

Validation of the TGLF-EP+Alpha critical-gradient model of energetic particle transport in DIII-D scenarios for ITER

**E.M. Bass¹, C.S. Collins², W.W. Heidbrink³,
M.A. Van Zeeland², and R.E. Waltz²**

¹UC San Diego

²General Atomics

³UC Irvine

Acknowledgements: G. M. Staebler (GA), He Sheng (PKU)

Presented at
**2019 16th IAEA Technical Meeting on Energetic
Particles in Magnetically Confined Systems**
Shizuoka City, Japan

September 3 – 6, 2019



Supported by US DOE GSEP-SciDAC
Computations performed at NERSC



- I. Introduction**
- II. TGLF-EP+Alpha local critical-gradient model of Alfvén eigenmode (AE)-driven energetic particle (EP) transport**
- III. Validation against discharges from four scenarios in DIII-D discharges**
- IV. Summary**

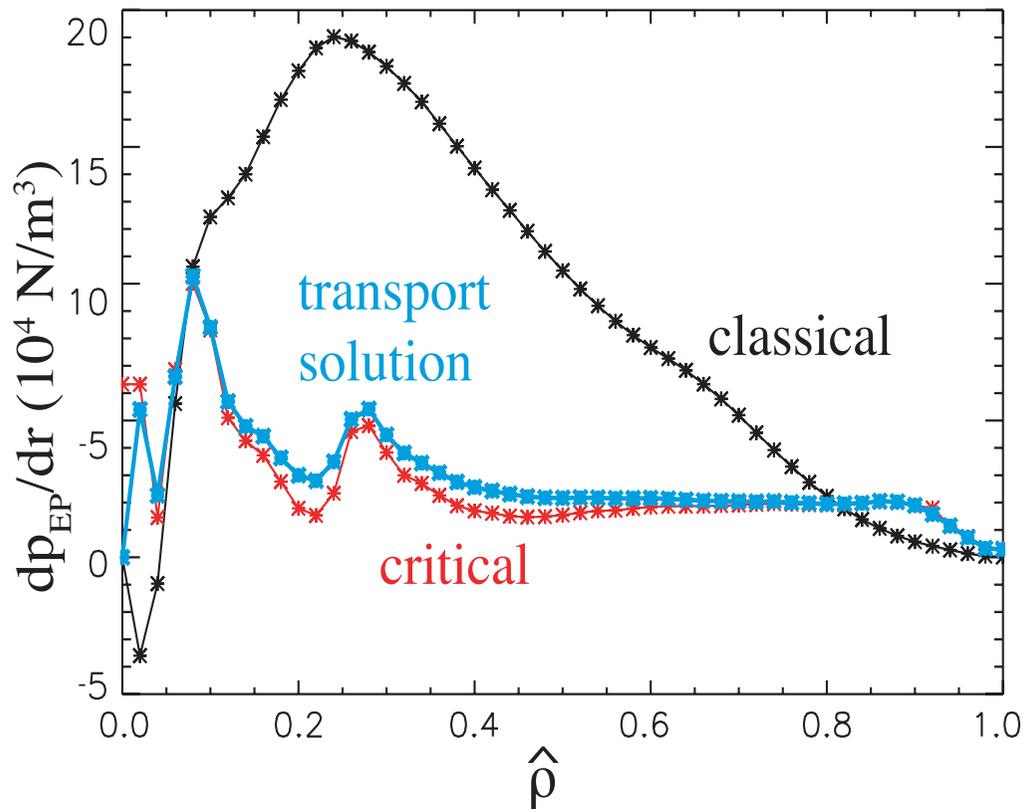
I. Introduction

II. TGLF-EP+Alpha local critical-gradient model of Alfvén eigenmode (AE)-driven energetic particle (EP) transport

III. Validation against discharges from four scenarios in DIII-D discharges

IV. Summary

TGLF-EP+Alpha is the simplest, fastest EP transport model available → extensive validation possible and necessary



Stiff transport forces the gradient to not (much) exceed a “critical gradient” of AE transport (essentially the linear stability threshold).

Simplifying assumptions (Maxwellian EPs; stiff, local transport; no velocity-space dependence; etc.) make **validation** especially necessary to **map applicability**.

TGLF-EP+Alpha is a local 1D critical-gradient model (CGM) using gyro-fluid stability calculations and a stiff AE-EP transport assumption.

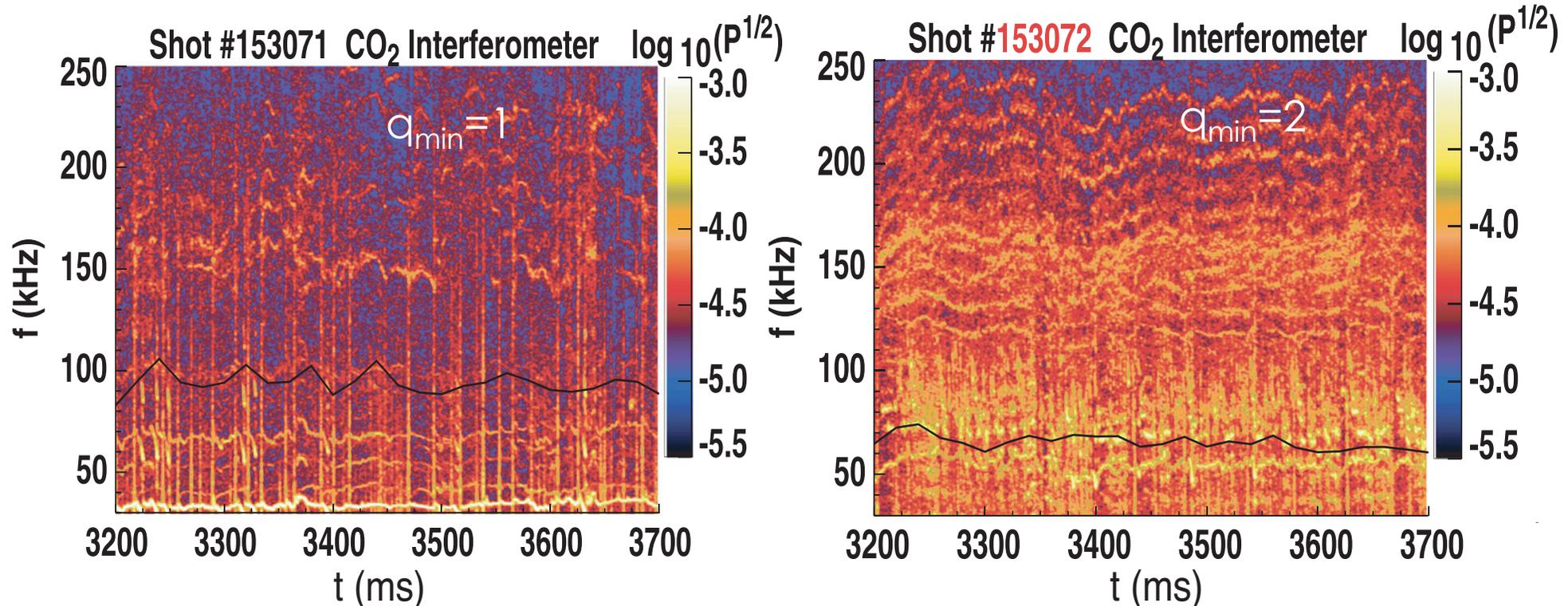
Model features:

- Highly reduced → inexpensive
- Increasingly automated, minimal human judgment required
- **Fully physics-based!** No “fudge factors” or AE inputs from experiment.
- Solves for EP profile and diffusion coefficient (usable in TRANSP)

Four DIII-D cases test TGLF-EP+Alpha validity across regimes

TGLF-EP+Alpha is increasingly integrated into the GACODE workflow, enabling rapid turnaround of cases. Here we examine four H-mode cases.

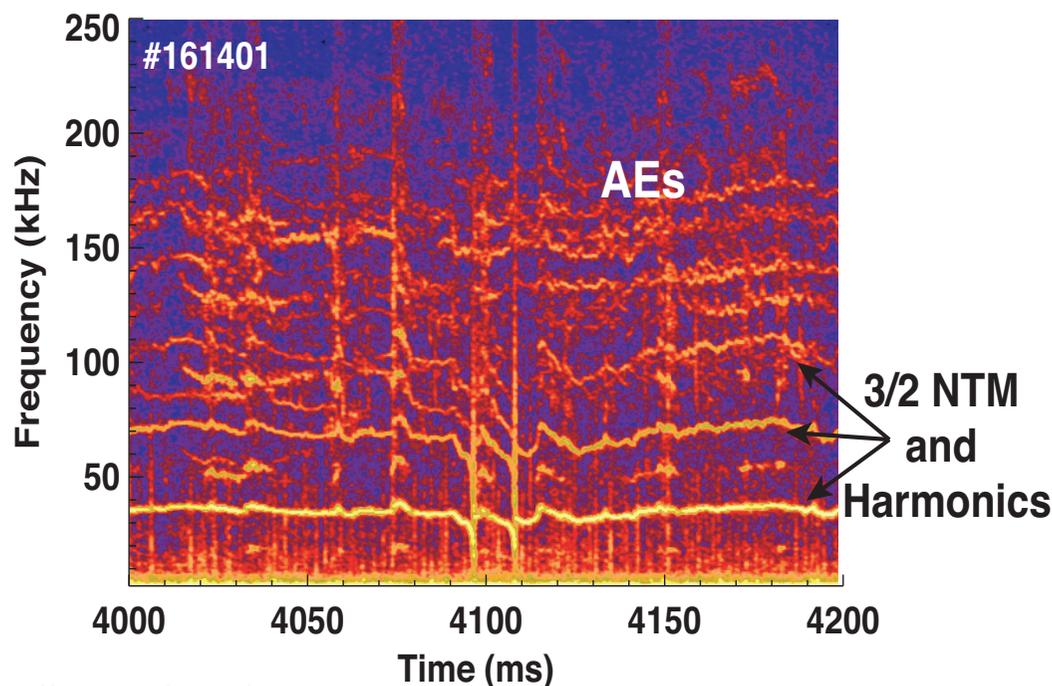
- I. $q_{\min}=1$: Shot 153071. Beam heated discharge, monotonic q with $q_{\min}=1$ at axis. Low central shear. Minimal EP flattening by AEs
- II. $q_{\min}=2$: Shot 153072. Similar to Case I, but with $q_{\min}=2$ and 40% lower thermal beta. Much greater EP flattening.



Four DIII-D cases test TGLF-EP+Alpha validity across regimes

TGLF-EP+Alpha is increasingly integrated into the GACODE workflow, enabling rapid turnaround of cases. Here we examine four H-mode cases.

- I. $q_{\min}=1$: Shot 153071. Beam heated discharge, monotonic q with $q_{\min}=1$ at axis. Low central shear. Minimal EP flattening by AEs
- II. $q_{\min}=2$: Shot 153072. Similar to Case I, but with $q_{\min}=2$ and 40% lower thermal beta. Much greater EP flattening.
- III. **hybrid**: Shot 161401. ITER steady-state-relevant scenario with strong EP flattening driven by AEs and a 3/2 tearing mode.



Four DIII-D cases test TGLF-EP+Alpha validity across regimes

TGLF-EP+Alpha is increasingly integrated into the GACODE workflow, enabling rapid turnaround of cases. Here we examine four H-mode cases.

- I. $q_{\min}=1$: Shot 153071. Beam heated discharge, monotonic q with $q_{\min}=1$ at axis. Low central shear. Minimal EP flattening by AEs
- II. $q_{\min}=2$: Shot 153072. Similar to Case I, but with $q_{\min}=2$ and 40% lower thermal beta. Much greater EP flattening.
- III. **hybrid**: Shot 161401. ITER steady-state-relevant scenario with strong EP flattening driven by AEs and a 3/2 tearing mode.
- IV. **Super H-mode**: Shot 171322. A high-confinement H-mode with relatively little EP transport.

Agreement with experiment is mixed, but extremely encouraging for a such a reduced model. **Big differences from experiment tend to show too little AE transport.**

C. T. Holcomb et al., PoP **22**, 055904 (2015)
W. W. Heidbrink et al., PPCF **56**, 095030 (2014)
N. N. Gorelenkov et al., NF **56**, 112015 (2016)

G. J. Kramer et al., NF **57**, 056024 (2017)
Zhen-Zhen Ren et al., PoP **25**, 122504 (2018)
C.C. Petty et al., NF **57**, 116057 (2017)

- I. Introduction
- II. TGLF-EP+Alpha local critical-gradient model of Alfvén eigenmode (AE)-driven energetic particle (EP) transport**
- III. Validation against discharges from four scenarios in DIII-D discharges
- IV. Summary

The 1D Alpha EP density transport code uses the stiff critical gradient model based on local nonlinear 2010 GYRO simulations¹

“Alpha” transport EP continuity equation

$$\frac{\partial n_{EP}}{\partial t} = S \left(1 - \frac{n_{EP}}{n_{SD}} \right) - \nabla \cdot \Gamma_{EP} \rightarrow 0$$

fusion or beam source

slowing-down sink (plasma heating)

Diffusive EP flux:

$$\Gamma_{EP} = - (D_{\text{micro}} + D_{\text{AE}}) \nabla_r n_{EP}$$

For present DIII-D cases, D_{micro} is effectively shut off.

Critical gradient as a function of r determined by TGLF-EP, the **crucial input**.

Boundary condition: Edge n_{EP} is set to zero (pessimistic edge loss estimate).

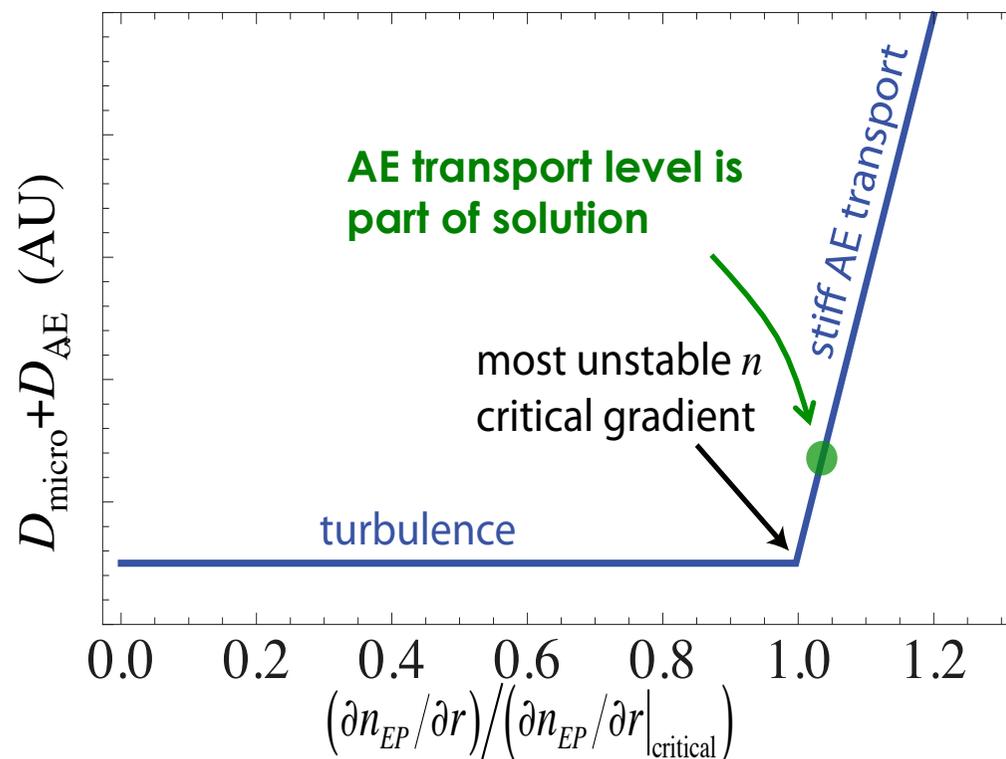
$$S = n_D n_T \langle \sigma v \rangle_{DT}$$

fusion source

$$n_{SD} = \int_0^\infty \frac{S \tau_s}{2} \frac{\Theta(E_\alpha - E)}{E_c^{3/2} + E^{3/2}} E^{1/2} dE$$

classical slowing-down density

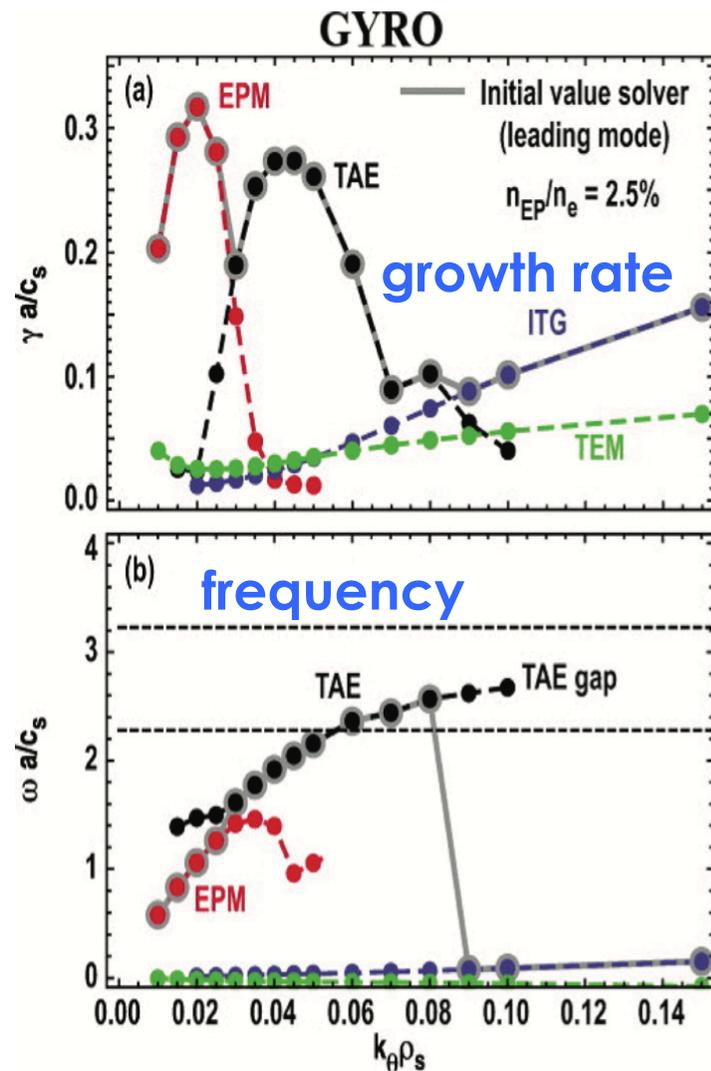
Gaffey 1976



¹E.M. Bass and R.E. Waltz, PoP **17** 112319 (2010)

²Angioni and Peters, PoP **15** 052307 (2008)

TGLF-EP code uses the gyro-Landau fluid TGLF model to find the AE-EP critical gradient where $\gamma_{AE} \rightarrow 0$



A local linear stability analysis is required to find the local critical gradient. **We can use GYRO (gyrokinetic), but it's expensive and time consuming.**

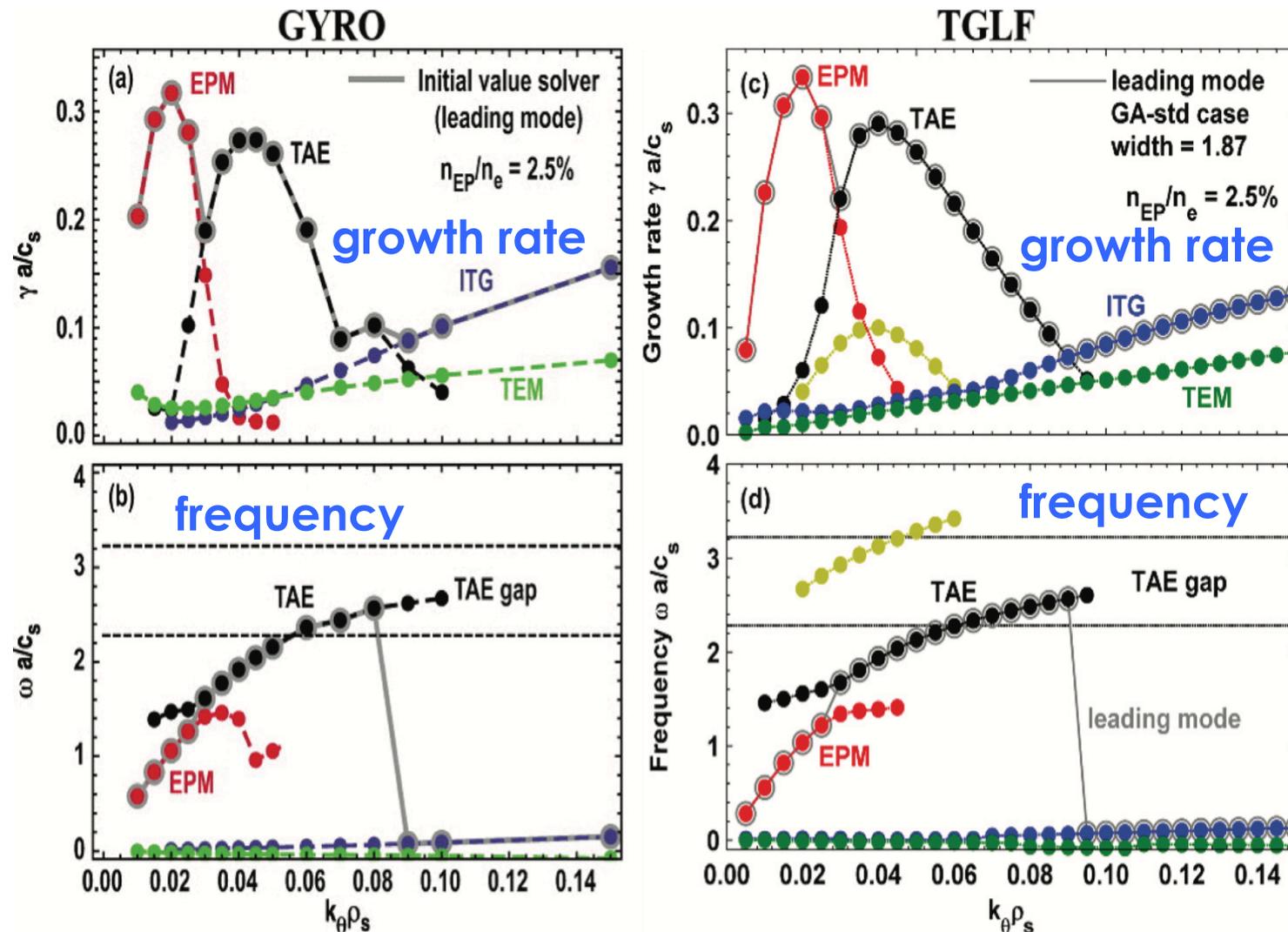
Benchmark GYRO simulations in ITER-like conditions track two main AE branches (with Maxwellian EPs).

Specially tuned, TGLF (gyro-Landau fluid) matches GYRO (gyrokinetic) AE spectrum well, but is **>100 times cheaper.**

TGLF-EP¹: A parallelized, automated wrapper that searches across mode number and drive strength for the critical gradient.

¹He Sheng, R.E. Waltz, and G.M. Staebler, PoP **24**, 072305 (2017)

TGLF-EP code uses the gyro-Landau fluid TGLF model to find the AE-EP critical gradient where $\gamma_{AE} \rightarrow 0$



A local linear stability analysis is required to find the local critical gradient. **We can use GYRO (gyrokinetic), but it's expensive and time consuming.**

Benchmark GYRO simulations in ITER-like conditions track two main AE branches (with Maxwellian EPs).

Specially tuned, TGLF (gyro-Landau fluid) matches GYRO (gyrokinetic) AE spectrum well, but is **>100 times cheaper.**

TGLF-EP¹: A parallelized, automated wrapper that searches across mode number and drive strength for the critical gradient.

¹He Sheng, R.E. Waltz, and G.M. Staebler, PoP **24**, 072305 (2017)

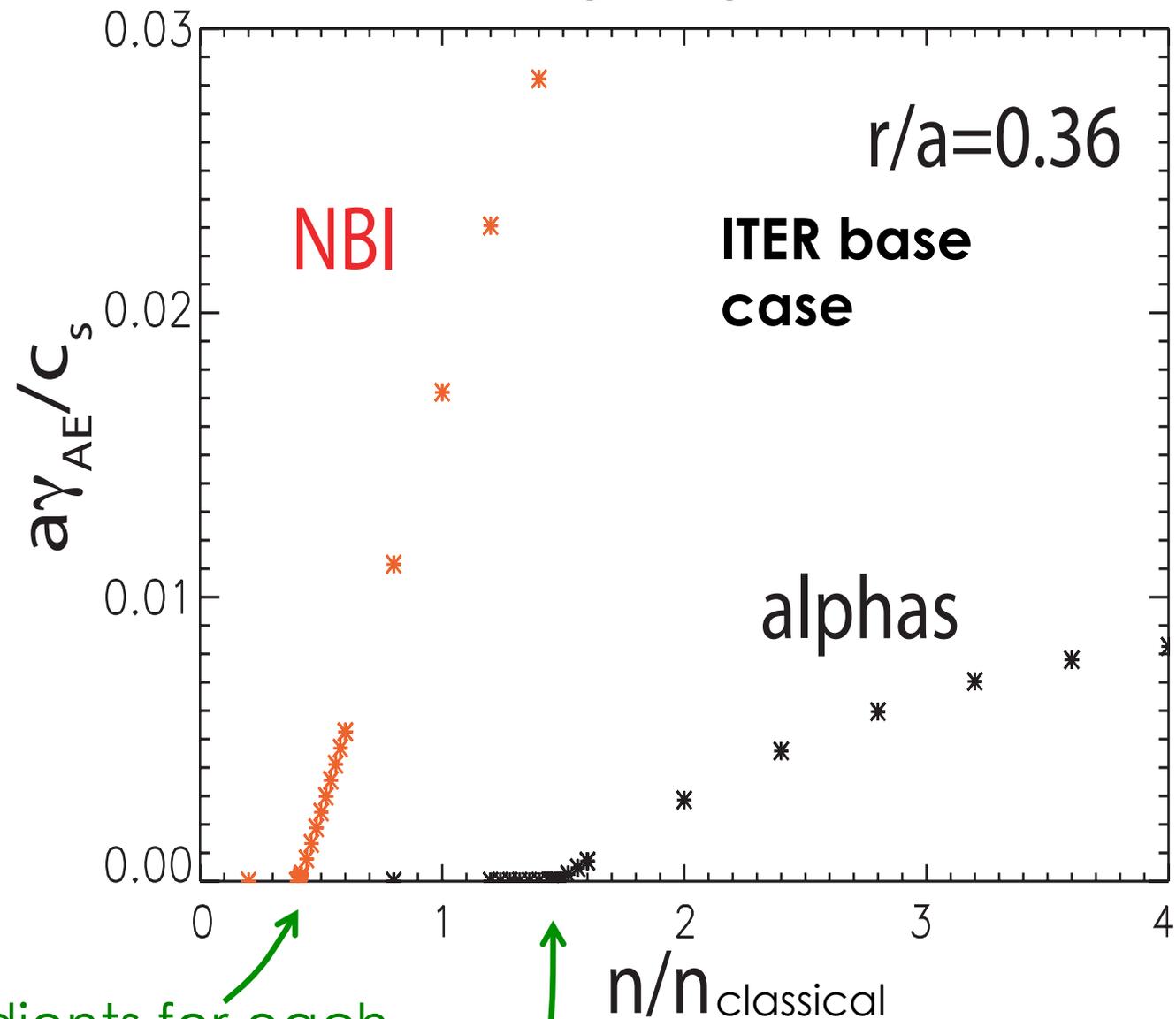
TGLF-EP automatically finds the most-unstable AE critical gradient at each radius

The TGLF-EP automated algorithm filters by frequency and eigenfunction (no tearing parity) to select only EP-driven AEs.

A range of toroidal n (actually $k_{\theta}\rho_{EP}$) tested and lowest critical gradient (earliest unstable) selected.

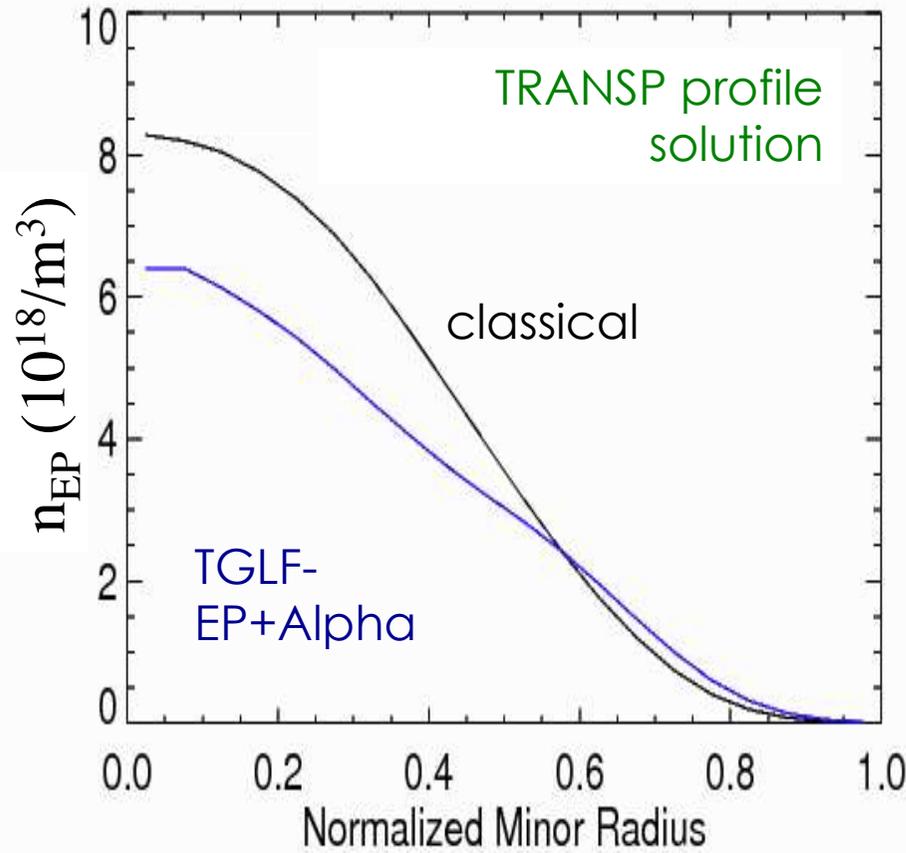
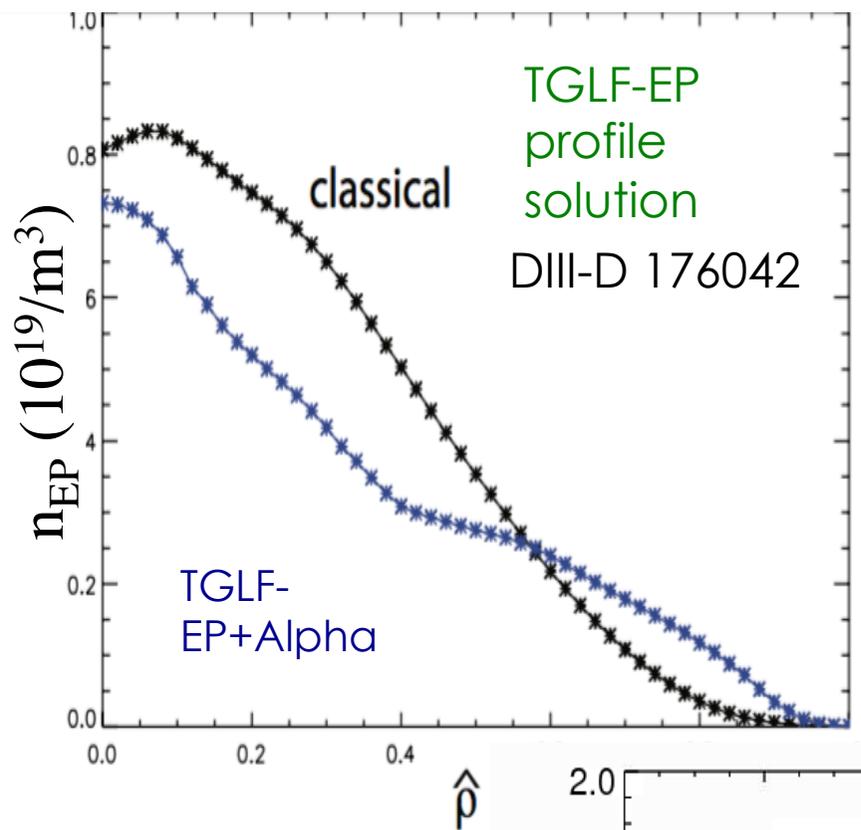
The present DIII-D cases have only one driving EP species: NBI ions.

Leading AE growth rate



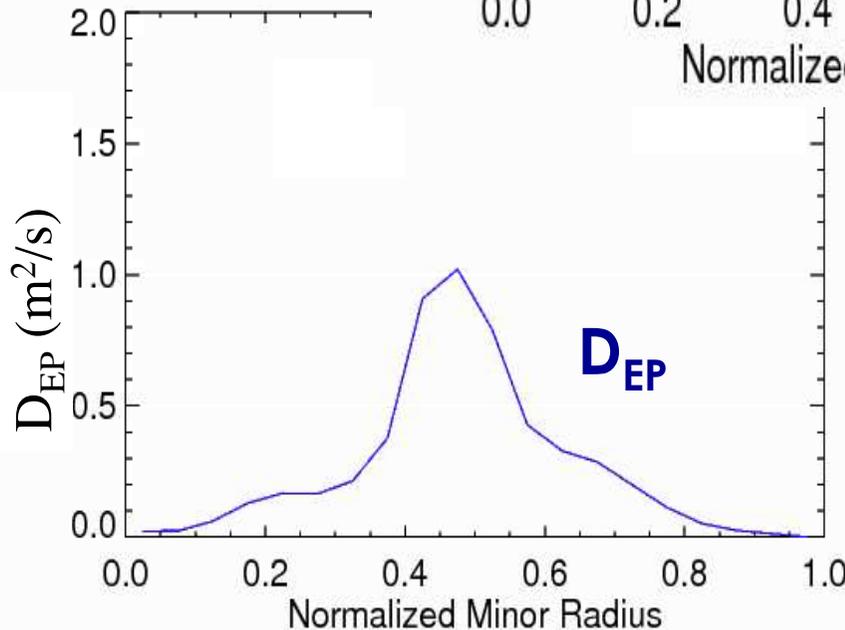
critical gradients for each species considered alone

The TGLF-EP+Alpha validation workflow feeds the predicted EP diffusion coefficient back into TRANSP



TRANSP profile has "smearing" from finite orbits → more center drop.

TGLF-EP+Alpha gives both profile and D_{EP} .



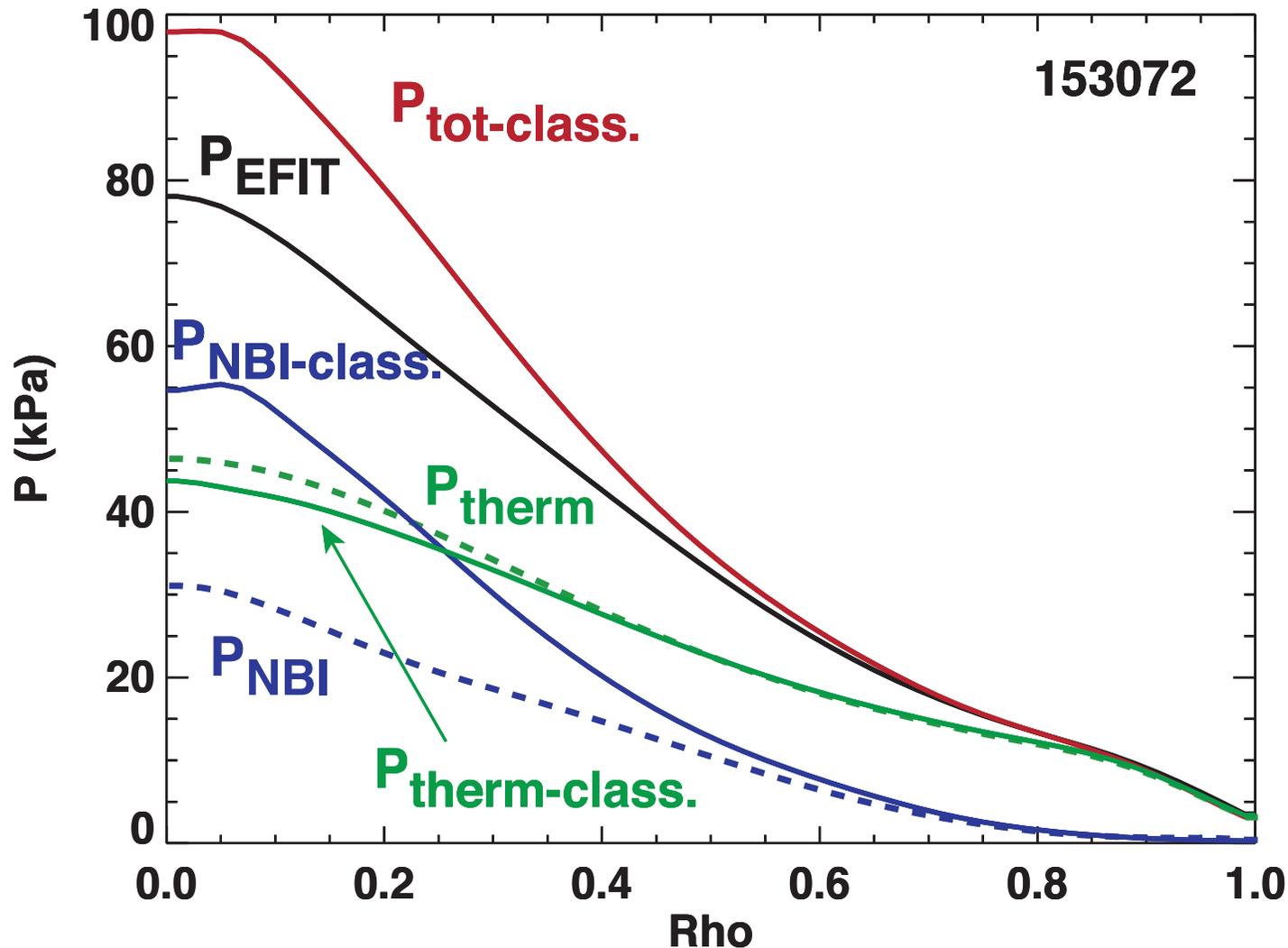
Feed D_{EP} back to TRANSP.

TRANSP enables "easy" calculation of neutron rate and FIDA signal.

Neutrons:
class/expt. ≈ 1.8
TGLF-EP/expt. ≈ 1.5

The experimental EP pressure profile is determined as the difference between EFIT total pressure and thermal pressure

Pressure profiles



EFIT finds a Grad-Shafranov pressure solution below the classical value.

The experimental NBI (beam EP) pressure is the **EFIT pressure minus thermal pressure**, with a small quasineutrality correction in the thermal pressure.

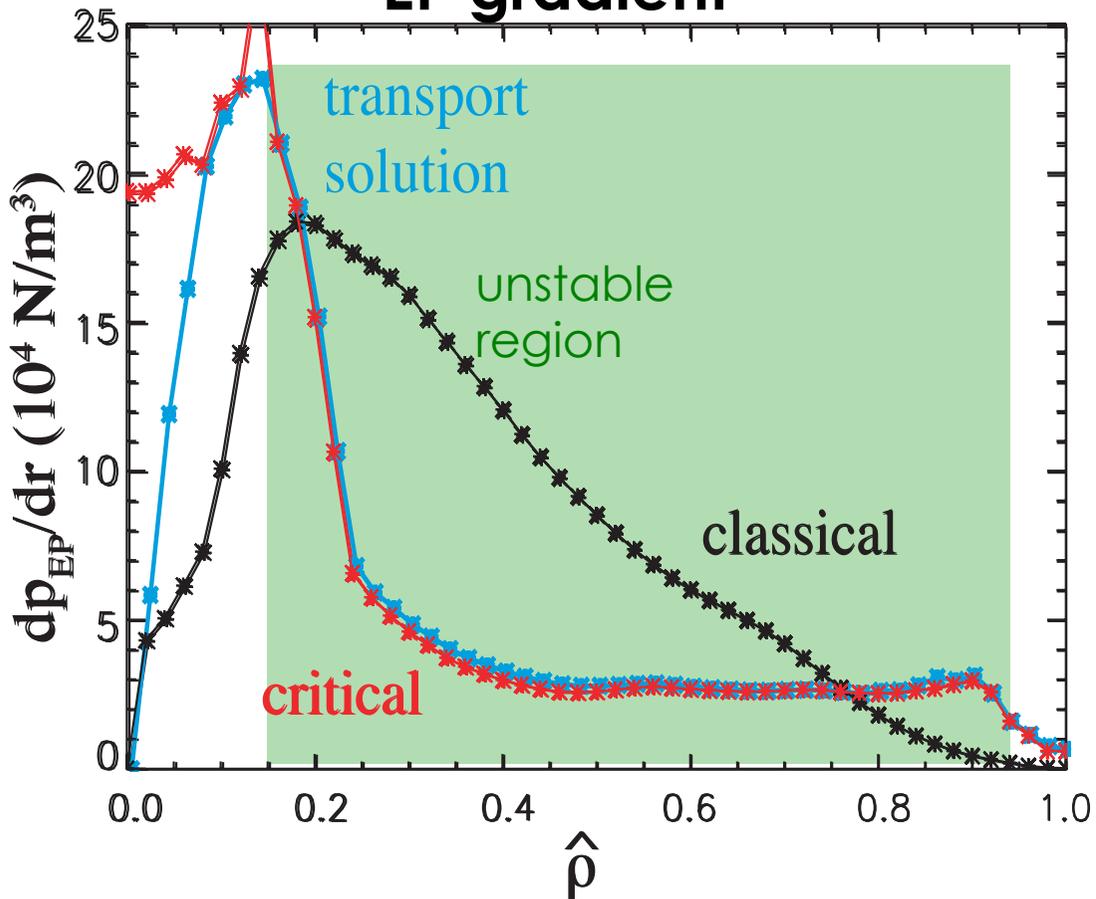
We will compare the TGLF-EP+Alpha+TRANSP pressure profile with this experimental beam EP pressure.

Outline

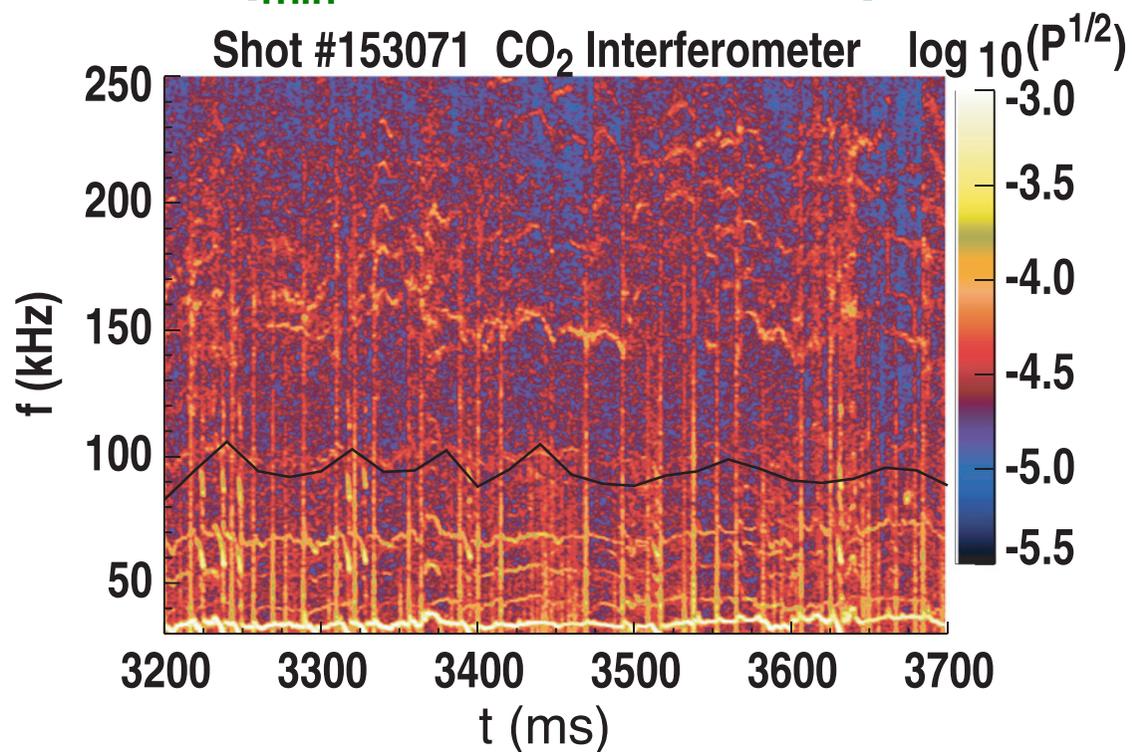
- I. Introduction
- II. TGLF-EP+Alpha local critical-gradient model of Alfvén eigenmode (AE)-driven energetic particle (EP) transport
- III. Validation against discharges from four scenarios in DIII-D discharges**
- IV. Summary

The $q_{\min}=1$ case has finite but small transport

EP gradient

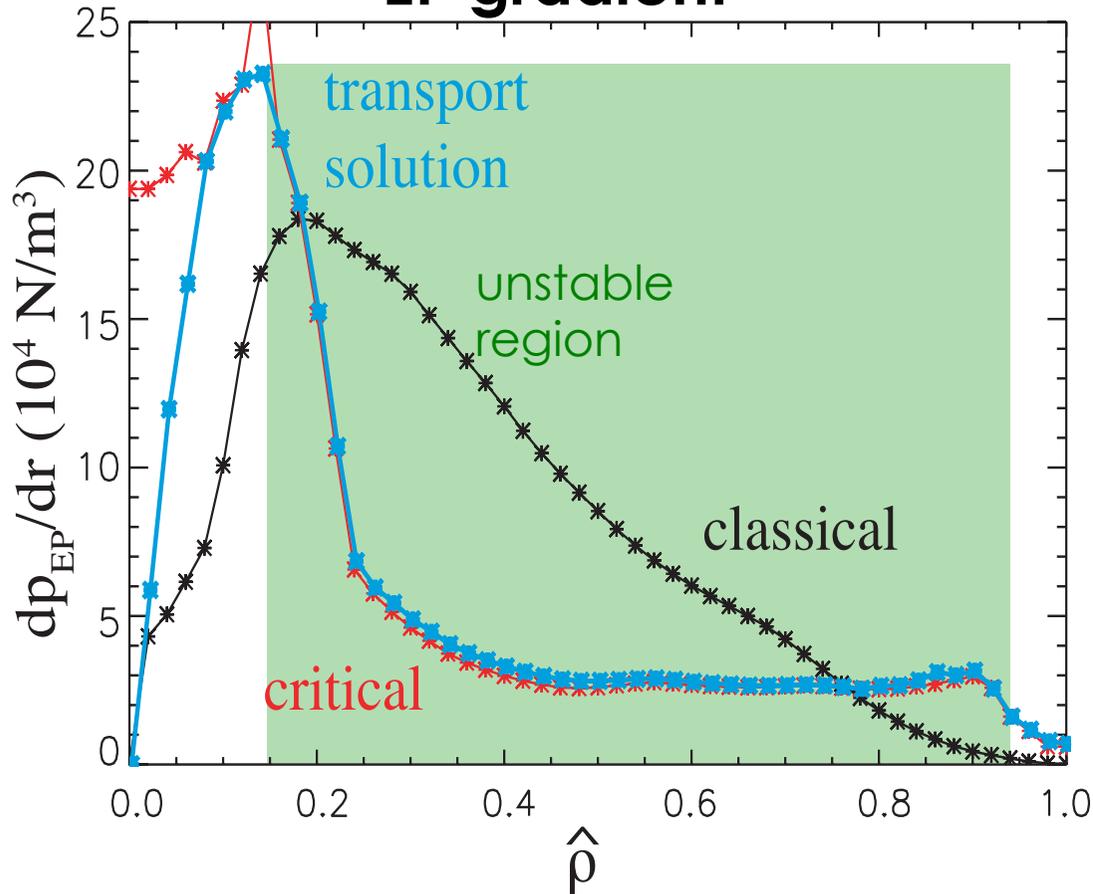


$q_{\min}=1$, low AE activity

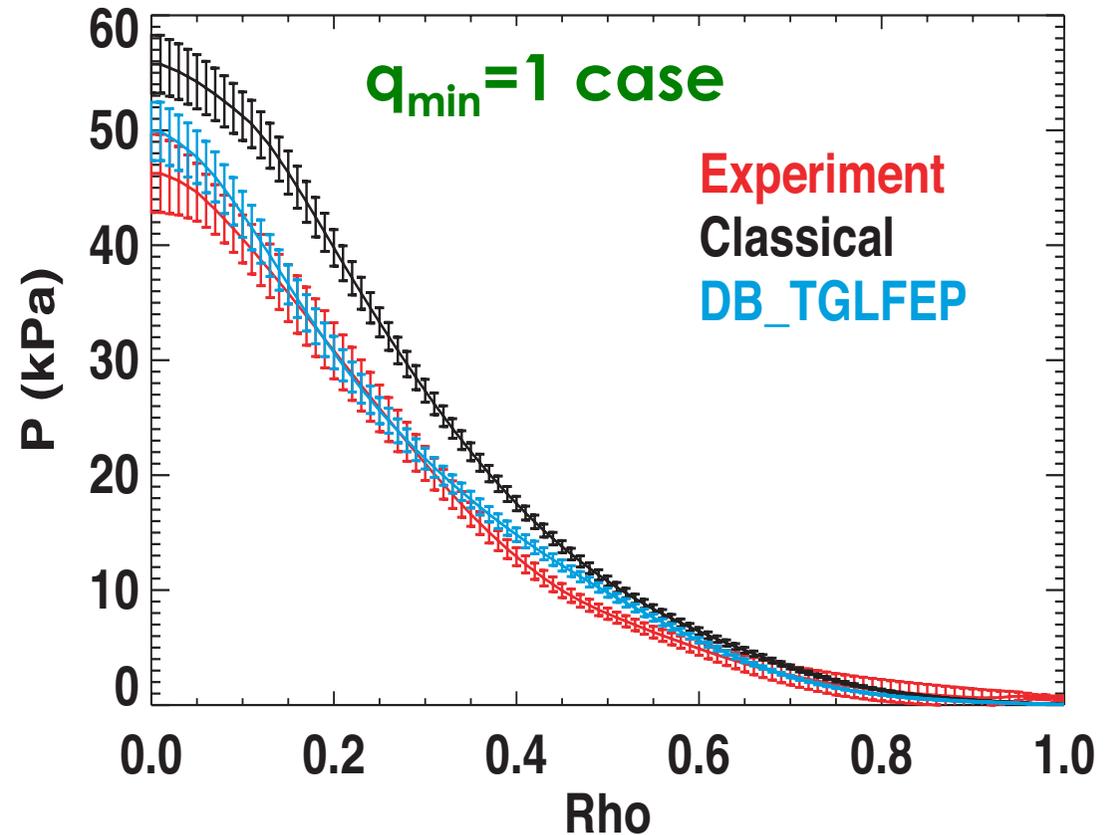


The $q_{\min}=1$ case has finite but small transport

EP gradient



EP pressure profile



Neutrons:

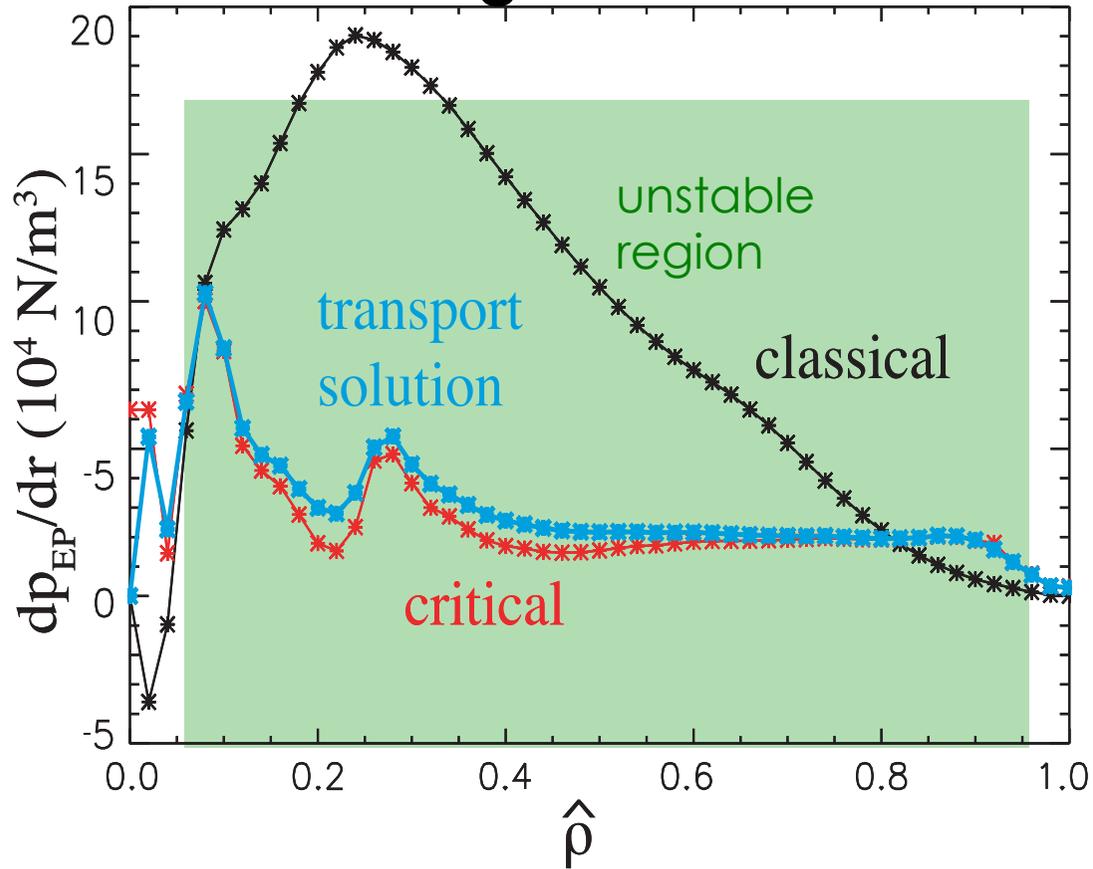
Classical/expt.: 1.23 ± 0.02

TGLF-EP+Alpha/expt.: 1.024 ± 0.02

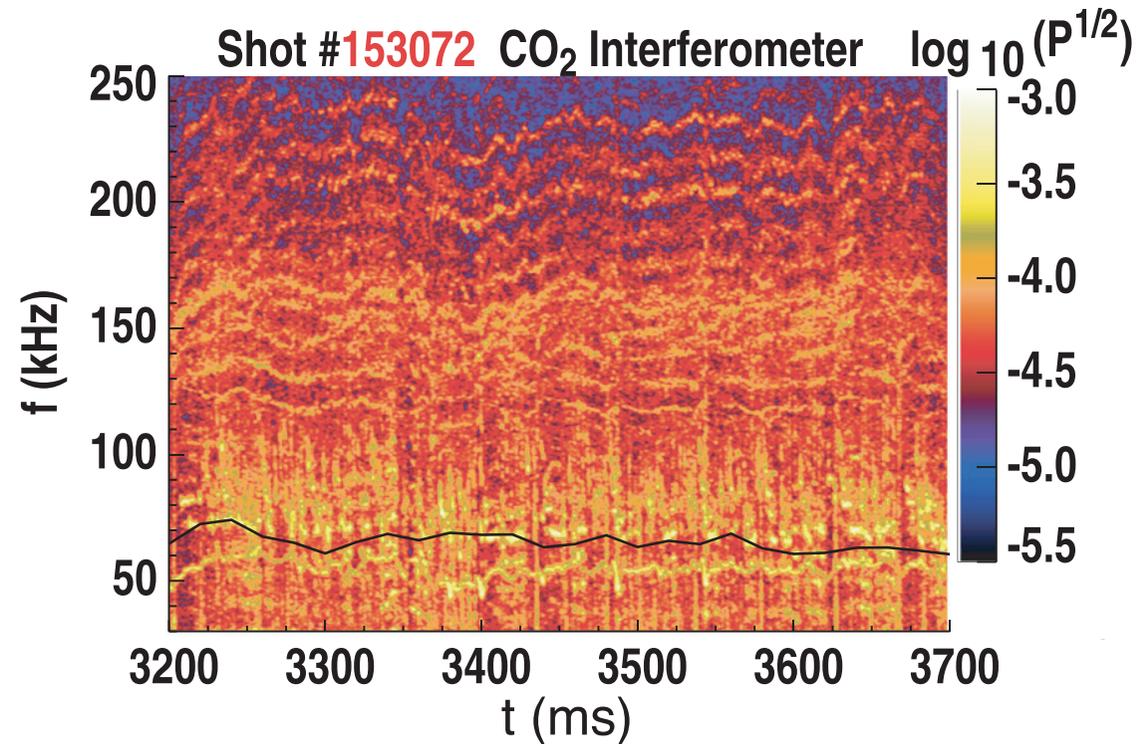
Only very slight over-prediction of EP pressure and neutrons, **solid agreement.**

The $q_{\min}=2$ case has much stronger AE transport

EP gradient

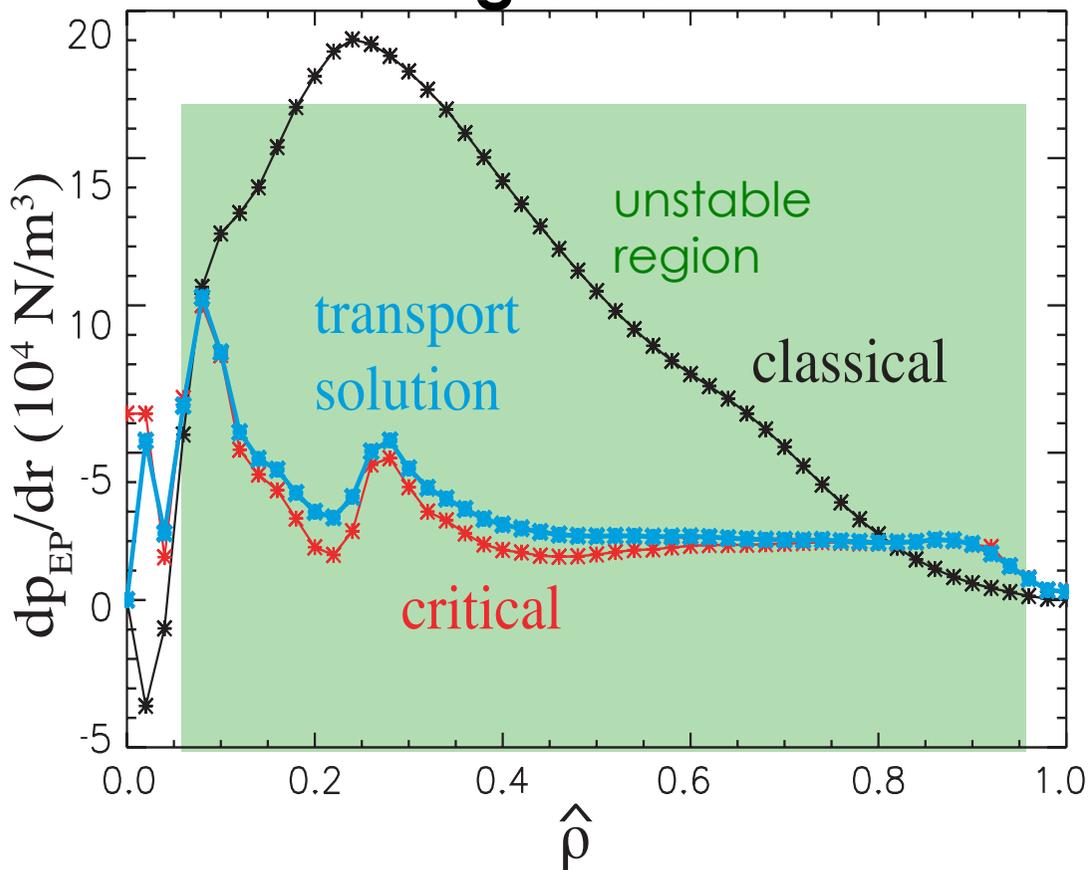


$q_{\min}=2$, high AE activity

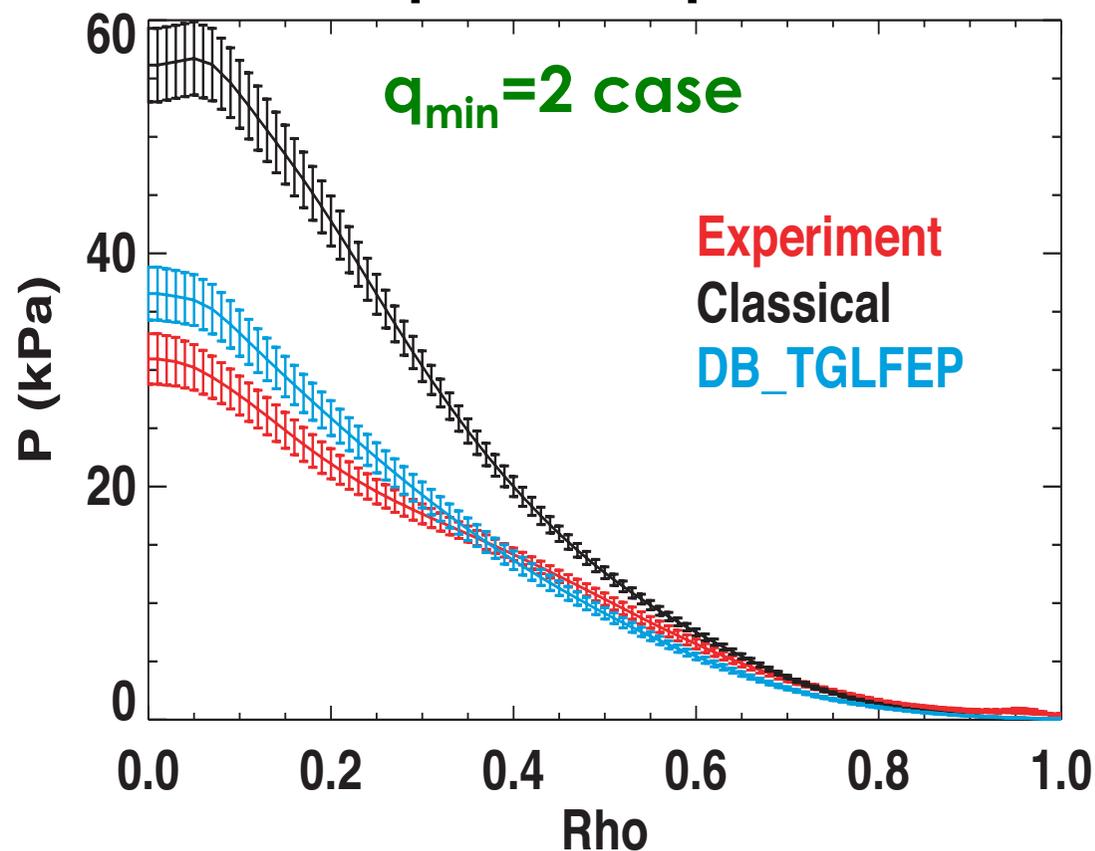


The $q_{\min}=2$ case has much stronger AE transport

EP gradient



EP pressure profile



Neutrons:

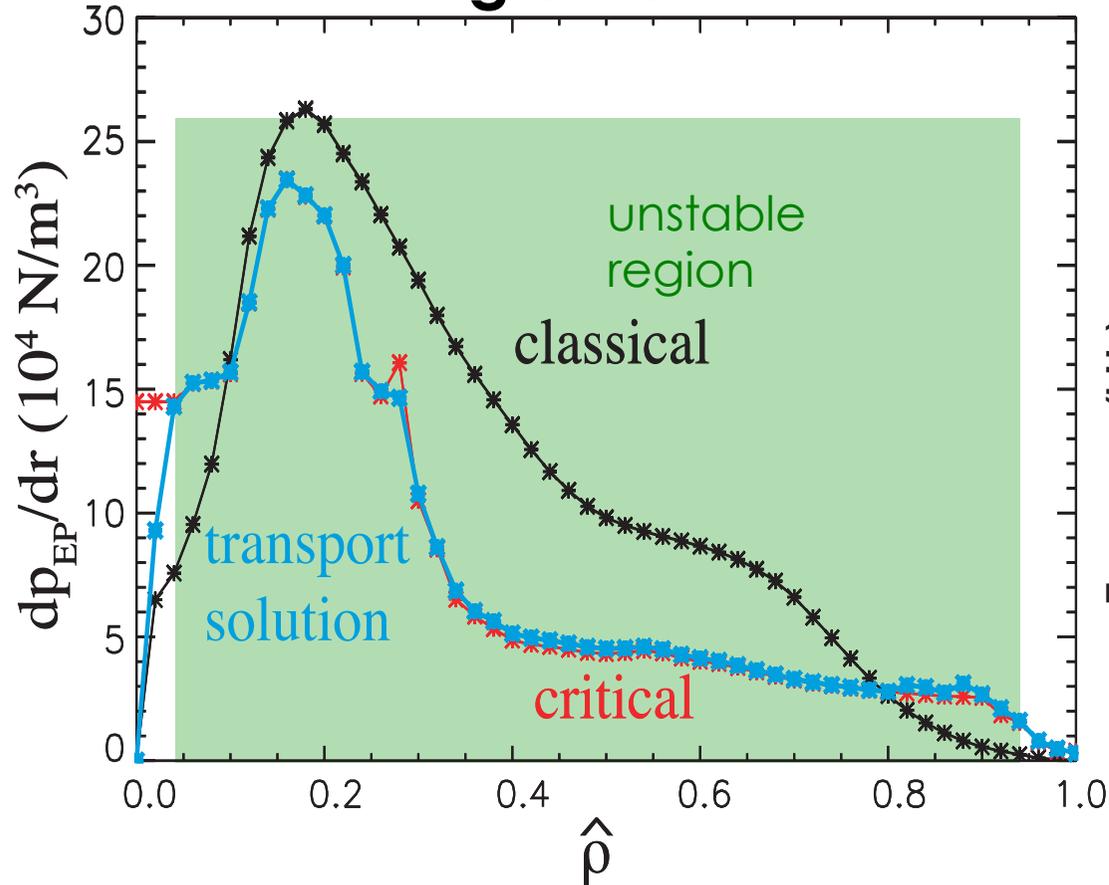
Classical/expt.: 1.79 ± 0.10

TGLF-EP+Alpha/expt.: 1.21 ± 0.06

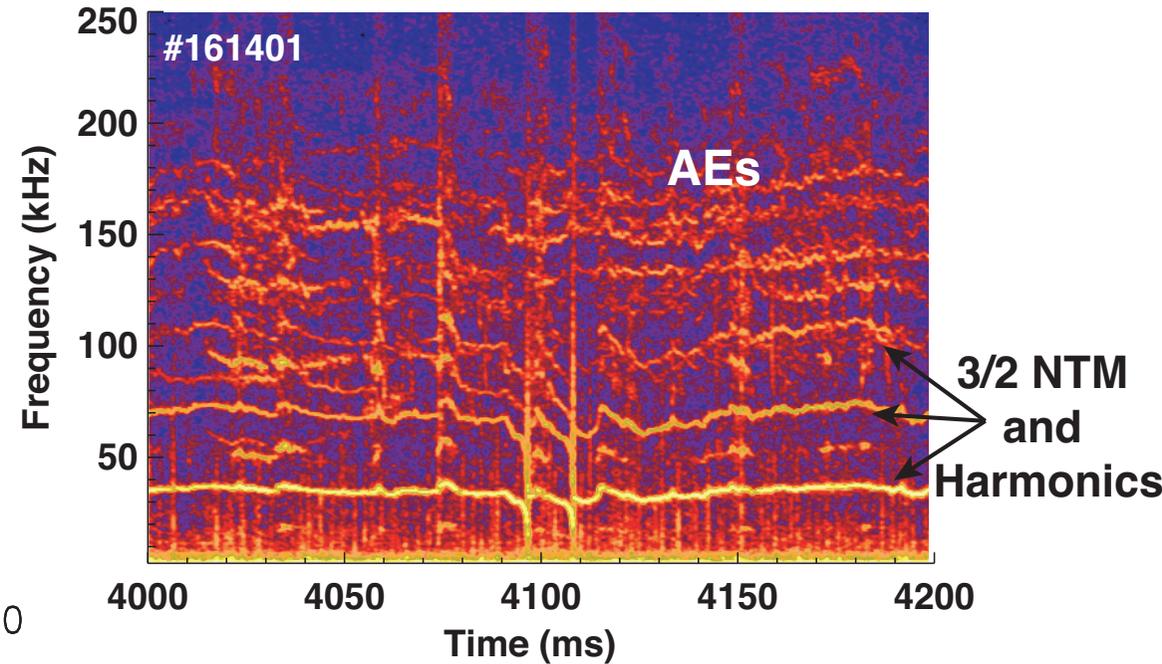
Roughly 20% over-prediction of EP pressure and neutrons, but **trend (increase $q \rightarrow$ increase transport) clearly captured.**

The hybrid case has large EP loss from AEs and a tearing mode missed by TGLF-EP

EP gradient

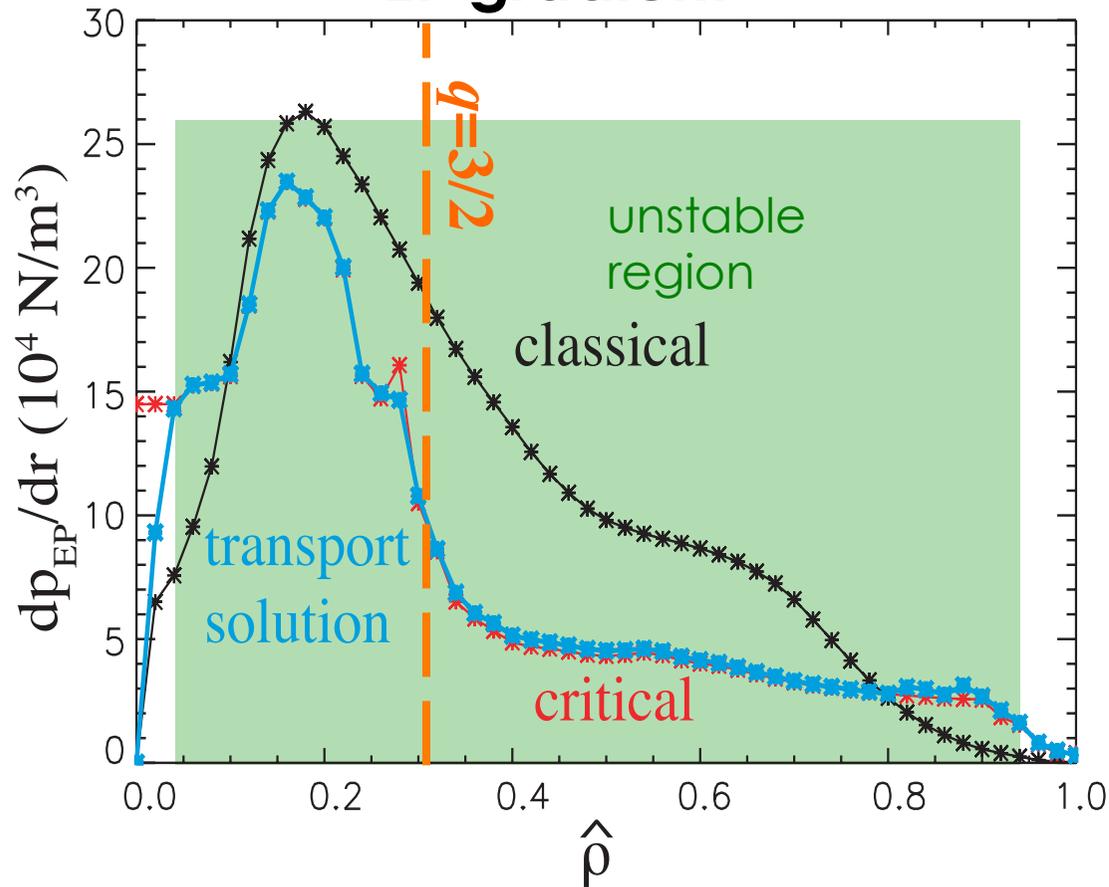


hybrid, destructive 3/2 TM

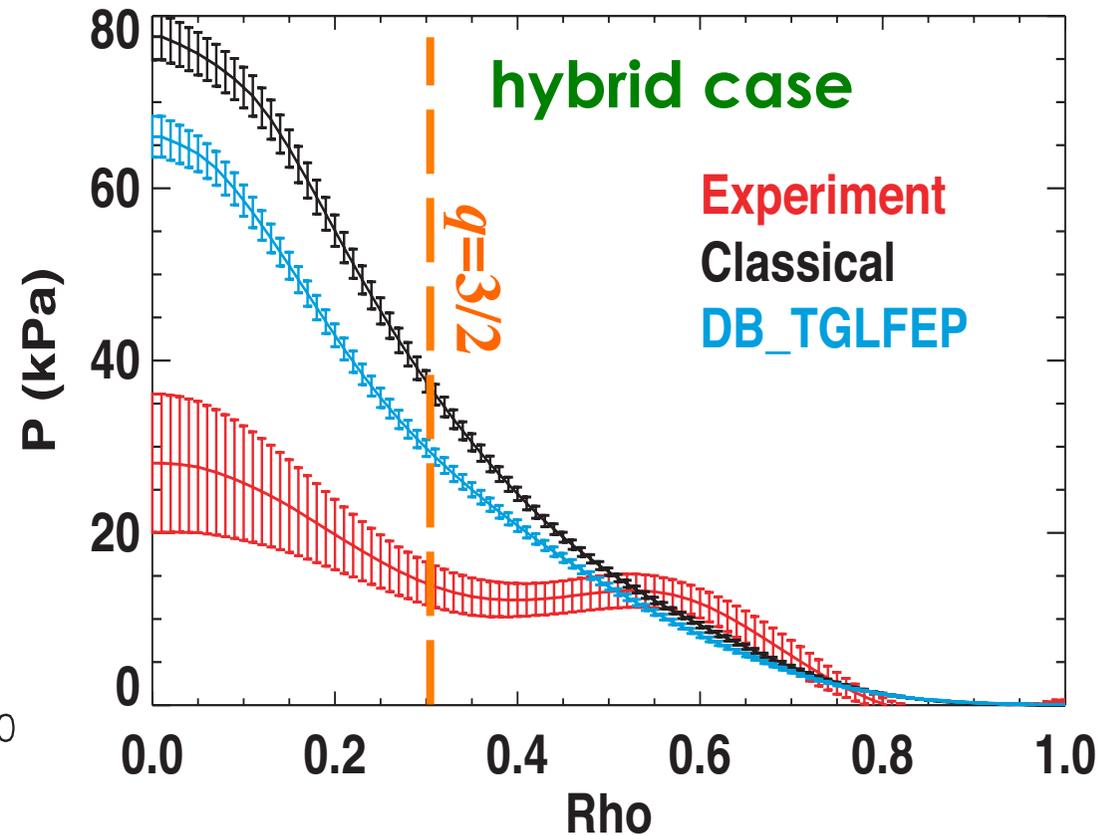


The hybrid case has large EP loss from AEs and a tearing mode missed by TGLF-EP

EP gradient



EP pressure profile



Neutrons:

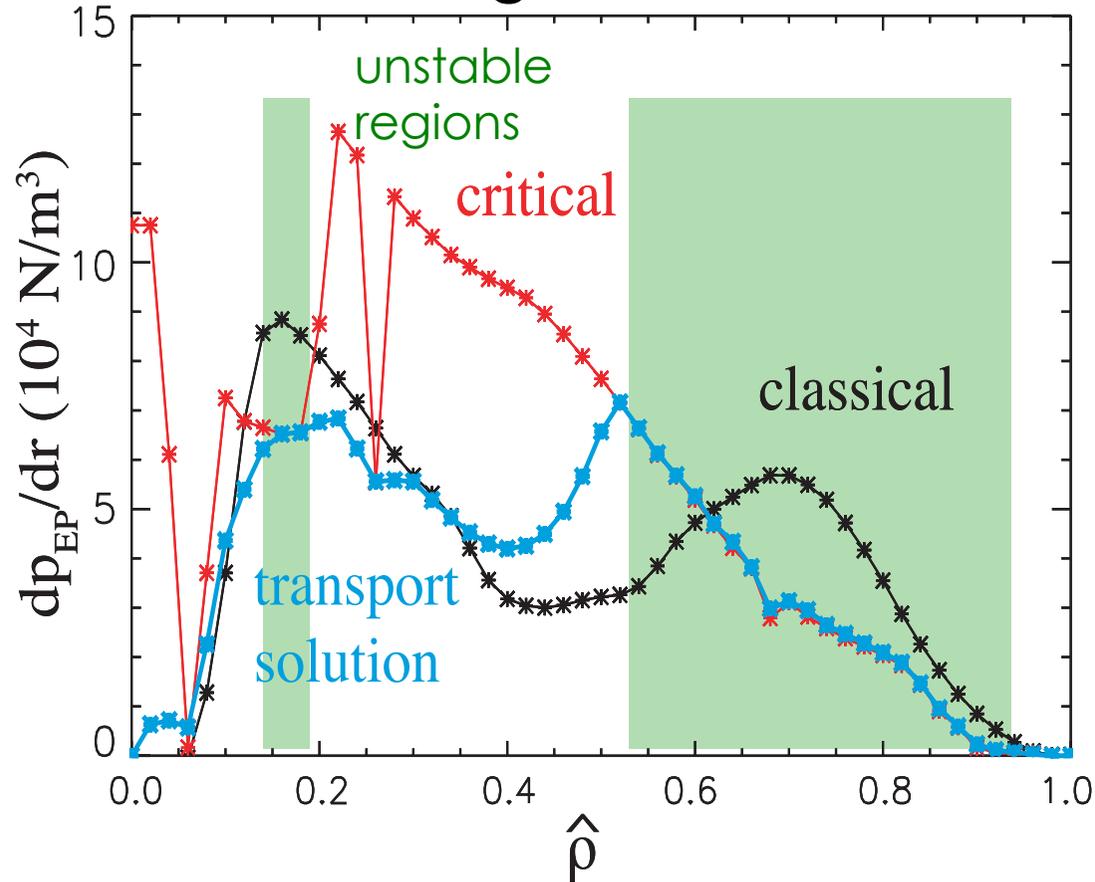
Classical/expt.: 1.66 ± 0.10

TGLF-EP+Alpha/expt.: 1.44 ± 0.09

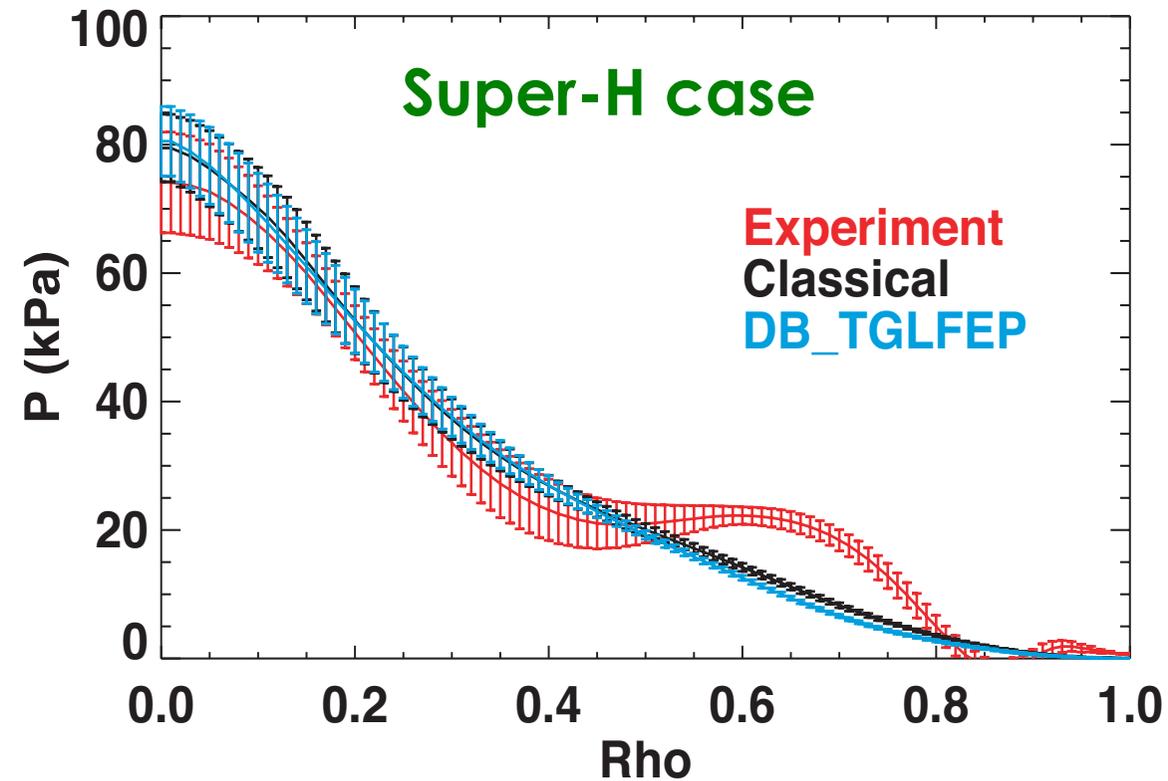
Most experimental EP deficit unaccounted for. The observed **3/2 tearing mode** (missing in TGLF-EP) is the likely cause.

TGLF-EP shows very little AE activity in the super H-mode

EP gradient



EP pressure profile



Neutrons:

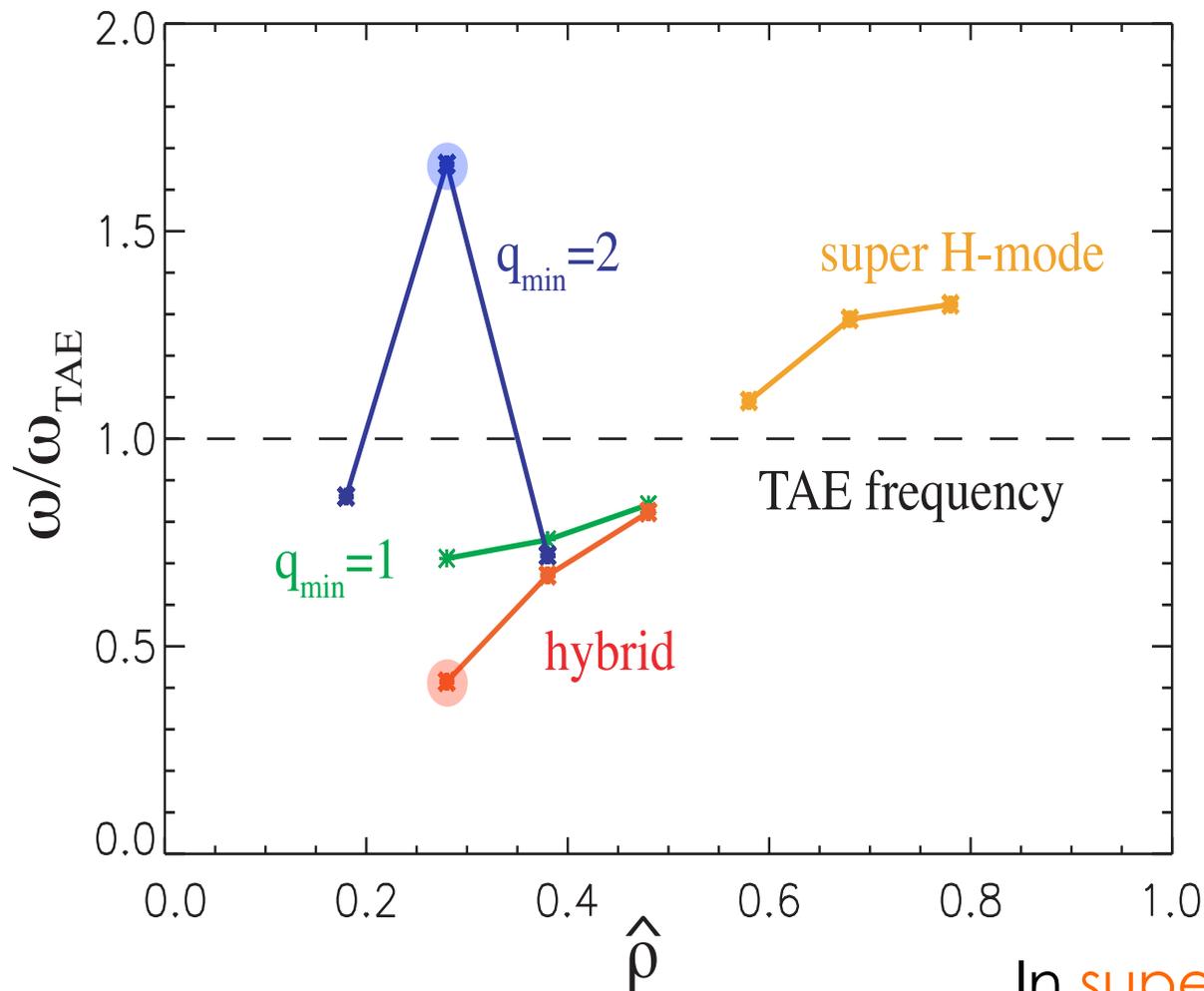
Classical/expt.: 0.99 ± 0.15

TGLF-EP+Alpha/expt.: 0.97 ± 0.15

Within fit error, neutrons and the **experiment and TGLF-EP+Alpha** EP pressure **are basically classical.**

Leading local mode frequencies span AE range, possibly BAEs or EAEs are present

Frequencies at radii near instability peak
(most unstable $k_{\theta}\rho_{EP}$)



Frequency jumps across domain are uncommon, but sometimes occur.

Structure of $q_{\min}=1$, $q_{\min}=2$, and hybrid cases generally TAE-like: wide or double-peaked in ballooning angle.

Low frequencies in hybrid case might be BAEs (seen in M3D-K¹).

In super H-mode case, most unstable modes are narrow in ballooning-space (low k_r). Possibly EAEs.

¹Zhen-Zhen Ren et al., PoP **25**, 122504 (2018)

Outline

- I. Introduction
- II. TGLF-EP+Alpha local critical-gradient model of Alfvén eigenmode (AE)-driven energetic particle (EP) transport
- III. Validation against discharges from four scenarios in DIII-D discharges
- IV. Summary**

Summary

- The TGLF-EP+Alpha critical gradient model of AE-EP transport has been validated across a wide range of DIII-D H-mode cases.
- TGLF-EP+Alpha agrees quite well with measurement even with the considerable simplifications used (Maxwellian EPs; critical-gradient, 1D transport; local stability and transport)
- Significant disagreement is found in the hybrid case, where a non-AE mode (a 3/2 tearing mode) likely drives additional EP transport.

Improvements for the future:

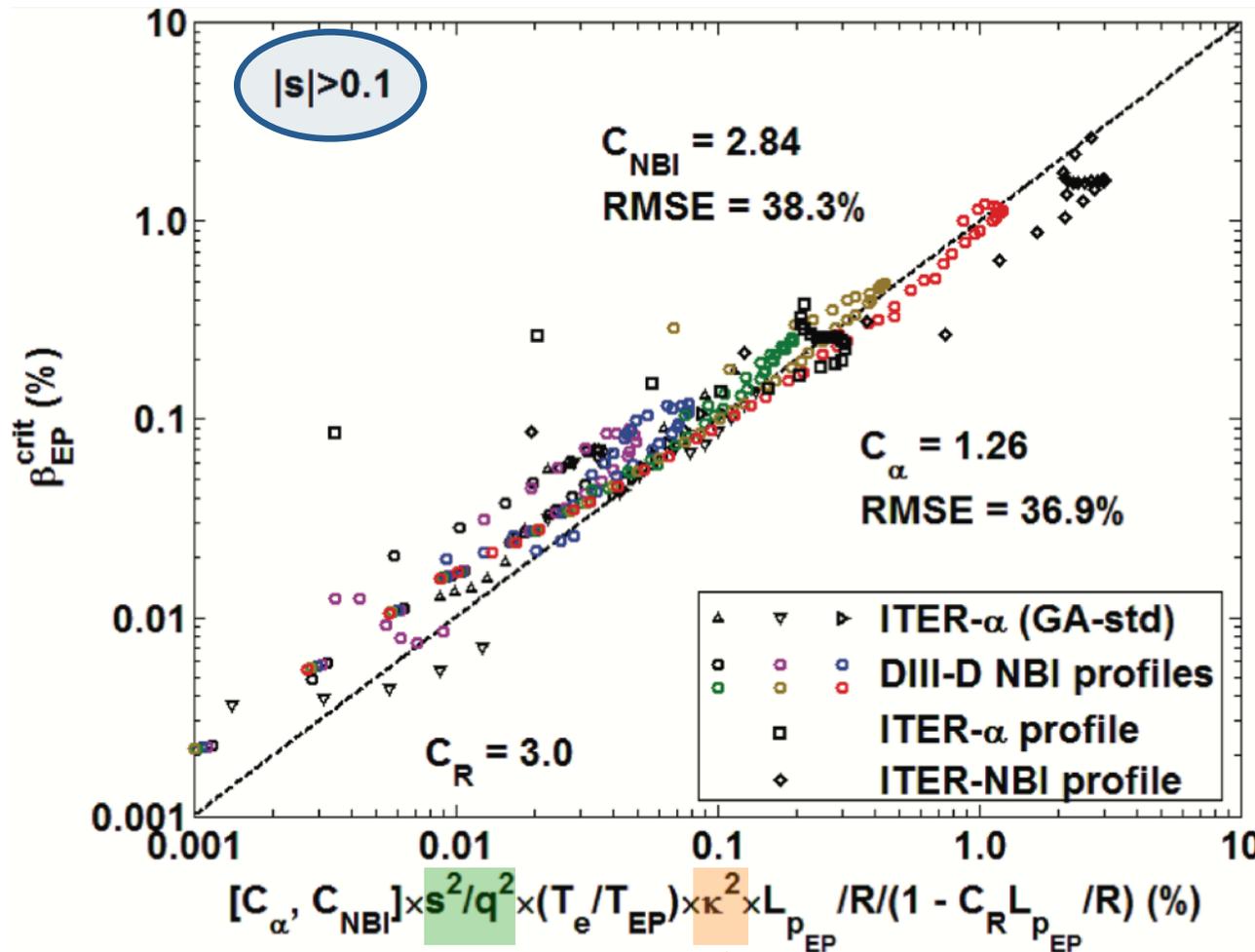
- Add energy dependence in D_{EP} from analytic model.
- Continue to streamline the workflow and make it accessible through OMFIT.
- Possibly add additional EP transport mechanisms (e.g., non-EP driven MHD).
- Pitch-angle dependence of transport (for torque and current drive modeling).
- Non-Maxwellian stability effects?

Table of plasma parameters

All values reported on axis. For q_{\min} , the lowest q is always on or very near axis ($\rho=0$ discarded).

	β_e (%)	β_i (%)	β_{EP} (%)	q_{\min}	B_t (T)	v_A/c_s
$q_{\min}=1$	2.88	3.97	4.61	1.00	1.66	8.34
$q_{\min}=2$	2.16	2.77	5.03	2.05	1.62	9.62
hybrid	2.54	3.93	5.72	1.24	1.80	8.87
super H-mode	5.13	8.02	1.78	1.24	2.03	6.25

Inexpensive, automated TGLF-EP confirms shear and elongation are stabilizing, higher q is destabilizing



The linear stability threshold (synonymous with the critical gradient absent thermal drive) spans at least three orders of magnitude for experimentally relevant parameters.

Empirical scaling of the critical EP gradient¹.

q profile dependence

Stronger elongation is also generally stabilizing.

But... Most transport occurs at very low shear, where q scaling is much weaker.

We will see that the q profile matters surprisingly little in practice.

¹He Sheng et al., PoP **24**, 072305 (2017)