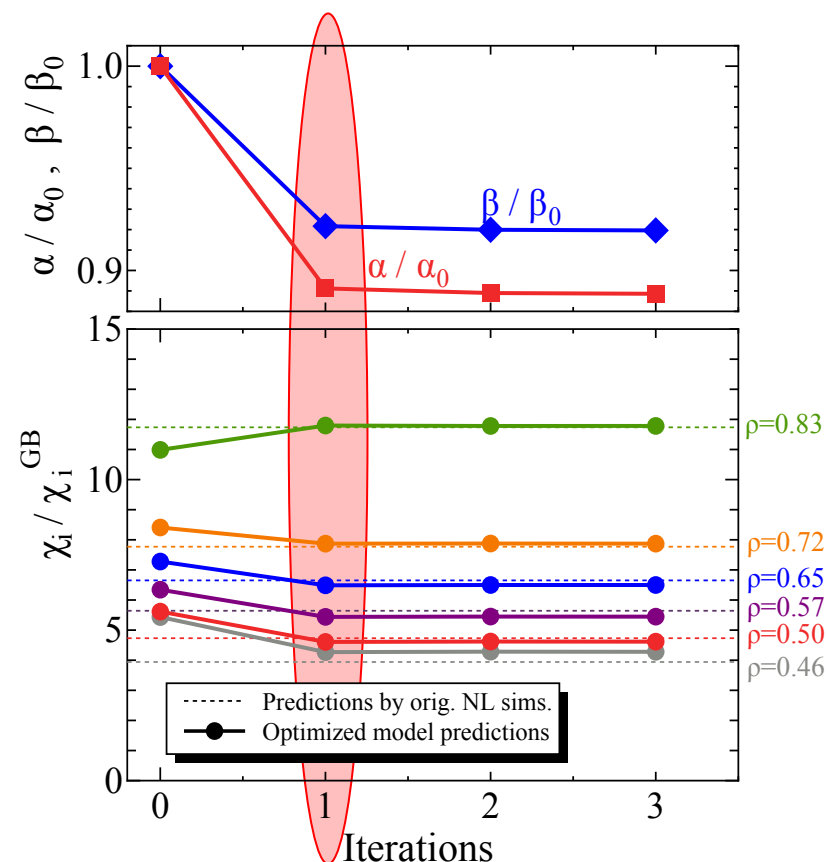
$$\sigma_{\text{opt}} = 0.095$$

**By a few GK runs, we can predict transport fluxes with almost same levels of NL runs.**

# Convergences of the optimization

- From the convergences of the tuning parameters and the resultant heat diffusivities in the iterations, the first trial run of the first-principle simulation is enough to construct the optimized model for each radial position.

Because the first employed optimization technique via the initial transport model can guess the relevant  $\text{grad-}T_i$  which is close to the guess from the first-principle runs, independently.



# Application to transport analysis

- For analysis of profile evolutions, it is impossible to perform NL GK runs every time for each time step.

⇒ We employ the **Optimized transport model** instead of performing GK sims.

Diffusion equation for ion heat

$$\frac{\partial}{\partial t} \left( \frac{3}{2} n T_i \right) = - \frac{1}{V'} \frac{\partial}{\partial \rho} (V' Q_i) + P_{\text{hx}} + P_{\text{hi}}$$

Heat exchange

Absorbed power

Ion heat flux

$$Q_i = - \langle |\nabla \rho|^2 \rangle n_i (\chi_i^{\text{turb}} + \chi_i^{\text{neo}}) \frac{\partial T_i}{\partial \rho}$$

Impossible to perform many GK runs → Employ optimized model

$$\frac{\chi_i^{\text{model}}}{\chi_i^{\text{GB}}} = \frac{A_1 \mathcal{L}^\alpha}{A_2 + \tau_{\text{ZF}} / \mathcal{L}^{1/2}}$$

- Transport dynamics is examined for LHD plasma using the optimized model, performing integrated transport simulation by TASK3D [Wakasa+, JJAP 2007].

# Application to transport analysis

## Stationary radial profiles of $\chi_i$

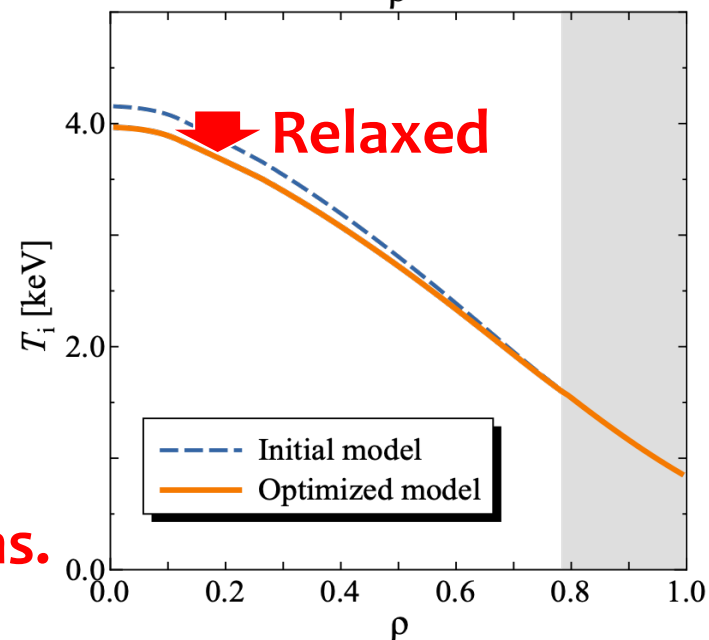
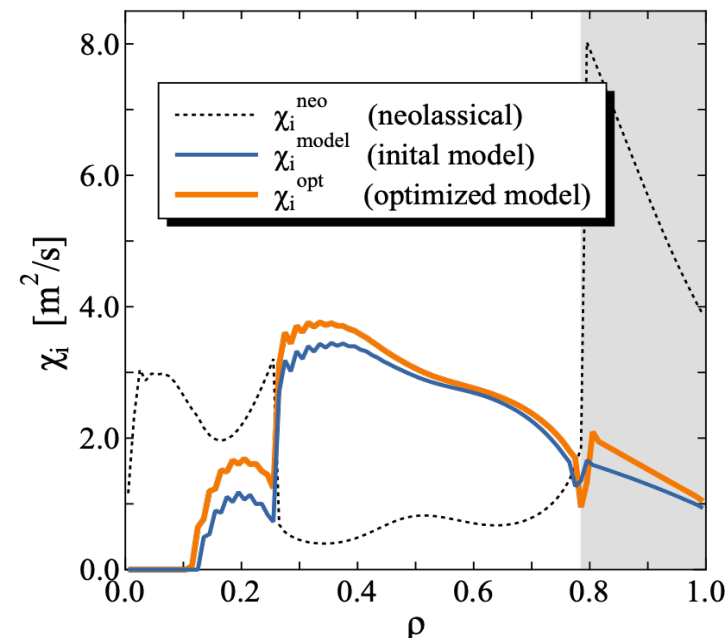
- Result from the optimized model are larger than initial model for whole region because of differences of dependences on  $\text{grad-}T_i$  between both models.

⇒ The optimized transport model may contribute to relax  $T_i$  profile.

## Ion temperature profile

- It can be confirmed that the result using the optimized transport model realizes the relaxed radial profile of  $T_i$  compared with the initial model.

**We can perform the transport analysis with almost same accuracies of NL GK runs.**





# Summary

- **Quantitative estimates of turbulent transport**
  - In GK sims as a first-principle approach, numerous runs should be demanded in terms of flux-matching.
  - Reduced models have prediction errors essentially.
- **A new scheme to predict turbulent transport**
  - We combine first-principle sims, reduced transport model, and optimization technique.
  - By GK runs as few times as possible, turbulent transport can be estimated with almost same levels of performing many GK runs.
- **Application to transport analysis**
  - With almost same accuracies of GK runs, we can perform the transport analysis.