

# Advances in Runaway Electron Control and Model Validation for ITER

by

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with contributions from:

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**R. Moyer**,<sup>2</sup> **D. Shiraki**,<sup>4</sup> **K. Thome**,<sup>1</sup> **P. Aleynikov**,<sup>5</sup> **D. Brennan**,<sup>6</sup>  
**L. Carbajal**,<sup>4</sup> **D. del-Castillo-Negrete**,<sup>4</sup> **O. Embreus**,<sup>7</sup>  
**T. Fulop**,<sup>7</sup> **M. Hoppe**,<sup>7</sup> **C. Liu**,<sup>6</sup> **P. Parks**,<sup>1</sup> **D. Spong**,<sup>4</sup>

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<sup>2</sup> University of California, San Diego

<sup>3</sup> Oak Ridge Associated Universities

<sup>4</sup> Oak Ridge National Laboratory

<sup>5</sup> Max-Planck Institute, Greifswald

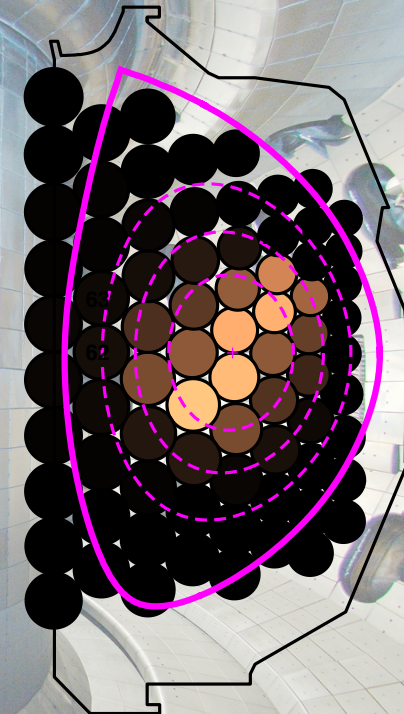
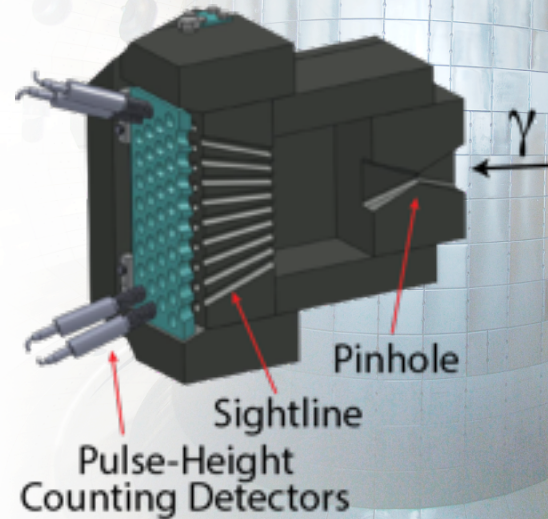
<sup>6</sup> Princeton Plasma Physics Laboratory

<sup>7</sup> Chalmers University

Presented at

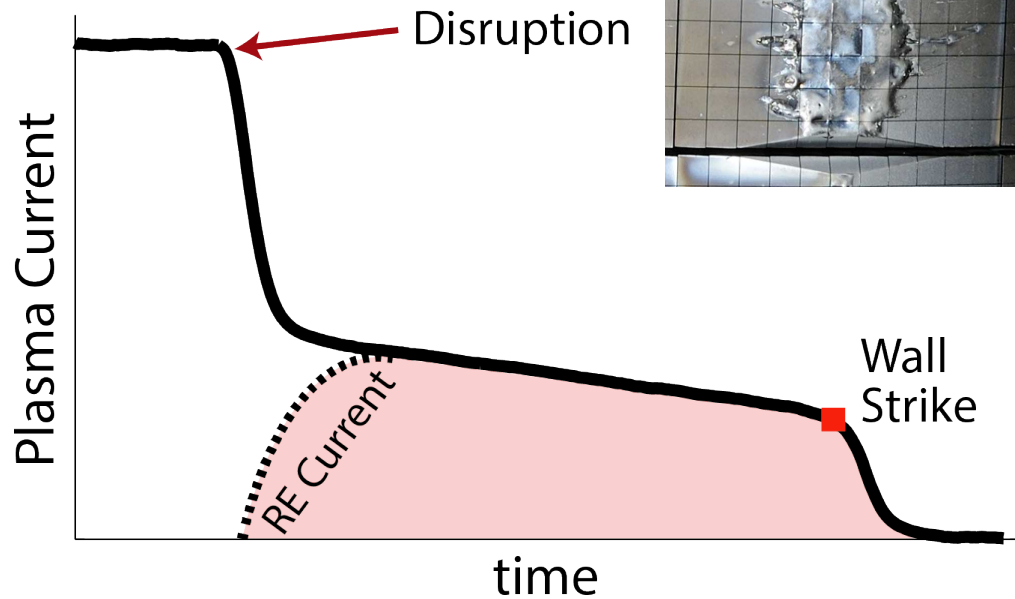
**27<sup>th</sup> IAEA Fusion Energy Conference**  
**Ahmedabad, India**

**October 22–27, 2018**



# Runaway Electron (RE) Control is an Existential Concern for Fusion-Grade Tokamaks

- REs are formed after a disruption and can damage the first wall

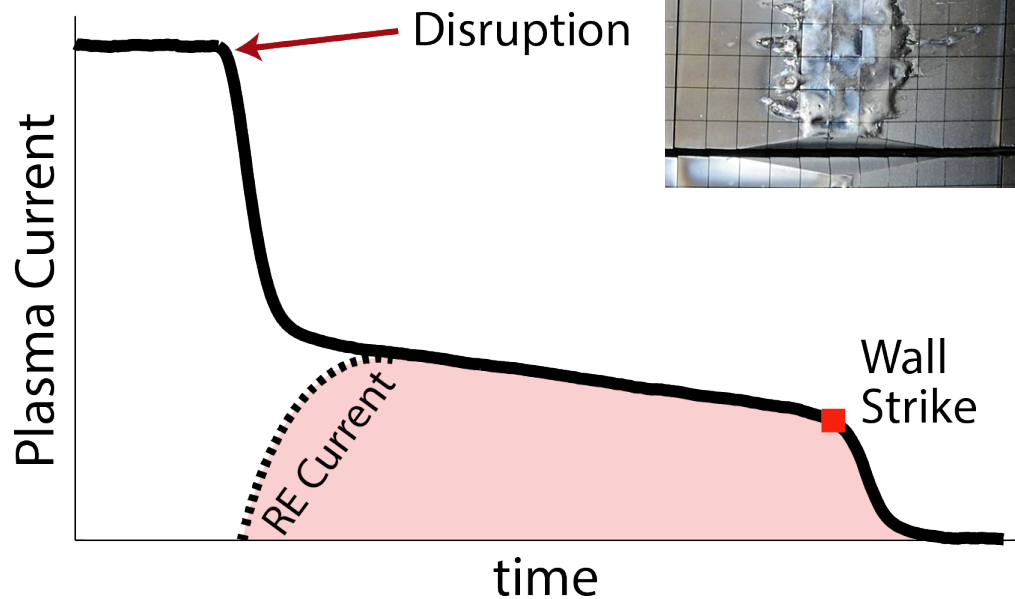


RE Strike on JET  
C. Reux et al

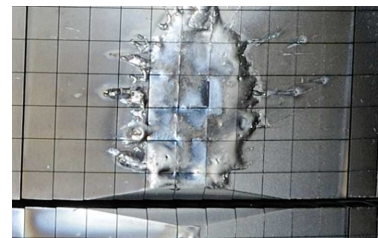


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- Talk presents experiment and modeling advances for the:

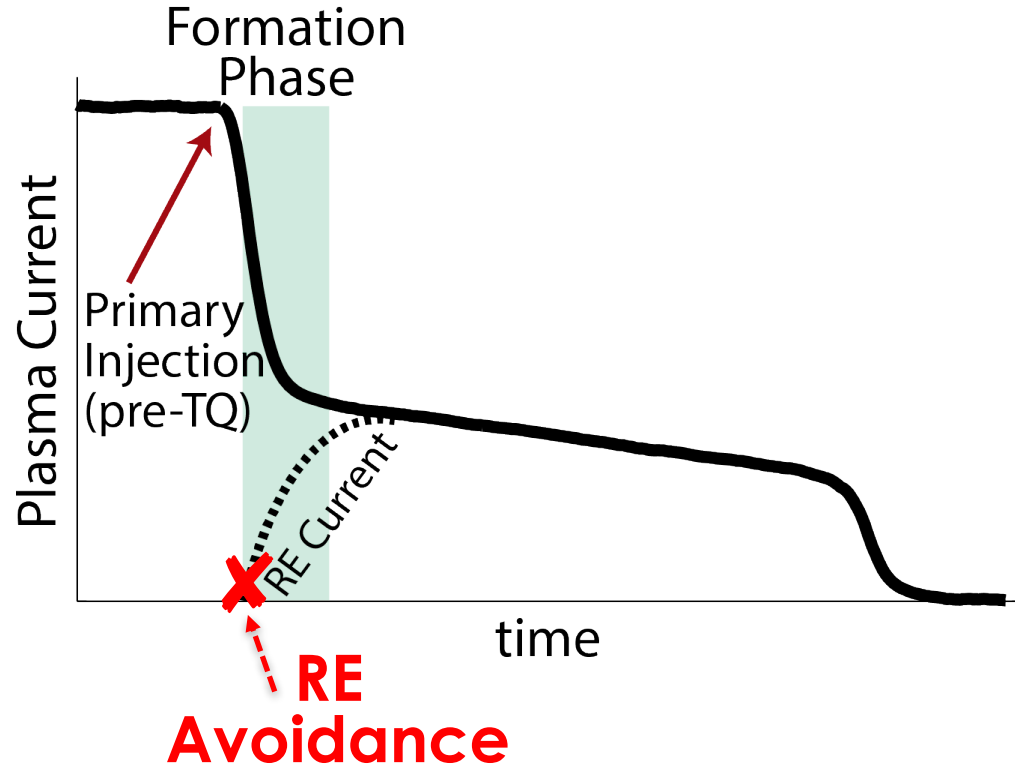


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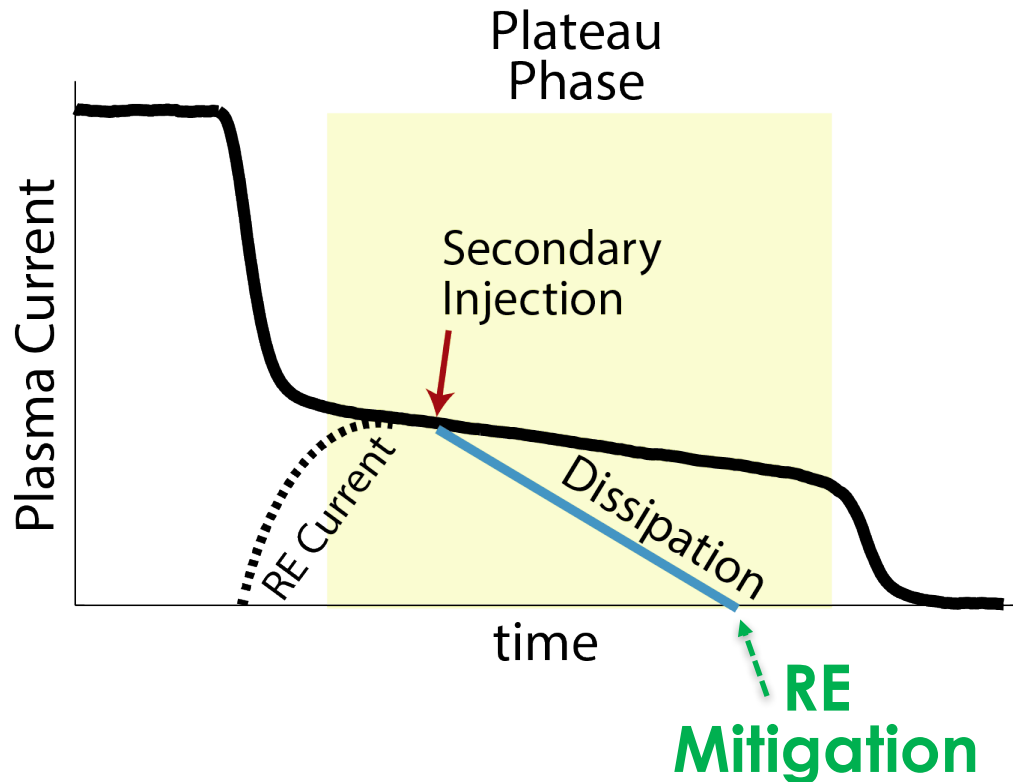
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- Talk presents experiment and modeling advances for the:
  1. Formation Phase: Avoidance



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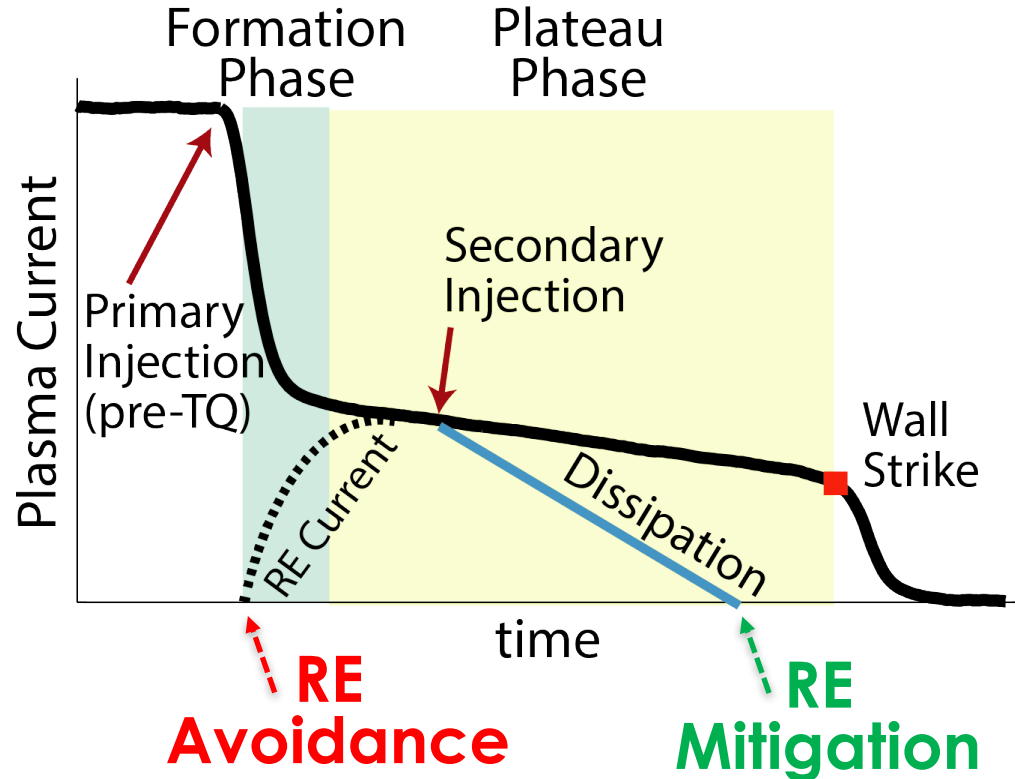
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  1. Formation Phase: Avoidance
  2. Plateau Phase: Mitigation



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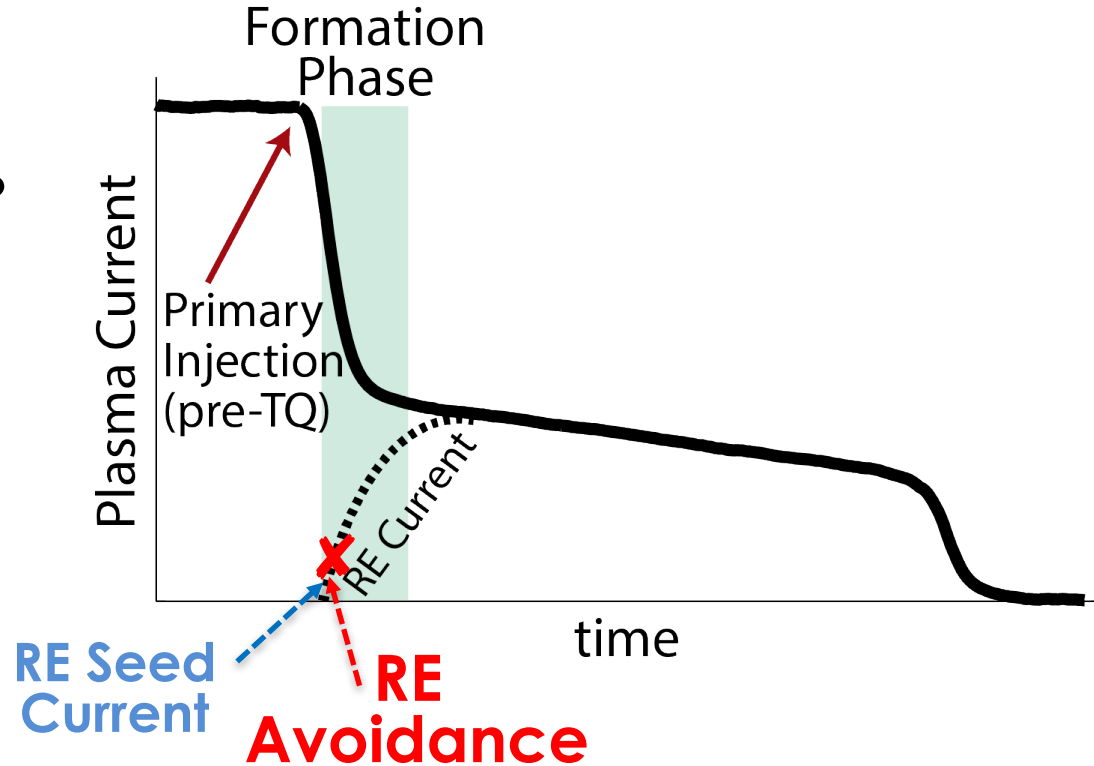
- REs are formed after a disruption and can damage the first wall
- Talk presents experiment and modeling advances for the:
  1. Formation Phase: Avoidance
  2. Plateau Phase: Mitigation
- Limited opportunity for empirical tuning of RE mitigation

Validated predictive modeling of RE control is essential



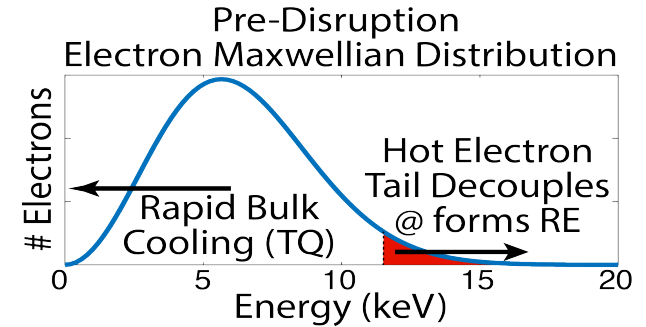
# RE Formation Phase Offers the Opportunity to Completely Avoid RE Issues

1. Can we predict the initial (seed) RE current?
2. Do we have options to avoid the RE plateau phase?



# Experimental RE Seed Current of $\sim 1$ kA Estimated ... Far Away from Hot-Tail Theory Predictions

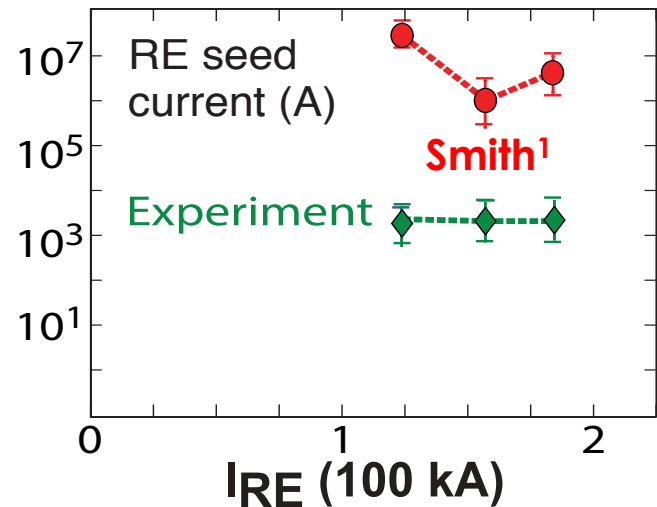
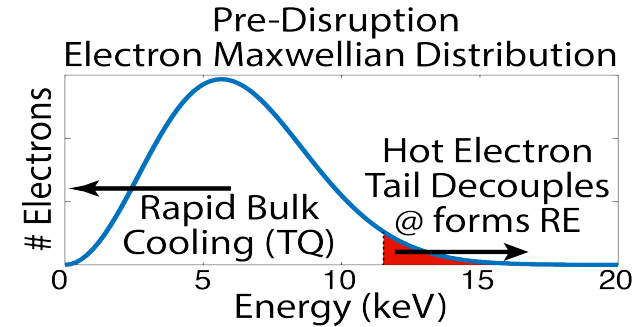
- **Hot-Tail mechanism<sup>1</sup> expected to dominate RE seed production in ITER**





# Experimental RE Seed Current of $\sim 1$ kA Estimated ... Far Away from Hot-Tail Theory Predictions

- Hot-Tail mechanism<sup>1</sup> expected to dominate RE seed production in ITER
- Early theory<sup>1</sup> exceeds experimental estimates from pellet ablation light<sup>2</sup>



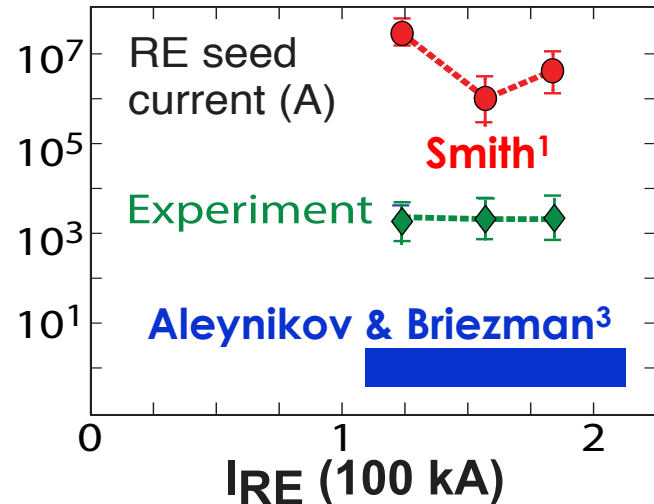
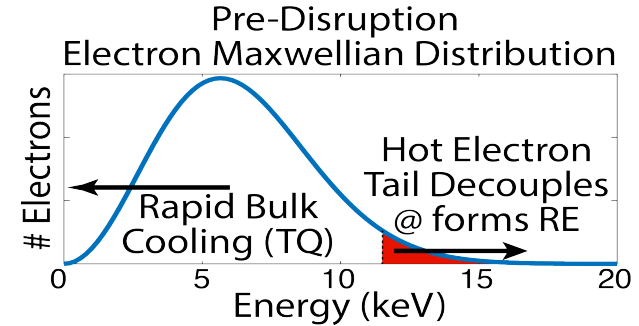
<sup>1</sup>Smith et al, PoP 2008

<sup>2</sup>E. Hollmann et al, NF 2017

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- Hot-Tail mechanism<sup>1</sup> expected to dominate RE seed production in ITER
- Early theory<sup>1</sup> exceeds experimental estimates from pellet ablation light<sup>2</sup>
- Recent theory<sup>3</sup> self-consistently treats plasma cooling with RE seed formation but now under-predicts RE seed

**Open area for improvements  
... Pellet interaction missing**

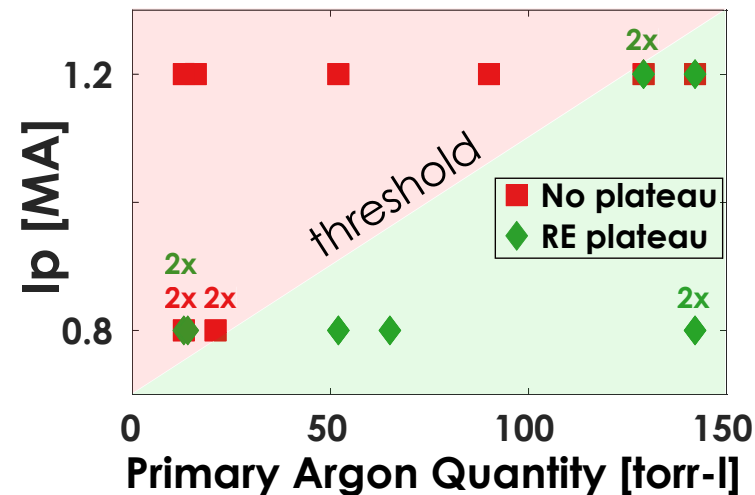
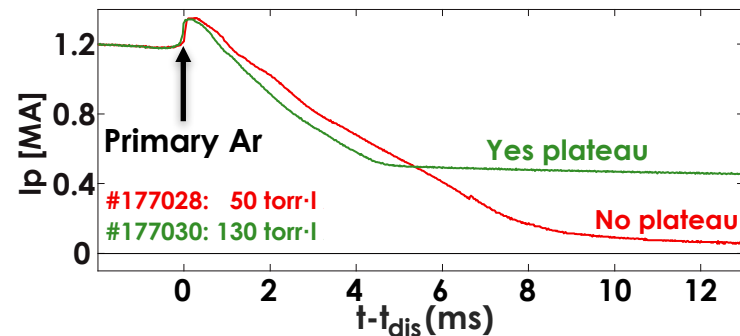


<sup>3</sup>P. Aleynikov, B. Breizman, NF 2017    <sup>1</sup>Smith et al, PoP 2008

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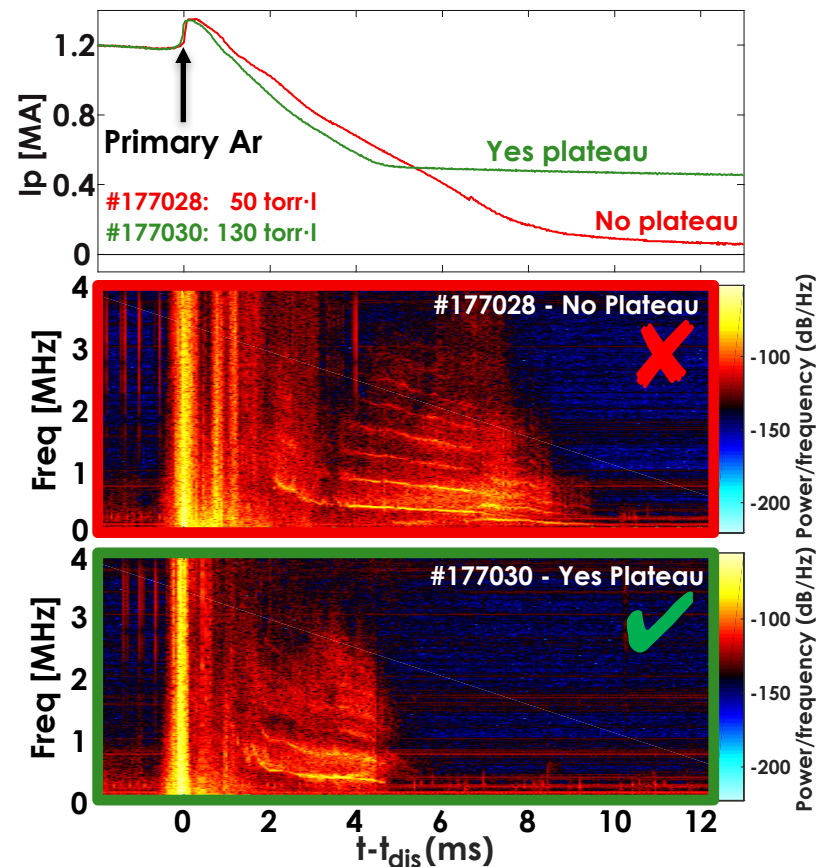
# DIII-D Sometimes Experiences Unreliable RE Formation ... Threshold Behavior Observed

- RE formation in DIII-D can be unreliable
  - Corollary: RE plateaus **avoidable**
  
- Threshold for RE avoidance seen in primary Argon quantity and  $I_p$



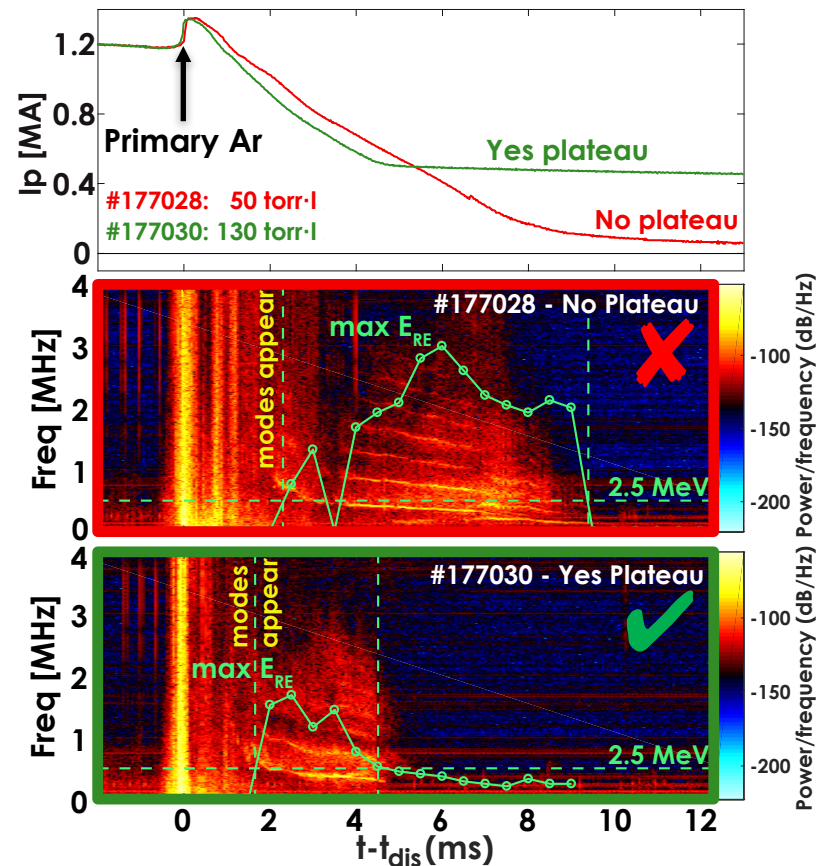
# Intense Alfvénic Instabilities ( $\sim$ MHz range) Observed During RE Plateau Formation, Correlates with Avoidance

- **Avoided** RE plateaus correlate with intense & coherent MHz-frequency modes
  - Candidate: compressional Alfvén wave driven by REs



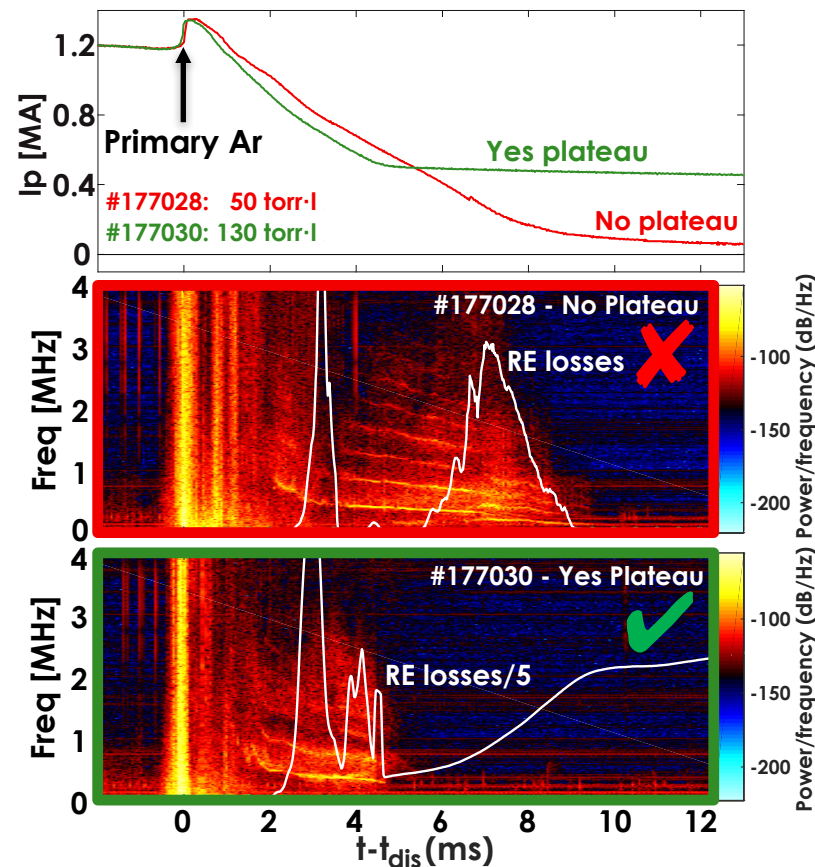
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- Hard x-ray spectrometry indicates critical RE energy for mode
- Modes causal to hard x-ray bursts indicating some RE loss
  - Can this explain avoided plateau?

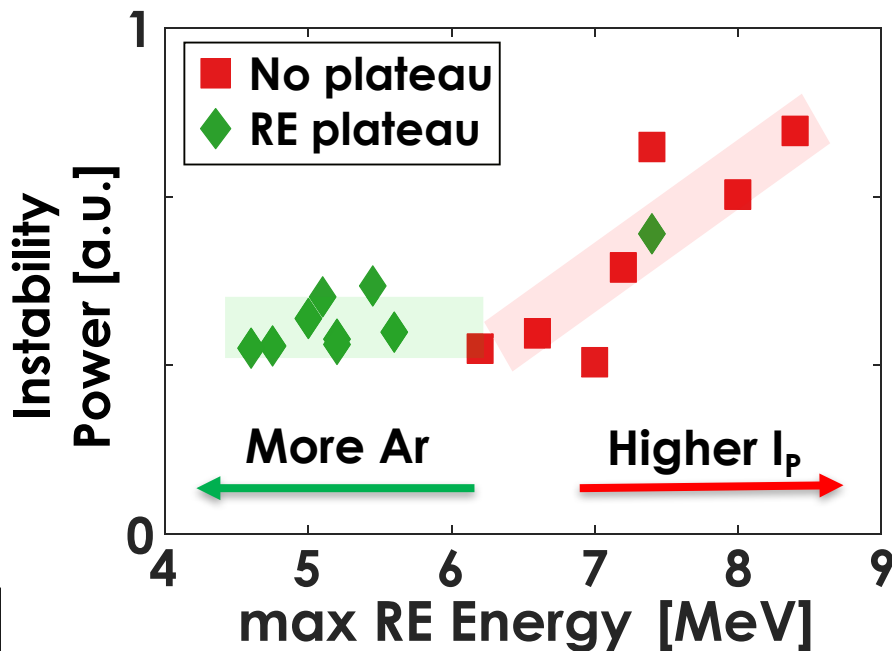


A. Lvovskiy et al, PPCF (in press)

# Excitation of Alfvénic Instabilities May Explain Critical Argon Quantity and $I_p$ Dependence for RE Avoidance

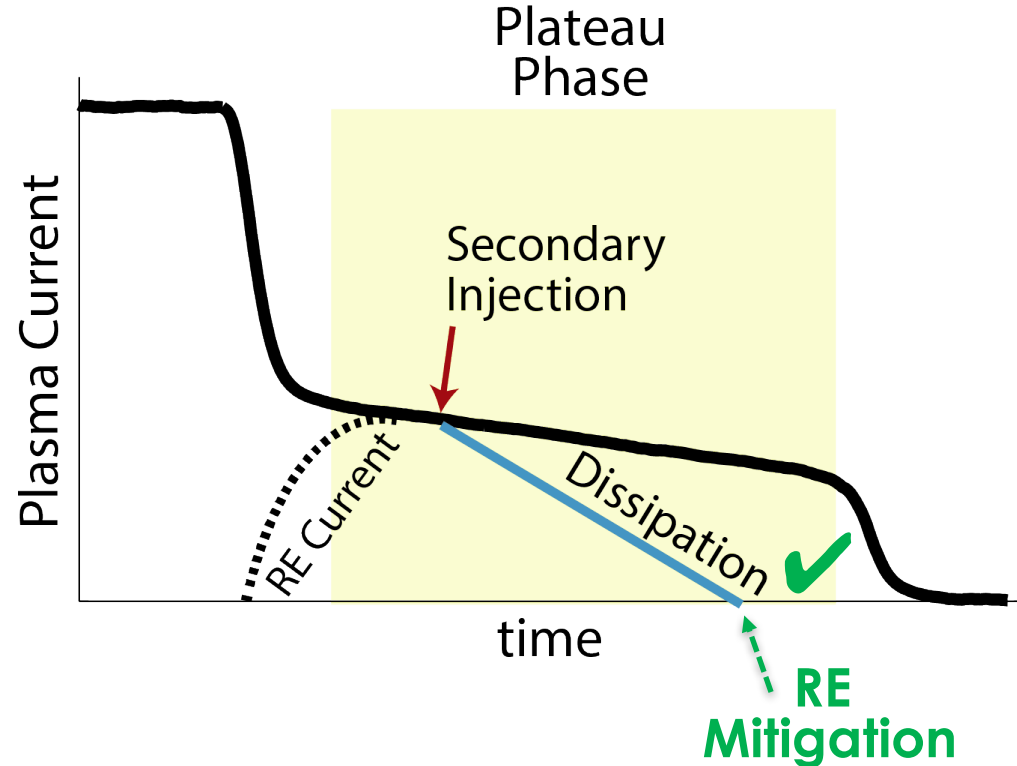
- Increasing Argon *reduces* high-energy REs & suppresses modes
  - RE plateau forms
- Increasing  $I_p$  increases high-energy RE & enhances modes
  - RE plateau avoided
- Surprising result challenges assumptions about instability

Is neglect of instabilities justified?



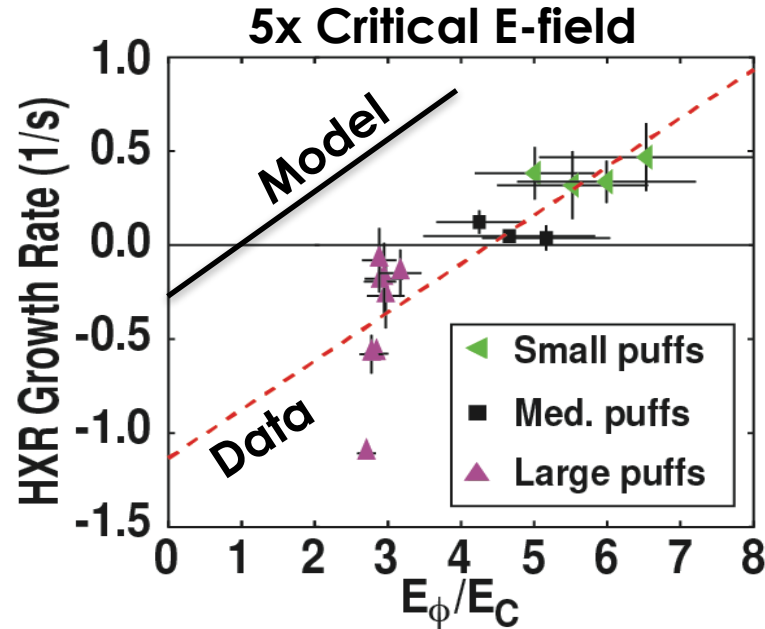
# Secondary Injection (of High-Z Material) during Plateau Phase is Main Defense for ITER

1. Do we understand RE distribution function and dissipation rate?
2. How can the dissipation rate be increased?



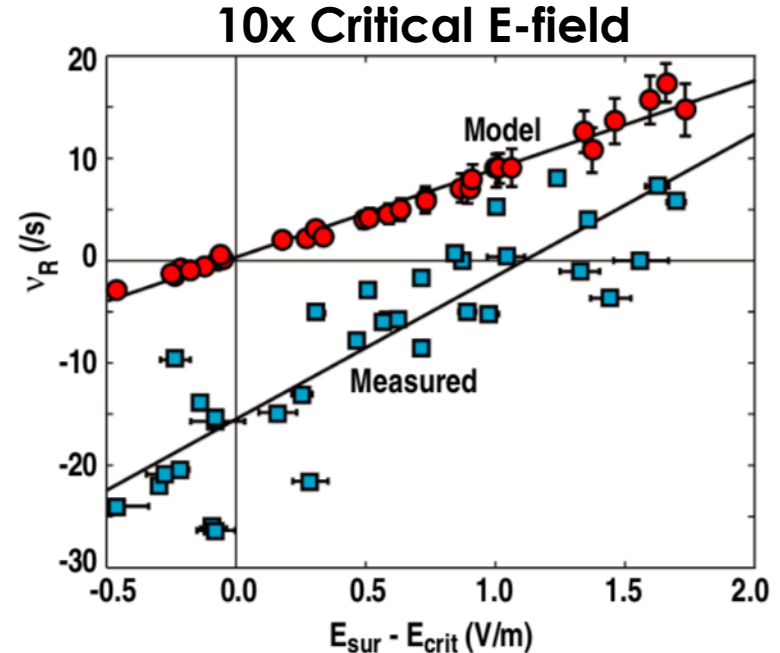


# Resolving Anomalous RE Dissipation is a Key Issue ... Seen in Multiple Experiments and Regimes



R. Granetz et al, Phys Plasmas 2014

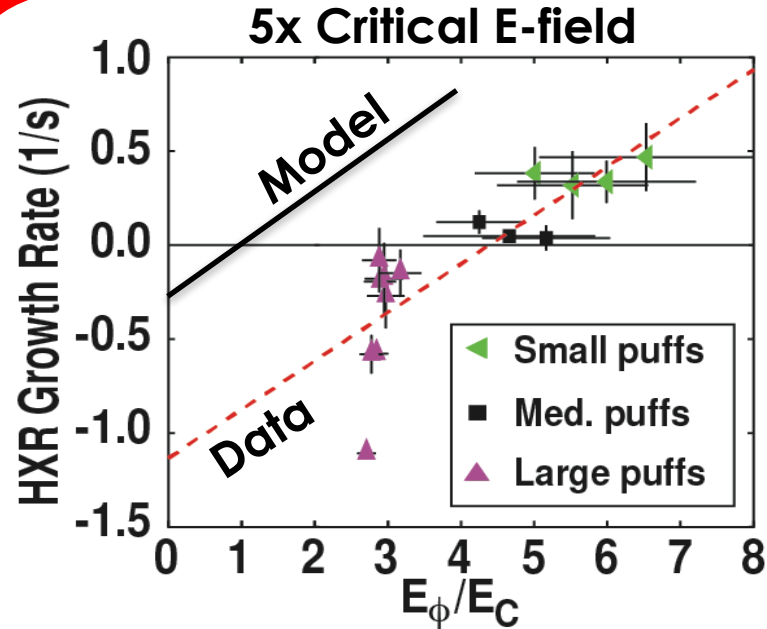
Ohmic Flat-top



E. Hollmann et al, Nucl Fusion 2011

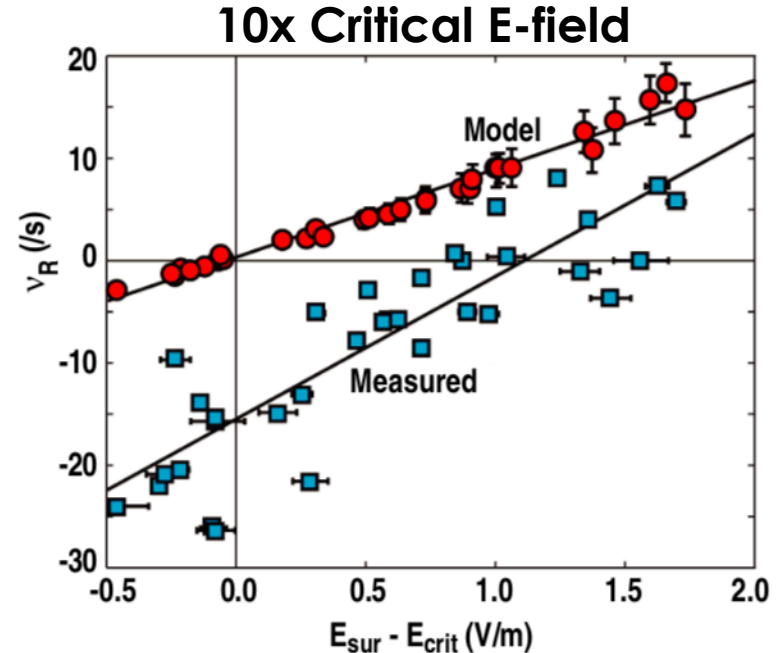
Post-Disruption

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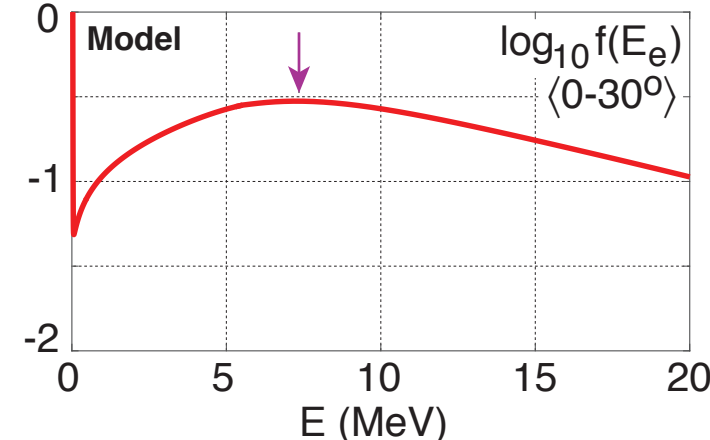
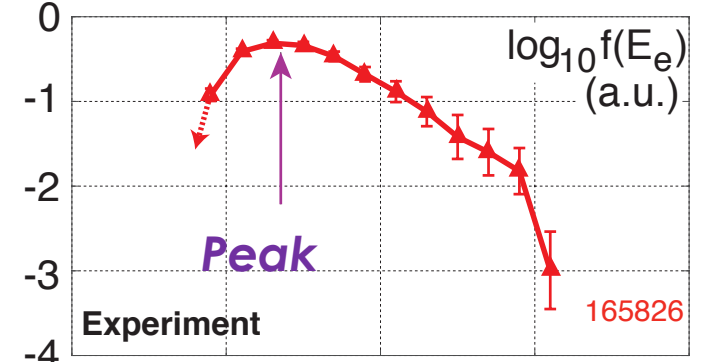
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Post-Disruption

# Direct Comparison of Experimental and Theoretical RE Distribution Functions Confirm Non-Monotonicity

- **RE distribution obtained from:**
  - HXR spectroscopy (experiment)
  - 0-D Fokker-Planck (model)
- **Both peak at similar energies**
- **High-energy falls off faster in experiment**

1-D RE distribution via Bremsstrahlung

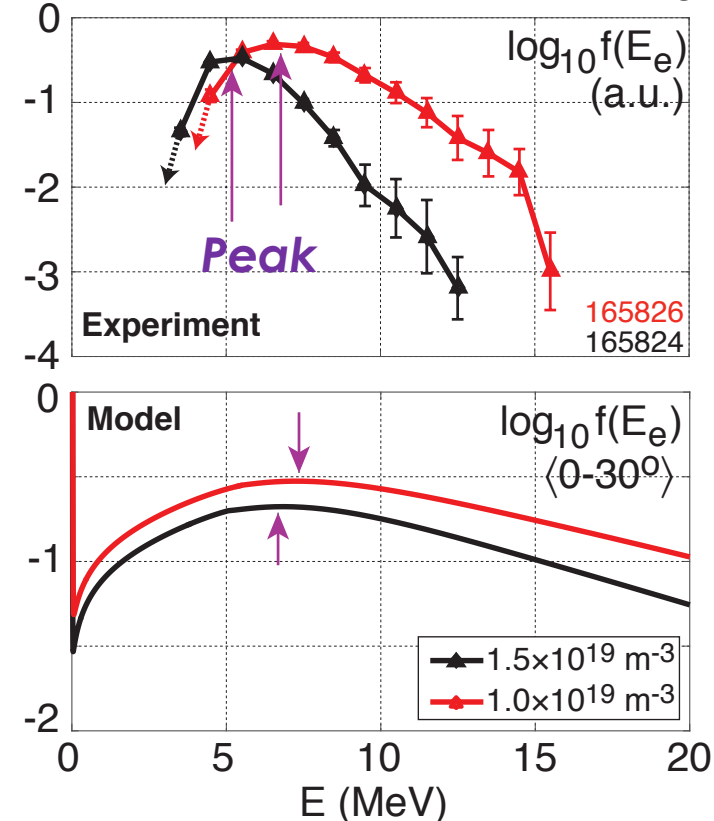


C. Paz-Soldan et al, PRL 2017

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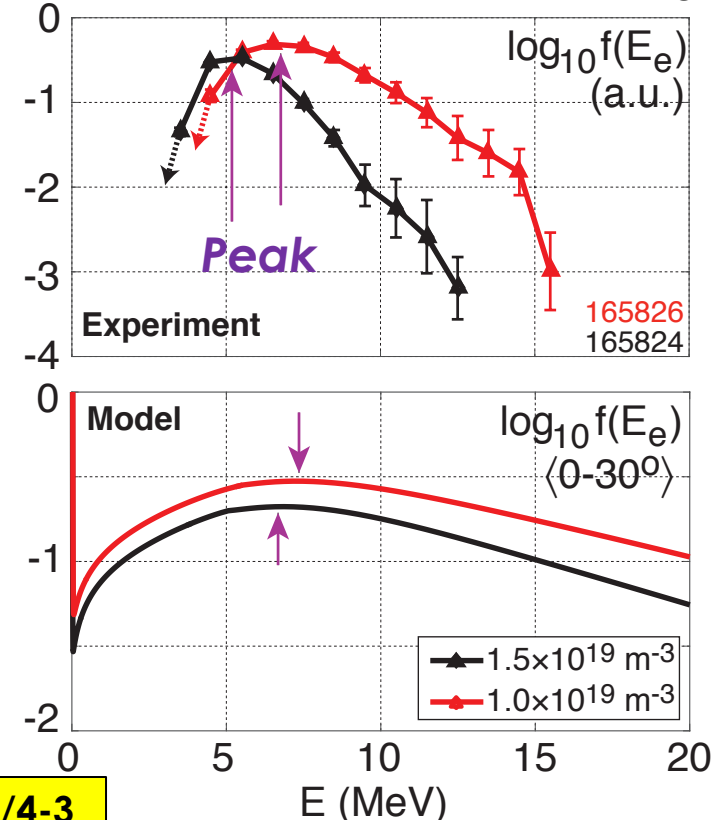


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- Synchrotron-based RE validation to be discussed later this session

1-D RE distribution via Bremsstrahlung

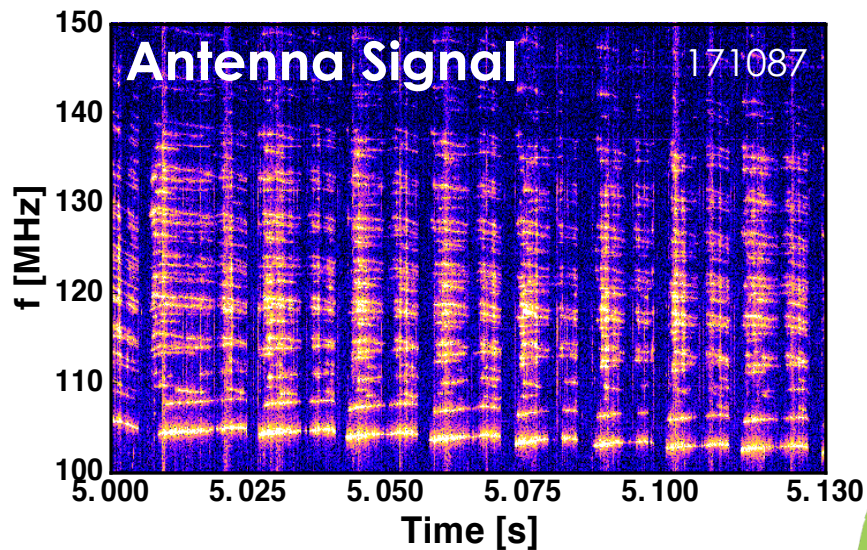


Synchrotron: del-Castillo-Negrete, TH/4-3

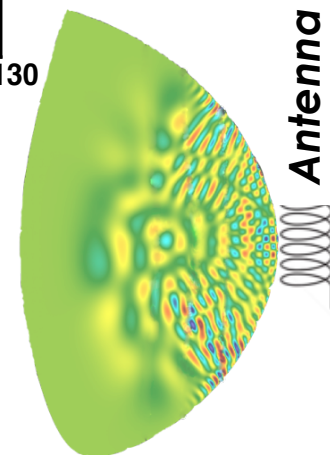
C. Paz-Soldan et al, PRL 2017

# High Frequency Antenna Reveals Kinetic Instabilities at ~100 MHz in Ohmic Flat-top RE Experiments

- Instability intensity proportional to RE population size
- Identified as whistler wave by varying dispersion relation terms
- De-stabilized (in part) by non-monotonic distribution function features
  - ~ 100 MHz modes predicted (and observed)
  - ~ GHz modes also predicted (no diagnostic)



Whistler Structure

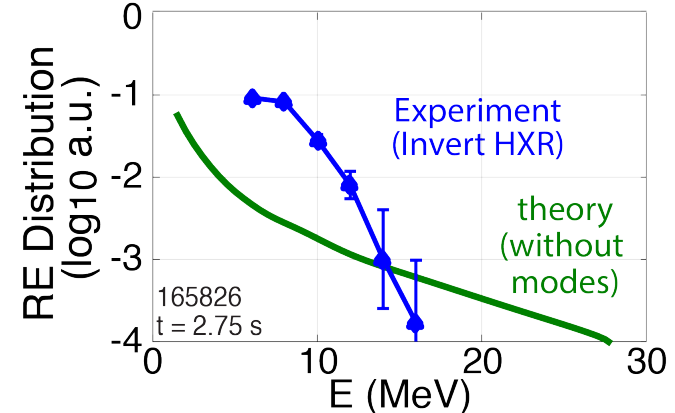
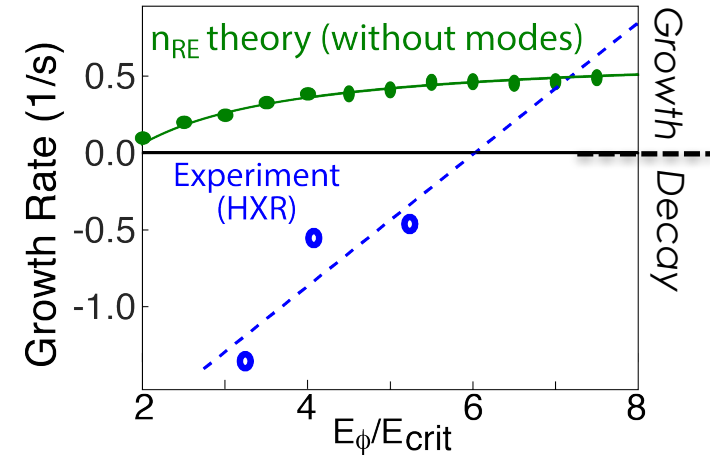


D. Spong, TH/P8-17 & K. Thome, EX/P6-29

D. Spong et al, PRL 2018

# Inclusion of Kinetic Instability Recovers Anomalous Dissipation and Improves Distribution Agreement

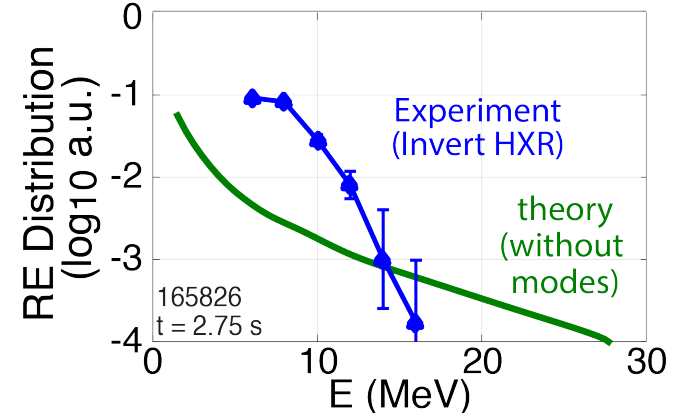
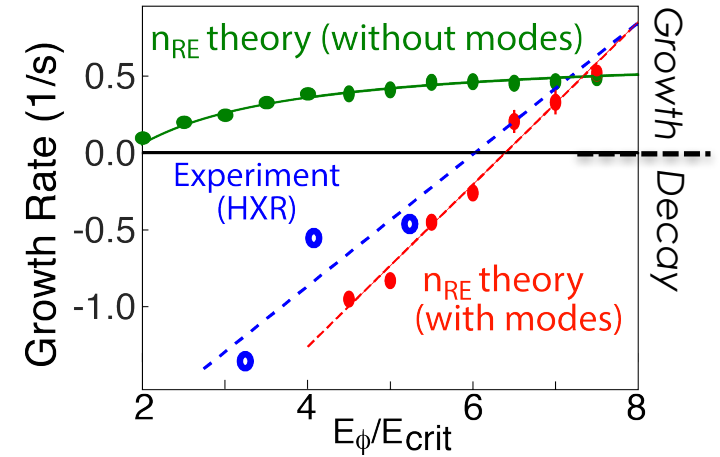
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C. Liu et al, PRL 2018

# Inclusion of Kinetic Instability Recovers Anomalous Dissipation and Improves Distribution Agreement

- Experiment identified dissipation rate and distribution function anomalies
- Quasi-linear diffusion model w/ instability reproduces elevated  $E/E_{\text{crit}}$  threshold
  - Possible resolution to reported discrepancy



C. Liu et al, PRL 2018

C. Liu, TH/P8-16

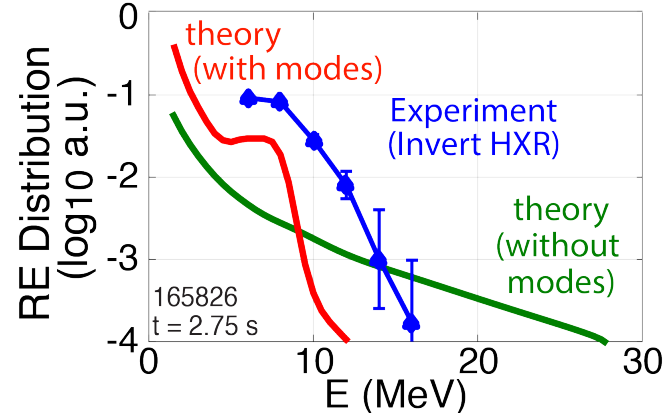
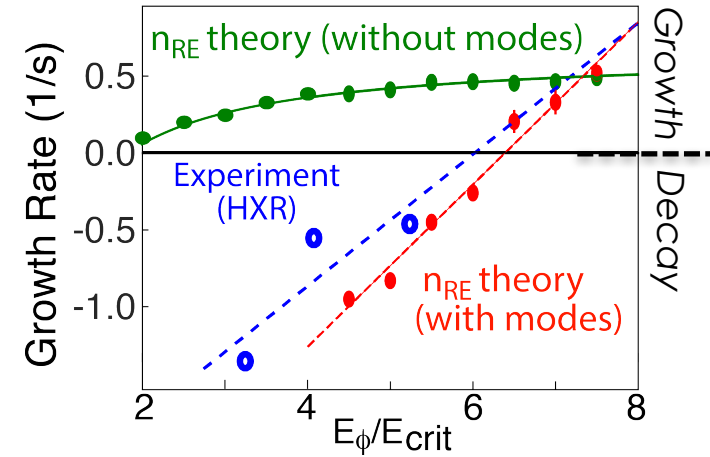
Paz-Soldan/IAEA/October2018



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- Quasi-linear diffusion model w/ instability reproduces elevated  $E/E_{\text{crit}}$  threshold
  - Possible resolution to reported discrepancy
- Much better match of RE distribution slope with kinetic instability included

**Kinetic instabilities essential to understand Ohmic flat-top regime dynamics**



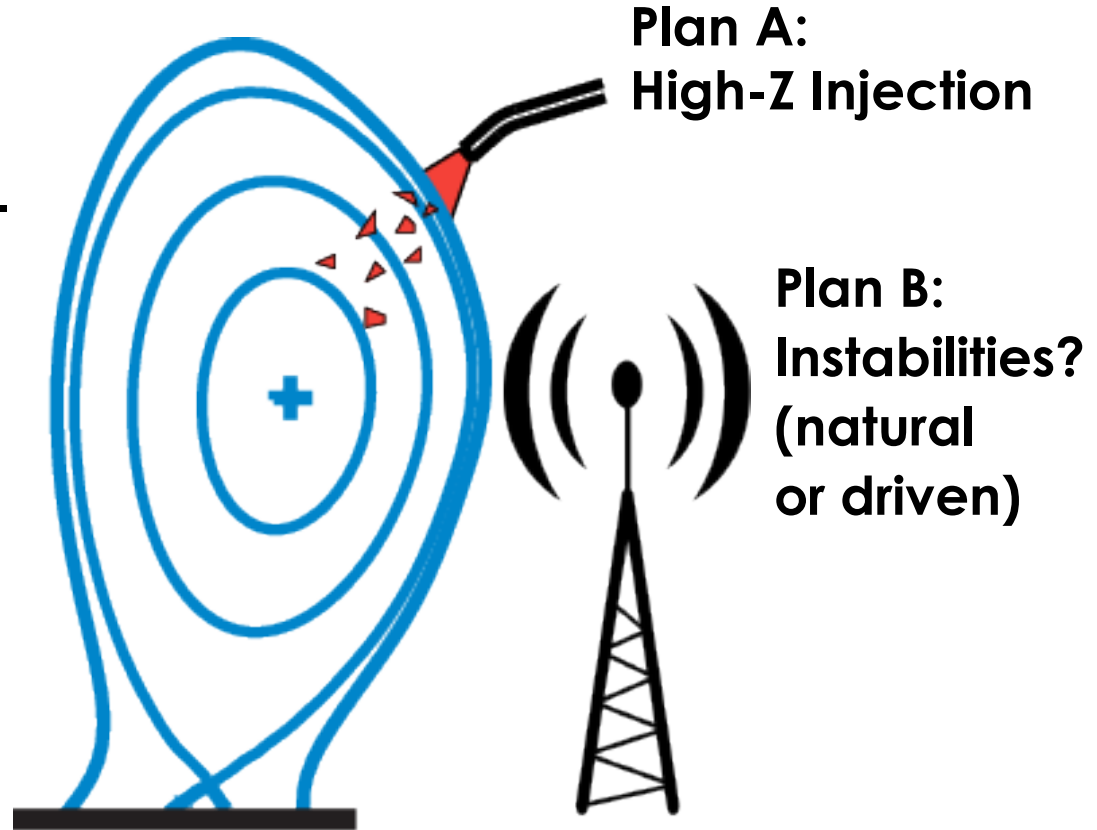
C. Liu et al, PRL 2018

C. Liu, TH/P8-16

Paz-Soldan/IAEA/October2018

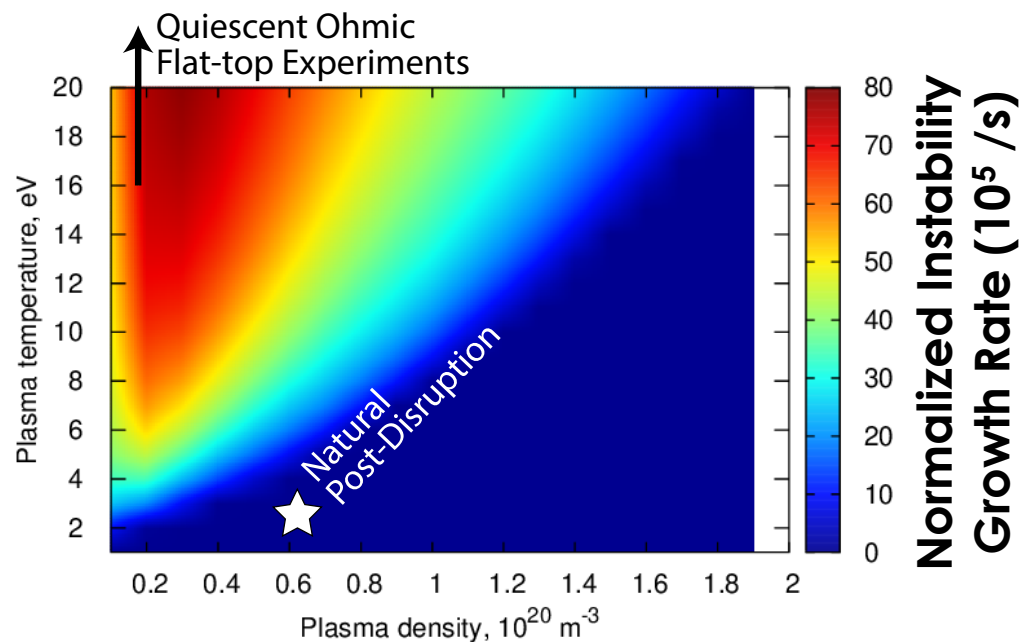
# Are Instabilities an Alternate Path to Dissipate the RE Plateau?

- Secondary injection expected to be high-Z material (Argon)
- Can kinetic instabilities offer an alternate path to control?



# Exploitation of Kinetic Instability Easiest in Collisionless Plasmas

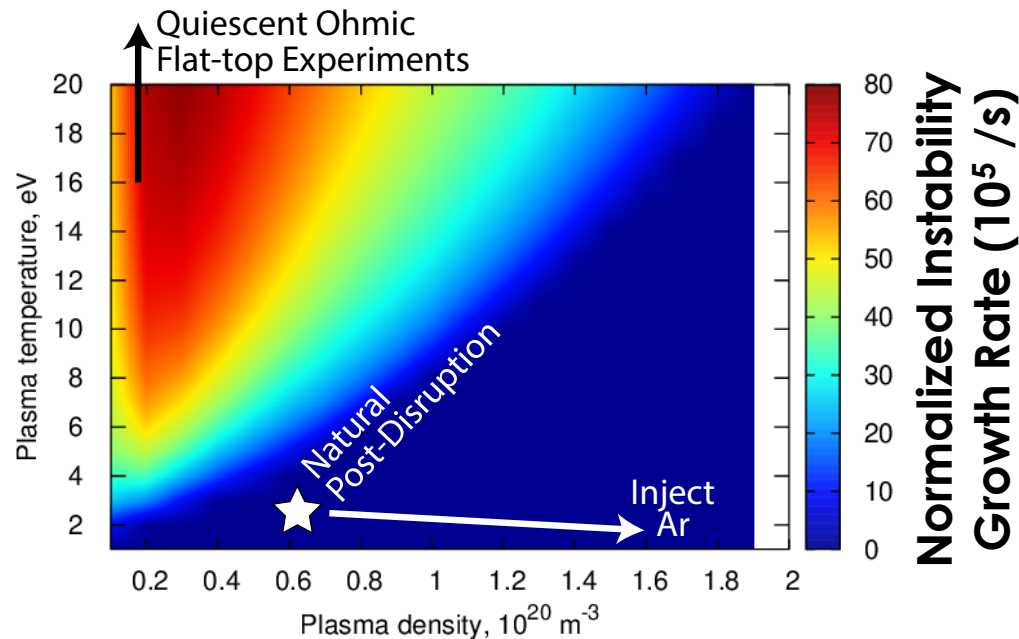
- Stability diagram calculated<sup>1</sup> for high-freq kinetic instabilities



<sup>1</sup>P. Aleynikov, B. Breizman, NF 2015

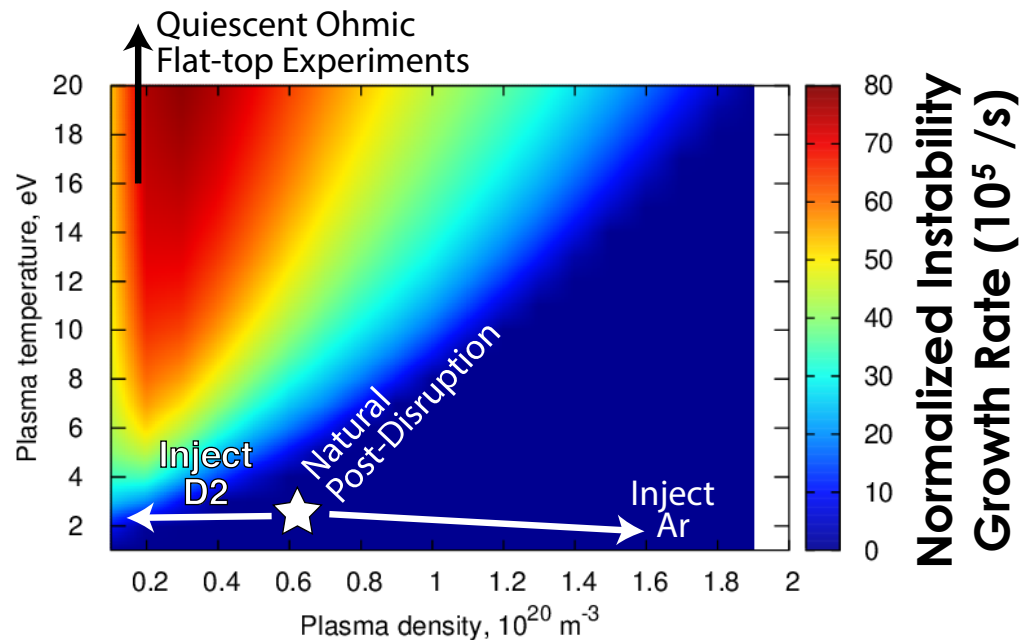
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- High-Z (Ar) injection likely to suppress instabilities via collisional damping
  - However - counter example already shown: ~ 1 MHz modes
  - Stability analysis<sup>1</sup> needs to be amended for Alfvénic modes



# Exploitation of Kinetic Instability Easiest in Collisionless Plasmas – Achievable by Injecting Deuterium

- Stability diagram calculated<sup>1</sup> for high-freq kinetic instabilities
- High-Z (Ar) injection likely to suppress instabilities via collisional damping
  - However - counter example already shown: ~ 1 MHz modes
  - Stability analysis<sup>1</sup> needs to be amended for Alfvénic modes
- Low-Z (D<sub>2</sub>) injection reduces density<sup>2</sup> and thus damping



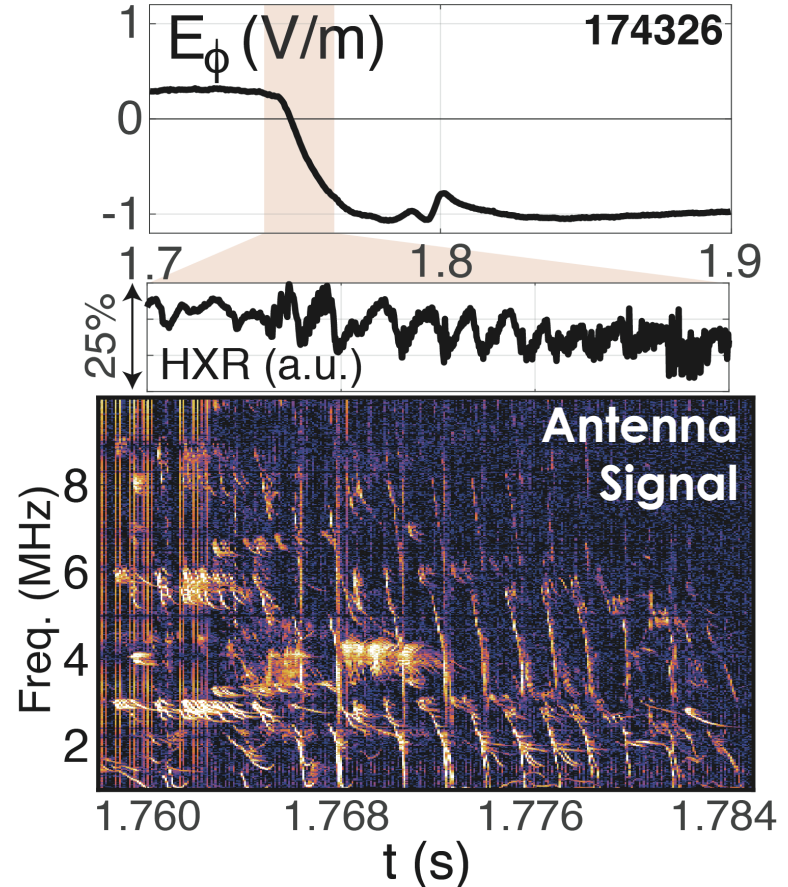
<sup>1</sup>P. Aleynikov, B. Breizman, NF 2015

<sup>2</sup>D. Shiraki et al, NF 2018

# D<sub>2</sub> Injection Enables Observation of Natural Kinetic Instability in Few-eV Post-Disruption RE Plateau

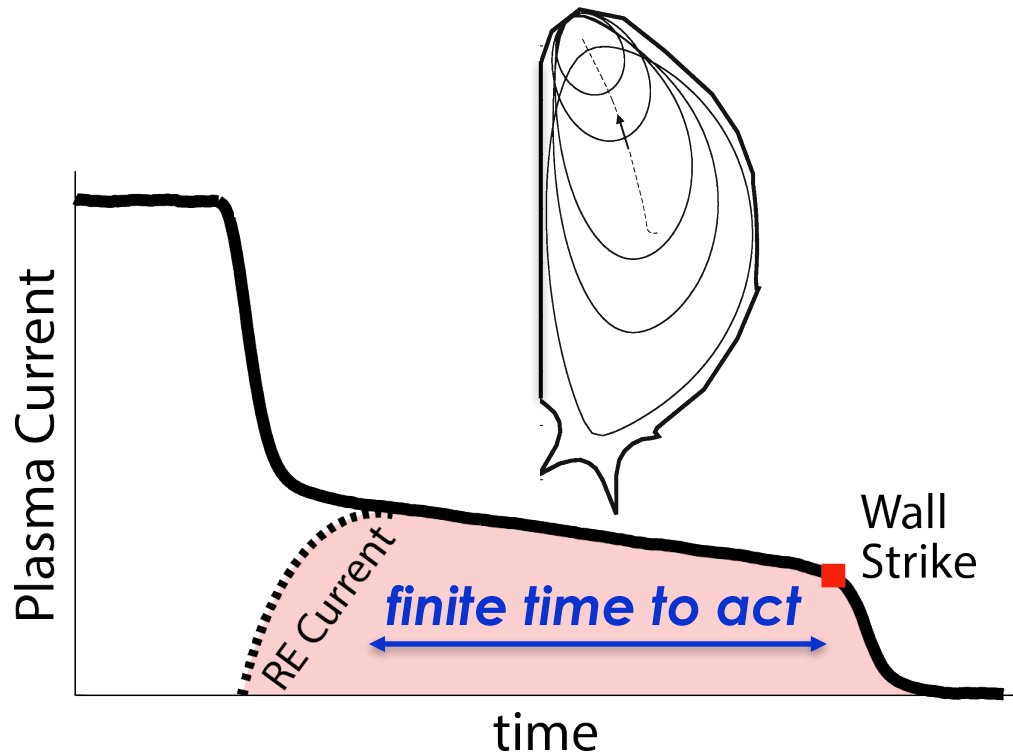
- **Natural instability observed in post-D<sub>2</sub> RE plateau, but so far only with large applied electric fields**
  - Indicates natural RE distribution function is stable in DIII-D
- **Area for future work, alongside RF antennas for active launch**

Active and passive methods under study



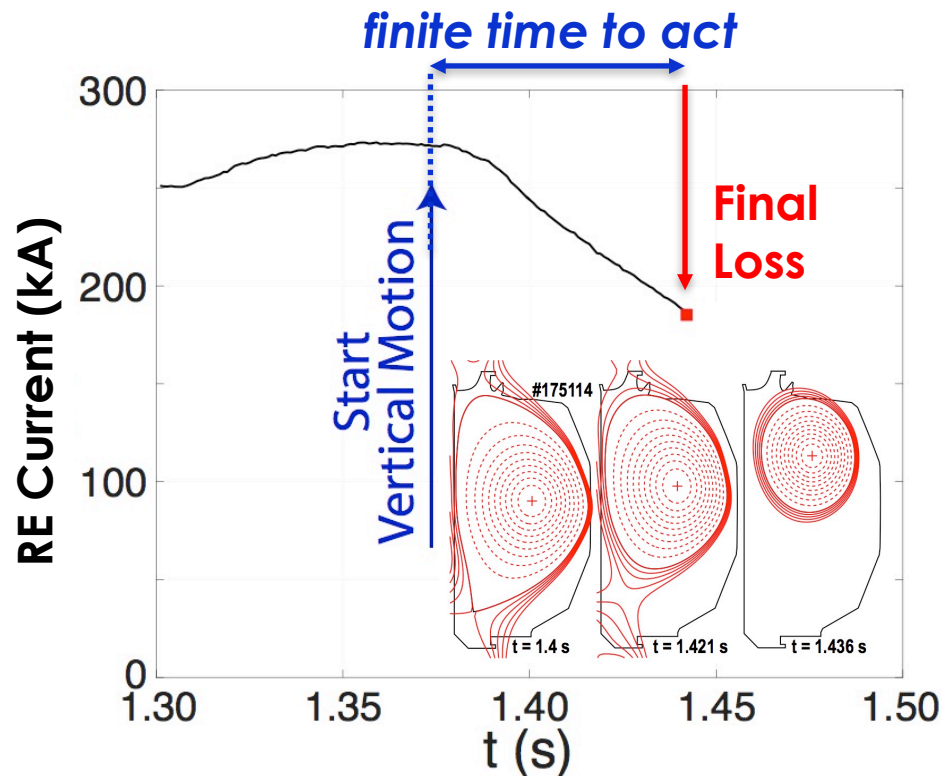
# Dissipation via Secondary Injection Must Happen Faster than Vertical Instability Loss Rate

- **ITER RE beam will be vertically unstable**
  - Finite time to dissipate RE



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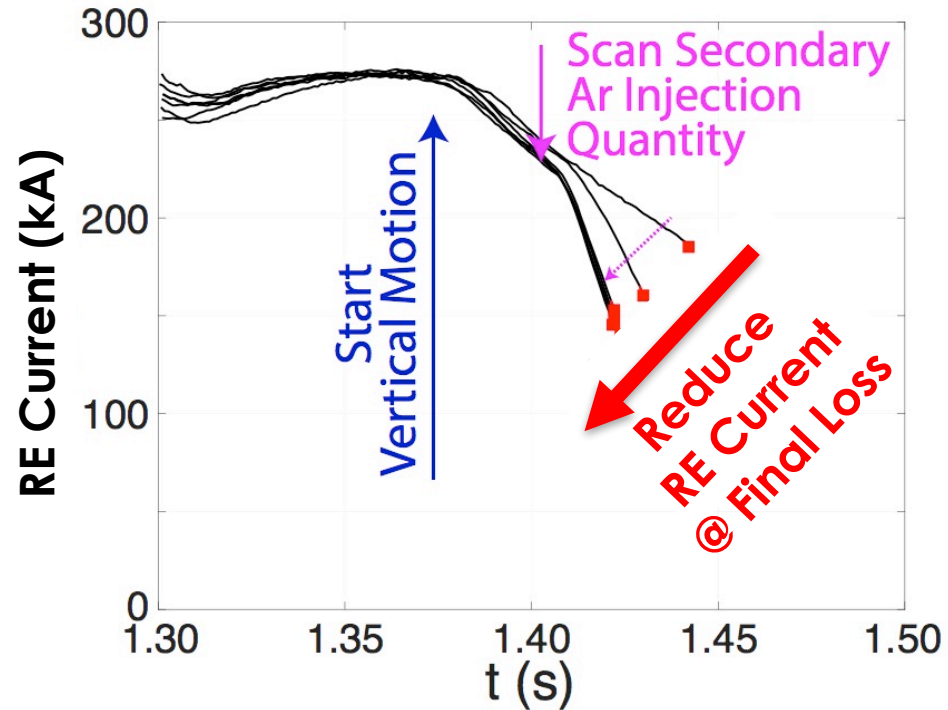
- ITER RE beam will be vertically unstable
  - Finite time to dissipate RE
- DIII-D has developed vertically unstable RE beams to address key physics questions





# RE Current at Final Loss Can Be Reduced with High-Z

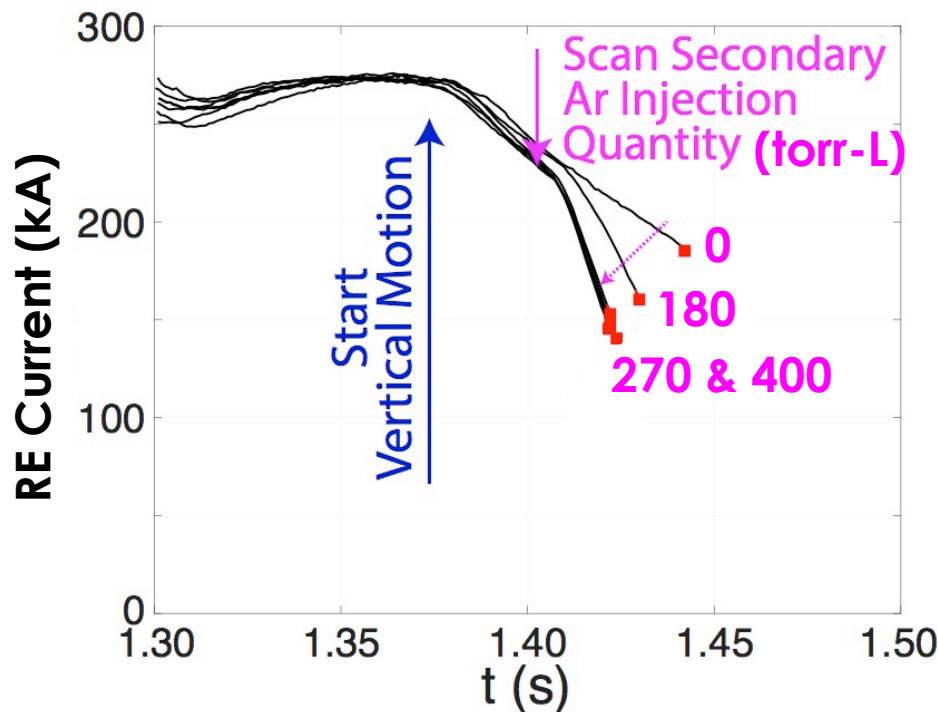
- Reduced RE current at final loss achieved by increasing Argon quantity



# RE Current at Final Loss Can Be Reduced with High-Z ... but Saturation of Dissipation Observed

- Reduced RE current at final loss achieved by increasing Argon quantity
- RE dissipation *saturates* at given Ar quantity
  - *Upper bound on assimilation*
  - Universal observation<sup>1,2,3</sup>

**Possible show-stopper  
for high-Z dissipation**



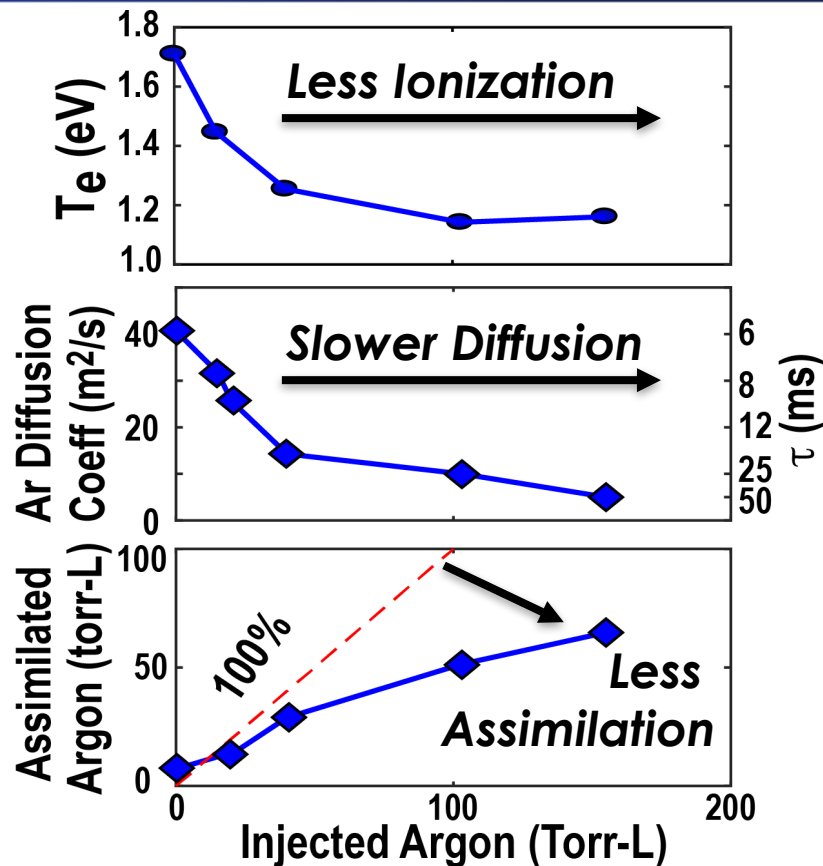
<sup>1</sup>C. Reux et al, NF 2015, <sup>2</sup>G. Papp, IAEA 2016

<sup>3</sup>Z.Y. Chen, ITPA 2017

# Saturation of Dissipation Linked to Ionization Power Balance: Temperature Effects Can Slow High-Z Diffusion

- Ionization of large Ar quantities causes significant  $T_e$  drop
- Lower temperature and higher Ar density reduces diffusion coefficient
  - Classical diffusion goes like  $T/n$
- Vertical loss happens faster than Ar can diffuse: not enough time
  - Observed dissipation saturates

RE dissipation depends on ionization power balance



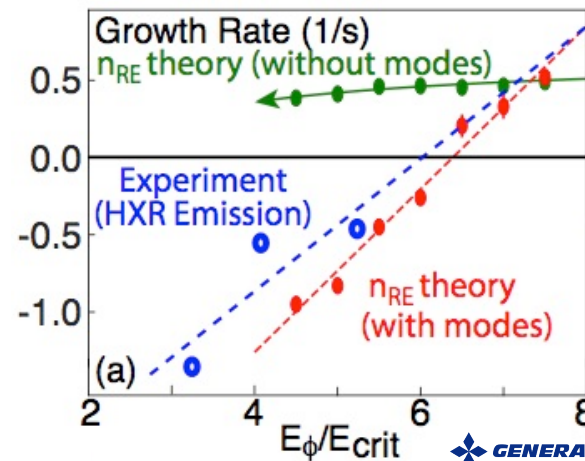
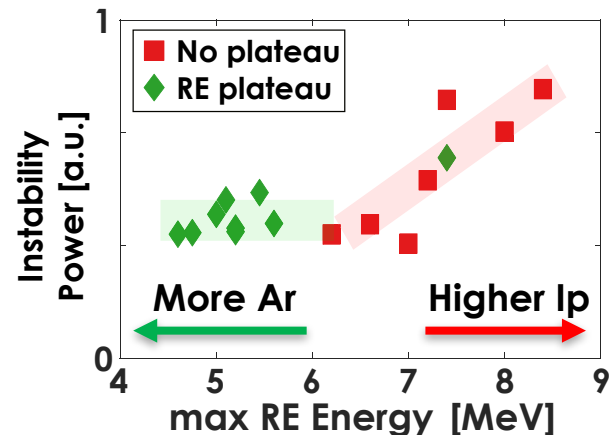
# Important Advances in RE Experiments and Modeling are Improving Predictive Capability for ITER DMS Design

## RE Avoidance:

- Modeling does not yet predict RE seed
- Kinetic instability may explain threshold dependence on  $I_p$  and Ar quantity

## RE Mitigation:

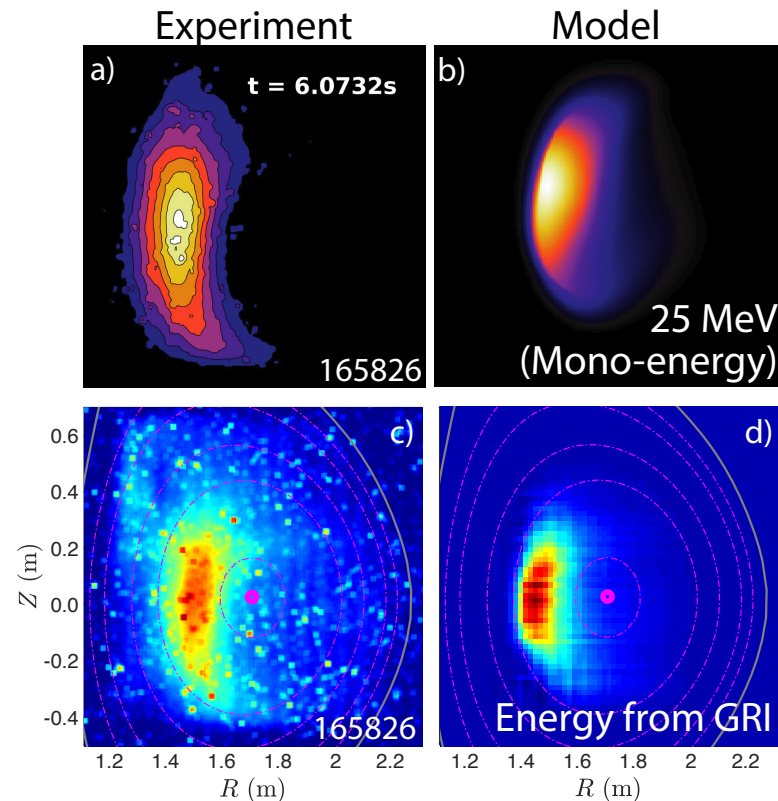
- Including kinetic instability in modeling reproduces elevated  $E/E_{crit}$  threshold
  - Application to disruption under study
- High-Z dissipation saturation linked to ionization power balance



# Bonus Slides

# Model Validation Using Synchrotron Emission Images Explores Pitch-Angle and Spatial RE Dynamics

- Agreement with experiment seen in multiple simulations
- Pitch-angle distribution is not what 0-D Fokker-Planck models would predict
- See later talk for more information

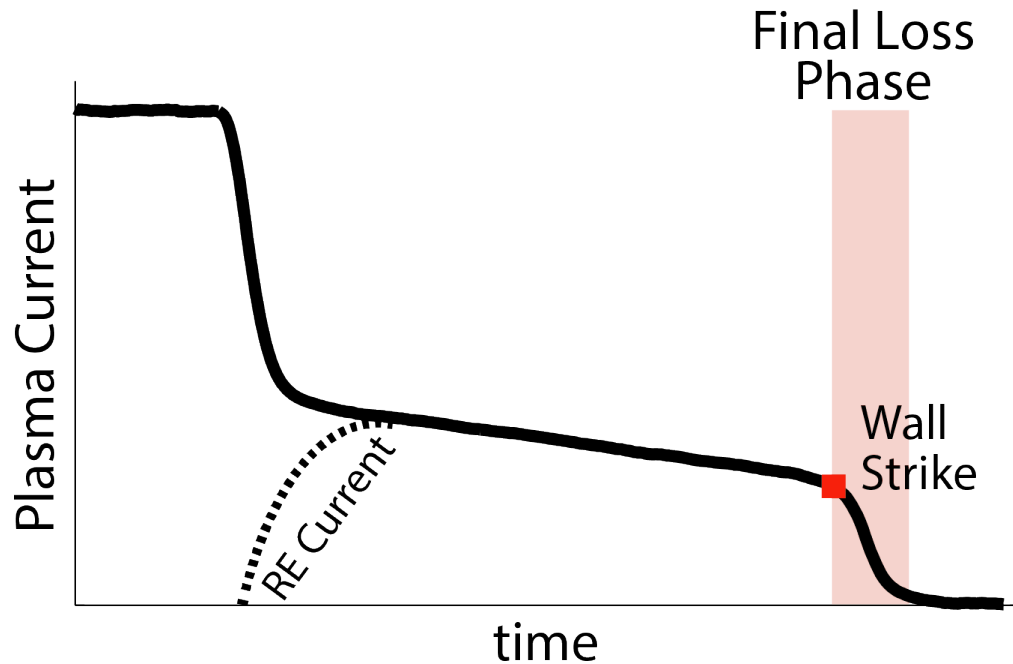


D. Del-Castillo Negrete, TH/4-3

M. Hoppe et al, NF 2018  
L. Carbajal et al, PoP 2018

# Dynamics of Final Loss Phase Sets Ultimate Requirement for RE Mitigation

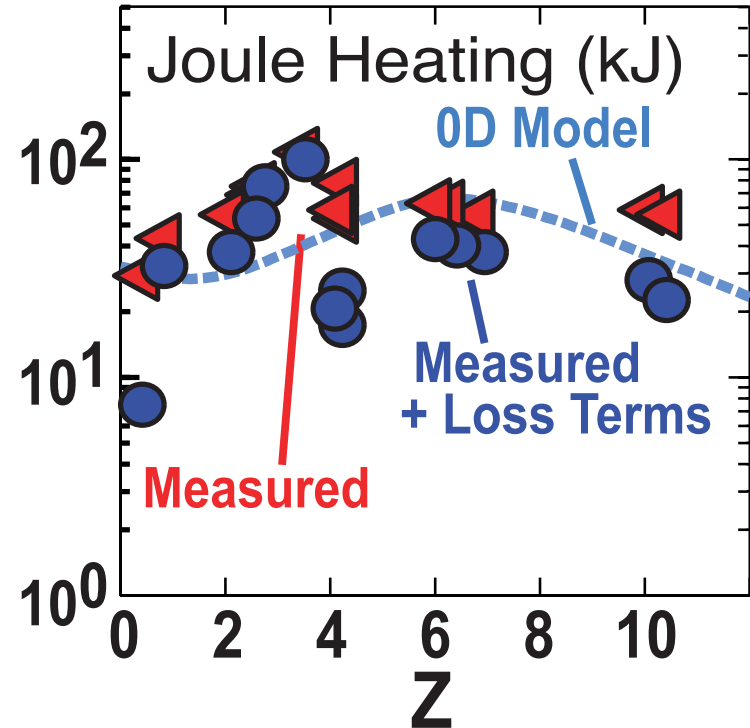
- What final RE current is tolerable?
- Can we predict the heating of the first-wall?



# Joule Heating of First-Wall from RE Strike Can Be Predicted as well as its Z-dependence

- 0-D circuit model<sup>1</sup> developed to predict Joule heating of wall from RE strike
- RE loss time required input, measured in DIII-D
- Model successfully captures total heating and Z-dependence

**0-D Model Successful**  
**Local Estimates are Future Work**



<sup>1</sup>R. Martin-Solis, NF 2017  
E.M. Hollmann et al, NF 2017