

# Summary of Progress in Theory & Computation

16<sup>th</sup> IAEA Technical Meeting on EPs  
& Theory of Plasma Instabilities

3-6 September 2019, Shizuoka City, Japan

Andreas Bierwage / QST, Japan

# EX-M-TH Statistics\*

\*Somewhat  
subjective

- “M” = Descriptive theory, predictive modeling, numerical exp., quantitative predictions, data analysis, synthetic diagnostics, ITER, DEMO
- “TH” = Explanatory theory & num. simulation includes code development, V&V

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• Invited (14):	EX: 8	M: 2	TH: 4
• Oral (22):	EX: 10	M: 4	TH: 8
• Poster I (29):	EX: 12	M: 11	TH: 6
• Poster II (28):	EX: 4	M: 6	TH: 18
• Total (93):	EX: 34	M: 23	TH: 36

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(3 cancellations)

# How theory+sim. works (not real world!)

- Similar result can be realized in many ways. → Reduced mdl.
- But what if we want to know the “truth”?
- Motivating example:  
Mode structure formation process via fast waves [I-6 Tykhyy]

- **Reduced MHD:**

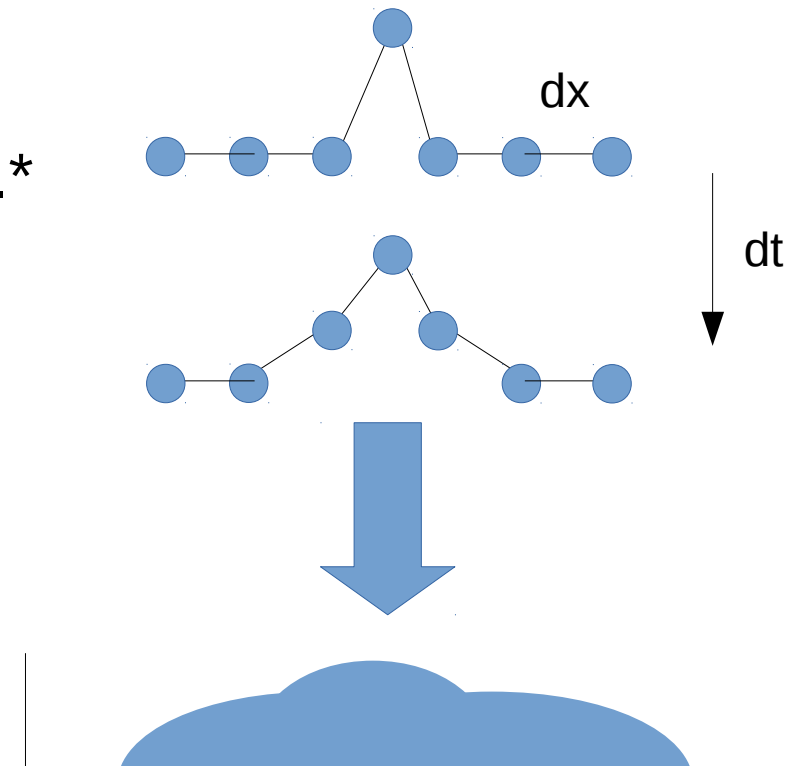
No physical waves propagating radially.  
That time scale is assumed infinitely fast.\*  
→ Mode formation in sim. is purely numerical response to physical model constraints.

- **Full MHD:**

Fast waves carry information radially in finite time in a (more) physical way.

- **GK: KAW ... Fully kin.: Langmuir waves** have even more degrees of freedom.

- **Relevant for explaining and quantifying processes in true form** (e.g. “channeling”, fast reconnection, rapid NL processes)



# Codes: Development, feasibility demonstration, V&V

## 1. Monte Carlo

- [P2-31 Ward] **LOCUST-GPU**
  - Monte Carlo orbit following
  - Tested; available with Python wrapper for IMAS
  - Planning:
    - HiFi RMP dataset
    - parametrized “loss database” for quick look-up of ptcl. deposition on wall w/o simulation
- [P2-27 Fitzgerald] **HALO**
  - Monte Carlo orbit following with fluctuating fields
  - Perturbative wave-particle interaction model of **HAGIS** added to **LOCUST-GPU**

# Codes: Development, feasibility demonstration, V&V

## 2. Hybrid

- [P2-4 Vlad] HYMAGYC
  - Hybrid lin. full MHD + NL GK PIC
  - First runs with full set of new features:  
shaped plasma, FLR, incl.  $\delta A_{\perp}$
  - Begin application to NLED AUG, DTT test scenario
  - Planning benchmarks (MEGA, ...)
- [O-13 Seki] MEGA
  - Hybrid NL full MHD-GK PIC
  - Validating long-time sims of bursting modes in LHD

# Codes: Development, feasibility demonstration, V&V

## 3. Gyrofluid, gyrokinetic

- [P2-15 Spong] TAEFL / FAR3d
  - Gyro-Landau fluid
  - Includes sources & sinks, nonperturbative effects (n=0 NL response, chirping, mode distortion)
  - Demonstrated feasibility of long-time sim of steady/bursty EP-driven AEs → 50k Alfvén times (~10 ms)
- [P2-2 Hayward-Schneider] [P2-3 Vannini] ORB5
  - Global full-radius full-f GK PIC
  - ITER 15 MA: linear TAE benchmark vs. LIGKA,  $m_e$  scan, n-spectrum, KAW propagation
  - AUG: testing continuum damping, e- Landau damping
  - Begin NL runs (annular region, equilibrium frozen)

# Legend

Research methods & models

Background, internal & external forcing, exhaust

Collective fluctuations

Equilibrium, "zonal structures"

"n=0" (arb. k in R,Z)  
"w~0" (slow evol.)  
F0 (bulk + EP + imp.)  
Rotation, "barriers"

Internal & external forcing

RMP, ECH, ICRH, beams torque, pellets

Fusion, fuelling, exhaust

Fuelling, reactions, ash, heat load, ITER, DEMO

Micro-turbulence

Broad-band fluct., ES-DW...EM-DAW

Lin. waves, discrete modes

Continua, Kink ... AE ... IC

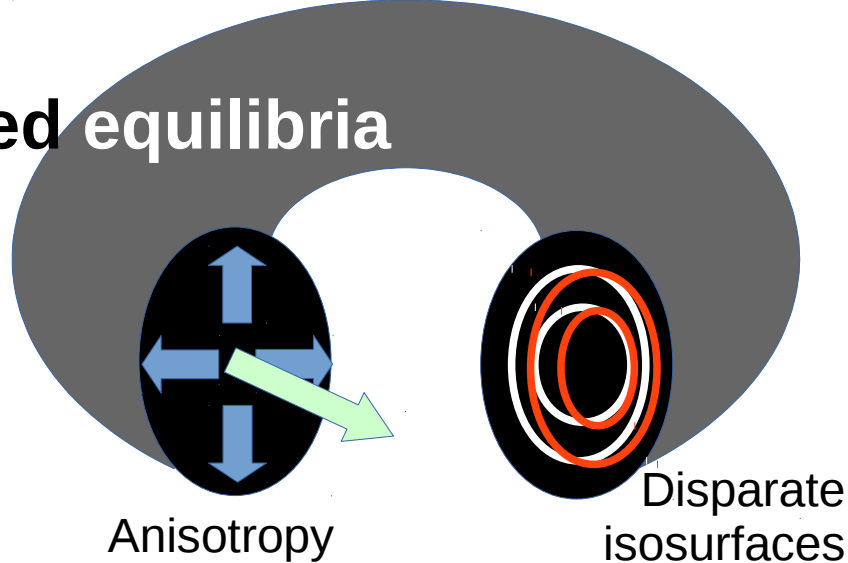
Transient phenomena

Chirps, bursts, core & edge relax., disruptions

NL solitary structures, vortices

vortices, filaments, islands, BGK modes ("holes & clumps")

# Generalized equilibria



Equilibrium, "zonal structures"

Internal & external forcing

Fusion, fuelling, exhaust

Micro-turbulence

Lin. waves, discrete modes

Transient phenomena

NL solitary structures, vortices

MHD

## [P2-17 Hole]

- (Tor.) flow and pressure anisotropy
- Mapping techniques (e.g. to fix  $q$ )
- Applications: Ballooning stability, ITER flux geometry & continua

2-fluid analytical + numerical.

## [P2-16 Ito]

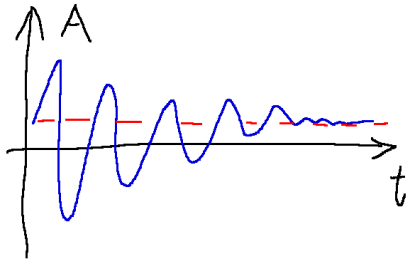
- 2-fluid equilibrium with
  - toroidal + poloidal flow
  - ion FLR, P anisotropy
  - parallel heat flux
- Analytical solutions for  $v \sim v_A$  and  $v \sim c_S$  limits

Future: Equilibria consistent with EM GK models.

→ Needed for NL sims at high beta (...DEMO reactor)



# EP effect on residual ZF



Polarization shielding (FLR, class.)  
enhanced by magnetic drifts (FOW, neoclass)

Analytical  
Bounce-kin.

[O-6 Hahm, T.S.]

- Showed that long-wavelength high-aspect-ratio theory is valid for arbitrary isotropic F0
- 10% isotropic Maxwellian alphas  
→ 10% change in  $R_{ZF}$  with  $k\rho \sim 0.1$

Analytical +  
numerical.

[P1-11 Lu, Zhixin]

- Effect of anisotropy  
→ enhancement or reduction depending on pitch
- Applied to beam-driven AUG  
→ 5-10% change in  $R_{ZF}$

Equilibrium,  
“zonal  
structures”

Internal &  
external  
forcing

Fusion,  
fuelling,  
exhaust

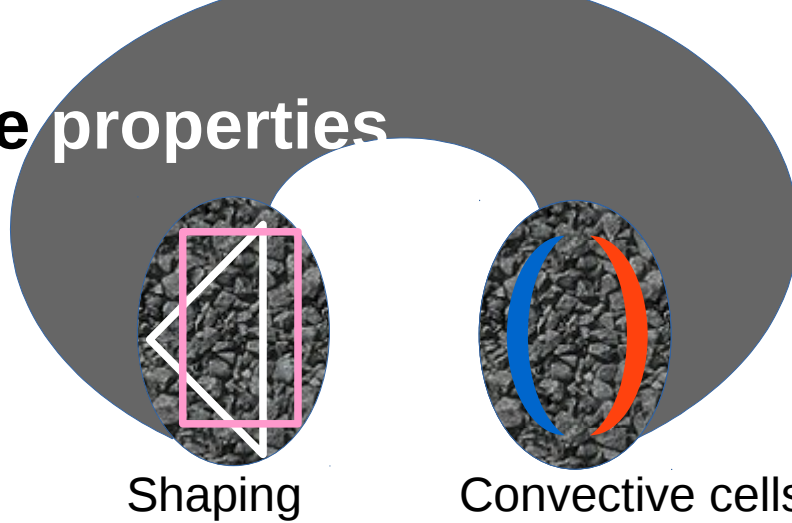
Micro-  
turbulence

Lin. waves,  
discrete  
modes

Transient  
phenomena

NL solitary  
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# Turbulence properties



Equilibrium,  
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Fusion,  
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exhaust

Linear  
full-f GK

## [I-4 Wang, Wei] GKNET

- Parameter survey of ITG and TEM stability depending on elongation & triangularity
- Confirmed stabilizing trends, identified mechanisms: - effective T gradient (ITG, TEM)  
- magnetic shear effects (TEM)

Micro-  
turbulence

Lin. waves,  
discrete  
modes

NL  
full-f GK

## [P2-18 Asahi] GT5D

- Convective cells (CS:  $m \neq 0, n = 0$ ) due to passing e- response
- CS enhances role of magnetic drift and reduces role of ExB drift for energy transport

Transient  
phenomena

NL  
extended  
MHD

## [P1-21 Cho, YoungWoo]

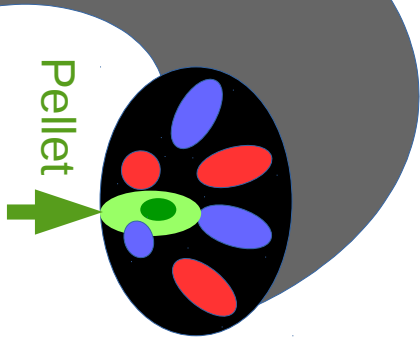
- Investigate ITB formation by looking at transient radial corrugations ( $\rightarrow$  traffic jam model)

NL solitary  
structures,  
vortices

# Pellets

## Pellet cloud diagnostics via MHD spectroscopy

Lin. MHD eigenvalue solver in 3D

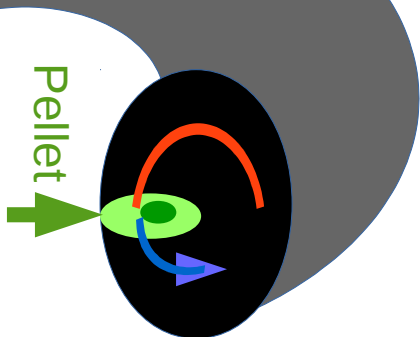


### [O-4 Oliver] Stellgap, AE3D

- Calculated Alfvén continua and eigenmodes for axisymm. plasma with 3D density pert.
- Toroidal modulation ignorable, lowest order ( $m=1$ ) poloidal modulation of  $v_A$  kept
  - shift and sweeping of TAE freq. tells us about  $n_i$  and homogenization rate

## Runaway electron (RE) mitigation with high-Z pellets (considered for ITER)

Analytical



### [O-10 Breizman]

- Revisit RE passing through ablating pellet
- Find that e- magnetization has large effect on pwr. deposition
- Pellet radius power law for ablation rate is robust, but scalar factor changed by  $> 2$ 
  - Kin. treatment (elastic scattering) of ablation rate is important

Equilibrium, “zonal structures”

Internal & external forcing

Fusion, fuelling, exhaust

Micro-turbulence

Lin. waves, discrete modes

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# RMP

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## TAE control with RMP

(for scenarios with weak plasma edge shielding)

Global NL  
MHD-PIC  
hybrid

[P1-4 Gonzales-Martin] MEGA  
[O-14 Garcia-Munoz] AUG experiment

- Computed plasma response to RMP:  
→  $n=2$  kink (no islands)
- Demonstrated effect of RMPs on EPs  
and on EP-driven AEs in AUG

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# EP ↔ turbulence interactions

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AUG, JET experiments show:

$T_i$  increases when EPs are present ... Why?

Local NL  
EM GK  
simulation

[I-3 Siena] GENE

- Applied to JET, ignoring ICRF
- Key: Finite beta
  - Turbulence becomes electromagnetic (EM)
  - Stable AEs are then part of the turbulence, acting as energy sink
- EPs: Reduce AE damping
  - Larger AE = more eff. energy sink for ITG
  - Multi-n AE turbulence = additional NL drive for zonal field
- This is one possible explanation.

Global NL  
MHD-PIC  
hybrid

[P1-24 Kang, ByungJun] GKW

- Excited fast-ion-driven electron drift instability excited via precession drift reversal

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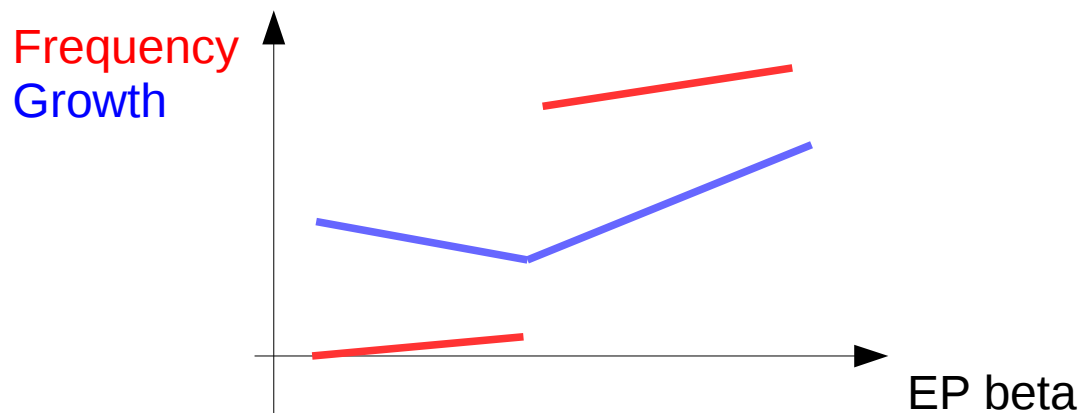
NL solitary  
structures,  
vortices

# EP ↔ kink interactions (“q>1 off-axis fishbone”)

Local NL  
EM GK  
simulation

[P2-13 Cai, Huishan] M3D-K

- Consider reversed shear configuration with m/n=2/1 resistive double tearing mode (DTM)
- Found: Increasing  $\beta_{EP}$  stabilizes zero-freq. DTM and destabilizes oscillating kink-like mode between pair of q=2 rational surf. around  $q_{min}$
- Driven by EP precession resonance



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# SAW ↔ electron interaction

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Global NL  
MHD-PIC  
hybrid

## [O-15 Wang, Jialeij] MEGA

- Sim. with large energetic electron population ( $\beta_{EP} \sim 1\%$ ).
- Case study: Varied background beta, q profile,  $\beta_{EP}$  peak location
- Demonstrated ways how EE interact with
  - fast-ion-driven and EE-driven TAE (finite  $k_{||}$ ), precession)
  - EAE ( $k_{||}=0$ , transit)

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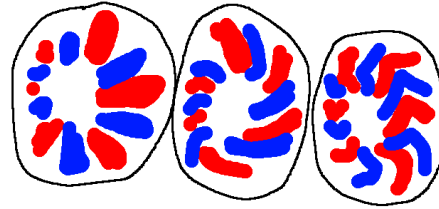
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# Mode structure distortion



Full MHD  
WKB  
approx

[I-6 Tykhyy]

- Spatially separated source and sink (“spatial channeling”)
  - Produces spiral distortion as in DIII-D, NSTX
  - Relevant for mode saturation?

Perturbative  
 $\delta f$  sim.

[P1-26 Meng, Guo]

- Systematically designed model mode structure distortions
- Analyzed EP phase-space structures
- Demonstrated impact on growth ( $\sim 10\%$ ), saturation ( $\sim 20\%$ ) and EP transport ( $\sim 10\%$ )

Extended  
MHD theory

[P2-29 de Souza, Elfimov et al]

- Distortion of GAM continuum by EP effects accounted for by replacing  $\Gamma=5/3$  with EP corrected factor

→ Modified accumulation point  $(\psi, \omega)_{\text{GAM}}$  matches EGAM<sup>16</sup>

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# Mode distortion & chirping

NL hybrid  
with kinetic  
bulk ions

## [P2-1 Wang, Xin] XHMGC

- Simulated chirping EPs from TAE to BAE accumulation points
- Characterized dep. on pitch distrib. (isotr.,co,ctr)

Lagrangian  
FEM

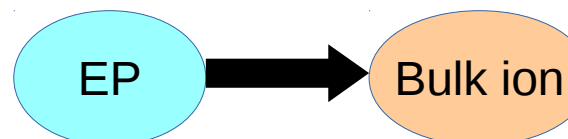
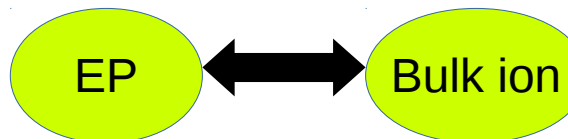
## [P2-9 Hezaveh]

- Nonpert. model with coll. & drift
- Study long-range chirping of a GAE (cylindrical) driven by EPs (with toroidal effect)
- Characterized mode distortion & chirping rate, which exceeds  $t^{1/2}$

NL hybrid  
with kinetic  
bulk ions

## [P2-14 Wang, Hao] MEGA

- Steady EGAM:
  - Balanced energy transfer
- EGAM during NL structure formation or chirping:
  - Net bulk ion heating



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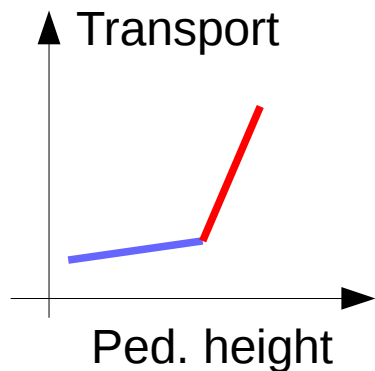
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# Pedestal crash (ELM) and effect on EPs



[P1-23 Seto] BOUT++

- Looked into  $n=0$ , ExB, turbulence interplay for varying pedestal height
- Found abrupt change in transport  
→ Investigating role of damped oscillations

Equilibrium, “zonal structures”

Internal & external forcing

Fusion reactor, exhaust

MEGA (AUG)

Global NL MHD-PIC hybrid

JOREK (MAST-U)

Extended NL MHD for X geom

ELM crash

Test particle following

ASCOT5

[I-8 Rodriguez] MEGA (AUG), JOREK (MAST-U)  
[O-9 Dominiguez-Palacios] MEGA (AUG)

- Followed test particles while replaying “movie” of simulated ELM-crash
- Demonstrated capability to predict ELM-induced EP losses (e.g. synthetic FILD)

Micro-turbulence

Lin. waves, discrete modes

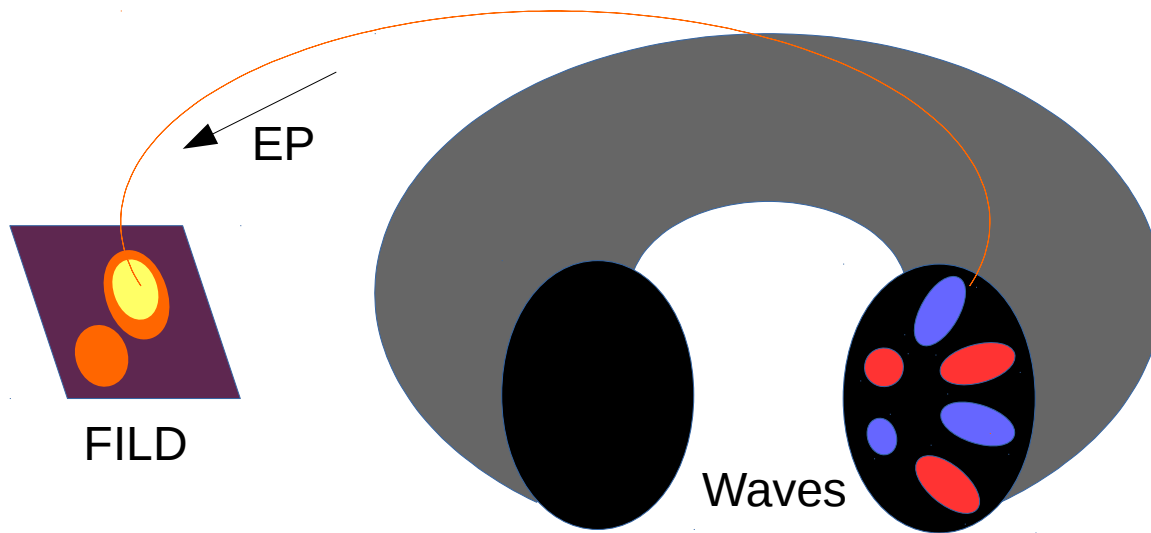
Transient phenomena

NL solitary structures, vortices

# Applications of theoretical insight & state-of-the-art numerical methods: Specific predictive simulations

- Reduced model development
- Multi-physics multi-scale integrated codes
  - 1) separation of physics & scales
    - specialized codes solving “first principle” equations or reduced “empirical” models
  - 2) development and implementation of interfaces and workflows
- Synthetic diagnostics
- Validation
- Inverse problem, interpretation

# Inverse problem, interpretation



Global NL  
MHD-PIC  
hybrid

[O-13 Seki] MEGA

- Reconstruct AE activity in LHD responsible for observed FILD signal

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# Exp. studies now make heavy use of num. simulations for quantitative prediction and interpretation

- Monte-Carlo codes/modules are routinely used in many studies (ASCOT, GNET, NUBEAM, TRANSP, ...) and more are coming (LOCUST, HALO)
- GNET: Used intensively in Japan  
[P1-16 Yamamoto] [P1-18 Yamaguchi] [P1-19 Murakami] [P1-20 Kotera]
- EUTERPE: W7-X  
[O-17 Slaby] Tools for extracting mode info from noisy multi-mode signals, continua from arbitrary sim. models (e.g. including with flows).
- MEGA: hybrid code  
[P2-11 Adulsiriswad] Heliotron J  
[P2-12 Idouakass] LHD
- FAR3d: gyrofluid:  
[P1-1] Varela → Heliotron J and LHD  
[P1-3] Cappa → TJ-II stellarator

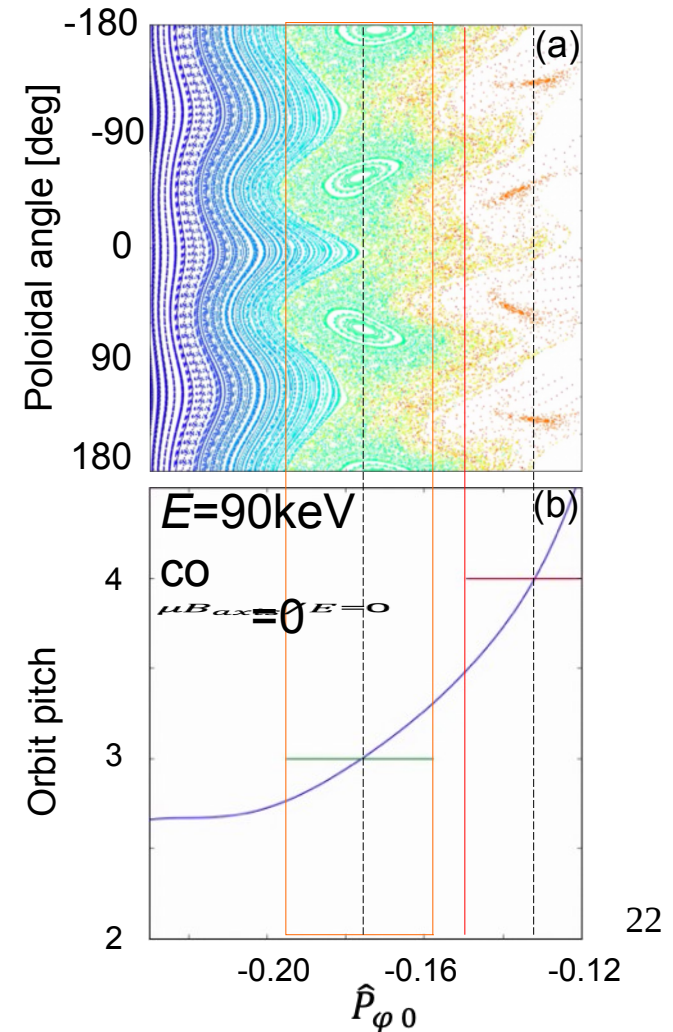
*There are many more examples.* 21

# Need to reduce computational cost

→ Reduced models, integrated sim.

- Hole-clump B&B model application in exp. [I-14 Viktorov]
- Quasilinear, critical gradient, resonance broadening predator-prey [O-22 Bass] [P2-30 Duarte] [O-20 Gorelenkov] RQB
- Kick model [I-11 Podesta] [O-5 Liu, Deyong] DIII-D [O-12 Cecconello] MAST

[P2-22 Shinohara] KSTAR  
10-15% accurate estimate  
of island width Poincare plot



# Dedicated to ITER / DEMO / BP

- Disruption mitigation high-Z pellet (improved model for effect on REs) [O-10 Breizman]
- Inward pinch for pellet fuelling (ion mixing mode, TEM) [P1-22 Yagi]
- Estimated neutron yield in Pre-DT ITER (Not yet conclusive. Need improved description of NL dependence of  $S_n$  on local  $n_e$ ,  $n_{Be}$ ). [P1-14 Polevio]
- Began Full-f GK AE simulation for ITER [P2-2 Hayward-Schneider]
- Generalized ITER equilib. with anisotropy (and toroidal flow) [P2-17 Hole]
- Critical gradient model for DIII-D scenario for ITER [O-22 Bass]
- Variations in fusion reactivity (After-glow plasma reactivity drop is delayed due to nuclear elastic scattering NSE besides coll. heating) [P2-25 Matsuura]

*Results of many other studies are also relevant*